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1	Principal Component Analysis to Determine the Surface Properties that Influence the
2	Self-Cleaning Action of Hydrophobic Plant Leaves
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23 ABSTRACT

It is well established that many leaf surfaces display self-cleaning properties. However, an 24 understanding of how the surface properties interact is still confounding. Consequently, twelve 25 different leaf types were selected for analysis due to their water repellency and self-cleaning 26 properties. The most hydrophobic surfaces demonstrated splitting of the v_s CH₂ and v CH₂ 27 bands, ordered platelet-like structures, crystalline waxes, high surface roughness values, high 28 29 total surface free energy and apolar components of surface energy, and low polar and Lewis base components of surface energy. The surfaces that exhibited the least roughness and high 30 31 polar and Lewis base components of surface energy had intracuticular waxes, yet still demonstrated self-cleaning action. Principal component analysis demonstrated that the most 32 hydrophobic species shared common surface chemistry traits with low intra-class variability, 33 34 whilst the less hydrophobic leaves had highly-variable surface chemistry characteristics. Despite this, we have shown through partial least squares regression that leaf water contact 35 angle (i.e. hydrophobicity) can be predicted using attenuated total reflectance Fourier transform 36 infrared spectroscopy surface chemistry data with excellent ability. This is the first time that 37 such a statistical analysis has been performed on a complex biological system. This model 38 could be utilised to investigate and predict the water contact angles of a range of biological 39 surfaces. An understanding of the interplay of properties is extremely important when 40 41 producing optimised biomimetic surfaces.

42

43 Keywords: Biomimetic; plant; roughness; superhydrophobic; wax; self-cleaning.

45 INTRODUCTION

There has been significant interest directed towards producing biomimetic surfaces 46 with controlled surface wetting properties.¹ Much of this work has concentrated on altering 47 surface topography and chemistry to produce superhydrophobic surfaces. It is generally 48 considered that the topography of plant surfaces is the main factor influencing water contact 49 angle (WCA), and hence water repellency.^{2,3} Specifically, hierarchical structures at the macro 50 and micro levels (the Lotus effect) are associated with superhydrophobicity of leaf surfaces.⁴ 51 The leaves are also self-cleaning, meaning that rolling droplets can remove microorganisms 52 53 and other contaminants from their surfaces. Numerous biomimetic surfaces have been developed which emulate the topography of superhydrophobic leaves to achieve self-cleaning, 54 water repellency, and anticontamination properties.^{5–8} However, many self-cleaning surfaces 55 produced with biomimetic topographies still require chemical modification to exhibit 56 superhydrophobicity. Many plant surfaces are hydrophobic (WCA >110°) 57 or superhydrophobic (WCA $> 150^{\circ}$).⁹ However, in nature, there are also several leaf surfaces that 58 display self-cleaning and water-repellent behaviours, and yet they are not superhydrophobic 59 and may not have predominant topographical features. 60

It is well established that the wax layer on leaf surfaces, in particular epicuticular wax 61 crystals, makes an essential contribution to surface hydrophobicity.¹⁰ The chemical 62 compositions of such waxes from numerous leaf surfaces have been determined.^{11–17} However, 63 64 the exact relationship between surface chemistry and topography, in addition to their influence on surface physiochemistry is not fully understood. Consequently, producing biomimetic 65 surfaces that maintain their anti-wetting features still presents a significant challenge. Thus, an 66 67 understanding of the key surface properties that result in the water repellency of natural surfaces is essential to further the development of biomimetic surfaces. 68

The aim of this work was to determine the relationship between the surface topography, chemistry, and physiochemistry of a selection of plant leaves that demonstrated self-cleaning properties. This was implemented through a combination of complementary experimental techniques and modelling methods to identify the key parameters that resulted in the selfcleaning properties of these natural surfaces. This information is vitally important to many aspects of industry where producing low-cost and consistent biomimetic surfaces is a priority.

75

76 EXPERIMENTAL

77 *Leaf collection*

Plant leaves were selected based on their ability to repel water. Many leaf types were 78 sprayed with water for 1 min and then immediately assessed. Those that exhibited no residual 79 80 water droplets or spherical spray droplets on their surfaces were deemed the most hydrophobic, and therefore selected. Leaves from the following plants were collected 81 (Westhoughton, Greater Manchester, UK) between the months of September and November 82 2017: Aquilegia vulgaris (Aquilegia), Citrus sinensis (Orange), Gladiolus hybridus 83 (Gladioli), Hosta sieboldiama (Hosta), Hyacinthus litwinovii (Hyacinth), Ilex aquifolium 84 (Holly), Lathvrus odoratus (Sweet pea), Lupinus polyphyllus (Lupin), Nymphaea odorata 85 (Water lily), Pelargonium graveolens (Geranium), Prunus laurocerasus (Laurel), and 86 87 Rhododendron azaleastrum (Azalea). Leaf samples were either used within 2-4 h of harvesting or stored at 4 °C for a maximum of 24 h before use. The leaves were stored 88 individually in plastic bags until use to ensure that the loss of humidity from the leaf was 89 reduced. A number of separate batches of mature leaves were collected on different days over 90 91 the two-month experimental period (n = 10).

The total surface free energy (γ_s) and the apolar (γ_s^{LW}), polar (γ_s^{AB}), Lewis acid (γ_s^+), 94 and Lewis base (γ_s) free energy components of the adaxial surfaces of the leaves were 95 determined using contact angle goniometry. The surface energy components of the leaves were 96 calculated according to the work by van Oss and colleagues.¹⁸⁻²⁰ A KRÜSS sessile drop 97 goniometer (GH11 KRÜSS, France) was used to perform the measurements with three test 98 liquids: HPLC grade water (BDH, UK), formamide (Sigma-Aldrich, UK), and diiodomethane 99 100 (Alfa Aesar, UK). For each plant species, except the Rhododendron azaleastrum, samples were cut from different parts of the same leaf and attached to microscope slides using double-sided 101 102 adhesive tape (3M, UK). In the case of Rhododendron azaleastrum, individual leaves were used for each test liquid. For all test liquids, the droplet volume was 5 µL and was dispensed 103 using a micro-syringe dedicated to a single solvent. 104

For each plant species, the van Oss and Good equations were used to obtain the surface energy components from the contact angles of the three test liquids on the leaf surfaces.^{18–20} The surface free energy components of these three liquids were taken from Bos et al.²¹ (Supporting Information: Table S1).

109 The contact angles of each test liquid were obtained from five different areas on the 110 leaf, therefore average values were used to obtain the physicochemical parameters. The 111 statistical error in the calculated surface energy components was estimated from the contact 112 angles of each test liquid by using propagation of error principles. The interfacial free energy 113 (ΔG_{iwi}) was used as a measure of the hydrophobicity of a leaf surface where greater (negative) 114 ΔG_{iwi} values related to more hydrophobic surfaces.

115

116 *Optical profilometry and scanning electron microscopy (SEM)*

The surface topographies of the leaves were investigated using a previously described
method with a MicroXAM (phase shift) surface mapping microscope (ADE corporation, XYZ

121	to obtain the average surface foughness (S_a) , foot mean square foughness (S_q) , and average
171	to obtain the average surface roughness (S) root mean square roughness (S) and average
120	(Omniscan, UK). A MAPVIEW AE 2.17 (Omniscan, UK) image analysis system was utilised
119	model 4400 ml system, USA). ²² The optical profilometer used an AD phase shift controller

SEM images were obtained using a Supra 40VP SEM (Carl Zeiss Ltd., UK) with an 123 adapted protocol.²³ The leaf samples were soaked for 24 h at 4 °C in 4 % v/v glutaraldehyde 124 (Agar Scientific, UK). The leaf samples were removed and the excess glutaraldehyde was 125 washed from the leaf surface using sterile water. The leaf samples were then dried overnight. 126 Following drying, the samples were cut into ca. 6 mm² coupons. The adaxial sides of the leaves 127 were fixed to carbon pads on SEM stubs (Agar Scientific, UK). The fixed leaf surfaces were 128 sputter coated with gold (Polaron, UK) using the parameters: 5 mA, < 0.1 mbar, and 800 V in 129 argon gas. 130

131

132 Attenuated total reflectance Fourier transform infrared spectroscopy (ATR-FTIR)

ATR-FTIR analysis was performed on leaf samples using a Spectrum Two FT-IR 133 Spectrometer (PerkinElmer, UK) fitted with a UATR single bounce ATR accessory with a 134 diamond (refractive index 2.40) internal reflection element (IRE) (45° angle of incidence) and 135 LiTaO₃ detector. For each leaf sample, five different areas were analysed obtaining the spectra 136 over the range of 450 to 4000 cm⁻¹. Spectra were made up of four scans with the resolution set 137 to 4 cm⁻¹ and the results were expressed in absorbance. It is worth noting that the penetration 138 depth of the evanescent wave into the leaf surface from the IRE can be estimated to be 1.5 µm 139 at 2900 cm⁻¹ and 6.1 µm at 700 cm⁻¹. Calculations based on the equation by F. Mirabella 140 assumed the leaf surface to be mainly hydrocarbon, i.e. paraffin wax (refractive index 1.45).²⁴ 141 Due to the topographical aspects potentially affecting contact with the IRE, the spectra were 142 not corrected for wavelength dependent penetration depth. 143

145 Statistical analysis

Error bars were representative of the standard deviation or \pm 5% error. One-way analysis of variance (ANOVA) followed by Newman-Keuls tests were performed using R. 3.4.1 software. Differences between samples were considered statistically significant for *p* values < 0.05.

150

151 Principal component analysis (PCA)

PCA was carried out on the ATR-FTIR data measured using R (version 3.2.2, R Core Team, 2015)²⁵ and R Studio (version 0.99.486, R Studio Team).²⁶ PCA analysis was performed using the prcomp function as part of the stats package by singular value decomposition of the centred and scaled data matrix.²⁵ Results of this analysis were visualised using the factoextra package (version 1.0.5) and ggplot2.²⁷

157

158 Partial least squares regression (PLSR)

PLSR was carried out on the ATR-FTIR data using R (version 3.2.2, R Core Team, 2015)²⁵ and R Studio (version 0.99.486, R Studio Team, 2015).²⁶ PLSR analysis was performed using the plsr function as part of the pls package (version 2.7-1).²⁸ The kernel algorithm was used on a mean-centred predictor and response data matrix. Leave-one-out cross-validation was utilised to optimise the number of components (8) to be used in the final model.

164

165 **RESULTS AND DISCUSION**

166 *Physicochemical properties of the leaf surfaces*

167 The physicochemical parameter values are represented in radar graphs (Figure 1) to 168 provide a physicochemical map for each leaf surface. Furthermore, the physicochemical

parameters of the twelve leaf surfaces are presented in Table 1. The γ_s^{LW} values of all the leaves 169 were higher than their corresponding γ_s^{AB} values. Therefore, all the leaves investigated had 170 non-polar adaxial surfaces. The three most hydrophobic leaves (Gladiolus hybridus, Lupinus 171 *polyphyllus*, and *Lathyrus odoratus*) demonstrated high γ_s^{LW} values and substantially lower 172 γ_s^{AB} , γ_s^+ , and γ_s^- values. These patterns were represented in the graphs in Figure 1 by thin 173 asymmetric diamond shapes with the long arm pointing upwards. The base and short downward 174 arm of the diamond was formed from the relatively insignificant γ_s^{AB} , γ_s^+ , and γ_s^- contributions 175 to the total surface energy. The less hydrophobic surfaces had higher γ_s values which led to 176 177 graphs with wider bases and various triangular shapes.



180 Figure 1. Radar graphs showing the magnitude of the surface energy components for each leaf

181 type.

Leaf $\angle \Box G$		$\tilde{J}_{\rm iwi}$	γs		$\gamma_{\rm s}{}^{\rm LW}$		$\gamma_{\rm s}{}^{\rm AB}$		$\gamma_{ m s}^+$		γ	s
Lathyrus	-91.7	(9.4)	11.6	(1.0)	11.6	(0.9)	0.1	(0.3)	0.0	(0.0)	0.38	(0.43)
oaoraius Gladiolus hybridus	-91.3	(12.2)	6.0	(1.2)	5.4	(1.0)	0.6	(0.7)	0.4	(0.4)	0.25	(0.44)
Lupinus polyphyllus	-74.7	(10.6)	16.4	(1.4)	16.2	(0.7)	0.2	(1.2)	1.8	(0.7)	0.00	(0.06)
Aquilegia vulgaris	-64.2	(16.4)	6.9	(2.1)	4.2	(1.0)	2.7	(1.8)	0.4	(0.4)	4.9	(2.90)
Pelargonium graveolens	-54.0	(7.9)	31.5	(1.5)	28.1	(0.9)	3.4	(1.2)	3.3	(0.7)	0.9	(0.57)
Nymphaea odorata	-41.1	(6.5)	35.9	(1.3)	29.0	(0.9)	6.9	(1.0)	2.6	(0.5)	4.5	(1.01)
Hyacinthus litwinovii	-38.3	(7.9)	37.1	(1.4)	36.6	(0.6)	0.5	(1.3)	0.0	(0.0)	10.9	(1.97)
Prunus laurocerasus	-30.1	(6.8)	32.0	(1.2)	29.1	(0.6)	2.8	(1.1)	0.2	(0.1)	12.2	(1.91)
Rhododendron	-14.5	(11.1)	30.3	(2.3)	29.9	(0.6)	0.4	(2.2)	0.0	(0.0)	19.3	(3.76)
Ilex	-6.0	(10.5)	38.8	(2.2)	31.5	(0.6)	7.3	(2.1)	0.6	(0.3)	23.1	(3.98)
Hosta	-5.7	(32.6)	32.6	(2.8)	27.6	(1.2)	5.0	(2.6)	0.3	(0.3)	22.8	(3.50)
Citrus sinensis	-2.3	(10.2)	36.4	(2.2)	29.0	(0.6)	7.5	(2.1)	0.6	(0.3)	24.8	(3.99)

Table 1. Physicochemical parameters of the adaxial surface of the leaf samples. The values are expressed in mJ/m^2 and the errors are in parenthesis.

186 *Surface topography*

The topographies of the leaves were investigated at the macro, micro, and nanoscale 187 scale to comprehensively analyse the morphology of the surface features. Low magnification 188 SEM images (Figure 2) revealed that the macro topographies of the leaves were most 189 commonly characterised by platelet-type features (Figure 2a, g, j, m, p, s, ee, and hh). However, 190 191 some exhibited quite different surface topographies, including homogenous distributions of raised nodules (Figure 2d), subtle network structures (Figure 2v and y), and very flat, almost 192 featureless surfaces (Figure 2bb). Optical profilometry images highlighted the micro 193 topographies of the leaf surfaces and revealed more detailed information regarding the varied 194

195 platelet-like morphology. For example, the average platelet feature length ranged from 10 to 196 45 µm and some were very regular in shape (Figure 2h, n, and ff), whilst others were far more 197 irregular (Figure 2b, k, t, and ii). The nano features of the surface topographies were 198 investigated using high magnification SEM. The images demonstrated that wax nanocrystals 199 were present on all the leaf surfaces in varying amounts. The most hydrophobic surfaces 200 (Figure 2c, 2f, 2i, and 2l) exhibited dense distributions of wax nanocrystals, whilst the less 201 hydrophobic surfaces had far smaller amounts (Figure 2x, aa, dd, gg, and jj).



a) Lathyrus odoratus





b)







g) Lupinus polyphyllus

×180 100

12kU

d) Gladiolus hybridus



j) Aquilegia vulgaris



m) Pelargonium graveolens



p) Nymphaea odorata

h)

12000 nm[•]

-12000 nm













18.



Figure 2. Optical profilometry and SEM images of the adaxial surfaces of the leaf samples
demonstrating their macro, micro, and nano surface topographies.

207 Table 3 presents the surface roughness values for each leaf type. The results demonstrate that the more hydrophobic surfaces generally had the greatest roughness values, whilst the least 208 hydrophobic surfaces had the lowest roughness values. However, there were some exceptions 209 to this trend. For example, the Pelargonium graveolens had the largest roughness values. 210 Similarly, the Hyacinthus litwinovii leaf, which was not one of the most hydrophobic surfaces, 211 also had large S_a (2.8 µm) and S_{pv} (118.9 µm) values. Generally, the least hydrophobic surfaces 212 had less defined surface features. The exception was the Hosta sieboldiama, which had low 213 surface roughness values but still demonstrated defined platelet-type features on its surface 214 215 (Figure 2n).

216

Table 3. Surface roughness parameters of the leaf samples obtained from the optical

218 profilometry data. The standard deviations are indicated in parenthesis.

Leaf	S_a (µm)	$S_q (\mu m)$	S_{pv} (µm)
Lathyrus odoratus	3.2 (0.6)	3.9 (0.7)	36.5 (8.5)
Gladiolus hybridus	2.6 (0.9)	3.6 (1.1)	69.2 (20.1)
Lupinus polyphyllus	4.3 (1.4)	5.3 (1.8)	41.1 (10.1)
Aquilegia vulgaris	2.9 (0.4)	3.6 (0.6)	41.5 (8.1)
Pelargonium graveolens	5.9 (1.5)	9.4 (2.0)	148.2 (15.2)
Nymphaea odorata	0.8 (0.1)	1.1 (0.2)	9.9 (3.0)
Hyacinthus litwinovii	2.8 (0.9)	3.5 (1.2)	118.9 (19.2)
Prunus laurocerasus	2.0 (0.4)	2.4 (0.4)	13.4 (2.8)
Rhododendron azaleastrum	2.2 (1.1)	2.9 (1.5)	40.0 (21.4)
Ilex aquifolium	1.2 (0.2)	1.4 (0.2)	9.0 (2.0)
Hosta sieboldiama	2.0 (0.4)	2.5 (0.5)	28.8 (11.5)
Citrus sinensis	1.6 (0.4)	2.1 (0.5)	31.9 (18.6)

ATR-FTIR was used to identify the major classes of chemical species within the first 220 few microns of the adaxial surfaces of the leaves (Figures 3 and 4). All the leaf spectra generally 221 featured a relatively strong and broad hydrogen-bonded OH stretching band centred at 3300 222 cm⁻¹ (Peak A, Figure 3). For the Prunus laurocerasus and Hosta sieboldiama, this band was 223 noticeably weak relative to the C-H stretching bands (centred at ca. 2900 cm⁻¹). However, for 224 225 the Nymphaea odorata, the OH band was more intense than the C-H band. The Gladiolus hybridus, Citrus sinensis, Hyacinthus litwinovii, and Pelargonium graveolens leaves had an 226 OH band that was of near equal intensity to the C-H band. The OH band can be assigned to 227 alcohols, carboxylic acids, and water. All the leaves exhibited two bands at 2916 and 2846 cm⁻ 228 ¹ (asymmetric (v_{as} CH₂) and symmetric (v_{s} CH₂) methylene C-H stretching, respectively), 229 which corresponded to the non-polar hydrocarbon compounds on their surfaces. The 230 accompanying methylene C-H deformation (scissoring (v_s CH₂)) and C-H rocking (ρ CH₂) 231 bands centred at ca. 1450 and 720 cm⁻¹, respectively, were also evident. All the leaves featured 232 233 carbonyl (C=O) bearing species that generally absorbed at 1735 cm⁻¹, indicating the possible 234 dominance of ester-based compounds present in the leaf composition. A broad collection of bands centred at ca. 1630 cm⁻¹ was also evident on all the leaves which can be assigned mainly 235 to H-O bending modes of the water molecule. In all cases, except Hosta sieboldiama and to a 236 lesser extent Prunus laurocerasus, the absorbance of this band was indicative of the OH 237 stretching band. In the exceptional cases of Hosta sieboldiama and Prunus laurocerasus, there 238 was significant confounding with other chemical species that absorbed in the same region. For 239 Hosta sieboldiama, there was a strong and sharp band (1640 cm⁻¹) in this region. For all the 240 leaf surfaces, a band at 1055 cm⁻¹ was detected which could correspond to the C–O stretching 241 of primary alcohols that are present. 242



Wavenumber cm⁻¹

243

Figure 3. C-H stretching, C-H deformation, and C-H rocking regions of the ATR-FTIR spectra
of the leaf samples showing variations in hydrocarbon content and structural ordering of wax
components (splitting of the v_s CH₂ and *ρ* CH₂ bands). Lo: *Lathyrus odoratus*, Gh: *Gladiolus hybridus*, Lp: *Lupinus polyphyllus*, Av: *Aquilegia vulgaris*, Pg: *Pelargonium graveolens*, No: *Nymphaea odorata*, Hl: *Hyacinthus litwinovii*, Pl: *Prunus laurocerasus*, Ra: *Rhododendron*

249 *azaleastrum*, Ia: *Ilex aquifolium*, Hs: *Hosta sieboldiama*, Cs: *Citrus sinensis*.

A band at 1032 cm⁻¹ was detected on the Lathyrus odoratus, Nymphaea odorata, 250 Hyacinthus litwinovii, Prunus laurocerasus, Ilex aquifolium, Hosta sieboldiama, and Citrus 251 sinensis leaf surfaces, which could correspond to the C-O stretching of secondary alcohols. 252 Interestingly, pronounced splitting of the v_s CH₂ and ρ CH₂ bands was detected in the spectra 253 of the Gladiolus hybridus, Hosta sieboldiama, Lupinus polyphyllus, and Lathyrus odoratus, 254 which can be assigned to the presence of highly crystalline wax structures (Figure 4). This was 255 also evident on the Aquilegia vulgaris and Pelargonium graveolens leaf surfaces but to a lesser 256 extent. The *Ilex aquifolium* and *Prunus laurocerasus* surfaces exhibited a single ρ CH₂ band at 257 719 cm⁻¹, which could indicate a disordered (liquid-like) arrangement of long alkyl chains. The 258 Hyacinthus litwinovii and Nymphaea odorata showed weak v_s CH₂ and ρ CH₂ bands hindering 259 detection of splitting. The Hosta sieboldiama differed from the other surfaces in that it also 260 demonstrated a $v_{\alpha s}$ CH₂ band at 2925 cm⁻¹ and a C=O peak at 1640 cm⁻¹. Overall with regards 261 to the surface chemistries, the molecules observed on all the surfaces were those likely to be 262 related to the cutin structure of the surface.¹⁴ The results demonstrate that the main differences 263 in the surface chemistry were related to the disordered arrangement of wax-like chains (Prunus 264 *laurocerasus* and *Hosta sieboldiama*), in addition to the C=O and $v_{\alpha s}$ CH₂ group of the *Hosta* 265 266 sieboldiama.





Figure 4. ATR-FTIR spectra showing OH and CH stretching and fingerprint regions of the leaf surfaces (leaf coding is as for Figure 3). Note that absorbance has been normalised to the C-H stretching bands to compensate for differences in effective contact area. Band I: carbonyl stretching from esters and other carbonyl compounds; Band II: assigned to the H-OH bending vibration of water; Bands III: various C-O stretching and C-N stretching vibrations.

Overall, there were some clear demarcations in the surface properties and composition 273 of the leaf samples. The Lathyrus odoratus, Gladiolus hybridus, and Lupinus polyphyllus were 274 the most hydrophobic with ΔG_{iwi} values of -91.7, -91.3, and -74.7 mJ/m², respectively. Their 275 surface properties fitted with the Cassie-Baxter model of self-cleaning surfaces and they shared 276 common traits such as high S_a , S_q , and S_{pv} values, and low γ_s^{LW} , γ_s^{AB} , and γ_s^{-} components. 277 However, the surface topography of the Lathyrus odoratus and Lupinus polyphyllus was 278 279 characterised by platelet-like features, whereas the *Gladiolus hybridus* surface was populated by a homogenous distribution of raised nodules. The presence of wax nanocrystals was also 280 evident on all three surfaces. Furthermore, their ATR-FTIR spectra exhibited splitting of the v_s 281 CH_2 and νCH_2 bands. This indicated hydrocarbon wax crystallisation, which was in agreement 282 with the SEM images that showed distinct wax crystallisation on their surfaces. Thus, it 283 appeared that a high degree of surface roughness and the presence of a dense population of wax 284 nanocrystals corresponded to a very hydrophobic leaf surface. However, it did not appear that 285 the shape of the surface features distinctly influenced its hydrophobicity. This fits with the 286 Cassie-Baxter model, whereby air (or gas) pockets may be trapped in the cavities of a rough 287 surface, resulting in a hydrophobic surface due to a composite interface with air pockets trapped 288 under the droplet.³⁰ It has also been suggested that nanoroughness is required to support 289 nanodroplets.^{31,32} Thus, it might be likely that an interplay of all these factors resulted in the 290 most hydrophobic surfaces, although the exact parameters that impact this effect are still 291 unclear. 292

The *Aquilegia vulgaris* was the fourth most hydrophobic surface and had greater γ_s^{AB} and γ_s^- components, as well as less splitting of the v_s CH₂ and v CH₂ bands compared with the three most hydrophobic leaves. The *Pelargonium graveolens* was the fifth most hydrophobic surface and the only one with distinct trichomes. The *Pelargonium graveolens* had higher γ_s^{LW} , γ_s^{AB} , and γ_s^+ components than the four most hydrophobic surfaces which is likely influenced by the trichomes on its surface. Godeau et al.³³ observed that the trichomes on *Echeveria pulvinata*leaves were hydrophobic, whilst the surface from which the trichomes protruded was
hydrophilic. Water droplets may also rest on the trichomes as perfect spheres which means they
can easily run off the leaves.¹

The surfaces with intermediate hydrophobicity (Nymphaea odorata, Hyacinthus 302 litwinovii, Prunus laurocerasus, and Rhododendron azaleastrum) had mostly featureless 303 topographies, with the exception of the Hyacinthus litwinovii (platelet-like features). 304 Furthermore, there were no clear trends in their surface roughness or physicochemical values. 305 306 From the analysis, it was expected that the Nymphaea odorata would have been more hydrophobic. However, the Nymphaea odorata surfaces used in this work had very few 307 topographical features. Additionally, compared to the other leaves, the Nymphaea odorata 308 309 surface exhibited intense OH stretching bands in the ATR-FTIR results, which would render the surface more polar, and hence less hydrophobic. This effect may have been due to the age 310 of the leaf,^{2,34}, thus suggesting that further studies are required to determine the surfaces of 311 leaves over time with respect to changes in their self-cleaning and water repellent properties. 312

313

314 PCA and PLSR modelling of surface chemistry data

The ATR-FTIR spectral data provided a basis for further statistical analysis of the 315 316 leaves. PCA was performed to analyse the results of the ATR-FTIR measurements to provide a greater understanding of the relationships between the variables within the data.²⁹ The PCA 317 data is presented using score plots labelled by leaf type on the top and class (i.e. level of 318 hydrophobicity) on the bottom (Figure 5), in addition to the loading plots of the first three 319 principal components (Figure 6). Analysing the loading plots (Figure 6) for each of the 320 principal components enabled the determination of which section(s)/peaks of the ATR-FTIR 321 spectra were influential in the relative positioning of the leaves in PCA and could be used to 322

relate back to the original spectra. Additionally, analysing the PCA when categorising the 323 samples according to their classification (surface wettability) revealed that the most 324 hydrophobic leaves shared common surface chemistry traits, demonstrated by being grouped 325 in close proximity with low intra-class variability (Figure 5, bottom). Based on their placement 326 in principal component 1 (PC1), it was concluded that the most hydrophobic leaves had strong 327 peaks pertaining to the OH stretching band, which could be observed when analysing the raw 328 data (Figure 5, bottom). The hydrophobic leaves also exhibited strong asymmetric (v_{as} CH₂) 329 and symmetric (v_s CH₂) methylene C-H stretching peaks at ~2916 and ~2846 cm⁻¹, 330 331 respectively, in addition to weak carbonyl ester peaks at ~1735 cm⁻¹. Furthermore, one of the peaks that was a unique contributor to principal component 3 (PC3) included the split CH₂ peak 332 $(\sim 1475 \text{ cm}^{-1})$ methylene asymmetric ($v_{as} \text{ CH}_2$) C-H deformation which was only present in the 333 most hydrophobic leaves. This peak is indicative of non-cutin wax structures. In contrast, the 334 least hydrophobic leaves have highly-variable surface chemistry as measured by ATR-FTIR, 335 demonstrated by their scattered positions in the score plots. Interestingly, with the exception of 336 a few of the leaf samples, the hydrophobic and less-hydrophobic classified leaves could almost 337 be completely separated on the basis of their position according to PC3. This indicated that the 338 characteristics that contributed to this principal component could be used to distinguish 339 between these classifications and that their profile/values for these bands and corresponding 340 341 functional groups were very important in determining the hydrophobicity of a surface.



Figure 5. Score plots labelled by leaf type (top) and class, i.e. hydrophobicity, (bottom) of PC1

344 vs PC2 (left) and PC1 vs PC3 (right) for the PCA of the ATR-FTIR spectra.



34<u>'</u>

Figure 6. Loading plots for the PCA of the ATR-FTIR spectra of the first three PCs. PC1 (top),
PC2 (middle), and PC3 (bottom).

349 Assessing the data by leaf type, it was clear that the ATR-FTIR of *Prunus laurocerasus* and *Ilex aquifolium* were very distinct from each other, as well as the other leaves in terms of 350 the components that contribute to PC1 (Figure 5, top). Additionally, the Hosta sieboldiama 351 was different from the other leaves ($\nu_{\alpha s}$ CH₂, C=O) that contributed to PC2. On the 352 determination of which areas of the ATR-FTIR spectra had distinct loadings for PC2 compared 353 to the other PCs, it was observed that PC2 featured (among others) peaks at 2925 and 1640 cm⁻ 354 ¹ (downwards), and was the only PC that had notable influence by peaks in the 800-650 cm⁻¹ 355 range (Figure 6 middle). In contrast to the other leaf samples, Hosta sieboldiama exhibited a 356 notable peak centred at ~2925 cm⁻¹ shouldering the v_s CH₂ band (ca. 2916 cm⁻¹) and was the 357 only leaf to exhibit a sharp absorption at 1640 cm⁻¹. Furthermore, the *Hosta sieboldiama* leaves 358

showed a very strong peak in the C-H rocking (ν CH₂) region at ~720 cm⁻¹, an area that also 359 influences PC2 and further accounts for its marked positioning in PC2 away from other leaves. 360 While differences in various methylene frequencies were largely demonstrated by PC2, 361 the broad hydrogen bonded OH stretching band centred at 3300 cm⁻¹ was a main contributor to 362 PC1 (Figure 6, top). As such, the positioning of the species along the horizontal axes of all the 363 score plots in Figure 5, representing PC1, was demonstrative of the strength of this peak. As 364 aforementioned, this peak was very weak for Prunus laurocerasus which explains its leftmost 365 position in the PCA score plots. Furthermore, this peak was also weak for the Ilex aquifolium 366 and Hosta sieboldiama, and thus they were also positioned on the left side in PC1. In contrast, 367 the Nymphaea odorata had the most prominent OH stretching band, accounting for its 368 rightmost positioning in PC1. The H-O bending modes at ca. 1630 cm⁻¹ also contributed to 369 PC1, with lower intensity peaks differentiating Prunus laurocerasus and Ilex aquifolium from 370 the other samples. It can be surmised that PC1 was mostly influenced by ATR-FTIR peaks 371 attributable to O-H bands (stretching ~3300 and 1630 cm⁻¹), while PC2 was most influenced 372 by C-H methylene-related bands. 373

374 In addition to the PCA, further statistical analysis was performed using PLSR to model the leaves WCA, and hence their surface wettability from the ATR-FTIR measurements. To 375 the Authors knowledge, this was the first time that such a model has been implemented on a 376 complex biological system, whereby an attempt using such a system was used to determine if 377 it was possible to relate surface chemistry and WCA. It was found that the PLSR model was 378 able to account for ~95% of the variation in WCA with eight components. This showed that 379 extremely variable data was able to be explained by the developed model, indicating a high 380 level of applicability of such a system to analyse complex data. Analysing the loading plot 381 (Figure S1) for the first three principal components for the model (PC1, 85%, black solid line; 382 PC2, 8%, red dashed line and PC3, 5%, green dotted line), observations could be made about 383

the influence of various bands in the ATR-FTIR spectra that were most influential to this 384 model, in relation to the WCA of the leaf surface. As seen following PCA, PC1 for this model 385 was strongly influenced by the prominent OH stretching band centred at 3300 cm⁻¹, whilst PC2 386 was largely influenced by this peak but also the asymmetric and symmetric methylene CH₂ C-387 H stretching peaks at ~2916 cm⁻¹ and ~2846 cm⁻¹, respectively. These bands within the loading 388 plots were the main contributors to these principal components which attests to their 389 importance and influence on the WCAs. Validation of the resulting regression model from this 390 analysis indicated very good correlation ($R^2 = 0.86$) between measured and predicted values, 391 392 indicating its potential for predicting surface hydrophobicity from ATR-FTIR spectra of a given surface (see Figure S2 for the plot depicting the performance of the PLSR model). 393

The PCA identified the Prunus laurocerasus and Ilex aquifolium surfaces as being 394 chemically different to the other leaves. Both these surfaces demonstrated disordered (liquid-395 like) arrangements of long alkyl chains which may have contributed to their differentiation in 396 the PCA. The least hydrophobic surfaces, the *Ilex aquifolium*, *Hosta sieboldiama*, and *Citrus* 397 sinensis, had the lowest ΔG_{iwi} values of -6.0, -5.7, and -2.3 mJ/m², respectively. These surfaces 398 also had the highest γ_s , γ_s^{AB} , and γ_s^{-} values. Differentiation in the surface topographies of the 399 three least hydrophobic leaves was more complex compared with the more hydrophobic 400 surfaces. However, the S_a and S_q values of the surfaces were generally lower than the other 401 plant surfaces with the exception of the Nymphaea odorata and Prunus laurocerasus. Both the 402 Ilex aquifolium and Citrus sinensis had surfaces with subtle features. Waxes may influence the 403 chemical difference in the leaves and may be detected using FTIR, even if they occur as filling 404 material within the basic cutin network (intracuticular) rather than being present on top of the 405 cuticle (epicuticular).³⁵ 406

407 The *Hosta sieboldiama* was an anomaly among the leaf samples as it exhibited distinct
408 surface features characterised by platelet-like protrusions, but was the second most wettable

surface. It also had a high γ_s value, in addition to a higher energy shoulder on the v_{as} CH₂ band 409 and a strong sharp absorption at 1640 cm⁻¹ that was not present in any of the other spectra. This 410 peak can be attributed to C=O carbonyl groups present, which demonstrated polar attributes. 411 These features were further highlighted in the PCA, particularly with regard to the positioning 412 within PC2. The ATR-FTIR spectrum of the Hosta sieboldiama exhibited a shoulder on the 413 methylene C-H stretch at ~2925 cm⁻¹, which indicated that different hydrocarbons were present 414 in this species. This was represented in the PCA through the distinct positioning away from the 415 other species. These hydrocarbons may be associated with polar areas that could contribute to 416 the high γ_s values. Furthermore, in the H-OH bend band, the *Hosta sieboldiama* had another 417 absorption (1640 cm⁻¹) superimposed within the spectra, which was also accompanied by a 418 419 small doublet peak at 787 and 777 cm⁻¹. These peaks may be assignable to amine or amide moieties which contributed to the high γ_s values recorded. These observations are likely to be 420 related to the presence of polar domains on the Hosta sieboldiama leaf, which in this case, had 421 a dominant effect on surface hydrophobicity rather than the surface topography. 422

The leaf surfaces studied in this work exhibited a range of different properties and yet 423 all were water repellent and self-cleaning. An elegant explanation was offered by Zhang et al.³⁶ 424 as to why droplets on surfaces with features that are associated with the highly adhesive Wenzel 425 state can also be self-cleaning, like those surfaces that display the typical Lotus attributes 426 associated with the Cassie-Baxter state. It is known that on surfaces consistent with Cassie-427 Baxter properties, self-cleaning is achieved due to low contact angle hysteresis and small 428 hydrodynamic resistance. Zhang et al.³⁶ further suggested that on surfaces in the Wenzel state, 429 small neighbouring droplets can coalesce into bigger ones, and the corresponding release of 430 surface energy results in a transition to the Cassie-Baxter state, and therefore self-cleaning 431 action is achieved. 432

Two methods of additional statistical analysis, PCA and PLSR, were used to explore 433 and enhance the relationship between the surface chemistry characteristics, as measured using 434 ATR-FTIR, and the surface wettability. PCA is an excellent and powerful tool to detect any 435 underlying clusters and groupings in the data when analysing the entire surface chemistry 436 profile. Additionally, as this technique does not make any assumptions about the data, nor does 437 it take into account existing classifications, any notable patterns and trends found using this 438 439 unsupervised approach can be confidently surmised to exist based on the ATR-FTIR spectra, not their classification. Differences seen between sample classes (i.e. hydrophobic vs less 440 441 hydrophobic surfaces and different species) and the contributing factors to those differences provide insight into what distinguishes them. In our analysis, we were not only able to identify 442 characteristics of hydrophobic leave surfaces and what are common features amongst leaves of 443 this type, but were also able to identify species that were particularly unique and what about 444 them was so discernible (i.e. Prunus laurocerasus and Ilex aquifolium). This analysis was 445 based exclusively on the surface chemistry derived from ATR-FTIR spectra and clearly 446 demonstrates the influence of surface chemistry on the wettability of leaf surfaces; the 447 hydrophobicity of leaf surfaces is therefore not only dependent on surface topography and 448 physicochemical properties. 449

Similar to PCA, the PLSR model was used to analyse the entire ATR-FTIR spectra, 450 451 although this model was concerned with equating the quantitative variable, WCA, as a critical 452 measure of surface wettability. The PLSR model found similar bands in the ATR-FTIR to be the most important at influencing surface wettability. Furthermore, the presented PLSR model 453 showed excellent performance in validation (using a leave-one-out technique) and based on 454 these results, it could be suggested that leaf WCA (i.e. hydrophobicity) can be predicted using 455 ATR-FTIR surface chemistry data. As such, this finding has the potential to change the way in 456 which surface chemistry is viewed in the design of new materials based on plant-related natural 457

surfaces. This is the first time such an analysis has shown the importance of surface chemistry
in a range of leaf types and our produced model can be utilised to investigate and predict the
water contact angle of a range of biological surfaces.

461

462 CONCLUSIONS

The physicochemical, chemical, and topographical properties of leaves obtained from 463 464 twelve diverse plant varieties were examined and the results demonstrated that the most hydrophobic surfaces had low carbonyl species, ordered platelet-like structures, high roughness 465 values, high γ_s and γ_s^{LW} values, and low γ_s^{AB} and γ_s^{-} values. However, regardless of the surface 466 properties, all the leaves were self-cleaning. Using PCA, when categorising the samples 467 according to their chemical classification, it was observed that the more hydrophobic leaves 468 shared common surface chemistry traits, demonstrated by being grouped in close proximity 469 with low intra-class variability. In contrast, the less hydrophobic leaves had highly-variable 470 surface chemistry as measured by ATR-FTIR. Nevertheless, this variability in surface 471 chemistry was able to accurately model, through PLSR, leaf water contact angle with excellent 472 ability. This is the first time that the importance of surface chemistry in a range of leaf types 473 has been demonstrated. As such, these results may change the way that surface chemistry is 474 viewed in the design of new biomimetic materials based on plant surfaces. Furthermore, the 475 presented model could be used for the fast screening and determination of the water contact 476 477 angles of a range of biological surfaces.

Extensive work has been carried out on fabricating surfaces with well-defined topographical features to produce hydrophobic and self-cleaning properties. However, examples taken from nature clearly demonstrate that less hydrophobic, almost featureless surfaces may also possess self-cleaning and non-wetting properties. A complete understanding of the interactions between the magnitude and shape of surface topography, chemistry, and

483	physiochemistry, in addition to their influence on the self-cleaning action of surfaces has still
484	not been elucidated. In future work, we intend to design novel surfaces based on these
485	parameters and assess their self-cleaning properties in a range of experimental assays to
486	determine their use in specific, applied applications.
487	
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492	ASSOCIATED CONTENT
493	The Supporting Information is available free of charge at
494	Table providing surface free energy components of test liquids; Graphs predicting the
495	WCAs from the ATR-FTIR using PLSR.
496	
497	CONFLICTS OF INTEREST
498	The authors declare no competing financial interest.
499	
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614 TOC Graphic

