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# A VOS based Immersed Boundary-Lattice Boltzmann method for incompressible fluid flows with complex and moving boundaries

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## Abstract

A Volume of Solid (VOS) based Immersed Boundary-Lattice Boltzmann Method (IB-LBM) in the framework of the direct forcing based IB-LBM model has been developed in this work to simulate the fluid flow with complex and moving boundaries efficiently. In the present model, the concept of VOS is introduced to achieve the field extension to the solid phase, and a unified Lattice Boltzmann Equation (LBE) has been obtained to describe the fluid flow and the solid body motion consistently. To solve the resulting unified LBE, an efficient direct forcing model has been developed. Compared with the traditional surface based IB model with the direct forcing strategy, in the present work, the dependency of the Lagrangian grid to describe the body profile on the background Cartesian grid is removed by modelling the solid body with a Level-Set function. With such Level-Set description about the body surface, the VOS function can be obtained for the further field extension. With the present IB-LBM algorithm, the motion of the solid body can be enforced effectively without iterations about the forcing term compared with the implicit velocity correction or multiple velocity correction based IB algorithm, and flow penetration, which has been observed in the explicit velocity correction based IB model, can be reduced considerably. To achieve the velocity adjustment in the solid phase, an optimal forcing factor is recommended. With such optimal factor, the unphysical oscillation during force prediction can be well controlled. To verify the performance of the present model, a series of typical benchmarks, including the fluid flow caused by general shaped fixed or moving structures, hydrodynamic characteristics of thin-wall bodies undergoing specified motions and even more complex vortex induced vibrations, are conducted and the good agreements between the present results and the well-validated previous ones confirm the reliability and robustness of the present model.

Keywords: Immersed Boundary method; Lattice Boltzmann method; Direct forcing method; Level-Set function; Volume of Solid; Field extension

## 1 Introduction

As one of its main goals, the development of Computational Fluid Dynamics (CFD) aims to predict effectively the behaviors of fluid flows with complex boundaries. In addition to the complexity of the static boundary geometry, the term “complex” is also associated with the deformation or the rigid motion of boundary surfaces.

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5 Compared with the well-developed Arbitrary Lagrange-Euler (ALE) algorithm, the Immersed Boundary (IB)  
6 method, or Embedded Boundary (EB) method, has attracted plenty of attention due to its advantages in the  
7 flexibility and relative ease to treat moving boundaries, especially with large deformations. Because of the local  
8 velocity correction process around the solid body embedded in the background Cartesian grid to account for  
9 its effect on the fluid flow, the IB model simplifies the flow prediction considerably, while avoids the possible  
10 breakdown of the simulation caused by the grid distortion.

11 As an extension of the original IB model (Peskin, 1972), the penalty IB (pIB) model (Goldstein et al., 1993;  
12 Huang et al., 2011; Tian et al., 2011) has been well developed to simulate the fluid flow around rigid or deformable  
13 bodies. With the introduction of user-defined penalty parameters to couple the feedback force, the body motion  
14 and the fluid flow, the resulting stiff problem limits the allowable time increment for a stable simulation. For  
15 the consideration about numerical stability and to remove the empirical parameters in the pIB model, Fadlun  
16 et al. (2000), Tseng and Ferziger (2003), Yang and Balaras (2006) and Mittal et al. (2008) developed the  
17 sharp interface direct forcing model, where the fluid velocity around the solid boundary is reconstructed by  
18 the bounded interpolation with the surrounding fluid and boundary nodes as the stencil to implicitly enforce  
19 the desirable no-slip boundary condition on the boundary surface. To remedy the numerical issues about the  
20 non-physical mass flux of fluid flow caused by the local velocity correction (Fadlun et al., 2000), Kim et al.  
21 (2001) and Huang and Sung (2007) introduced the mass source or mass sink inside the solid domain to balance  
22 the non-physical velocity divergence of fluid flow for flow prediction with improved accuracy around the solid  
23 boundary. Furthermore, various numerical technics have been developed to achieve such conservation correction  
24 (Kang et al., 2009; Seo and Mittal, 2011). Generally speaking, although the sharp interface IB method maintains  
25 the sharp interface between the solid and fluid phases without interface diffusion, the complicated geometrical  
26 calculation and the stencil-fitting for local velocity reconstruction make the overall algorithm complex, which  
27 limits the robustness of the model considerably.

28 Considering the attractive features of pIB model (flexibility in stencil-fitting for interpolation) and direct  
29 forcing model (excellent numerical stability), Uhlmann (2005) developed a diffused interface direct forcing based  
30 IB model. Within such IB framework, the forcing term for velocity correction is evaluated by the direct forcing  
31 model at the Lagrangian boundary points, rather than the Euler boundary points. With such strategy, numerical  
32 stability has been preserved compared with pIB model. Different from the sharp interface direct forcing model,  
33 the geometric-free kernel function based interpolation scheme further improves the robustness of the model.

34 In the traditional surface based diffused interface IB method (Uhlmann, 2005), the boundary surface of  
35 the solid body is presented by the discretized elements, and the forcing term is evaluated at the boundary  
36 nodes firstly and then spread to the surrounding Euler nodes for velocity correction. Without distribution  
37 matching between boundary nodes and background Euler nodes, flow leakage may occur (Zhao et al., 2021). In  
38 the explicit direct forcing model (Uhlmann, 2005), the spacing of the IB nodes can be set as small as possible  
39 to avoid flow leakage at the expense of computational cost. Meanwhile, within the implicit direct forcing  
40 framework, the dense distribution of IB nodes leads to numerical issues about singularity and instability (the  
41 matrix for velocity correction or forcing term can be ill-conditioned as shown in Pinelli et al. (2010)). Therefore,  
42 there is still no universal rule for the density of IB nodes in the diffused interface direct forcing model, and the  
43 grid setting is empirical.

44 As an alternative strategy, Pan (2006) and Arnab (2018) developed a volume based IB method to achieve  
45 the coupling between rigid motion and real fluid flow with a combination of these two motions with the Volume

46 of Solid (VOS) function as the weighting factor. It has been proven that such treatment is the first-order  
47 approximation of the Boundary Data Immersion method (BDIM) (Weymouth and Yue, 2011; Maertens and  
48 Weymouth, 2015). Within such diffused interface IB framework, the real fluid flow transits smoothly to the  
49 target solid state and the desirable boundary condition can be enforced implicitly (Nakayama and Yamamoto,  
50 2005; Jafari et al., 2011).

51 In the numerical simulation of incompressible, or weak-compressible flows, the no-slip condition on the  
52 boundary surface and the divergence-free condition for the fluid flow are two separated constraints to the  
53 velocity field (Taira and Colonius, 2007; Li et al., 2016). To achieve their correct coupling, Taira and Colonius  
54 (2007) proposed the IB-projection model, where the pressure of the fluid flow, together with the Lagrangian  
55 forcing term on the IB boundary, are treated consistently as the Lagrangian multipliers to enforce the divergence-  
56 free and no-slip boundary conditions for the fluid flow. Other than the decoupling error (divergence-free and  
57 no-slip conditions for fluid flow) caused by the operator splitting to simplify the overall algorithm, the diffused  
58 interface direct forcing model also introduces an extra error during the kernel function based interpolation-  
59 spreading process (Gsell and Favier, 2021). As an extension of the work by Uhlmann (2005), Wang et al.  
60 (2008), Wu and Shu (2009) and Pinelli et al. (2010) conducted the multi-direct forcing and implicit direct  
61 forcing models respectively to remedy such interpolation-spreading related slip error.

62 Other than traditional incompressible Navier-Stokes solvers based on the finite volume method, finite  
63 difference method and finite element method, the Lattice Boltzmann Method (LBM), a weak-compressible  
64 Navier-Stokes solver, has become popular in the field of flow simulations and fluid-structure interactions, due  
65 to its second-order numerical accuracy, simple numerical scheme and resulting computational efficiency (Li and  
66 Lu, 2012; Hua et al., 2014; Peng et al., 2018a; Peng et al., 2018b; Cong et al., 2020). In the framework of  
67 LBM, the distribution function has been adopted to describe the fluid flow by statistic mechanics (Qian et al.,  
68 1992). Keeping the basic algorithm of LBM, in order to improve local accuracy about flow prediction, multi-  
69 grid models have been developed to achieve the space-time adaptive simulation of fluid flows (Chen et al., 2006;  
70 Rohde et al., 2006; Xu et al., 2018). As a natural extension, the diffused interface IB method has also been  
71 coupled with LBM to achieve an efficient flow simulation with solid body (Feng and Michaelides, 2005; Kang  
72 and Hassan, 2011; Favier et al., 2014; Wang et al., 2015; Wang et al., 2016).

73 To improve the efficiency of surface based direct forcing model, in the present work, a VOS based diffused  
74 interface direct forcing immersed boundary model is developed for numerical simulation about fluid flow with  
75 complex and moving boundaries. Within the present IB framework, the effects of solid phase on the fluid flow is  
76 modelled by an explicitly defined forcing term distributed inside the solid domain, rather than around the solid  
77 boundary only. Although forcing term iteration, which has been adopted in implicit direct forcing and multi-  
78 direct forcing model for accuracy improvement, has been avoided in the present model, the no-slip condition  
79 can be well enforced on the boundary of the solid domain. Compared with the surface based IB model, in the  
80 present model, the surface discretization process is removed for the solid body with simple geometries. While  
81 for the solid body with complex boundaries, the surface mesh is only needed to construct the Level-Set function  
82 and doesn't need to match the background Euler grid. As one of its attractive features, the present model  
83 eliminates the dependency of the Lagrangian grid distribution on the resolution of the background Euler grid,  
84 thus preventing the flow leakage and diminishing the slip error. In addition, as the velocity is evaluated at the  
85 Euler points, the interpolation process has been omitted, avoiding the relating interpolation error. With the  
86 IB force distributed in the whole solid domain and an optimal forcing factor for the evaluation of forcing term,

87 a physical pressure extension to the solid phase has been obtained, which is helpful to control the non-physical  
88 force oscillation in Fluid-Structure Interactions (FSI). Furthermore, to evaluate the hydrodynamic loading on  
89 the structure, an indirect formulation without surface integral is developed and the rigid body assumption for  
90 the force correction is proved for all the rigid motion modes. By the introduction of the concept of multi-grid to  
91 achieve the adaptive flow simulation, the local accuracy in the near field of the structure has been maintained  
92 efficiently. Several numerical tests are conducted and extensive comparisons are carried out to validate the  
93 accuracy and robustness of the present IB strategy and its coupling with LBM.

## 94 2 Numerical methods for fluid flow and rigid body motion

### 95 2.1 Governing equations

96 In the present work, a global coordinate system  $o - xy$  is adopted and the flow variations are defined with  
97 respect to this Cartesian coordinate system. The viscous fluid flow can be described by the Navier-Stokes (NS)  
98 equations, which include both the momentum balancing and the mass conservation equations:

$$\begin{aligned} U_t + (U \cdot \nabla)U &= -\frac{1}{\rho_f} \nabla P + \nu \nabla^2 U, \\ \nabla \cdot U &= 0, \end{aligned} \tag{1}$$

99 where  $U$  denotes the velocity vector,  $P$  the pressure,  $t$  the time,  $\rho_f$  the fluid density and  $\nu$  the kinematic viscous  
100 coefficient. In addition, only the solid body with rigid motions is considered in the present work, and the motion  
101 of the solid body defined on its mass centre can be described with the Newton's second law,

$$m\ddot{X}_c = F_x, \quad m\ddot{Y}_c = F_y, \quad I\ddot{\theta}_c = M, \tag{2}$$

102 where  $m$  and  $I$  are the mass of the body and its inertial moment about mass centre respectively.  $X_c$ ,  $Y_c$  and  $\theta_c$   
103 denote the translational displacements in the  $x$  and  $y$  directions and the rotational displacement of the body  
104 about its mass centre, and  $F_x$ ,  $F_y$  and  $M$  are the corresponding forces and moment.

### 105 2.2 Lattice Boltzmann method for fluid flow

106 In traditional macro-scale NS solvers, the fractional-step method is always adopted to decouple the pressure  
107 and velocity of fluid flow to enforce the mass conservation and momentum balancing, which imposes the challenge  
108 in solving the resultant Poisson-type equation. To resolve the fluid flow efficiently, the Lattice Boltzmann Method  
109 (LBM) is adopted in the present work, in which the incompressible fluid is treated as a weak-compressible one.  
110 In the framework of LBM, the flow field is described by the distribution function, which relates to the amount  
111 of particles with a particular velocity in a unit volume (e.g.,  $q_\alpha(x, t^n) = \hat{q}(x, t^n; v = e_\alpha)$  denotes the amount of  
112 particles in the unit volume around  $X = x$  at  $t = t^n$  with the velocity  $v = e_\alpha$  in the meso-scopic scale) and  
113 obeys the Lattice Boltzmann Equation (LBE). In the macro-scale, the density and momentum of the fluid flow  
114 can be obtained by the statistics of such distribution function directly (Qian et al., 1992).

115 In the framework of LBM, the evolution of the distribution function follows two separated steps: (a) at  
116 certain time  $t = t^n$ , the distribution function firstly experiences its collision stage  $q_\alpha^*(x, t^n) = q_\alpha(x, t^n) + \Omega_\alpha$ ,

117 where  $\Omega_\alpha$  denotes the collision operator; (b) after the collision operation, the particle at  $t = t^n$  and  $X = x$   
118 moves to its neighbouring grid node, i.e.,  $q_\alpha(x + e_\alpha \delta t, t^{n+1}) = q_\alpha^*(x, t^n)$ , and such stage is named as streaming.  
119 To simplify the complexity and nonlinearity of the collision operator, based on the assumption that  $q_\alpha$  is a  
120 perturbation about its equilibrium state  $q_\alpha^{eq}$  and the observation that the effect of  $\Omega_\alpha$  is to drive  $q_\alpha$  to its  
121 equilibrium state, the collision operator can be linearised with Single Relaxation Time (SRT) model:  $\Omega_\alpha =$   
122  $(q_\alpha^{eq} - q_\alpha) / \tau$ , where  $\tau$  denotes the nondimensional relaxation rate (Chen et al., 1991; Qian et al., 1992). To  
123 improve the performance of LBM, especially for cases with moderate to high Reynolds numbers, the Multiple  
124 Relaxation Time (MRT) model (Lallemand and Luo, 2000) has become popular for its excellent numerical  
125 stability.

126 Considering its improved numerical stability, in the present work, the MRT model is adopted as the collision  
127 operator and the Lattice Boltzmann equations with such collision model can be written as

$$q_\alpha(x + e_\alpha \delta t, t_n + \delta t) - q_\alpha(x, t_n) = -M^{-1} \cdot S \cdot M \cdot (\mathbf{Q} - \mathbf{Q}^{eq}) \Big|_\alpha, \\ \mathbf{Q}^{eq} \Big|_\alpha = \rho_f \omega_\alpha \left[ 1 + \frac{e_\alpha \cdot u}{c_s^2} + \frac{(e_\alpha \cdot u)^2}{2c_s^4} - \frac{u \cdot u}{2c_s^2} \right], \quad (3)$$

128 where  $q_\alpha$  denotes the distribution function with  $v = e_\alpha$ ,  $\mathbf{Q} = (q_1, q_2, \dots)$  is a vector consisting of  $q_\alpha$ s, the  
129 symbol  $(\cdot)^{eq}$  denotes the equilibrium state,  $M$  is the transformation matrix to achieve the mapping from the  
130 meso-scale distribution functions to their macro-scale moments, and  $M^{-1}$  is the matrix for the backward  
131 transformation. In the present 2D case, the D2Q9 model is adopted as the lattice model (Qian et al., 1992).  
132 To execute the collision operator,  $S$  is a diagonal matrix, which contains different relaxation rates to drive the  
133 moments to their equilibrium states, and the diagonal elements of the matrix are set by following the work in  
134 Fakhari and Lee (2013) for an improved numerical stability, especially for cases with larger  $Re$ . In the definition  
135 of the equilibrium distribution functions,  $c_s$  denotes the sound velocity of the fluid and its value relates to the  
136 grid spacing and the time increment by  $c_s = \frac{\delta x}{\sqrt{3}\delta t}$ . Furthermore,  $\rho_f$  and  $u$  are the density and velocity of the  
137 fluid flow respectively and can be evaluated by

$$\rho_f = \sum_\alpha q_\alpha, \quad \rho_f u = \sum_\alpha e_\alpha q_\alpha. \quad (4)$$

138 According to the multi-scale analysis about the LBE, if the pressure of the fluid flow depends on the density  
139 of the fluid explicitly by  $P = (\rho_f - \rho_0)c_s^2$ , where  $\rho_0$  is the reference value of the fluid density, i.e., the density of  
140 the fluid flow without disturbance, the macro-scale behaviour of the fluid flow recovers to the NS equation with  
141 an error  $O(Ma^3)$ , where  $Ma$  is defined by the reference velocity and the local sound speed as  $Ma = |U_{ref}| / c_s$ .

142 In addition, to resolve the fluid flow in detail with local high resolution, a multi-grid technique is adopted in  
143 the present work, where the computational domain is covered by rectangular patches with different grid spacings,  
144 as shown in Fig. 1(a). To keep a consistent  $c_s$  among patches with different grid spacings, the time increment  
145  $\delta t$  matches the grid spacing by  $\delta t_{fine} / \delta t_{coarse} = \delta x_{fine} / \delta x_{coarse}$ . To simplify the data synthetics between  
146 patches with different spacings, the refinement ratio between two levels of patches is set as  $\delta x_{fine} / \delta x_{coarse} = \frac{1}{2}$ .  
147 Therefore, the flow field is firstly resolved on the coarse grid, and then followed by two steps of flow predictions  
148 on the fine grid.

149 Before the fluid flow is predicted on the fine grid, the interpolation for the buffer data with that on the  
150 coarse grid is carried out firstly ((a) and (b) in Fig. 1(b)). Using those values as the boundary condition, the

151 LB algorithm can be conducted on the fine grid in its usual manner ((c) in Fig. 1(b)). Once the solution on  
 152 the fine grid is available, the coarse grid solution is recovered where it is overlapped with the fine grid ((d) in  
 153 Fig. 1(b)). As it can be observed, the aforementioned sequences shown in Fig. 1(b) couple the flow fields on  
 154 the fine grid and the coarse grid strongly. In the present work, the space-time multi-scale LBM (Rohde et al.,  
 155 2006) is used for its better conservation characteristics.

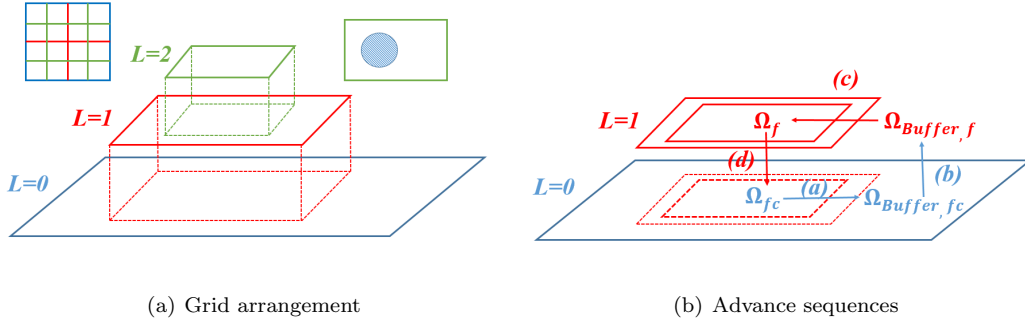


Fig. 1. Sketch of the multi-grid space-time adaptive Lattice Boltzmann model.

### 156 3 Volume of Solid (VOS) based Immersed Boundary method for 157 fluid-structure coupling

158 To account for the effect of the solid body on the fluid flow, the diffused interface IB model is adopted in  
 159 the present work based on the direct forcing strategy. In difference to the surface based direct forcing model,  
 160 where the surface mesh is adopted to describe the profile of the solid body, in the present model, the solid body  
 161 is treated in a similar way as the well-known Volume of Fluid (VOF) model to capture the interface between  
 162 two phases. In this method, for a given grid point, a Volume of Solid (VOS) function  $\alpha$  is defined to represent  
 163 the ratio of the solid which is contained in a certain control volume, that is

$$\begin{cases} \alpha = 1 & \text{for solid cell} \\ \alpha = 0 & \text{for fluid cell} \\ 0 < \alpha < 1 & \text{for interface cell} \end{cases} . \quad (5)$$

164 In its original form, the VOS function changes sharply in the interface region and this characteristic  
 165 challenges the robustness of the numerical solver. To improve the stability of the solver, the sharp interface  
 166 between the fluid and solid phases is smoothed out in a transition region across a few grid layers. The typical  
 167 width of the interface is  $2\Delta/\delta x = 4$ , where  $\Delta$  is the half-width of the diffused interface, and  $\delta x$  denotes the  
 168 typical grid spacing. To achieve such smoothing, a Level-Set (LS) function  $\phi$  is defined on a given grid point,  
 169 which is in the form of the signed distance function, and it is defined as the minimum distance from the grid  
 170 point to the surface of the solid body. If the grid point is inside the solid body, the LS function is defined to be  
 171 negative, while if the grid point is in the fluid region, its value is positive. With the well-defined LS function,  
 172 the VOS function  $\alpha$  can be defined with the smooth version of the Heaviside function,

$$\alpha = \begin{cases} 1, & \phi < -\Delta \\ 1 - \frac{1+\phi/\Delta + \sin(\pi\phi/\Delta)/\pi}{2}, & -\Delta \leq \phi \leq +\Delta \\ 0, & \phi > +\Delta \end{cases} . \quad (6)$$

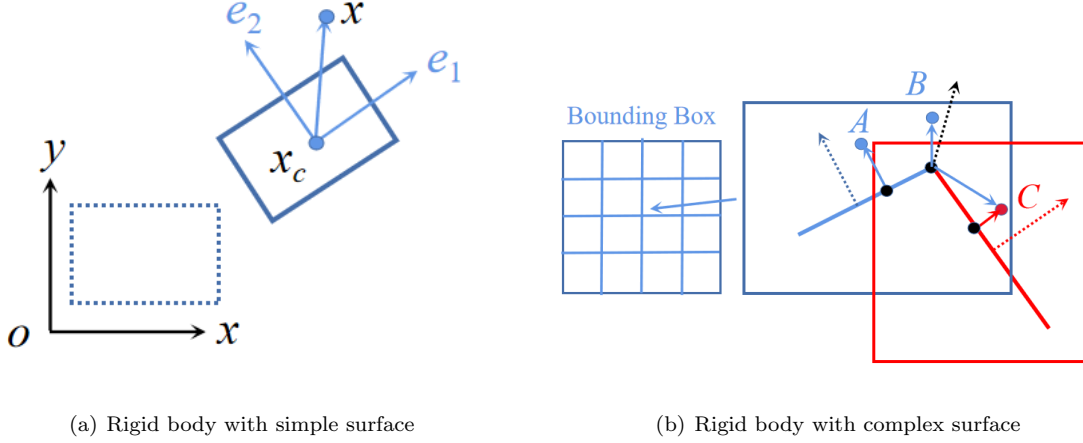
173 For the solid body with simple boundary, the LS function  $\phi$  can be obtained according to its definition  
174 directly with the explicit expression for boundary surface. For example, for the circular cylinder,  $\phi$  can be  
175 defined by  $\phi = D_{x,c} - r_c$ , where  $D_{x,c}$  denotes the distance from a given grid point to the centre of the cylinder  
176 and  $r_c$  denotes the radius of the cylinder. For the cases with rigid structure undergoing both translational and  
177 rotational motions, the local coordinates to the body centre  $x_{i,c} = \mathbf{T} \cdot (x - x_c)$  is used to update  $\phi$ , where  $\mathbf{T}$   
178 is defined as  $\mathbf{T}_{i,j} = \mathbf{e}_{i,j}$  and  $\mathbf{e}_{i,j}$  denotes the components of the base vectors fixed on the rigid body, as shown in  
179 Fig. 2(a).

180 For the solid body with complex boundary, an efficient reconstruction algorithm based on the concept of  
181 bounding box and the pseudonormal has been developed to obtain and to update the LS function  $\phi$ . As shown  
182 in Fig. 2(b), normal vectors are firstly obtained on the line segments and vertices of the boundary surface. The  
183 edge normal is defined in its usual way, while the vertex normal is defined by the average of the corresponding  
184 two edge normals. To update  $\phi$ , traverse about the line segments is carried out. For each boundary edge, a  
185 bounding box consisting of Euler grid nodes is built firstly, as shown in Fig. 2(b) (the width of the bounding box  
186 relates to the scale of the segment and the width of the diffused interface  $\Delta$ ). For each Euler point inside the  
187 bounding box, the nearest point (projection point) on the edge and the absolute distance  $|\phi|$  can be obtained  
188 easily. When such projection point is on the edge, the product between the vector from projection point to  
189 the Euler point and the edge normal is used to determine the sign of  $\phi$ , while the vertex normal is used to  
190 obtain such  $\pm 1$  sign, when the projection point coincides with the vertex, which are the cases for A and B in  
191 Fig. 2(b) respectively. When the Euler point is accessed multiple times by different boundary segments (C  
192 in Fig. 2(b)), its  $\phi$  value is defined with that with smaller  $|\phi|$ . With such algorithm,  $\phi$  can be reconstructed  
193 with complexity of  $O(N_S)$  in a narrow band around the solid boundary, where  $N_S$  denotes the amount of  
194 surface segments to represent the body surface. The remaining  $\phi$  values on the Euler nodes can be defined  
195 with the maximum positive and minimum negative  $\phi$  values during the boundary segment traverse. It should  
196 be mentioned that even line segments are essential to reconstruct  $\phi$ , its density only affects the representation  
197 of the surface boundary, while has no direct effect on the flow prediction. For the solid boundary with sharp  
198 corners, local refinement is essential for its accurate presentation. The further details about the bounding box  
199 based reconstruction algorithm for  $\phi$  can be found in [Baerentzen and Aanaes \(2005\)](#) and [Liu and Hu \(2014\)](#).

### 200 3.1 VOS model for the field extension

201 Strictly speaking, the NS equations and the LBE are defined in the fluid domain only. The key concept of  
202 the VOS based IB model is to extend the equations to the solid phase to form a unified equation that can be  
203 applied in the whole domain. For the rigid body, the velocity is well-defined in the solid phase. Therefore, a  
204 natural strategy for equation reconstruction is the weighted average of the flow equations and the solid motion.  
205 Taking the NS equations as an example, the following equations are defined in the whole domain,





**Fig. 2.** Numerical strategy for the definition of LS function.

$$(1 - \alpha) \left[ U_t + (U \cdot \nabla)U + \frac{1}{\rho_f} \nabla P - \nu \nabla^2 U \right] + \alpha(U - U_s)/\delta t = 0,$$

$$\nabla \cdot U = 0, \quad (7)$$

206 where  $\delta t$  denotes the time increment and is adopted to achieve the dimension balancing, and  $U_s$  is the target  
 207 velocity determined by the body motion. It can be observed that those equations tend to be the NS equations  
 208 in the fluid domain and the solid velocity can be recovered in the solid domain. If the pressure can be defined  
 209 properly, by using the explicit Euler scheme for the flow prediction, the velocity can be obtained as

$$U^{n+1} = \alpha U_s + (1 - \alpha) \tilde{U}, \quad (8)$$

210 where  $\tilde{U} = U^n + N(U^n, P)\delta t$  denotes the velocity without the effect of solid phase and  $N(U^n, P)$  represents  
 211 the contribution from the convection term, diffusion term and pressure gradients to the momentum of the fluid  
 212 flow. As it can be seen, the velocity varies smoothly in the whole domain, therefore, the no-slip condition is  
 213 implicitly enforced without any special treatment.

214 As shown in Eq. (7), the flow equation takes different forms in the fluid and solid phases. By rearranging,  
 215 Eq. (7) can be reformulated as

$$U_t + (U \cdot \nabla)U + \frac{1}{\rho_f} \nabla P - \nu \nabla^2 U = \alpha \left[ (U_s - U)/\delta t + U_t + (U \cdot \nabla)U + \frac{1}{\rho_f} \nabla P - \nu \nabla^2 U \right],$$

$$\nabla \cdot U = 0. \quad (9)$$

216 It is obvious that the LHS of the equation is the same as the normal NS equations for fluid phase, which is  
 217 consistent in the whole domain. On the RHS of the equation, it vanishes in the fluid phase and transits smoothly  
 218 to the solid phase. By denoting such term as a forcing term, the NS equations can be reformulated as

$$U_t + (U \cdot \nabla)U + \frac{1}{\rho_f} \nabla P - \nu \nabla^2 U = \alpha f,$$

$$\nabla \cdot U = 0, \quad (10)$$

219 where  $f$  relates to the solid velocity and is implicitly defined to enforce the motion of rigid body. Eqs. (7) and  
 220 (10) demonstrate that the effect of the solid body on the fluid flow is equivalent to adding a forcing term to the  
 221 solid phase.

222 For the LBM, the field extension is not as obvious as the above formulation because the flow field is  
 223 defined with the distribution function, rather than the macro-scale velocity and pressure. Therefore, a modified  
 224 formulation should be built firstly to achieve the extension of LBE. In the solid phase, the solid velocity can  
 225 be enforced by  $q_\alpha = q_\alpha^s = q_\alpha^{s,eq}(U_s) + q_\alpha^{s,neq}$ , where  $q_\alpha^s$  is the solid distribution function,  $q_\alpha^{s,eq}$  its equilibrium  
 226 state and  $q_\alpha^{s,neq}$  its non-equilibrium part. In the definition of  $q_\alpha^s$ , the macro-scale behaviour is defined by its  
 227 equilibrium part only, while the remaining non-equilibrium part makes no contribution to the velocity field and  
 228 is implicitly defined. With the solid distribution function, the distribution function inside the solid domain  
 229 follows

$$q_\alpha - q_\alpha^s = 0. \quad (11)$$

230 In the fluid domain, the evolution of the distribution function follows the LBE defined in Eq. (3), while  
 231 the behaviour of the distribution functions should follow Eq. (11) to reproduce the rigid motion inside the solid  
 232 domain. As it has been investigated in Weymouth and Yue (2011), the convolution of Eqs. (3) and (11) with  
 233 Heaviside function is an efficient way to couple the flow behaviour and rigid motion of solid body. Similar to  
 234 Eq. (7), adopting the first-order approximation of their convolution term (Weymouth and Yue, 2011), a unified  
 235 equation for the evolution of the distribution function can be obtained as

$$(1 - \alpha) [q_\alpha(x + e_\alpha \delta t, t + \delta t) - q_\alpha(x, t) - \Omega_\alpha(x, t)] + \alpha [q_\alpha(x + e_\alpha \delta t, t + \delta t) - q_\alpha^s(x + e_\alpha \delta t, t + \delta t)] = 0. \quad (12)$$

236 In this way, the LBE can be extended to the whole domain.

### 237 3.2 VOS based Immersed Boundary method for LBM

238 Different from macro-scale NS solvers, the LB solver adopts the distribution function to describe the fluid  
 239 flow. To implement the direct forcing model in the framework of LBM, similar with the strategy to obtain Eq.  
 240 (10), Eq. (12) can be reformulated as

$$\begin{aligned} & q_\alpha(x + e_\alpha \delta t, t + \delta t) - q_\alpha(x, t) - \Omega_\alpha(x, t) \\ &= \alpha [q_\alpha^s(x + e_\alpha \delta t, t + \delta t) - q_\alpha(x + e_\alpha \delta t, t + \delta t) + q_\alpha(x + e_\alpha \delta t, t + \delta t) - q_\alpha(x, t) - \Omega_\alpha(x, t)] \\ &= \alpha [q_\alpha^s(x + e_\alpha \delta t, t + \delta t) - q_\alpha^*(x, t)], \end{aligned} \quad (13)$$

241 where  $q_\alpha^* = q_\alpha + \Omega_\alpha$  denotes the post-collision distribution function.

242 Following Eq. (10), the RHS of Eq. (13) is modelled as an implicitly defined forcing term  $F_\alpha$ . As it can  
 243 be observed, Eq. (13) shows obvious implicit characteristics. To remove such implicitness,  $q_\alpha^s(x + e_\alpha \delta t, t + \delta t)$   
 244 is evaluated at  $(x, t)$ , rather than  $(x + e_\alpha \delta t, t + \delta t)$ , and such treatment is equivalent to split Eq. (13) into the  
 245 separated collision-streaming processes,

$$\begin{aligned}\tilde{q}_\alpha(x, t) &= (1 - \alpha)q_\alpha^*(x, t) + \alpha q_\alpha^s(x, t) = q_\alpha^*(x, t) + \alpha F_\alpha \\ q_\alpha(x + e_\alpha \delta t, t + \delta t) &= \tilde{q}_\alpha(x, t).\end{aligned}\tag{14}$$

In Eq. (14), the effect of the forcing term  $F_\alpha$  is to drive the distribution to its solid state, which is an analogy to the effect of body force in Eq. (10). As it can be observed, the effect of such forcing term is equivalent to the body force in the macro-scale. Therefore, to conserve the mass in the whole domain, the  $F_\alpha$  term is selected as the forcing term with the macro-scale body force. To cancel the inconsistent velocity, the body force should follow

$$f = \beta \frac{U_s - U^n}{\delta t}.\tag{15}$$

In the previous works (Wu and Shu, 2009; Kang and Hassan, 2011; Favier et al., 2014),  $\beta$  has been set to 2.0 to match the equilibrium fluid velocity  $U^{eq} = \sum_\alpha f_\alpha e_\alpha / \rho_f + \frac{1}{2} f \delta t$  to its target value, which is fairly good for the surface based direct forcing model. However, with such forcing strategy, even the contribution from the forcing term to  $U^{eq}$  is  $\frac{1}{2} f \delta t$ , the remaining contribution to the post-collision distribution function and the resultant velocity is also  $\frac{1}{2} f \delta t$ . Taking a fixed cylinder in uniform flow as a simple example, the initial condition is often set as  $U = (U_\infty, 0)$  in the whole domain. Therefore, the forcing term should drive the distribution function to  $\mathbf{Q}^s(U_s = 0)$ . With  $f = 2 \frac{U_s - U^n}{\delta t}$ ,  $U^{eq}$  is well enforced. However, considering the post-collision state with such forcing term, the flow velocity in the solid domain will be driven to  $U = (-U_\infty, 0)$ . It is an obvious over-correction about the fluid velocity in the solid phase. As it will be shown later, this inconsistency leads to serious force oscillations during the initial stage of the simulation and such unphysical phenomenon lasts for a long time. The present work suggests that  $\beta = 1.0$  can properly drive the distribution function and further the fluid velocity to their target states. For the fixed cylinder, even the corrected equilibrium velocity is  $0.5U_\infty$ , the remaining contribution from the forcing term leads to the recovery of static state in the solid phase. After the collision stage with such forcing term, the velocity can be driven to 0.0 as expected. In this way, at least in the sense of numerical experiments, the force oscillation can be well controlled.

To account for the forcing term effects on the distribution functions, the model in Guo et al. (2002) is adopted. The overall algorithm of the present IB-LBM model can be summarised as Algorithm 1.

### 3.3 Indirect method for the force prediction

To obtain the hydrodynamic force on the structure, an indirect method without surface integral is developed in the present work. Considering the definition of the hydrodynamic force on the structure

$$\begin{aligned}\mathbf{F} &= \int_{\partial S} \boldsymbol{\sigma} \cdot \mathbf{n} dS, \\ \mathbf{M} &= \int_{\partial S} \mathbf{r}_s \times (\boldsymbol{\sigma} \cdot \mathbf{n}) dS,\end{aligned}\tag{16}$$

where  $\partial S$  denotes the surface of the structure,  $\mathbf{r}_s$  is the location of the surface grid point relative to its mass centre,  $\mathbf{n}$  is the unit normal vector on the surface grid point, and  $\boldsymbol{\sigma} = -P\mathbf{I} + \boldsymbol{\tau}$  is the stress tensor. To evaluate

---

**Algorithm 1:** IB-LBM algorithm

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- (1) The distribution functions are well-defined at  $t = t^n$ .
- (2) Define the macro-scale flow field with the distribution functions,

$$\rho_f = \sum_{\alpha} q_{\alpha}, \quad \hat{U} = \sum_{\alpha} q_{\alpha} e_{\alpha} / \rho_f.$$

- (3) Define the LS function  $\phi$ , with analytical expression based on local coordinates for rigid body with simple boundary or bounding box based reconstruction algorithm for rigid body with complex boundary.
- (4) Define the VOS function  $\alpha$  with Eq. (6).
- (5) Obtain the forcing term with the direct forcing model,

$$f = \beta \frac{U_s - \hat{U}}{\delta t}.$$

- (6) Loading evaluation based on the indirect force prediction model.
  - (7) For cases with Fluid-Structure Interaction, update the location and motion of rigid body.
  - (8) With the forcing term, advance the flow field using the algorithm in Eq. (14).
  - (9)  $n = n + 1$ , return to (1).
- 

274 the force on the structure using Eq. (16), interpolation is essential to obtain the stress tensor on the surface  
275 Lagrangian points, which may not coincide with the Euler points. To avoid such interpolation, the surface  
276 integral is transformed into the volume integral with the Green's theorem. To achieve such goal, Eq. (16) is  
277 firstly transformed into its general form according to the principle of virtual work

$$\sum_i \hat{F}_i \delta \xi_i = \sum_i \int_{\partial S} \delta \mathbf{r}_{s,i} \cdot (\boldsymbol{\sigma} \cdot \mathbf{n}) dS, \quad (17)$$

278 where  $\hat{F}_i$  denotes the general force component and  $\delta \mathbf{r}_{s,i}$  is the virtual boundary displacement under general  
279 virtual displacement on the mass centre of the structure  $\delta \xi_i$ . In the definition of force components,  $i = 1 - 3$   
280 denotes the translational mode and  $i = 4 - 6$  denotes the rotational mode for 3D cases, while  $i = 1 - 2$  denotes  
281 the translational mode and  $i = 3$  denotes the rotational mode for 2D cases. Considering the arbitrary value of  
282  $\delta \xi_i$ , with the Green's theorem, the force component  $\hat{F}_i$  can be written as

$$\hat{F}_i = \int_S (\nabla \delta \tilde{\mathbf{r}}_{s,i}) : \boldsymbol{\sigma} dV + \int_S \delta \tilde{\mathbf{r}}_{s,i} \cdot (\nabla \cdot \boldsymbol{\sigma}) dV, \quad (18)$$

283 where  $\delta \tilde{\mathbf{r}}_{s,i}$  is defined as  $\delta \mathbf{r}_{s,i} = \delta \xi_i \delta \tilde{\mathbf{r}}_{s,i}$  (no summation about  $i$ ), and denotes the unit virtual boundary  
284 displacement in mode  $i$ .

285 Within the present volume based IB framework, consistent velocity correction has been carried out in  
286 the whole solid phase. Therefore, in the solid domain  $S$ , strain tensor can be evaluated by the rigid velocity,  
287  $\varepsilon_{ij} = \frac{1}{2}(u_{s,i,j} + u_{s,j,i})$ , with an error  $O(\delta t)$  at a conservative estimate. For the rigid body motion, the rigid velocity  
288 is strain-free ( $\varepsilon_{ij} = 0$ ), and such characteristic leads to the vanishing of viscous stress, i.e.,  $\tau_{ij} = 2\rho_f \nu \varepsilon_{ij} = 0$ .  
289 Therefore, the first term in Eq. (18) can be evaluated by  $(\nabla \delta \tilde{\mathbf{r}}_{s,i}) : \boldsymbol{\sigma} = -P(\nabla \cdot \delta \tilde{\mathbf{r}}_{s,i})$ . In addition, as  $\nabla \cdot \delta \tilde{\mathbf{r}}_{s,i} = 0$   
290 for the rigid body motion (divergence-free condition for the rigid motion), the contribution from the first term

in Eq. (18) equals 0. Within the transition region around the boundary surface, the divergence-free condition still holds, but the viscous stress appears, because of the smooth transition of rigid velocity to flow velocity. Therefore, extra error exists for the force prediction with the present indirect model. Because the width of the transition region is comparable with grid spacing, it is believed that such contribution is  $\Delta \hat{F}_i = O(\delta x)$  at a conservative estimate. Using Eq. (10), the second term in Eq. (18) can be further simplified as

$$\begin{aligned} \hat{F}_i &= \int_S \delta \tilde{\mathbf{r}}_{s,i} \cdot \rho_f [U_t + (U \cdot \nabla)U - \alpha f] dV \\ &= \int_S \delta \tilde{\mathbf{r}}_{s,i} \cdot \rho_f \left( \frac{DU}{Dt} - \alpha f \right) dV = \int_S \delta \tilde{\mathbf{r}}_{s,i} \cdot \left( \rho_f \frac{DU}{Dt} \right) dV + \int_S \delta \tilde{\mathbf{r}}_{s,i} \cdot (-\alpha \rho_f f) dV. \end{aligned} \quad (19)$$

Eq. (19) indicates that the hydrodynamic force under the rigid body mode can be evaluated as the integral of the IB force and the negative inertial force inside the solid domain without the need of the integral about flow stress over the body surface. In Suzuki and Inamuro (2011), Eq. (19) was proved. For the rotational modes, Suzuki and Inamuro (2011) introduced the extra Lagrangian points to evaluate the inertial momentum of the fluid flow. The reason for such strategy is that for the surface based IB model, the forcing term is only applied on the surface of the structure. Therefore, the internal flow shows difference with the solid motion. In the present model, because of the enforcement of rigid body motion for the entire solid phase, the rigid body motion can be well-enforced and the first term in the RHS of Eq. (19) can be further simplified for all the rigid body modes (rigid body assumption). Compared with the work in Suzuki and Inamuro (2011), no extra Lagrangian points are needed and the algorithm for the internal momentum evaluation is simplified, which further improves the efficiency of the present model.

As it has been discussed in Eq. (19), for the rigid body, the force prediction can be simplified considerably (the integral of fluid acceleration term can be evaluated by the inertial mass and moment of the body filled with fluid), which is the case of the present work. For the flexible body with arbitrary deformation, such force prediction model relates to the evaluation of fluid acceleration at a certain Euler grid point, which may not be coincident with the structure grid. Furthermore, for the flexible body, the divergence and strain of the velocity field inside the solid domain lead to extra corrections about the force prediction, as shown in Eq. (18). Generally speaking, the present version of the IB-LBM model can be only applied to the interaction between rigid body and fluid flow, while for the general flexible structure, a robust interpolation model to transfer the body response from structure grid to Euler grid is essential and it is one of our research topics in the future.

## 4 Numerical Results

In the previous sections, the present VOS based IB algorithm in the framework of direct forcing model has been developed and coupled with LBM to solve the fluid-body interactions. To validate the accuracy and the robustness of the present model, in this section, numerical simulations are carried out for the interaction between fluid flows and solid bodies, which include the structures with both simple and complex boundaries. In the present work, the Reynolds number covers the low to moderate region, i.e.  $Re = O(10^1) - O(10^3)$ . Therefore, no turbulence model is activated during the simulation.

## 323 4.1 Steady flow around a fixed cylinder

324 In this subsection, steady flow around a fixed cylinder is simulated to confirm the performance of the  
325 present VOS based IB strategy to enforce the no-slip boundary condition on the surface of the structure. With  
326 such configuration about fluid flow and solid body, the only parametre affecting the flow pattern is Reynolds  
327 number  $Re = \frac{U_\infty D}{\nu}$ , where  $U_\infty$  and  $D$  denote the inlet velocity of the fluid flow and the diametre of the cylinder  
328 respectively.

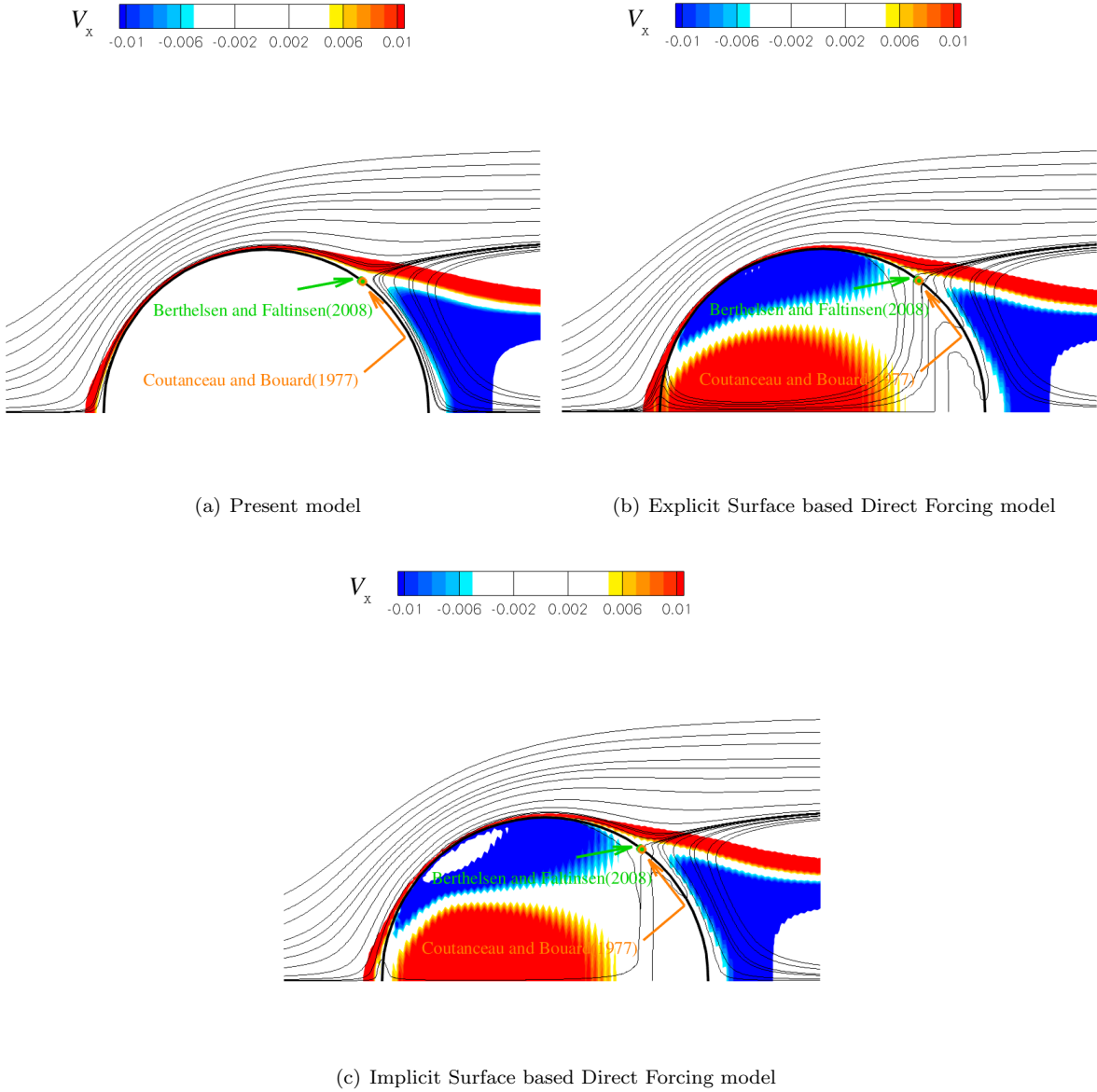
329 With  $Re = 5 - 40$ , steady flow can be observed around the cylinder. As shown in Fig. 3, with  $Re = 40$ , a  
330 pair of steady re-attached region exists around the cylinder. As it has been observed in Coutanceau and Bouard  
331 (1977) and numerically simulated by Berthelsen and Faltinsen (2008), flow seperation occurs at  $\theta \approx 53^\circ - 54^\circ$ .  
332 Adopting present VOS based IB model, as it can be observed in Fig. 3(a), the prediction about the location of  
333 seperation matches well with the previous ones. Furthermore, compared with the flow pattern adopting surface  
334 based direct forcing model(Figs. 3(b) and 3(c)), the flow penetration has been weakened considerably and the  
335 unphysical flow within the solid domain has been controlled. With the present IB strategy, the forcing term to  
336 enforce the solid body motion is evaluated in an explicit manner, and implicit forcing term iteration, which has  
337 been adopted in Wu and Shu (2009) and Zhao et al. (2021), is avoided. Such treatment leads to the efficiency  
338 of the present IB algorithm.

339 As shown in Fig. 4, discontinuity can be observed for the pressure prediction with the surface based direct  
340 forcing model. Despite of its limited effect on the pressure prediction in the fluid domain as shown in Fig. 4 for  
341 the fixed structure, the evaluation of hydrodynamic force on the moving structure can be affected and special  
342 attention should be paid to remedy such issue. With the present IB model, because of the consistent velocity  
343 correction for the solid phase, a smooth pressure field can be obtained and such phenomenon is helpful for the  
344 force prediction about moving structure.

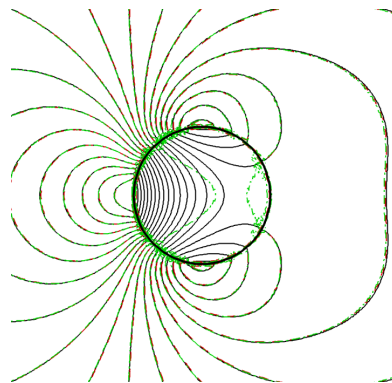
## 345 4.2 Unsteady flow around an oscillating cylinder

346 In this subsection, the unsteady flow around an oscillating cylinder is simulated to confirm the performance  
347 of the present IB model for moving boundary cases. According to the work in Dutsch et al. (1998), the cylinder  
348 is forced to oscillate horizontally with a prescribed amplitude  $A_{max}$  and frequency  $f$ . In this case,  $Re$  is defined  
349 based on the maximum velocity  $U_{max} = 2\pi f A_{max}$  and the Keulegan-Carpenter number  $KC$  is defined as  
350  $KC = \frac{U_{max}}{fD}$ , where  $D$  denotes the diameter of the cylinder. As reported in Dutsch et al. (1998), when  $KC = 5$   
351 and  $Re = 100$ , a stable periodic flow pattern and force history can be obtained. Considering the characteristic  
352 of stability, this case is selected in the present work as the benchmark. As shown in Fig. 5, convergence test  
353 has been carried out firstly to check the numerical performance of the present algorithm. In this case, a coarse  
354 grid with  $D = 12.5\delta x$  has been adopted as the base grid, and the grid has been refined to  $L = 2 - 4$  to reach  
355 the desirable resolution. During the simulation, a constant  $Ma \approx 0.1$  has been enforced by keeping a constant  
356  $\delta t = \frac{T}{1000}$  on the base grid. As it can be observed, with  $D = 100\delta x$ , convergence has been reached and further  
357 grid refinement makes no obvious improvement about force prediction.

358 The force coefficients  $C_d$ s obtained with different  $\beta$  values and IB strategies are shown in Fig. 6. As it can  
359 be observed in Fig. 6(a), with  $\beta = 2.0$ , according to the relating discussion in Section 3.2, the over-correction  
360 about the velocity in the solid phase induces a long-term unphysical force oscillation. For the present case, the  
361 unphysical oscillation decays in an exponential manner. Furthermore, with the decaying of force oscillation,



**Fig. 3.** Steady flow around a fixed cylinder with  $Re = 40$ .



**Fig. 4.** Pressure Contours with  $Re = 40$ , where black solid, red dashed and green dash-dotted lines denote contours with present VOS based IB model, explicit surface based and implicit surface based direct forcing model respectively.

362 the force predictions with different  $\beta$  values match well with each other ( $4.0 \leq t/T \leq 5.0$  in Fig. 6(a)).  
363 Meanwhile, the reliability of the present forcing factor  $\beta = 1.0$  is confirmed, and the horizontal force reaches its  
364 stable periodic state after a transition stage around  $t = 1.0T$  (Fig. 6(b)). In addition, even with the velocity  
365 correction around the solid boundary only, i.e., the surface based direct forcing model, the force oscillation  
366 cannot be completely removed during the initial stage before  $t = 2.0T$ . As shown in Fig. 6(b), the present VOS  
367 based direct forcing model performs well, without any visible oscillation even at the beginning of the simulation.  
368 With the evolution of the cylinder oscillation, the unphysical force oscillation vanishes and the force predictions  
369 with all the mentioned IB strategies match each other well and are in good agreement with the previous results  
370 in Dutsch et al. (1998), as shown in Fig. 7. In Fig. 8, with the present  $KC$  and  $Re$  numbers, the symmetrical  
371 flow field around the cylinder can be observed and the flow pattern matches well with that in Dutsch et al.  
372 (1998).

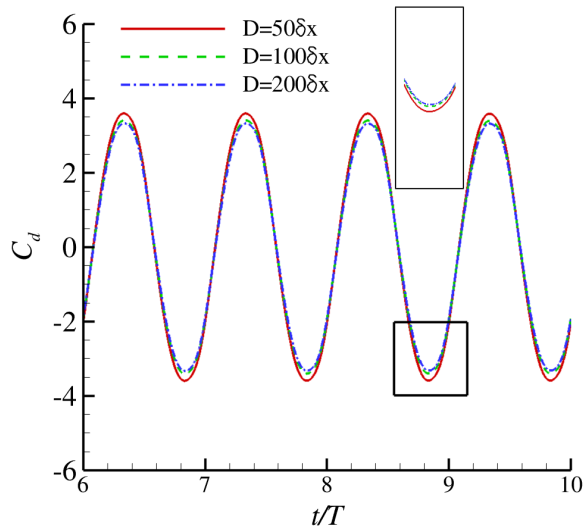


Fig. 5. Convergence test about the hydrodynamic force on the oscillating cylinder with  $KC = 5$  and  $Re = 100$ .

### 373 4.3 Unsteady flow around a hovering wing

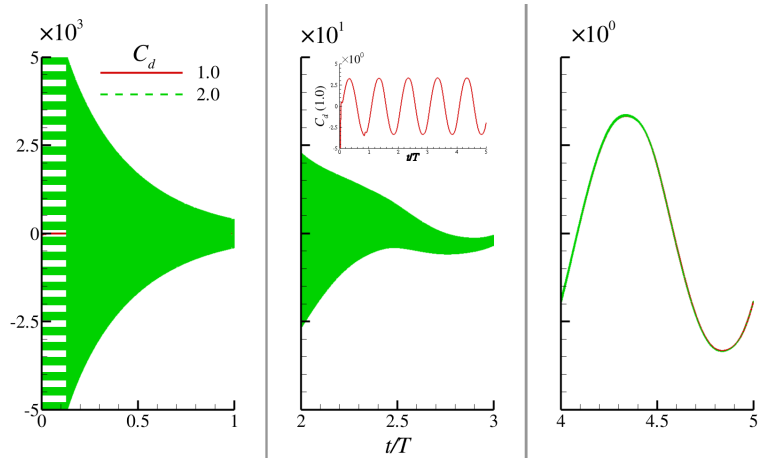
374 In this subsection, the unsteady flow caused by a hovering wing undergoing a forced periodic motion along  
375 a straight line in the stationary fluid is simulated. The thin elliptical wing with the aspect ratio of  $w/c = 0.25$ ,  
376 where  $c$  denotes the chord length and  $w$  is the thickness of the wing, is adopted. The translational motion of  
377 the centre of the wing follows

$$x = \frac{A_0}{2} \cos\left(\frac{2\pi t}{T}\right) \cos\beta, \quad y = \frac{A_0}{2} \cos\left(\frac{2\pi t}{T}\right) \sin\beta. \quad (20)$$

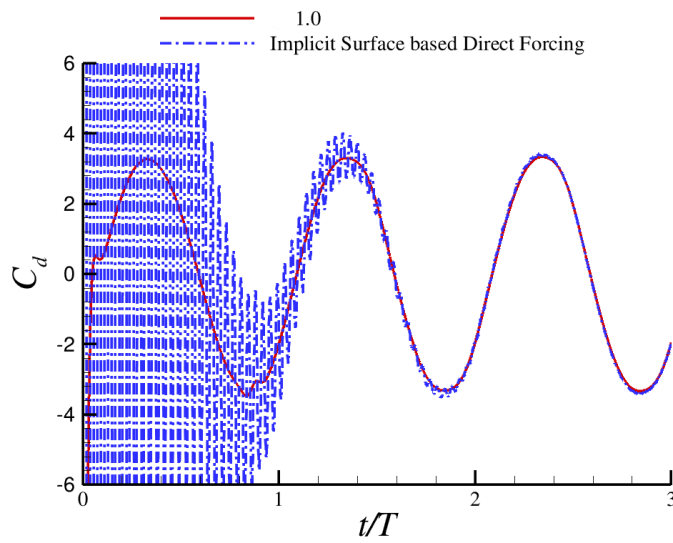
378 In addition to the translational motion, the wing also experiences the rotational motion about its centre  
379 which is enforced by

$$\alpha = \frac{\pi}{4} \left[ 1 - \sin\left(\frac{2\pi t}{T} + \phi\right) \right]. \quad (21)$$



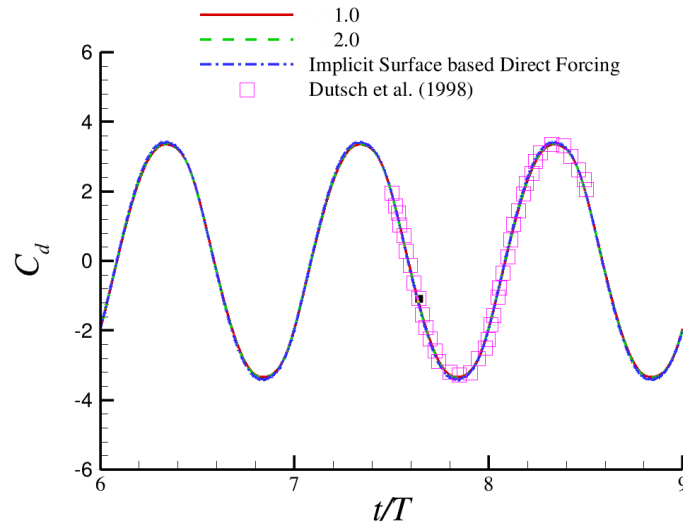


(a) Different  $\beta$  values

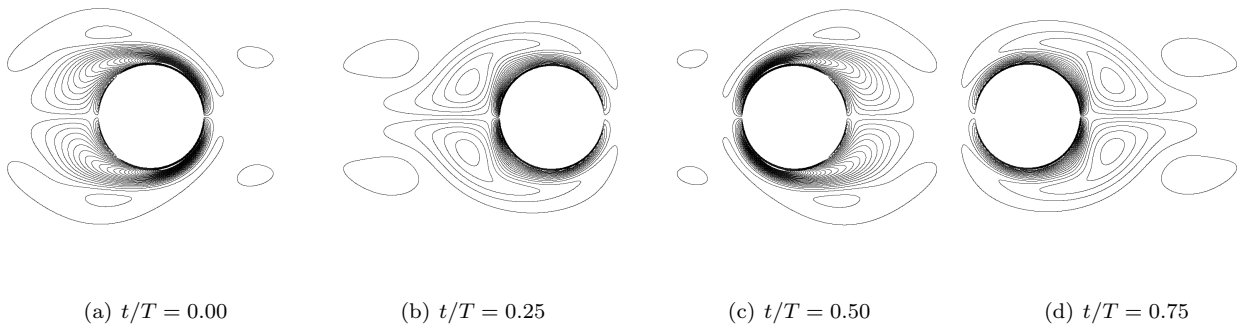


(b) Surface based and volume based strategies

**Fig. 6.** Hydrodynamic force on the oscillating cylinder with  $KC = 5$  and  $Re = 100$  during the initial stage.



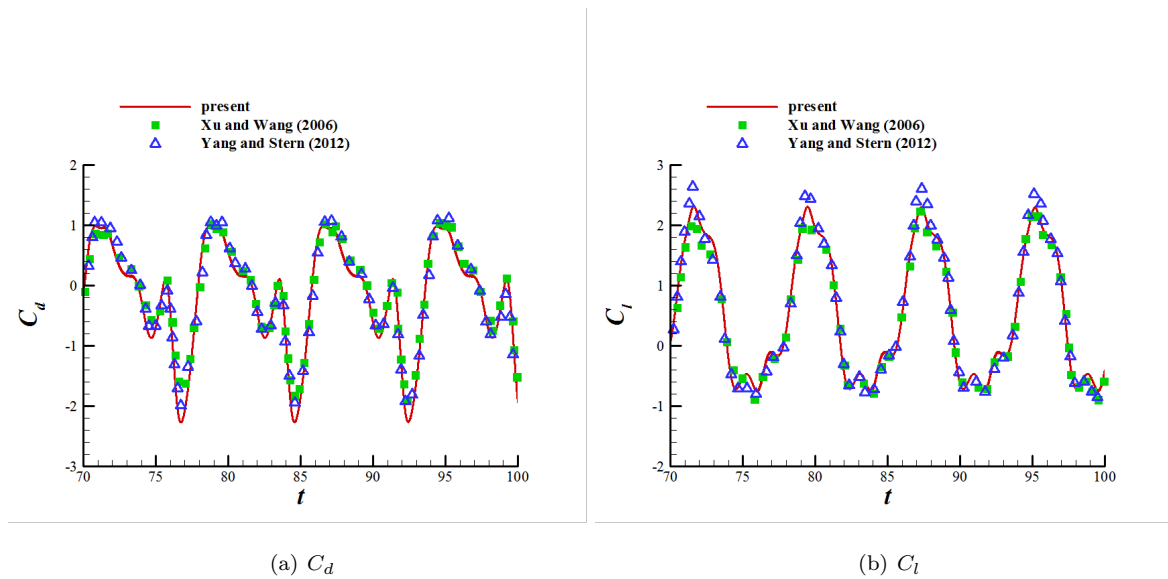
**Fig. 7.** Comparison of the hydrodynamic force on the oscillating cylinder with  $KC = 5$  and  $Re = 100$  during the steady stage with the previous results in [Dutsch et al. \(1998\)](#).



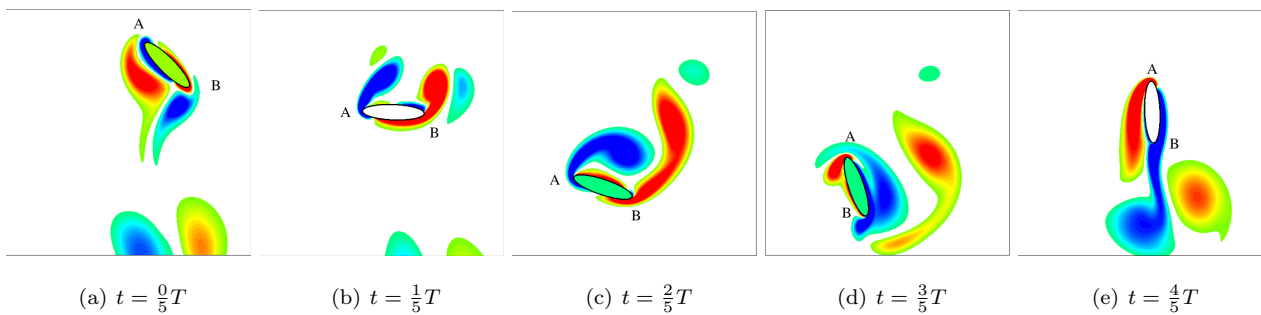
**Fig. 8.** Flow field around the oscillating cylinder with  $KC = 5$  and  $Re = 100$  at different time instants.

380 In the present simulation, the same parameters as that in Xu and Wang (2006), i.e.,  $A_0/c = 2.5$ ,  $\beta = \pi/3$ ,  
 381  $\phi = 0.0$  and  $Re = 157$ , are adopted with  $c$  as the length scale and  $\pi A_0/T$  as the velocity scale, where  $T$  denotes  
 382 the flapping period. It should be mentioned that, compared with the definition in Xu and Wang (2006), the  
 383 mean location of the wing centre here is shifted to  $x = 0$  and  $y = 0$ , which has no effect on the force calculation  
 384 and flow prediction.

385 As shown in Fig. 9, the present results about the forces on the wing match well with the previous ones  
 386 in Xu and Wang (2006) and Yang and Stern (2012). Furthermore, the flow fields around the wing within one  
 387 flapping cycle are shown in Fig. 10. At the beginning of the downward stroke, a strong positive vortex caused  
 388 by the clockwise rotation of the wing around the tip-A can be observed. Due to the counter-clockwise rotation  
 389 of the wing, a negative vortex is formed on the opposite side of the wing and the previous vortex travels to the  
 390 tip-B and is enhanced by the motion of the wing. With the wing moving further, the detachment of the positive  
 391 vortex occurs, and the negative vortex moves to the tip-B. As shown in Fig. 10(c), when the rotation direction  
 392 of the wing changes, the negative vortex re-attaches to the wing and couples with the positive vortex to form a  
 393 vortex dipole. Such behaviour can be traced back to the non-zero mean angle of the rotational motion.



**Fig. 9.** Hydrodynamic forces on the hovering wing at  $Re = 157$  and the comparison with the previous results.



**Fig. 10.** Flow field around the hovering wing at  $Re = 157$ .

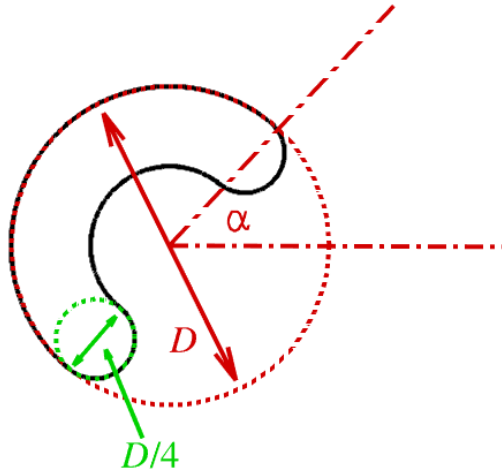
#### 394 4.4 Unsteady flow around a complex C-shape body

395 To show the performance of the present model to predict the fluid flow caused by rigid body with complex  
396 boundary, in this subsection, the unsteady flow caused by a C-shape rigid structure is carried out. As shown in  
397 Fig. 11, the C-shape body consists four sets of semicircles. With the present configuration,  $Re$  is defined with  
398  $D$  and  $U_\infty$  as the length scale and velocity scale. Similar with the numerical simulation with a Diffused Vortex  
399 Hydrodynamics (DVH) model (Rossi et al., 2015),  $Re = 2000$  is adopted here with  $0 \leq \alpha \leq \frac{\pi}{2}$ . As it has been  
400 shown in Rossi et al. (2015), with the increase of  $\alpha$  from  $\alpha = 0$ , the case where the fluid flow shows periodical  
401 characteristics, the regularity of hydrodynamic loading on the body is firstly lost. With a further increase of  $\alpha$   
402 from a certain value, restoring of periodical behaviour of the fluid flow can be observed again. With  $\alpha = \frac{\pi}{2}$ , the  
403 loading on the structure becomes almost periodical.

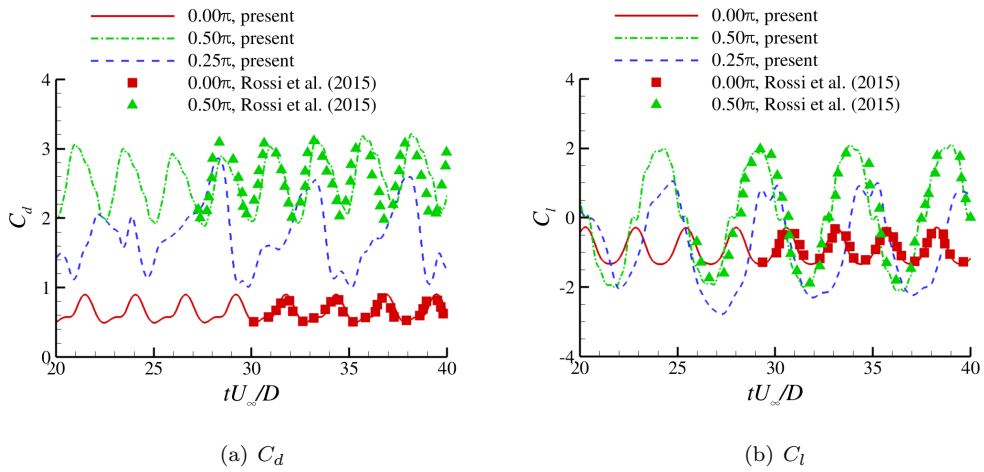
404 In this subsection, our main goal is to check the performance of the present IB-LBM algorithm for cases  
405 with complex boundaries, therefore, only qualitative computations are carried out for various  $\alpha$ s. As it can be  
406 observed in Fig. 12, the predictions about hydrodynamic loading on the structure match well with that obtained  
407 with DVH model (it should be mentioned that, to accelerate the process reaching its possible periodical state,  
408 disturbance has been added to the motion of the body, and the original data from Rossi et al. (2015) has been  
409 shifted to match the phase difference between the present results and previous ones). The mean drag coefficient  
410 and the amplitude of lift coefficient increase with  $\alpha$ . Specially, with  $\alpha = 0$ , the cavity flow trapped in the lower  
411 region of the C-shape body (Fig. 13(a)) leads to the formation of low pressure region and further results the  
412 negative mean lift force on the body. From the viewpoint of flow structure, with  $\alpha = 0$ , the upper part of the  
413 vortex flow matches well with that of the circular cylinder, and because of the mentioned cavity flow, the fluid  
414 beneath the C-section pass the rigid body as if there is no hole, i.e., D-shape cylinder with identical orientation.  
415 For  $\alpha = \frac{\pi}{2}$  case, the overall far field wake pattern is similar with that of the full cylinder. While in the near field  
416 of the C-section, the detached vortex is firstly trapped inside the hole with the action of the former vortex.  
417 Different from that with  $\alpha = 0$ , the cavity flow with such configuration is unsteady. The existence of the hole  
418 leads to the complex interaction between the detached vortex and the rigid boundary (Fig. 13(c)), and such  
419 interaction is believed to be one of the main sources for the formation of weak irregularity of hydrodynamic  
420 loading on the structure, as shown in Fig. 12. With the detachment of vortex into the wake flow, the trapped  
421 vortex in the cavity escapes and leads to the formation of Karman vortex street. With  $\alpha = \frac{\pi}{4}$ , the flow pattern  
422 is rather complex, featured by the vortex mergence and splitting. With such vortex-vortex and vortex-structure  
423 interactions, irregular hydrodynamic loading can be observed on the structure, which is consistent with the  
424 results by Rossi et al. (2015). Even the bifurcation behaviour of the fluid flow passing the C-shape body is  
425 interesting and worth investigation in detail, such work is beyond the goal of the present work and it will  
426 be one of our research topics in the future. As it has been presented, both the qualitative and quantitative  
427 results in this subsection show the capacity of the present IB-LBM model to treat fluid flow relating to complex  
428 boundaries.

#### 429 4.5 Unsteady flow around a pitching foil

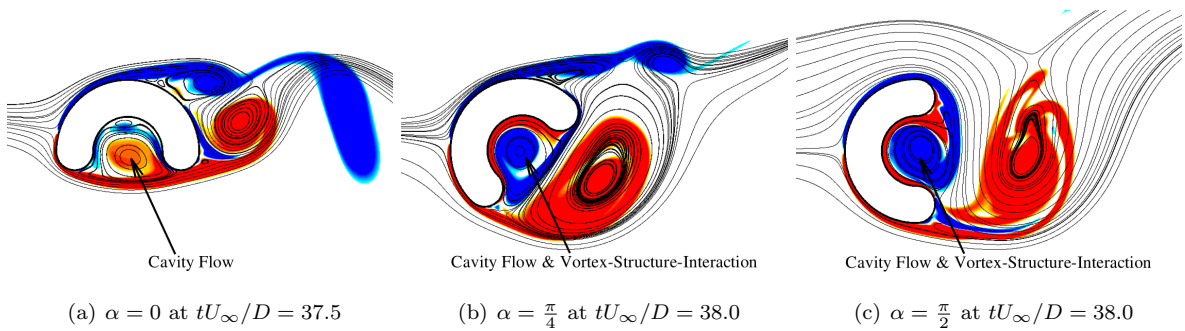
430 To validate the present model for the thin-wall structure with moving boundary, the hydrodynamic per-  
431 formance of a pitching foil is tested. Firstly, numerical simulation about a pitching foil at  $Re = 500$  is carried  
432 out to check the performance of the present model with relatively low  $Re$  numbers. In this part, two sets of



**Fig. 11.** Sketch diagram of the C-shape body with an Angle of Attack (AoA)  $\alpha$ .

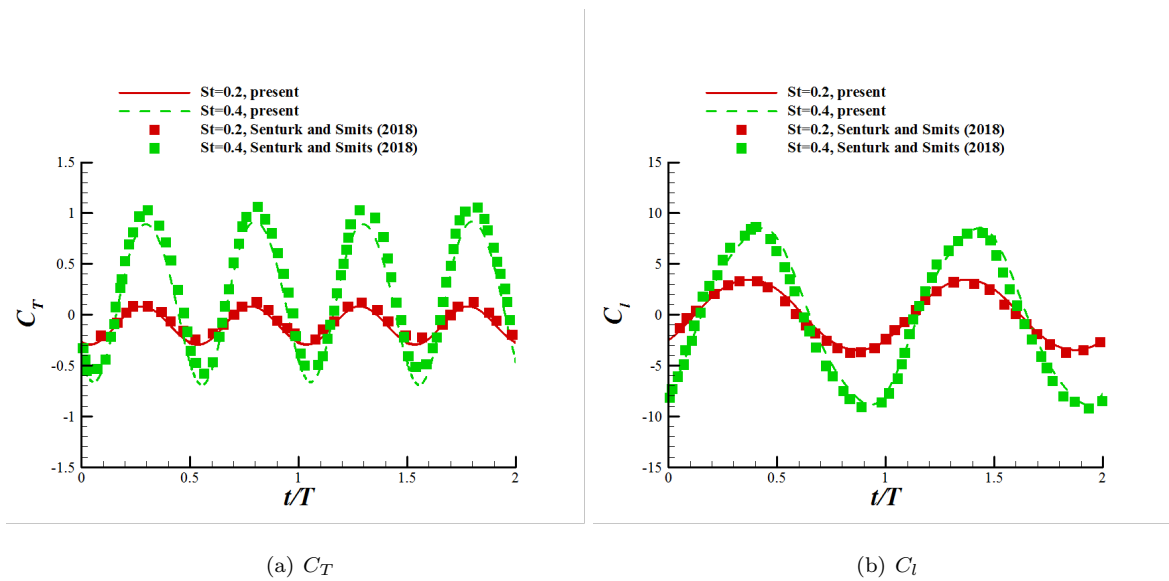


**Fig. 12.** Hydrodynamic forces on the C-shape body with  $Re = 2000$ .

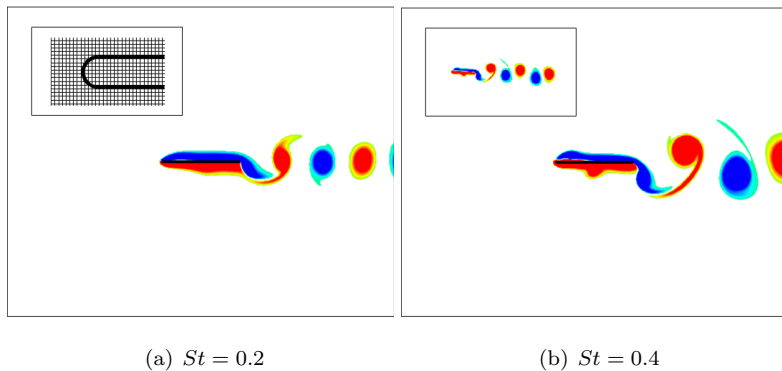


**Fig. 13.** Flow field around the C-shape body with  $Re = 2000$ .

433 Strouhal numbers  $St$ , i.e.,  $St = 0.2$  and  $St = 0.4$ , which are defined by the motion amplitude of the foil tip in  
 434 the  $y$  direction, are adopted. In [Senturk and Smits \(2018\)](#), a thin plate without thickness has been adopted.  
 435 With the present IB strategy, such a thin-wall structure should be modelled with an artificial thickness with few  
 436 grid spacing. In the present cases, an artificial thickness of the foil  $t/L = 2\%$  has been adopted. As shown in  
 437 [Fig. 14](#), both the thrust and the lift coefficients of the foil predicted by the present model match well with the  
 438 previous results ([Senturk and Smits, 2018](#)). At  $St = 0.2$ , a negative mean thrust is observed, which is the result  
 439 of the normal vortex street in the wake of the foil shown in [Fig. 15\(a\)](#). In this case, because of the relatively  
 440 small  $St$  number, the stability of the symmetrical wake is preserved and the resulting thrust and lift on the foil  
 441 are symmetrical. With the increase of  $St$  to  $St = 0.4$ , the trailing edge vortex (TEV) is enhanced as shown in  
 442 [Fig. 15\(b\)](#). Other than the enhanced TEV, the leading edge vortex (LEV) becomes obvious and the complex  
 443 behaviours of the LEV can be observed. The enhanced TEV leads to the symmetric breaking of the wake flow  
 444 and a vortex dipole can be observed. In this case, the wake flow leans to one side of the foil.



**Fig. 14.** Hydrodynamic performance of the pitching plate at  $Re = 500$ .



**Fig. 15.** Wake flow of the pitching plate at  $Re = 500$ .

445 Further, the present IB-LBM solver is extended to the flow simulation at moderate Reynolds numbers  
 446  $Re = O(10^3)$ . The pitching plate at  $Re = 5000$  ([Garmann and Visbal, 2011](#)) is chosen as the benchmark. In  
 447 this part, two sets of starting styles are adopted which are denoted as Motion-I and Motion-II respectively and

448 the motion style follows

$$\alpha = \frac{\Omega_0}{2a} \ln \left[ \frac{\cosh(a(\tau - \tau_1)) \cosh(a(\tau - \tau_4))}{\cosh(a(\tau - \tau_2)) \cosh(a(\tau - \tau_3))} \right], \quad (22)$$

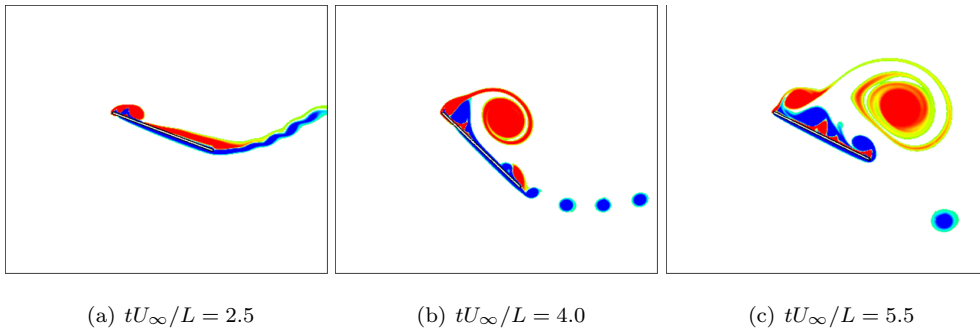
449 where  $a$  relates to the smoothness of the initial stage and  $\Omega_0$  denotes the largest pitching rate. In the definition  
450 of the pitching angle,  $\tau_i$  controls the hold time of the motion and follows

$$\tau_1 = \text{start time}, \quad \tau_2 = \tau_1 + \frac{\alpha_0}{\Omega_0}, \quad \tau_3 = \tau_2 + \Delta\tau, \quad \tau_4 = \tau_3 + \frac{\alpha_0}{\Omega_0}, \quad (23)$$

451 where  $\alpha_0$  is the amplitude of the pitching angle. In the present simulation, for Motion-I and Motion-II, the  
452 parameters are defined as

$$\begin{aligned} \text{Motion-I} : \Omega_0 &= 0.4, \tau_1 = 1.6, \alpha_0 = \frac{\pi}{4}, \Delta\tau = 1.121, a = 2; \\ \text{Motion-II} : \Omega_0 &= 0.4, \tau_1 = 1.6, \alpha_0 = \frac{\pi}{4}, \Delta\tau = 1.121, a = 11. \end{aligned} \quad (24)$$

453 As shown in Fig. 16(a), for relatively smooth Motion-I, the strong LEV can be observed because of the  
454 rapid change of the angle of attack (AoA). At the present moderate  $Re = 5000$ , the secondary structure also  
455 exists around the leading edge of the plate due to the formation of LEV. With the increase of the pitching angle,  
456 the stability of the wake flow is destroyed and the vortex sheet breaks into several small separated vortices. At  
457 the same time, the scale of the LEV increases and re-attaches to the plate, as it can be seen in Fig. 16(b).  
458 When the anti-direction pitching occurs, the behaviours of the boundary layer on the top surface of the plate  
459 become complex, which makes the characteristics of the force on the plate more complicated, as shown in Fig.  
460 17. With the larger pitching rate in Motion-II, the flow behaviour around the leading edge of the plate at the  
461 pitching-increasing stage shows similarity with that observed for Motion-I. Because of the rapid change of the  
462 pitching angle, the destruction of the vortex sheet becomes more obvious and the wake flow becomes complex in  
463 Figs. 18(a) to 18(c). In addition, the irregular flow field results in the complicated trend of the force coefficients,  
464 especially in the pitching-decreasing stage in Fig. 19. According to the comparisons in Figs. 17 and 19, the  
465 predictions of the force and moment on the plate agree well with the previous ones with the compressible NS  
466 solver in Garmann and Visbal (2011) and the adaptive incompressible NS solver in Liu and Hu (2018).



**Fig. 16.** Flow field around the pitching plate at  $Re = 5000$  for Motion-I.

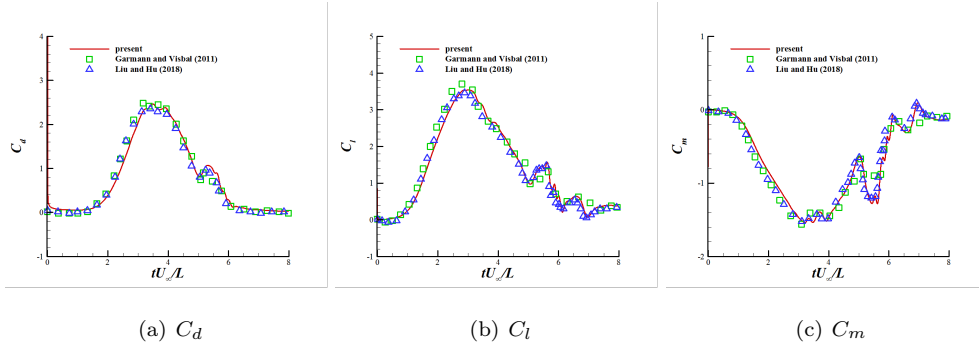


Fig. 17. Hydrodynamic force on the pitching plate at  $Re = 5000$  for Motion-I.

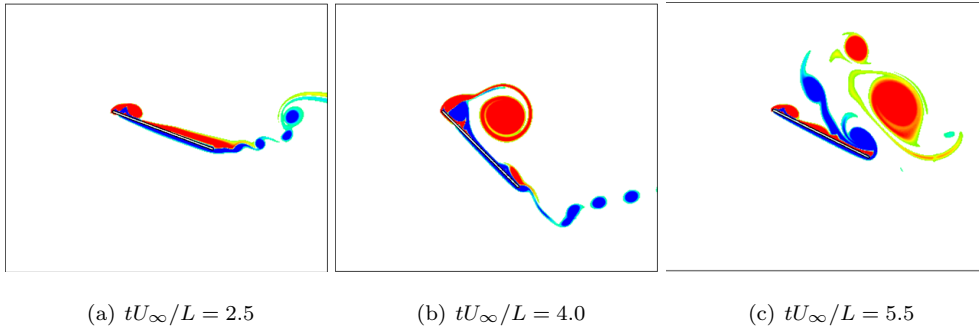


Fig. 18. Flow field around the pitching plate at  $Re = 5000$  for Motion-II.

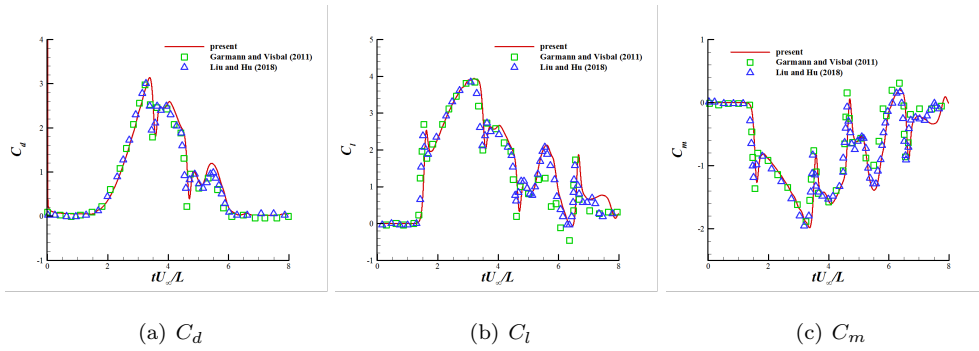


Fig. 19. Hydrodynamic force on the pitching plate at  $Re = 5000$  for Motion-II.



## 4.6 Vortex induced vibration of a circular cylinder

After performance confirmation about the moving boundary with prescribed motions, the present IB-LBM solver is further extended to fluid-structure interactions in this and the next subsections.

Firstly, in this subsection, the Vortex Induced Vibration (VIV) of a circular cylinder with one Degree of Freedom (DoF) in the  $y$  direction is simulated. According to Wang and Yeung (2016), the mass ratio  $m^*$  is defined as  $m^* = m/(\rho D^2)$  for the cylinder with the mass of  $m$  and density of  $\rho$ , and  $Re$  is defined with the inflow velocity  $U_\infty$  and the diameter of the cylinder  $D$ . In addition, the stiffness  $k$  is added to restrict the oscillation of the cylinder, leading to the definition of the reduced velocity  $U^* = U_\infty/(fD)$ , where  $f$  denotes the natural frequency  $2\pi f = \sqrt{k/m}$ .

In Fig. 20, the non-dimensional  $Y$  displacement as the function of  $U^*$  with  $m^* = 2.0$  and  $Re = 150$  is shown and compared with the previous results in Ahn and Kallinderis (2006) and Wang and Yeung (2016). As it can be observed, the overall agreement between the present results and the previous ones are satisfactory. When  $U^*$  is small, the larger stiffness of the restriction limits the motion of the cylinder. In this case, the motion amplitude of the cylinder is small and the flow pattern of the wake shares similarity with that of the stationary cylinder, as shown in Fig. 21(a). With the increase of  $U^*$ , a sudden increase of the motion amplitude can be observed and its value reaches about  $Y_{c-max}/D = 0.56$ . Because of the oscillation with large amplitude, the wake flow of the cylinder changes to the  $2S$  mode, where two sets of isolated vortices are shed into the wake in one oscillation cycle in Fig. 21(b). With a further increase of  $U^*$ , the motion amplitude decreases and the flow pattern of the wake changes back to the normal mode. With  $U^* = 8$ , the frequency of the vortex shedding deviates from the natural frequency of the cylinder. In this case, the motion amplitude of the cylinder decreases to its minimal value of around 0.08.

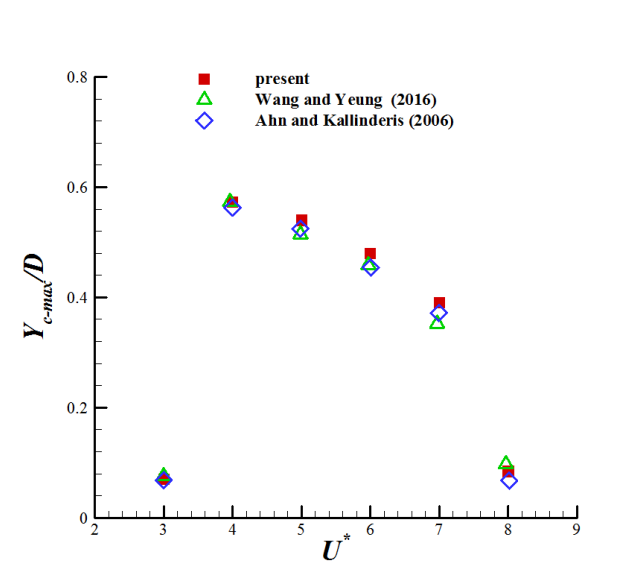
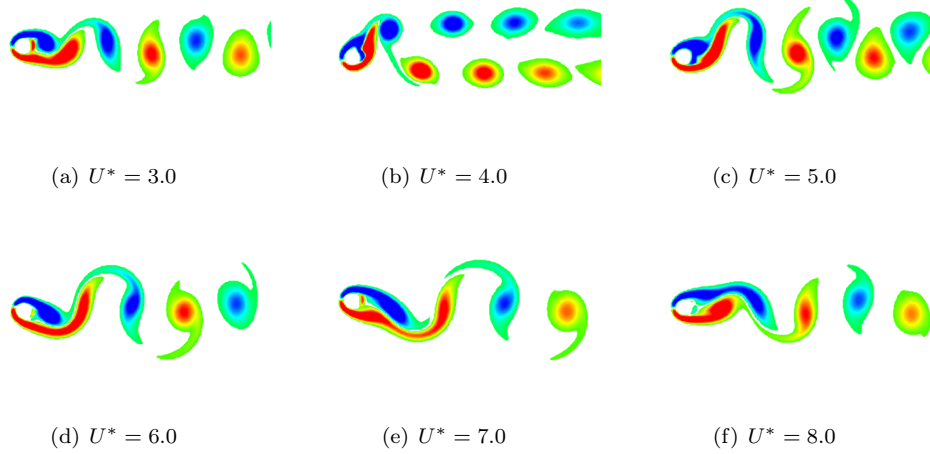


Fig. 20. VIV amplitude of the cylinder with  $m^* = 2.0$  and  $Re = 150$ .

It is well known that the weak coupling strategy is not unconditionally stable, which could have a stability issue when the density ratio is small, i.e., the structure is much lighter than the fluid and the added mass dominates the motion of the body. When the traditional incompressible NS solver is adopted, to achieve a stable simulation, either a small time step or a strong coupling strategy is essential. It is obvious that both methods to remedy the stability issue require considerable computational cost due to the small time step or



**Fig. 21.** Flow field around the cylinder with  $m^* = 2.0$  and  $Re = 150$ .

493 the requirement for sub-cycles in the strong coupling strategy. In the present IB-LBM solver, because of the  
 494 introduction of the multi-grid based adaptive strategy to resolve the fluid flow, the flow field is predicted with  
 495 multi-time scales, i.e., 1 step in the coarse grid followed by 2 sub-steps in the fine grid. This manner is equivalent  
 496 to the “refinement in time” strategy. Therefore, the sub-step integrator in the present model is expected to  
 497 improve the numerical stability. At the same time, the fine grid in the present adaptive framework covers a  
 498 small part of the global domain, which only introduces little additional workload for the sub-step computation.  
 499 To demonstrate such attractive feature, the VIV of a cylinder with two DoFs in the  $x$  and  $y$  directions and a  
 500 low density ratio of  $m^* = 4/\pi$  at  $Re = 200$  is simulated. Further, the damping term is added to the motion of  
 501 the cylinder with  $\zeta = \frac{c}{2\sqrt{km}}$ .

502 As shown in Fig. 22, because of the existence of the mean drag, the mean location of the cylinder moves  
 503 to the downstream side. With the periodical lift, the cylinder experiences the oscillation in the  $y$  direction.  
 504 The  $X$  and  $Y$  displacements in the  $x$  and  $y$  directions form the well-known “8-shapping” pattern, and the  
 505 present prediction of the displacements agrees well with that obtained by the spectral Finite Element model in  
 506 Blackburn and Karniadakis (1993) and the sharp interface immersed boundary method in Yang et al. (2008).  
 507 Furthermore, the large motion amplitude in the  $y$  direction causes the  $2S$  wake of the cylinder, as shown in Fig.  
 508 23. Lastly, the force coefficients on the cylinder are compared with the results in Yang et al. (2008). It can be  
 509 observed from Fig. 24 that the time history of the forces on the cylinder can be also predicted well using the  
 510 present model.

511 In the work of Yang et al. (2008), for the density ratio of 1.07, numerical instability occurs using the sharp  
 512 interface immersed boundary model with the weak coupling strategy. Furthermore, with an improved weak  
 513 coupling strategy in Kim et al. (2018), the stable numerical simulation has been extended to about  $m^* = 0.2$ .  
 514 To check the robustness of the present IB-LBM solver, the cases with  $m^* = 0.7, 0.5, 0.3$  are simulated. As  
 515 shown in Fig. 25, with the decrease of  $m^*$ , the mean displacement of the cylinder in the  $x$  direction increases,  
 516 which correlates to the decrease of the stiffness to keep the reduced velocity. Even with  $m^* = 0.3$ , the present  
 517 numerical model can also produce a stable prediction about the motion of the cylinder, which confirms the  
 518 robustness of the solver. Compared with the results in Kim et al. (2018), the present prediction about the mean  
 519 shift of the cylinder to the downstream side is satisfactory.

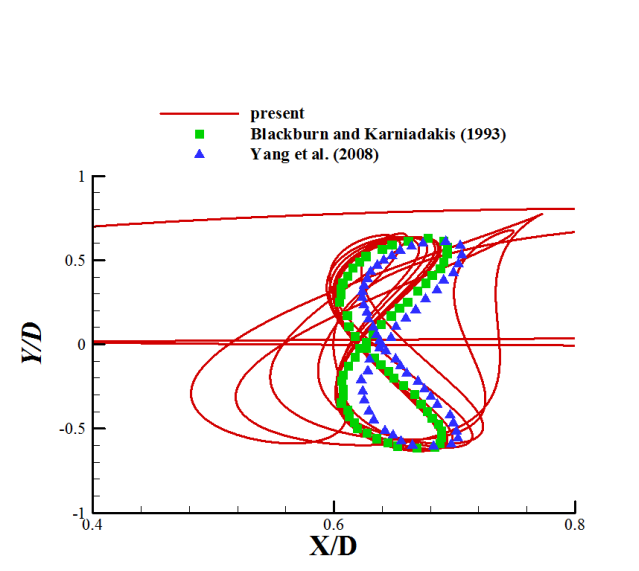


Fig. 22. Phase plot for the cylinder with  $m^* = 4/\pi$  and  $Re = 200$ .

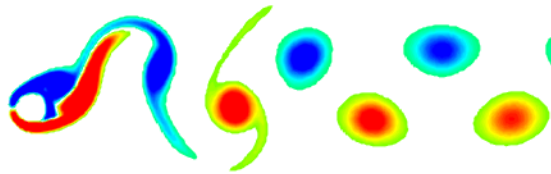


Fig. 23. Flow field around the cylinder with  $m^* = 4/\pi$  and  $Re = 200$ .

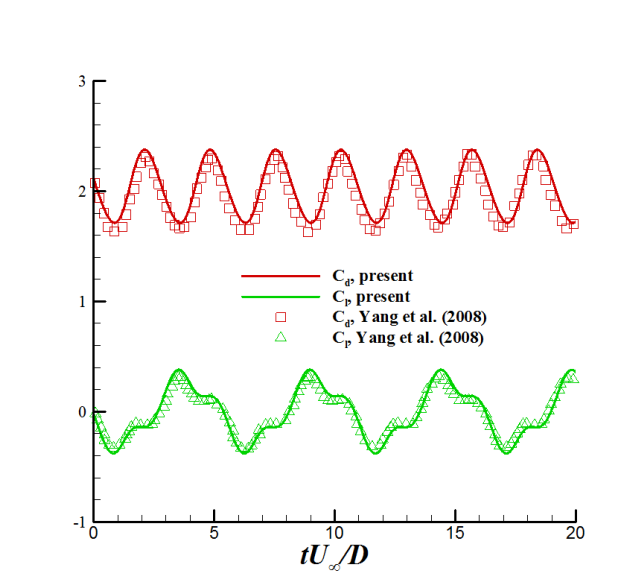


Fig. 24. Hydrodynamic forces on the cylinder with  $m^* = 4/\pi$  and  $Re = 200$ .

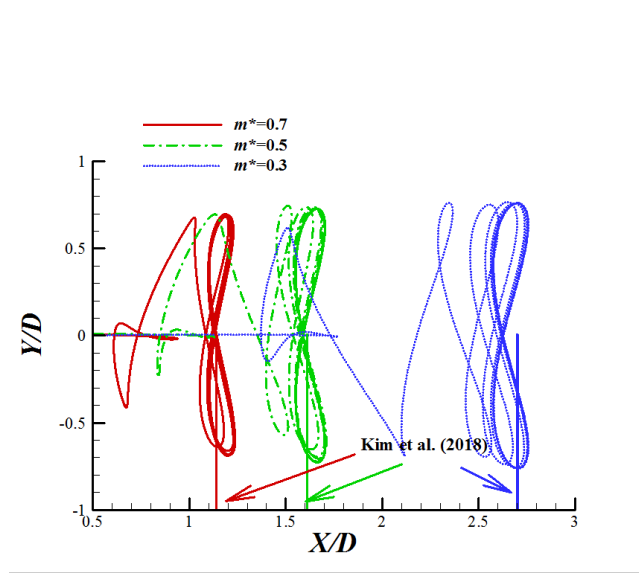
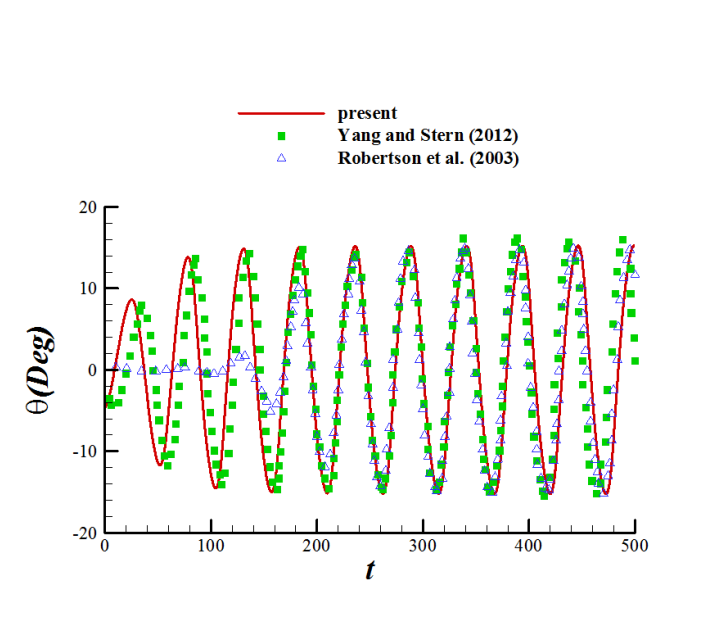


Fig. 25. Phase plot for the cylinder with  $m^* = 0.7$  to  $m^* = 0.3$ .

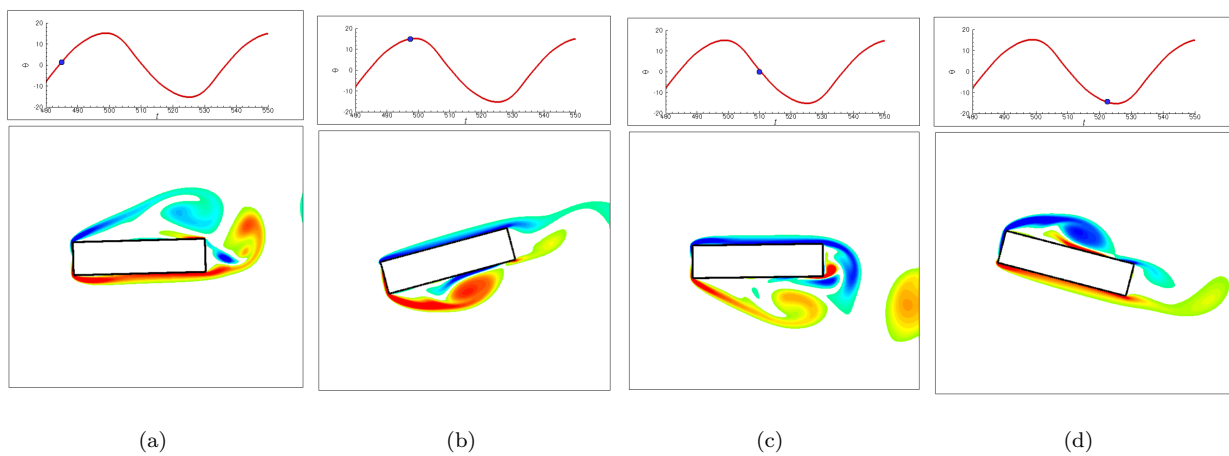
#### 520 4.7 Vortex induced rotation of a rectangular cylinder

521 After the validation about the solid body with a smooth boundary, the vortex induced rotation of a  
 522 rectangular cylinder is further simulated in this subsection. For such configuration,  $I^* = I_s/(\rho_s D^4)$  is adopted  
 523 to measure the inertial moment of the body, and the aspect ratio of the cylinder is fixed as 0.25. In this  
 524 subsection,  $Re = 250$ ,  $U^* = 40$  and  $I^* = 400$  are adopted with two sets of damping ratios  $\zeta = 0.25$  and  
 525  $\zeta = 0.00$ . As shown in Fig. 26, with the larger damping ratio, the rotational motion of the cylinder is restricted  
 526 within  $\theta \approx \pm 15^\circ$ . In the present work, an initial angular displacement is enforced for an accelerated process  
 527 of the cylinder reaching its periodic state. Such treatment leads to a phase difference between the present and  
 528 previous predictions about the angular displacement. Furthermore, because of the numerical inconsistency for  
 529  $St$ , i.e., the dimensionless frequency of vortex shedding, an additional phase shift also exists for the long term  
 530 prediction about angular displacement. To match such phase difference, the present results have been shifted  
 531 in time. As shown in Fig. 26, both the amplitude and the frequency of the present results agree well with the  
 532 previous ones. From Fig. 27, it can be observed that the sharp corner of the structure and the resulting flow  
 533 separation/re-attachment are well captured.

534 When the damping ratio decreases, the rotational motion of the cylinder increases obviously. With  $\zeta = 0.00$ ,  
 535 the rotation amplitude reaches about  $120^\circ$ , which is over 10 times larger than that with  $\zeta = 0.25$ , as shown in  
 536 Fig. 28. After about 6 periods, the rotational motion of the cylinder reaches its steady state. In Robertson  
 537 et al. (2003), the numerical model failed to simulate such large-amplitude rotation, while the sharp interface  
 538 immersed boundary model (Yang and Stern, 2012) removed such restriction. As it can be observed in Fig. 28,  
 539 the present results are in good agreement with that in Yang and Stern (2012), which confirms the robustness  
 540 of the present immersed boundary method over the traditional body-fitting model. As shown in Fig. 29, with  
 541 the large-amplitude rotation, the complex vortex structure can be observed in the wake of the cylinder.



**Fig. 26.** Angular displacement of the rectangular cylinder with  $\zeta = 0.25$ .



**Fig. 27.** Flow field around the rectangular cylinder with  $\zeta = 0.25$ .

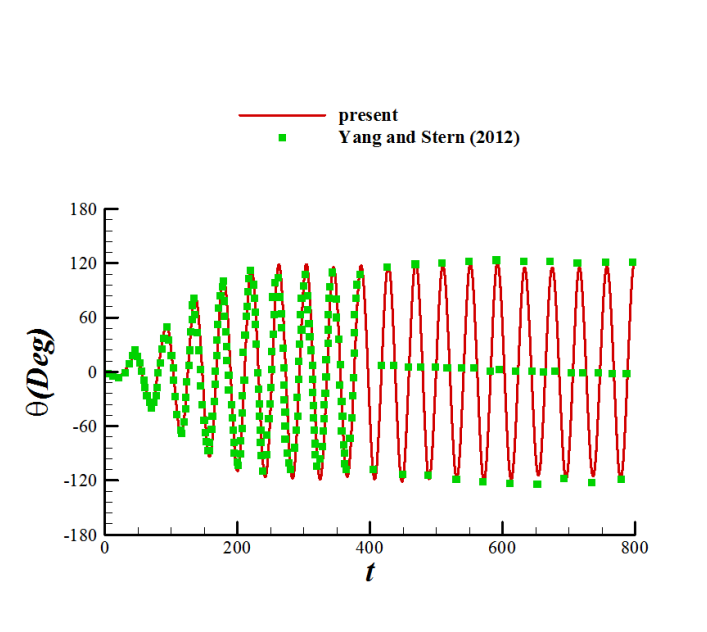


Fig. 28. Angular displacement of the rectangular cylinder with  $\zeta = 0.00$ .

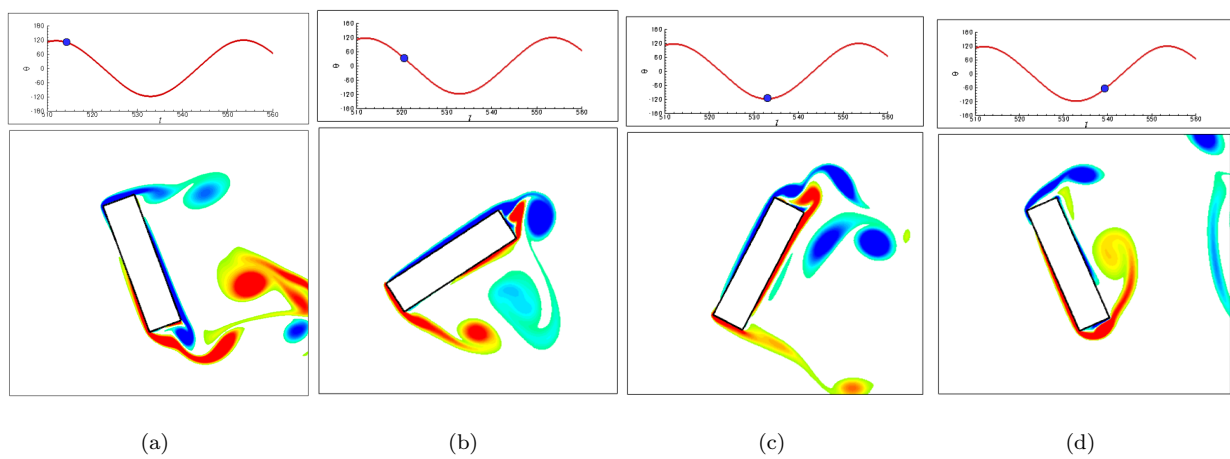


Fig. 29. Flow field around the rectangular cylinder with  $\zeta = 0.00$ .

## 542 5 Conclusions

543 In the present work, an efficient Volume of Solid (VOS) based Immersed Boundary (IB) model is built to  
544 simulate the fluid flow with complex and moving boundaries. To obtain a unified equation in the entire domain,  
545 the VOS based combination strategy for the flow equations and the solid body motion is developed. With the  
546 direct forcing algorithm, the no-slip boundary condition is enforced effectively without any special treatment  
547 around the structure boundary. Compared with the surface based immersed boundary model, the dependency  
548 of the surface discretization on the resolution of the fluid domain is removed, and only the surface mesh, which  
549 represents its geometrical characteristics, is sufficient for the model. To solve the interaction between thin-  
550 wall structures and fluid flows and further the fluid-structure interaction problems, the present IB model has  
551 been coupled with a Lattice Boltzmann Method (LBM), and a local mesh refinement model, together with an  
552 adaptive strategy for flow prediction, is developed to improve the efficiency and stability of the model.

553 Compared with the surface based IB-LBM model, the forcing factor based on the equilibrium velocity  
554 has been shown to produce over-corrected flow field in the solid phase and further produces unphysical force  
555 oscillation. As a simple remedy about such issue, an optimized forcing factor based on the post-collision state  
556 is recommended to control such force oscillation. In addition to the classical benchmark cases, the fluid flow  
557 with moderate  $Re$  number is also simulated for the Vortex induced Vibration (VIV) problems. The agreement  
558 between the present results and the previous well-validated ones confirms the accuracy and the robustness of  
559 the present model. Furthermore, even the present numerical model is developed for the 2D situations, it can be  
560 easily extended to solve the 3D problems without special difficulties.

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