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1 RESEARCH ARTICLE

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4 **Reduced skeletal muscle endurance and ventilatory efficiency during**  
5 **exercise in adult smokers without airflow obstruction**

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14

15 **Short Title:** Muscle and Exercise Performance in Smokers

16

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23

24 **ABSTRACT** (Word count: 249)

25

26 **Background:** Smokers without airflow obstruction have reduced exercise capacity, but  
27 the underlying physiological mechanisms are not fully understood.

28 **Aim:** To compare quadriceps function assessed using non-volitional measures, and  
29 ventilatory requirements during exercise, between smokers without airway obstruction  
30 and never-smoker controls.

31 **Study Design and Methods:** Adult smokers (n=20) and never-smoker controls (n=16)  
32 aged 25-50 years with normal spirometry, underwent incremental cycle  
33 cardiopulmonary exercise testing to exhaustion with measurement of symptoms and  
34 dynamic lung volumes. Quadriceps strength and endurance were assessed non-  
35 volitionally using single and repetitive magnetic stimulation. Quadriceps bulk was  
36 assessed using ultrasound, as rectus-femoris cross-sectional area ( $Q_{RF}$ -CSA). Physical  
37 activity level was quantified using the SenseWear™ armband worn for 5 days.

38 **Results:** Smokers had lower peak exercise workload, peak oxygen consumption and  
39 anaerobic threshold (AT) compared to controls ( $170 \pm 46$  vs.  $256 \pm 57$  W;  $2.20 \pm 0.56$  vs.  
40  $3.18 \pm 0.72$  L/min;  $1.38 \pm 0.33$  vs.  $2.09 \pm 0.7$  L/min, respectively;  $p < 0.01$  for all). Quadriceps  
41 endurance was lower in smokers ( $\Delta$  force-time integral  $54.9 \pm 14.7\%$  vs.  $40.4 \pm 14.7\%$ ;  
42  $p = 0.007$ ), but physical activity, quadriceps strength and bulk were similar between  
43 groups. Smokers displayed higher ventilation (120W:  $52.6 \pm 11.8$  vs.  $40.7 \pm 6.0$  L/min;  
44  $p < 0.001$ ), decreased ventilatory efficiency (higher  $\dot{V}_E/\dot{V}_{CO_2}$ ) and were more breathless  
45 with greater leg fatigue at iso-workloads and iso-ventilation levels compared to never-

46 smoker controls. Smokers showed no mechanical constraints on tidal volume expansion  
47 during exercise or ventilatory limitation at peak exercise.

48 **Conclusion:** Adult smokers without airflow obstruction have reduced skeletal muscle  
49 endurance and ventilatory efficiency compared to never-smoker controls, despite  
50 similar daily physical activity levels, which contributed to reduced peak exercise  
51 capacity.

52

53 **Keywords:** smoking, exercise, skeletal muscle, magnetic stimulation, ventilatory  
54 efficiency

55 **New & Noteworthy:**

56 In adult smokers without airflow obstruction, the contributions of pulmonary and  
57 skeletal muscle functions to reduced exercise capacity are unclear. We found non-COPD  
58 smokers had decreased exercise capacity and muscle endurance though strength was  
59 preserved compared to never-smoking controls. Exercise endurance was associated  
60 with quadriceps endurance and CO transfer factor. Despite similar physical activity  
61 levels, smokers developed leg fatigue, breathlessness and displayed increased  
62 ventilation with reduced ventilatory efficiency at lower workloads, without exhibiting  
63 ventilatory constraint.

64

65

## 66 INTRODUCTION

67 Smoking is an etiological factor in several diseases which ultimately cause reduced exercise  
68 capacity (12). In particular, smokers are at increased risk of developing chronic obstructive  
69 pulmonary disease (COPD) (9, 43, 44). However, reduced physical performance in apparently  
70 healthy smokers in the absence of airflow obstruction has also been reported, with studies  
71 suggesting that impairments in cardiac (19), lung (15, 44), vascular (4, 17, 19), and skeletal  
72 muscle (36, 56), function may contribute to this. Nonetheless, the exact pathophysiological  
73 mechanisms responsible for exercise limitation in smokers without COPD remain unclear and  
74 poorly understood.

75 Chronic exposure to tobacco smoke has been shown to have a negative impact on skeletal  
76 muscle structure and function in adult smokers without airflow obstruction (26, 34, 36, 56).  
77 Orlander et al. were among the first to describe the functional and ultrastructural changes  
78 occurring in the muscles of smokers (38). Biopsies from the vastus lateralis showed a reduced  
79 proportion and cross-sectional area of the oxidative Type I, fatigue resistant fibers, and an  
80 increased proportion of glycolytic Type IIb fibers in smokers, although of note the participants  
81 also had low physical activity (38). This was associated with a reduction in muscle oxidative  
82 capacity (38), increased lactate production during exercise (11), and reduced skeletal muscle  
83 fatigue resistance (36, 57). Chronic exposure to tobacco smoke has been associated with  
84 ubiquitin-mediated proteolysis (41, 46). Reduced oxidative capacity and fatigue resistance in  
85 smokers were linked to impaired oxygen delivery due to the formation of carboxyhaemoglobin  
86 (HbCO) (35), as well as inhibition of intracellular oxygen transport by combination of CO with  
87 myoglobin, and oxidative phosphorylation by blockage of cytochrome oxidase, that can be

88 further hampered by cyanide in cigarette smoke (31, 45). Smoking-related skeletal muscle  
89 dysfunction was shown to be associated with a lower peak oxygen uptake ( $\dot{V}O_2$ ) (31, 45).

90 It has previously been shown that older smokers without COPD are more breathless during  
91 exercise. This was associated with lower lung diffusion capacity ( $DL_{CO}$ ) (25), and increased  
92 airway resistance, diaphragmatic effort and inspiratory neural drive but no difference in  
93 exercise ventilatory demand (15). Additionally, COPD smokers have been shown to have lower  
94 exercise capacity and ventilatory efficiency compared to matched COPD non-smokers (50).  
95 Evidence of lower ventilatory efficiency (higher  $\dot{V}_E/\dot{V}CO_2$ ) among smokers without COPD has  
96 been contradictory (15, 53).

97 We therefore undertook a study in young and middle-aged adults to reduce the potential  
98 confounding effect of ageing on respiratory and skeletal muscle function. We aimed to compare  
99 ventilatory requirements during exercise and quadriceps function assessed using non-volitional  
100 techniques between smokers without airflow obstruction and never-smokers. We hypothesized  
101 that skeletal muscle endurance would be impaired even in ostensibly “healthy” adult smokers,  
102 and that this together with ventilatory inefficiency would be key determinants of reduced  
103 exercise capacity.

104

## 105 **METHODS**

### 106 *Subjects*

107 We recruited recreationally active adult smokers aged between 25 and 50 years without airflow  
108 obstruction – forced expiratory volume in 1 second ( $FEV_1$ ) / forced vital capacity (FVC) > 70%  
109 and above the lower limit of normal and  $FEV_1$  >80% predicted (43). Exclusion criteria included:

110 any drug or medical condition that could affect muscle function (e.g. congestive heart failure,  
111 thyroid dysfunction, arthritis or muscle disease), contraindication to magnetic nerve stimulation  
112 (e.g. permanent pacemaker), any chronic respiratory disease or a body mass index <18.5 or >35  
113 kg/m<sup>2</sup>. Sixteen recreationally active healthy never-smoking controls in the same age range were  
114 recruited for comparison. Both groups were recreationally exercising 2 to 3 times weekly. The  
115 study was approved by the UCLH Alpha Research Ethics Committee (reference number-  
116 09/H0715/37) and all participants gave written informed consent.

117

### 118 *Study Procedures*

119 The study was cross-sectional and involved two visits; visit 1: smoking history and current status  
120 confirmed by exhaled carbon monoxide level (23). Detailed pulmonary function tests (PFT),  
121 including spirometry, DL<sub>CO</sub> and body plethysmography, were performed using CompactLab  
122 System (Jaeger, CareFusion<sup>®</sup>, Heidelberg, Germany) according to the ATS/ERS standards (28, 32,  
123 54). Participants were instructed to refrain from smoking for at least 1 hour before PFT.

124 Following 3 minutes of rest and 1-minute warm-up of unloaded cycling, a stepwise incremental  
125 cardiopulmonary exercise (CPET) was performed at 20W/min on an electronically braked cycle  
126 ergometer (Ergoselect 100, Ergoline, Bitz, Germany). Measurements included: standard breath-  
127 by-breath cardiopulmonary variables; breathing pattern parameters (MasterScreen™ CPX,  
128 Viasys Healthcare GmbH, Hoechberg, Germany); heart rate monitoring by 12-lead  
129 electrocardiography and oxygen saturation by pulse oximetry (SpO<sub>2</sub>) (3). Arterialized blood  
130 gases were measured at rest and peak exercise. Anaerobic threshold (AT) was estimated from  
131 the break point in the curve of  $\dot{V}CO_2$  as a function of  $\dot{V}O_2$  (V-slope method) (6) and confirmed  
132 by the point at which  $\dot{V}E$ -to- $\dot{V}O_2$  ratio increased without an increase in  $\dot{V}E$ -to- $\dot{V}CO_2$  ratio.

133 Operating lung volumes were derived from inspiratory capacity (IC) maneuvers at rest, and  
134 during exercise. Leg and breathing discomfort were assessed using the modified 10-unit Borg  
135 scale (7).

136 A multi-sensor accelerometer device (SenseWear<sup>®</sup> Armband) (49) was used to measure daily  
137 step count, basal metabolic rate and physical activity levels (PAL) over 5 successive days  
138 including 2 weekend days to account for any difference in physical activity (47). PAL was  
139 calculated by dividing the total daily energy expenditure by the basal metabolic rate (derived  
140 from measured resting energy expenditure) (22). A daily wearing time  $\geq 22.5$  hours/day was  
141 required for a measurement day to be valid (55).

142 At the second visit, a week later, the physical activity monitors were collected, and skeletal  
143 muscle parameters were assessed.

#### 144 *Quadriceps ultrasound*

145 Quadriceps rectus femoris cross-sectional surface area ( $Q_{RF}$ -CSA) of the dominant leg was  
146 measured at 60% of the distance between the anterior superior iliac spine and the superior  
147 patellar border by B-mode ultrasonography using a 4 MHz curvilinear probe (Siemens Acuson<sup>®</sup>  
148 S2000) in a rested supine position as described previously (49). After applying a generous  
149 amount of gel, the transducer was placed perpendicular to the  $Q_{RF}$  long axis with the least  
150 pressure to avoid image distortion. One operator, AS, performed all ultrasound scans to avoid  
151 inter-observer variability. Post-image-acquisition analysis and planimetric tracing was done to  
152 measure cross-sectional surface area ( $Q_{RF}$ -CSA) and calculate the contractility index (% change  
153 in CSA between contracted and relaxed states), using ImageJ<sup>®</sup> software version 1.51n. The  
154 average reading for  $Q_{RF}$ -CSA of at least 3 images within 5% was used.

155



156

157 *Quadriceps power and endurance*

158 Subjects performed at least 5 quadriceps maximum voluntary contractions (QMVC) as  
159 described previously (13). A QMVC trial was considered valid if the participant maintained the  
160 force achieved for at least 1 second. Trials that did not meet this validation criteria were  
161 excluded from the analysis and the highest value recorded of the validated maximal  
162 contractions was taken as QMVC. Magnetic femoral nerve stimulation protocol was used to  
163 elicit unpotentiated quadriceps twitches (Qtw) using a Magstim<sup>®</sup> mono-pulse 200 unit (42). An  
164 interpolated twitch was used to ascertain the maximality of effort during the QMVC maneuver  
165 (42).

166 Quadriceps endurance was assessed non-volitionally with a flexible mat coil wrapped around  
167 the quadriceps to deliver 50 trains of magnetic repetitive muscle stimulations (rMS) at a  
168 frequency of 30 Hz, 0.4 duty cycle and a power adjusted to yield approximately 30% of QMVC  
169 force. The decay in force was used to calculate the time needed to reach 70% of the initial  
170 force-time integral ( $T_{70}$ ) and the percentage decline in force-time integral ( $\Delta$ -FTI) (51).

171 *Statistical analysis*

172 A sample size of 16 subjects per group was estimated to detect differences in non-volitional  
173 quadriceps fatigability and exercise capacity (effect sizes of 0.93 & 1.89 respectively) between  
174 the two study groups using a 2-sample t-test with a power of 80% and a two-tailed alpha level  
175 of 0.05 (15, 36). Previously, similar difference in muscle endurance was apparent in groups of 8  
176 patients with COPD and healthy matched controls ( $T_{70}$ : 55.6±26 vs. 121±38.7sec,  $p < 0.05$ ) (51).

177 Results are presented as mean±SD. Unpaired two-tailed t-test was used to compare between  
178 group differences. Spearman's rank correlation analysis was used to estimate the strength of  
179 associations between pulmonary and muscular functional parameters. A hierarchical  
180 multivariable linear regression analysis was performed to identify which factors were most  
181 strongly associated with exercise performance. Independent variables predicting peak oxygen  
182 consumption ( $\dot{V}O_2$ , ml/min/kg) were selected for the multivariable regression model inclusion  
183 based on clinical relevance and prior univariate analysis with a p-value <0.2. Assumptions for  
184 linear regression analysis were all tested. A p-value of <0.05 was considered statistically  
185 significant. IBM SPSS version 22 Windows statistical package was used for analysis.

## 186 **Results**

187 The smoker and never-smoker groups were well-matched for age, height, body mass, body  
188 mass index and gender (Table 1). Physical activity level did not differ between smokers and  
189 never-smoker controls ( $1.53 \pm 0.2$  vs  $1.48 \pm 0.3$ ;  $p=0.596$ , 95% CI = -0.14 – 0.24) nor did mean  
190 daily step count ( $10,254 \pm 3,838$  vs.  $8,854 \pm 3,025$  step/day;  $p=0.289$ ).

191

### 192 *Skeletal Muscle Parameters*

193 Quadriceps strength was not statistically different comparing smokers and never-smoker  
194 controls; QMVC  $38.8 \pm 10.0$  kg vs.  $45.2 \pm 14$  kg ( $p=0.121$ ; 95% CI for difference -1.8 to 14.5), Qtw  
195  $13.3 \pm 3.3$  kg vs.  $15.6 \pm 4.3$  kg ( $p=0.078$ , 95% CI= -0.3 to 4.9) respectively, (Fig.1a,b; supplementary  
196 S1–<https://doi.org/10.6084/m9.figshare.13378865>). Twitch interpolation showed similar  
197 degrees of voluntary muscle activation ( $89.2 \pm 12.5\%$  vs  $91.4 \pm 9.0\%$ ,  $p= 0.429$ ). By contrast,  
198 quadriceps endurance was significantly reduced, with a more rapid decline in force elicited by

199 RMS in smokers;  $T_{70}$   $85.8 \pm 26.6$  vs.  $133.8 \pm 68.3$  seconds ( $p=0.016$ , 95% CI= 10.0 to 85.9) (Fig.2)  
200 and  $\Delta$ -FTI  $54.9 \pm 14.7\%$  vs.  $40.4 \pm 14.7\%$  ( $p=0.007$ , 95% CI= -24.7 to -4.3).  $\Delta$ -FTI was significantly  
201 associated with peak  $\dot{V}O_2$  ( $r= -0.371$ ,  $p=0.028$ ) and peak work rate ( $r= -0.366$ ,  $p=0.031$ ).

202  
203 Ultrasound imaging showed a numerically smaller resting  $Q_{RF}$ -CSA in smokers though this was  
204 not statistically different from never-smoker controls ( $740.4 \pm 212.3$  vs.  $871.8 \pm 191.1$  mm<sup>2</sup>;  
205  $p=0.072$ , 95% CI= -12.3 – 275.1). The contractility index was similar between smokers and  
206 never-smoker controls ( $16.4 \pm 24.4$  vs  $8.2 \pm 8.0\%$ ;  $p=0.218$ , 95% CI = -21.4 – 51.0, respectively).

207  
208 *Lung function and cardiopulmonary exercise parameters*

209 Table 1 shows PFT for both groups. The only parameter with that differed significantly was  
210  $DL_{CO}$  which was lower in smokers ( $80.8 \pm 12$  vs.  $91.5 \pm 10.9\%$  predicted;  $p=0.01$ ; 95% CI= 2.7-  
211 18.4).

212 Smokers achieved a lower peak  $\dot{V}O_2$  and peak  $\dot{V}_E$  compared to never-smoker controls (Table 2).  
213 AT occurred at a significantly lower absolute and relative to maximum workloads in smokers  
214 compared to never-smoker controls ( $83.5 \pm 26.7$  vs.  $153.8 \pm 52.5$ W;  $50.3 \pm 9.4$  vs.  $59.1 \pm 11.6\%$ ,  
215  $p < 0.05$  for both). Also, AT occurred at a significantly lower  $\dot{V}O_2$  in smokers ( $1.38 \pm 0.33$  vs  
216  $2.09 \pm 0.7$  L/min;  $p=0.001$ ; 95% CI= 0.31-1.12). However, this corresponded to a similar  
217 percentage of the peak  $\dot{V}O_2$  ( $63.8 \pm 8.2$  vs.  $65.3 \pm 12.2\%$ ,  $p=0.931$ ).

218  
219 Ventilatory requirements and ventilatory equivalent for  $CO_2$  ( $\dot{V}_E/\dot{V}CO_2$ ) were higher in smokers  
220 compared to healthy controls at iso-workloads 80-120W (Fig. 3 a, b). Additionally, nadir values  
221 for  $\dot{V}_E/\dot{V}CO_2$  were higher in smokers ( $25.4 \pm 2.6$  vs.  $22.8 \pm 2.2$ ;  $p= 0.005$ , 95% CI= -4.3 – 0.8). Tidal

222 volume was significantly smaller and breathing frequency was significantly higher in smokers vs.  
223 controls at submaximal ventilation (Fig.4 a, b), and tidal volume ventilatory inflection points  
224 tended to occur at a lower ventilatory level in smokers ( $58.0 \pm 18.8$  vs.  $71.3 \pm 27.8$  L/min,  $p =$   
225  $0.098$ ). There were no differences in IC, IRV (Fig.4 c, d), operating lung volumes or dead-space  
226 values between smokers and control groups (supplementary figure S1–  
227 <https://doi.org/10.6084/m9.figshare.13378865>). Heart rate was significantly higher and  $O_2$   
228 pulse (a surrogate marker for stroke volume) was significantly lower in smokers throughout  
229 submaximal exercise workloads (supplementary figure S2–  
230 <https://doi.org/10.6084/m9.figshare.13378865>).  $DL_{CO}$  showed a positive correlation with peak  
231  $\dot{V}O_2$  ( $r=0.54$ ,  $p=0.001$ ) and AT ( $r=0.52$ ,  $p=0.002$ ) and was negatively associated with nadir  
232  $\dot{V}_E/\dot{V}CO_2$  ( $r= -0.38$ ,  $p= 0.03$ ). Borg scores for leg fatigue and dyspnea were significantly higher in  
233 smokers at iso-workloads (Fig. 5a, b) and iso-ventilation levels (Fig. 5c, d).

234 Analysis of symptoms reported identified dyspnea, leg fatigue or a combination of both to be  
235 the main symptom limiting exercise in 10%, 45% and 45% of smokers and 13%, 31% and 56% of  
236 non-smoker controls respectively. Although leg fatigue was more frequently reported as the  
237 dominant symptom at the termination of exercise among smokers, this was not found to be  
238 statistically significant compared to never-smoker controls (45 vs. 31%,  $p= 0.720$ ).

239 The multivariable linear regression analysis assumptions were met with residuals showing  
240 normal distribution and homoscedasticity. Variance inflation factor values as well as correlation  
241 coefficients confirmed absence of multicollinearity. Age,  $DL_{CO}\%$  predicted and  $T_{70}$  were found to  
242 be independent predictors of peak exercise oxygen consumption with the regression model  
243 predicting 60.2% of the variance with an adjusted  $R^2$  of 0.602,  $p<0.0005$  (Table 3).

244

245 **DISCUSSION**

246 The main finding of this study were that exercise capacity and peak  $\dot{V}O_2$  was reduced in  
247 smokers even in the absence of airflow obstruction and that this was accompanied by increased  
248 breathlessness and reduced ventilatory efficiency and associated with CO diffusing capacity,  
249 though there was no evidence of mechanical constraint on ventilation. In addition, exercise  
250 capacity limitation in smokers was associated with a marked reduction in quadriceps endurance  
251 assessed using non-volitional techniques and smokers demonstrated a lower anaerobic  
252 threshold and developed symptoms of muscle fatigue at lower workloads. Importantly, daily  
253 physical activity did not differ between groups, suggesting that these findings cannot be  
254 explained by deconditioning and are likely to represent a direct effect of smoking on skeletal  
255 muscle.

256 *Significance of the findings*

257 Quadriceps endurance was clearly reduced in smokers. Consistent with our findings, it has been  
258 demonstrated in quadriceps biopsies that smokers have a fiber type shift from the slow twitch  
259 type I, oxidative fatigue resistant fibers, to the fast twitch type II glycolytic muscle fibers (34,  
260 38). Another potential mechanism is that an increase in systemic inflammatory mediators in  
261 smokers, for example interleukin-6 and protein synthesis inhibition, could promote a secondary  
262 sarcopenia phenotype (40, 41, 52). However, against the latter are observations from patients  
263 with established COPD where skeletal muscle abnormalities are common (20, 37, 49) but  
264 systemic inflammation does not seem to be a key factor (33).

265

266 Carbon monoxide and reactive oxygen species produced in cigarette smoke, together with  
267 endothelial dysfunction and increased vascular resistance, negatively impact oxygen extraction

268 kinetics and impair mitochondrial oxidative capacity leading to impaired muscle function (1, 16,  
269 24, 25, 34, 41), with increased lactate production during exercise (11) consistent with our  
270 finding of lower AT and O<sub>2</sub> pulse in the smoking group. Additionally, Klotho, an anti-ageing  
271 hormone and transmembrane protein, has been found to be negatively expressed in muscles of  
272 current smokers (40).

273 Our finding of similar physical activity levels in both groups argues against deconditioning being  
274 the primary cause of the functional difference. Arising from this, although both skeletal muscle  
275 abnormalities and physical activity limitation are present even in mild to moderate COPD (10,  
276 34, 49), we should not assume that the presence of the latter completely explains the former.  
277 Muscle oxidative capacity has been shown to be decreased in both lower and upper limbs in  
278 COPD patients compared to non-COPD smokers; while physical activity was not statistically  
279 different (2). This echoes with our results of similar physical activity but evident differences in  
280 muscle endurance and exercise capacity between smokers and never-smoker controls. PAL and  
281 daily step counts are indicative of physical activity, but this is dependent on behavioral factors  
282 and may not, as observed here, reflect maximum exercise capacity.

283  
284 The reduced muscle endurance in our smoking cohort was an important contributor to limited  
285 exercise capacity and was also reflected in higher Borg scores of leg fatigue among smokers at  
286 equivalent work-rates. The lower anaerobic threshold among smokers corresponded to a  
287 similar percentage of the peak  $\dot{V}O_2$ , however it should be noted that all the subjects were  
288 considered healthy without any evidence of disease. Higher levels of breathlessness and leg  
289 fatigue were also demonstrated at iso-ventilatory levels as shown in fig. 5c,d. Build-up of lactate  
290 along with afferent stimuli from peripheral mechanoreceptors would also have contributed to

291 increased ventilatory drive, increased minute ventilation and the sensation of dyspnea during  
292 physical activity (39). Smokers did not demonstrate ventilatory limitation compared to never-  
293 smokers, as evidenced by similar dynamic lung volume changes (supplementary figure S1-  
294 <https://doi.org/10.6084/m9.figshare.13378865>) and crucially a substantially greater ventilatory  
295 reserve in smokers at peak exercise (Fig.3). The higher heart rate at iso-work rates also supports  
296 a role for muscle dysfunction, and likely allows less filling time and lower stroke volume as  
297 shown by lower O<sub>2</sub> pulse values (supplementary figure S2-  
298 <https://doi.org/10.6084/m9.figshare.13378865>). The relative reduction in cardiac output will  
299 have impacted intra-muscular oxygen delivery kinetics.

300

301 Ultrasound measurements of the rectus femoris were not found to be statistically different in  
302 both groups of participants suggesting similar muscle bulk and consistent with the lack of  
303 difference we observed in measures of muscle strength; however for both strength and bulk in  
304 never smokers the data admit the possibility that a larger study might have disclosed  
305 statistically significant differences between groups. This would not detract from the current  
306 observation that the greatest impairment was seen in endurance. Of note, although muscle  
307 weakness has been demonstrated in early COPD compared to healthy controls (49), Gagnon et  
308 al. found no difference in strength, measured using QMVC and potentiated quadriceps twitch  
309 force, between non-COPD smokers and GOLD stage 1 COPD patients (16).

310

311 Despite normal spirometry, lung diffusion capacity was lower in the smoking group. This has  
312 been previously shown to be associated with higher dyspnea scores and worse exercise  
313 tolerance in smokers with and without airflow limitation (25) and may represent pulmonary

314 microvascular loss. Impaired gas exchange in smokers might have impacted exercise capacity  
315 (21). Although end-exercise arterial blood gas values did not differ between groups, continuous  
316 measurements were not performed to investigate the possibility of gas exchange abnormalities  
317 at submaximal exercise as demonstrated in mild COPD (14). During incremental exercise,  
318 smokers had higher ventilation and breathing rates at iso-work rates. This contrasts with  
319 previous findings in elderly smokers without COPD which showed similar ventilatory  
320 requirements at submaximal work-rates compared to healthy controls (15). There was no  
321 significant divergence in operating lung volumes nor was the tidal volume ventilatory inflection  
322 point different between groups suggesting that mechanical ventilatory limitation was not a  
323 determining factor in exercise impairment. This has also been the case in older smokers (15)  
324 but contrasts to findings in early COPD (14). The increased ventilatory response we found was  
325 also accompanied by a higher nadir  $\dot{V}_E/\dot{V}CO_2$ . Although this could suggest an increased  
326 physiological dead space, we found no difference between groups in the  $V_D/V_T$  ratio. The  
327 increase in  $\dot{V}_E/\dot{V}CO_2$  found in smokers could be due to a number of non-exclusive possible  
328 mechanisms; a) the pulmonary endothelial dysfunction caused by smoke induced down-  
329 regulation of endothelial nitric oxide synthase and early onset emphysema especially given the  
330 lower observed diffusion capacity (4, 18), b) a heightened ventilatory drive secondary to  
331 nicotine-induced sympathetic stimulation, c) earlier lactic acidosis (as shown by a lower AT) and  
332 increased ergo-receptor activity from glycolytic lower endurance muscles fibers (39); this latter  
333 possibility would of course also be consistent with the demonstrated reduction in muscle  
334 endurance. In our cohort, there was a correlation between ventilatory inefficiency (high  
335  $\dot{V}_E/\dot{V}CO_2$ ) and low  $DL_{CO}\%$  predicted ( $r=-0.379$ ,  $p=0.03$ ). Different groups have related lower  
336 diffusion capacity in smokers to peripheral endothelial dysfunction and decreased flow-



337 mediated dilatation secondary to the oxidative and pro-inflammatory environment created by  
338 smoke exposure (17, 53). Similar multisystemic findings have been described in mild COPD  
339 which suggests changes in muscle function and pulmonary dynamics during exercise could start  
340 early on, with or without symptoms, before evident airflow limitation (10, 14, 15).

341

#### 342 *Critique of the Methods*

343 We focused on quadriceps function as this is an important muscle used for locomotion (36, 56)  
344 and studied relatively young participants to ascertain the impact smoking has prior to a  
345 significant impact of ageing on muscle or respiratory function. Importantly, physical activity,  
346 which is an important mediator of exercise capacity, was quantified objectively using an activity  
347 monitor. It is notable that despite lack of significant respiratory symptoms and a relatively low  
348 smoking history, with a mean of 14 pack-years, we could still show significant differences in  
349 muscle fatigability and exercise performance. Importantly, previously validated non-volitional  
350 magnetic stimulation techniques were used to test muscle strength and endurance (42, 51)  
351 which are not dependent on participant motivation or coordination.

352

353 Previous studies have shown an association between smoking and muscle strength, however  
354 physical activity was either not measured or not accounted for. In addition, current versus past  
355 smoking history was often not differentiated; an important factor to be considered given the  
356 unclear temporal effects of smoking on muscle function and structure (5, 38). In the present  
357 study we overcame this by measuring physical activity and enrolling only never-smokers as  
358 controls.

359

360 Our results contrast those of Boyer et al (8) who found no difference in muscle function  
361 between smokers and non-smokers. However, there are several important differences between  
362 our study design and theirs. First, they measured hand-grip strength rather than quadriceps  
363 strength and it is known that upper limb strength may remain normal even in smokers and  
364 people with COPD despite the presence of quadriceps weakness (30). In addition, they used a  
365 test of maximal voluntary contraction rather than a non-volitional test so motivation or  
366 coordination may have played a role. In our group, different measures of quadriceps muscle  
367 power failed to unveil a difference, in agreement with previous findings by Morse et al. who  
368 showed similar muscle strength and voluntary activation levels in young male smokers (36). We  
369 opted to analyze quadriceps strength using absolute and bodyweight-corrected values  
370 (QMVC/Body weight). In addition, adjustment for muscle cross-section in the contracted state  
371 (QMVC/RF-CSA-c) was tested.

372 As they arise from an observational study, the data cannot confirm a causative relation between  
373 smoking and the aforementioned variables. Demonstration of an improvement in parameters of  
374 muscle function and ventilatory efficiency during exercise after successful smoking cessation  
375 would help to support a causal association. Despite not performing a more thorough evaluation  
376 of small airways function using impulse oscillometry or nitrogen washout; normal residual  
377 volume & residual volume/total lung capacity ratios together with absence of evidence of  
378 dynamic hyperinflation argue against this being an important factor affecting exercise  
379 performance. Assessment of muscle mass would have been optimal with the use of dual energy  
380 X-ray absorptiometry or magnetic resonance imaging; the latter might also have confirmed  
381 anaerobic metabolism during contraction, or the presence of increased muscle adiposity as has  
382 been noted in COPD (29, 48). No muscle biopsies were taken in this study, so we can only

383 speculate as to the underlying pathophysiological mechanisms in smokers' muscles, although  
384 we previously showed that reduced endurance was associated with reduced type I myosin  
385 heavy chain percentage in patients with COPD (51). Lastly, although the use of maximal  
386 cardiopulmonary exercise testing allowed accurate measurement of ventilatory and metabolic  
387 variables along with assessment of changes in lung volumes and pulmonary mechanics in  
388 smokers and control subjects, an additional endurance constant workload exercise test would  
389 have allowed an additional perspective on exercise performance and association between cycle  
390 endurance time and quadriceps muscle endurance.

391

### 392 *Conclusion*

393 These data provide clear evidence of exercise limitation and skeletal muscle impairment in  
394 smokers without airflow obstruction. These changes may be precursors to the subsequent  
395 development of the multi-systemic effects documented in people with COPD. Knowledge of this  
396 should stimulate further research into strategies to prevent or reverse muscle impairment in  
397 individuals who are or have been smokers. In addition, knowledge that smoking impairs physical  
398 performance may provide a further argument or incentive for smokers to try to quit, particularly  
399 within younger peer groups (27) where physical performance may be more immediately salient  
400 than the risk of future ill health.

401

402 **Abbreviations**

COPD	Chronic obstructive pulmonary disease
$\dot{V}O_2$	Oxygen uptake
AT	Anaerobic threshold
$DL_{CO}$	Lung diffusing capacity for carbon monoxide
$\dot{V}_E/\dot{V}CO_2$	Ventilatory equivalent for carbon dioxide
PFT	Pulmonary function test
FEV1	Forced expiratory volume in 1 second
FVC	Forced vital capacity
SpO <sub>2</sub>	Oxygen saturation by pulse oximetry
IC	Inspiratory capacity
QRF-CSA	Quadriceps rectus femoris cross-sectional surface area
QMVC	Quadriceps maximum voluntary contraction
Q <sub>tw</sub>	Quadriceps twitch force
rMS	Repetitive muscle stimulation
T <sub>70</sub>	Time to 70% of the initial force-time integral
$\Delta$ -FTI	Percentage decline in the force-time integral

403

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410 contributed to the interpretation of the results and critically revising the manuscript draft. NHS  
411 and MIP contributed to the conception of the study, data interpretation, and writing the  
412 manuscript.

413 All authors approved the final version of the manuscript to be submitted for publication and  
414 agree to be accountable for all aspects of the research work presented.

415

416

417 **Table Footnotes**

418 Table 1: Subject characteristics

419  
420 Definition of abbreviations: BMI= body mass index; PAL= physical activity level; MRC= medical  
421 research council; FEV<sub>1</sub>= forced expiratory volume in the first second; FVC= forced vital capacity;  
422 TLC= total lung capacity; RV= residual volume; FRC= forced residual capacity, DL<sub>CO</sub>= lung  
423 diffusing capacity for carbon monoxide; K<sub>CO</sub>= carbon monoxide diffusion coefficient; P<sub>a</sub>O<sub>2</sub>=  
424 arterial oxygen partial pressure; P<sub>a</sub>CO<sub>2</sub>= arterial carbon dioxide partial pressure.  
425 All values are expressed as mean±SD unless otherwise stated.

426  
427 Table 2: Peak cardiopulmonary exercise parameters

428  
429 Definition of abbreviations:  $\dot{V}O_2$ = oxygen consumption;  $\dot{V}_E$ = minute ventilation; MVV=  
430 maximum voluntary ventilation;  $\dot{V}_E/\dot{V}CO_2$ = ventilatory equivalent for carbon dioxide; S<sub>p</sub>O<sub>2</sub>=  
431 oxygen saturation measured by pulse oximetry; RR= respiratory rate; V<sub>T</sub>= tidal volume; IC=  
432 inspiratory capacity; IRV=inspiratory reserve volume; RER= respiratory exchange ratio; P<sub>a</sub>O<sub>2</sub>=  
433 arterial oxygen tension; P<sub>a</sub>CO<sub>2</sub>= arterial carbon dioxide tension; V<sub>D</sub>/V<sub>T</sub>= dead-space ratio; A-  
434 aDO<sub>2</sub>= alveolar-arterial oxygen gradient;  $\Delta$  A-aDO<sub>2</sub>= change in alveolar-arterial oxygen gradient  
435 from rest to peak exercise.  
436 All values are expressed as mean±SD unless otherwise stated.

437  
438 Table 3: Multivariable linear regression model for  $\dot{V}O_2$  (ml/min/kg) prediction

439  
440 Definition of abbreviations:  $\dot{V}O_2$ = oxygen consumption; DL<sub>CO</sub>% pred.= lung diffusing capacity for  
441 carbon monoxide as a percentage of predicted; T<sub>70</sub> = Time to 70% of the initial force-time  
442 integral.  
443 Adjusted R<sup>2</sup> = 0.602, p<0.0005

444  
445

446 **Figure Legends**

447

448 Figure 1: Box and whiskers plot showing quadriceps force in smokers without COPD and healthy  
449 controls in terms of a) quadriceps maximum voluntary contraction and b) non-volitional  
450 quadriceps twitch force elicited by magnetic stimulation of the femoral nerve. No significant  
451 difference in force was found between both groups.

452

453 Figure 2: Quadriceps endurance curves showing the rate of decline in quadriceps force-time  
454 integral with repetitive magnetic muscle stimulation in smokers without COPD and healthy  
455 controls. Smokers show more rapid decline in force generation compared to healthy controls  
456 with significant differences in  $T_{70}$  and after 20, 30, 40 and 50 trains of stimulation indicative of  
457 lower endurance in smokers. Values are presented as mean $\pm$ SEM. \* $p < 0.05$

458  $T_{70}$ = time to reach 70% of initial force-time integral

459

460 Figure 3: Ventilatory responses to incremental cardiopulmonary exercise test in smokers  
461 without chronic obstructive pulmonary disease and healthy controls showing a) increased  
462 minute ventilation at iso-work rates and lower peak work rate in smokers with normal  
463 ventilatory reserve at peak exercise in both groups; b) decreased ventilatory efficiency in  
464 smokers (higher nadir  $\dot{V}_E/\dot{V}CO_2$ ); c) lower end-tidal carbon dioxide values and d) lower oxygen  
465 saturation among smokers. Values are presented as mean $\pm$ SEM. Triangles represent tidal  
466 volume ventilatory inflection point. Top horizontal dashed and solid lines in (a) indicate  
467 maximum voluntary ventilation levels for smokers and healthy controls respectively. Zero  
468 workload represents the unloaded warmup. \* $p < 0.05$ .

469  $\dot{V}_E/\dot{V}CO_2$ = ventilatory equivalent for carbon dioxide;  $P_{et}CO_2$ = partial pressure of end-tidal carbon  
470 dioxide;  $S_pO_2$ = oxygen saturation measured by pulse oximetry.

471

472 Figure 4: Breathing pattern (a,b) and respiratory mechanical (c,d) responses to incremental  
473 cardiopulmonary exercise test in smokers without chronic obstructive pulmonary disease and  
474 healthy controls. Values are presented as mean $\pm$ SEM. Triangles represent tidal volume  
475 ventilatory inflection point. The shaded area in (d) represents the minimal IRV reached by both  
476 groups at the exercise peak. Zero workload represents the unloaded warmup. \* $p < 0.05$ .

477

478 Figure 5: Perception of breathlessness and leg fatigue in smokers without chronic obstructive  
479 pulmonary disease and healthy controls versus workloads (a, b) and ventilation (c,d) during  
480 incremental cardiopulmonary exercise tests. Values are presented as mean $\pm$ SEM. Triangles  
481 represent tidal volume ventilatory inflection point. Zero workload represents the unloaded  
482 warmup. \* $p < 0.05$

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484

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670  
671

Table 1: Participant characteristics

	<b>Smokers (n=20)</b>	<b>Never-Smoker Controls (n=16)</b>	<b>P Value</b>
Age, years	40.1 ± 6.3	38.7 ± 7.2	0.454
Male: Female (%female)	14:6 (30)	11:5 (31)	0.677
Height, m	1.71 ± 0.07	1.77 ± 0.11	0.081
Weight, kg	74.5 ± 12.4	78.8 ± 16.3	0.370
BMI, kg/m <sup>2</sup>	25.4 ± 4.3	24.9 ± 3.1	0.713
Current smokers, %	100	0	
Step count/day, Steps	10254 ± 3838	8854 ± 3025	0.289
PAL index	1.48 ± 0.27	1.53 ± 0.23	0.584
MRC dyspnea score	1.1 ± 0.3	1.0 ± 0.0	0.163
<i>Resting Lung Functions</i>			
FEV <sub>1</sub> , L	3.56 ± 0.72	3.92 ± 0.70	0.137
FEV <sub>1</sub> % pred.	99.8 ± 26.5	104.0 ± 13.2	0.915
FVC, L	4.50 ± 0.84	5.09 ± 1.03	0.068
FVC% pred.	111.0 ± 14.2	110.0 ± 13.8	0.831
FEV <sub>1</sub> /FVC, %	78.6 ± 5.7	76.8 ± 4.8	0.307
TLC, L	6.34 ± 1.09	7.05 ± 1.38	0.90
TLC% pred.	105.1 ± 12.1	105.8 ± 11.3	0.863
RV, L	1.88 ± 0.49	1.99 ± 0.48	0.526
RV%	106.8 ± 2.1	106.0 ± 19.2	0.907
RV/TLC, %	29.6 ± 5.2	28.1 ± 3.8	0.351
FRC, L	3.09 ± 0.82	3.53 ± 0.82	0.114
FRC% pred.	101.7 ± 21.6	108.8 ± 22.3	0.345
DL <sub>CO</sub> , mL/min/mmHg	8.22 ± 1.7	9.92 ± 2.1	0.011
DL <sub>CO</sub> % pred.	80.8 ± 12.0	91.5 ± 10.9	0.01
K <sub>CO</sub> , mL/min/mmHg/L	1.46 ± 0.22	1.59 ± 0.21	0.104
K <sub>CO</sub> % pred.	81.8 ± 14.2	91.2 ± 12.1	0.044
P <sub>a</sub> O <sub>2</sub> , kPa	12.0 ± 1.1	12.7 ± 1.3	0.142
P <sub>a</sub> CO <sub>2</sub> , kPa	5.01 ± 0.49	4.84 ± 0.55	0.324

Definition of abbreviations: BMI= body mass index; PAL= physical activity level; MRC= medical research council; FEV<sub>1</sub>= forced expiratory volume in the first second; FVC= forced vital capacity; TLC= total lung capacity; RV= residual volume; FRC= forced residual capacity, DL<sub>CO</sub>= lung diffusing capacity for carbon monoxide; K<sub>CO</sub>= carbon monoxide diffusion coefficient; P<sub>a</sub>O<sub>2</sub>= arterial oxygen partial pressure; P<sub>a</sub>CO<sub>2</sub>= arterial carbon dioxide partial pressure.

All values are expressed as mean±SD unless otherwise stated.



Table 2: Peak cardiopulmonary exercise parameters

	<b>Smokers (n=20)</b>	<b>Never-Smoker Controls (n=16)</b>	<b>P Value</b>
Work rate, watts	169.25 ±46.4	256.25 ±56.7	<0.0005
$\dot{V}O_2$ , L/min	2.20 ±0.56	3.18 ±0.72	<0.0005
$\dot{V}O_2$ , ml/min/kg	29.7±6.3	40.5±6.2	<0.0005
$\dot{V}_E$ , L/min	78.4 ±16.9	123.4 ±41.7	<0.0005
$\dot{V}_E$ /MVV, %	57.0 ±15.2	78.1 ±18.0	0.001
$\dot{V}_E$ / $\dot{V}CO_2$	29.7 ±4.7	31.0 ±5.1	0.433
$S_pO_2$ , %	96.5 ±2.9	97.5 ±2.0	0.258
HR, beat/min	160 ±12	174 ±12	0.001
$O_2$ /HR, ml/beat	13.5 ±3.4	18.3 ±4.6	0.001
RR, breath/min	35 ±8	42 ±9	0.021
$V_T$ , ml	2.31 ±0.62	2.98 ±0.78	0.007
IC, L	3.39 ±0.54	3.88 ±0.84	0.041
$V_T$ /IC %	67.7 ±0.1%	76.7 ±0.1	0.023
$\Delta$ IC, L	0.17 ±0.42	0.28 ±0.74	0.549
IRV, L	1.32 ±0.67	1.61 ±1.04	0.320
RER	1.25 ±0.1	1.24 ±0.09	0.623
Dyspnea, Borg units	5.6 ±2.4	6.2 ±2.5	0.457
Leg fatigue, Borg units	6.3 ±2.3	6.3 ±1.8	0.909
$P_aO_2$ , kPa	13.3 ±0.846	13 ±1.04	0.340
$P_aCO_2$ , kPa	5.06 ±0.686	4.54 ±0.888	0.069
$V_D$ / $V_t$ , %	13.1 ±4.2	15.6 ±4.2	0.092
A-a $DO_2$ , kPa	2.75 ±0.62	3.30 ±0.92	0.046
$\Delta$ A-a $DO_2$ , kPa	0.95 ±0.44	1.61 ±0.92	0.009

Definition of abbreviations:  $\dot{V}O_2$ = oxygen consumption;  $\dot{V}_E$ = minute ventilation; MVV= maximum voluntary ventilation;  $\dot{V}_E$ / $\dot{V}CO_2$ = ventilatory equivalent for carbon dioxide;  $S_pO_2$ = oxygen saturation measured by pulse oximetry; RR= respiratory rate;  $V_T$ = tidal volume; IC= inspiratory capacity; IRV=inspiratory reserve volume; RER= respiratory exchange ratio;  $P_aO_2$ = arterial oxygen tension;  $P_aCO_2$ = arterial carbon dioxide tension;  $V_D$ / $V_T$ = dead-space ratio; A-a $DO_2$ = alveolar-arterial oxygen gradient;  $\Delta$  A-a $DO_2$ = change in alveolar-arterial oxygen gradient from rest to peak exercise.

All values are expressed as mean $\pm$ SD unless otherwise stated.

Table 3: Multivariable linear regression model for  $\dot{V}O_2$  (ml/min/kg) prediction

	<b>B- coefficient</b>	<b>95% CI</b>	<b>P value</b>
Age	-0.483	-0.749 – -0.217	0.001
Gender (male)	1.146	-2.478 – 4.770	0.523
DL <sub>CO</sub> % pred.	0.284	0.143 – 0.426	<0.0005
T <sub>70</sub>	0.059	0.027 – 0.091	0.001
Constant	21.012	5.141 – 36.883	0.011

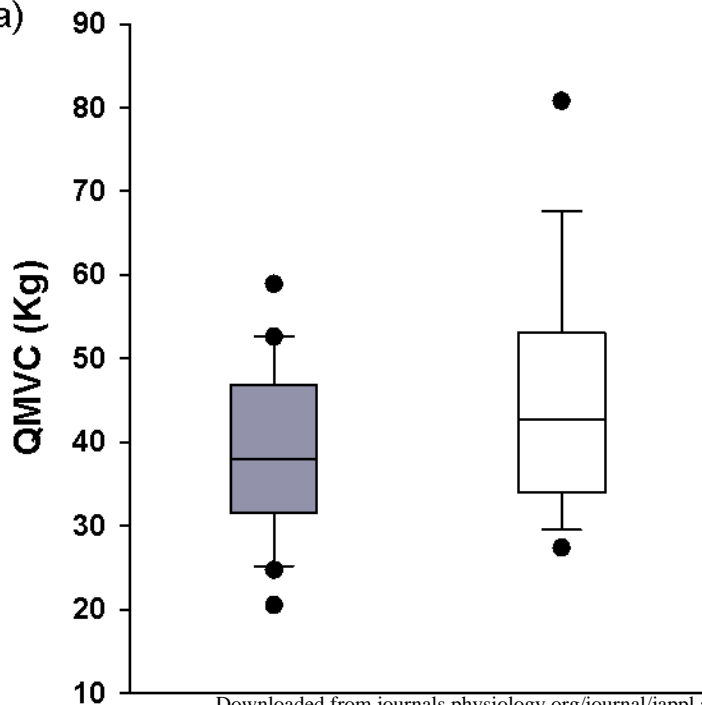
Definition of abbreviations:  $\dot{V}O_2$ = oxygen consumption; DL<sub>CO</sub>% pred.= lung diffusing capacity for carbon monoxide as a percentage of predicted; T<sub>70</sub>= Time to 70% of the initial force-time integral.

Adjusted R<sup>2</sup> = 0.602, p<0.0005

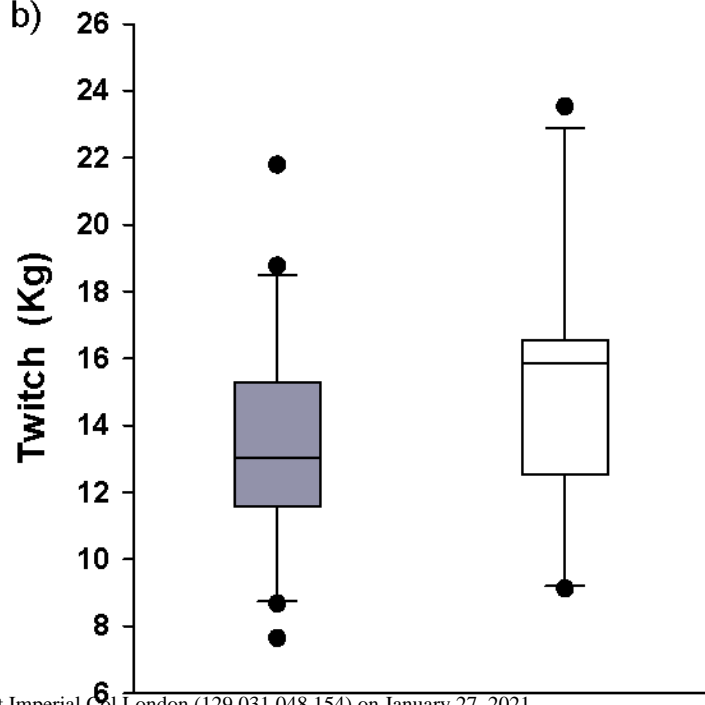
Smokers without COPD

Never Smoker Controls

a)



b)



● Smokers without COPD    ○ Never Smoker Controls

