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1 The Role of Indigenous and Local Knowledge in Climate Change Adaptation in

- 2 Africa
- 3

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51 Abstract

Africa is particularly affected by climate change due to its exposure to climate hazards, high 52 vulnerability, and low adaptive capacity. Yet, Africa is also a continent rich in Indigenous and 53 54 Local knowledge (ILK) that has a long history informing responses to climatic variability and change. This paper explores the extent to which ILK has been used in climate change 55 adaptation in Africa. It deploys a bibliometric analysis to describe the connections between ILK 56 and climatic change adaptation in Africa, complemented by analysis of ILK literature and case 57 studies. We consider four key dimensions of ILK, 1) type, 2) contexts of application, 3) value 58 for adaptation, and 4) outcomes and effects in responses to climate change in Africa. Examples 59 drawn from 19 countries across Africa highlight ILK systems are closely connected with 60 biocultural relationships associated with observed patterns of climate change and where 61 adaptation can be more effective when informed by ILK. This body of knowledge is critical to 62 63 the delivery of climate change adaptation in Africa. The paper suggests some measures 64 through which ILK may be more widely leveraged, both for improved adaptation outcomes, as 65 well as enhancing the biocultural heritage value of ILK systems across Africa. The study commends the remarkable value of ILK in Africa for climate change adaptation and its value 66 for supplementing climate services particularly in areas with limited access to modern climate 67 and weather forecast. 68

69 Keywords: Indigenous and Local knowledge; Africa; climate change; adaptation,

- 70 bibliometric analysis, biocultural heritage.
- 71

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- 78 **1** Introduction
- 79

Indigenous and Local knowledge (ILK) is a term used to describe the wisdom, techniques, approaches, skills, practices, philosophies, and uniqueness of knowledge within a given culture, is developed by local communities over years through the accumulation of experiences and informal experiments, and based on an intimate understanding of local contexts(Chikaire et al., 2012; Hiwasaki et al., 2014; Rhodes et al., 2014; Kolawole et al., 2016). ILK is generally transmitted via oral and practiced traditions (Garcia et al., 2009; World Bank, 1998).

86

Across Africa, ILK informs decision-making about fundamental aspects of life, from day-to-day 87 livelihood activities to longer term actions (Leal Filho et al. 2021). This knowledge is integral to 88 socio-cultural complexity, which also encompass location, language, systems of classification, 89 resource use practices, social interactions, religion, belief, values, ritual, and spirituality. These 90 91 distinctive ways of knowing are important artefacts of the world's cultural diversity (IPCC, 2019a; Macchi et al., 2008) and considered by ILK users as time tested practice that has been 92 93 adjusted to local conditions to manage environmental, social, administrative, and health 94 problems including resources use and community integration (Radeny et al., 2019). ILK is 95 therefore dynamic and essential to the survival of historical and cultural legacy of Indigenous 96 groups, and it is a pillar of social, cultural, political, economic, scientific, and technological 97 identity (Magni, 2017; Ayal et al., 2015).

98

Although climate change and climatic extremes adversely affect the adaptive capacity of 99 100 Indigenous communities across the world, many special needs are seen among those in Africa, 101 particularly for those who rely on rainfed agriculture for their livelihoods. In such circumstances, 102 ILK is recognised for its potential to play a key role in climate change adaptation (IPCC, 2019b). 103 However, there has been limited documentation of ILK in the literature on climate change 104 adaptation in Africa, when compared to other regions. This article aims to contribute to this gap through identifying contexts of application of ILK, the value ILK adds for adaptation, and 105 observed outcomes and effects of ILK through its various roles in climate change adaptation 106 107 across in Africa. We set out with an overview of ILK types and knowledge holders in Africa 108 positioning them in light of climate change adaptation. We then provide an overview of the 109 methods and present the results of the bibliometric analysis. Drawing lessons from examples 110 of adaptation which are demonstrated to be effective when informed by ILK, the discussion 111 outlines measures through which ILK may be more widely leveraged across Africa. The discussion emphasises the importance of ILK for improved adaptation outcomes as well as 112 enhancing the biocultural heritage value of ILK systems. We conclude with reflection on the 113

value of ILK in Africa for climate change adaptation and its value for supplementing climate

services particularly in areas with limited access to modern climate and weather forecast.

116

117 2 Indigenous and local knowledge in Africa: some trends and data

118

Various ILKs are traditionally applied in harmony with the natural and spiritual world. These 119 socio-cultural practices are resourcefully designed to address local ecological limitations by 120 121 maintaining a sustainable utilization and protection of commonly shared natural resources (Ayal et al., 2015; Lalonde, 1991). ILK is practiced day to day and plays a crucial role in various 122 aspects of the wellbeing of Indigenous communities including forecasts and decision making 123 regarding impending climate change risks (Asmamaw et al. 2020; Radeny et al., 2019; Omari 124 et al., 2018; Abednico, Quegas and Taruvinga, 2018; Adger et al., 2014; Kebede et al., 2006; 125 Kashem and Islam, 1999; Langill, 1999; Grenier, 1998). A range of ILK practices employed to 126 manage resources, improve productivity and respond various biophysical risks are 127 summarised in table below (Table 1). 128

129

130 Table 1:ILKs in Africa employed to manage resources, improve productivity and respond

131 various biophysical risks

Indigenous and local knowledge practice	Description			
Crop farming	Crop selection, timing of specific farm management activity (e.g., land preparation, planting, weeding, & harvesting), irrigation, application of manure for various crop varieties.			
Livestock husbandry	Selection of livestock species to local context, selection of livestock for draughting, transportation and breeding, feed preparation and management.			
Resources management	Rangeland management, soil fertility management, water resources management, sustainable management of wild species, behaviour and use of wildlife.			
Conflict resolution	Settle intra- and inter-seasonal resources-based disputes and conflicts.			
Anticipate and manage impending risks	Forecast and mange biological, hydro-metrological and human induced social risks using biotic and abiotic indicators.			
Indigenous health care and medicine	Treat crop, livestock and human ailments using ethno-veterinary medicine.			
Community maintenance and development	Resource allocation, effective resources utilization plan, strengthening community membership to infrastructure and resources development.			
Risk sharing experiences	Indigenous communities in different part of Africa have well established risk sharing experience targeted to restock the assets of those affected.			
Use of Plants	As a source of wild food, building material, household tools, personal uses (dyes, perfumes, soaps), fuel wood and charcoal, medicinal purposes.			

132 Source: authors (2021)

133

134 Understanding different ILK practices can help effective adaptation planning by establishing a

135 greater diversity of projects or innovative mitigative measures, contextually appropriate

interventions, and avoid unintentional damage to ecosystems or culture (Nyadze, Ajayi and 136 Ludwig, 2021; Theodory, 2016). Recognition and adoption of Indigenous technologies in 137 partnership with development interventions have been noted to improve the likelihood of 138 acceptance and adoption of development interventions (Moyo, 2010). Identifying ecological 139 functions of various components of ecosystems, ILK can also be used to support 140 developmental interventions. For example, new agricultural technologies can be designed 141 142 more appropriately for diverse contexts when ILK is integrated with the design and implementation of an intervention (McNeely et al, 1990; Nkuba et al, 2020a). 143

144

Indigenous people are distinct social and cultural groups that share collective ancestral ties to 145 the lands and natural resources where they live, occupy, or are from. The land and natural 146 147 resources on which they depend are inextricably linked to their identities, cultures, livelihoods, as well as their physical and spiritual well-being. The International World Group for Indigenous 148 Affairs (IWGIA) and the African Commission Human and Peoples' Right (ACHPR) have 149 150 estimated have there is approximately 50 million Indigenous people in Africa (AfDB, 2016) and most Indigenous peoples are farmers, pastoralists, agro-pastoralists, and hunter-gatherers 151 (IFAD/ECG, 2016). Table 2 presents a range of the Indigenous peoples in Africa based on 152 153 their broad ethnolinguistic grouping.

- 154
- 155 **Table 2:** Main Indigenous Groups in Africa
- **Table 2:** Countries in Africa and some of their Indigenous groups

Location and Countries	Countries and some of their Indigenous Groups
SOUTHERN AFRICA: Angola, Botswana, Eswatini, Lesotho, Mozambique, Namibia, South Africa, Zambia and Zimbabwe.	 Angola: Bakongo, Bantu, San, Himba, Khoisan, Kwepe, Kwisi, Ovimbundu, Mbundu etc; Botswana: Balala, Basarwa, Kalanga, Nama, San, Tswana etc; Eswatini: Khoisan, Swazi, Zulu, etc; Lesotho: Basotho (Bafokeng, Batloung, Baphuthi, Bakuena, Bataung, Batšoeneng), Khoisan etc; Mozambique: Macua, Tsonga, Makonde, Shangaan, Shona, Sena, Marendje, Ndau etc; Namibia: Damara, Herero, Kavango, Nama, Ovahimba, Ovazemba, Ovatjimba, San, Ovatwa etc; South Africa: Bantu, Griqua, Khoisan, Khoekhoe, Koranna, Nama, Ndebele, San, Sotho, Swazi, Tsonga, Tswana, Venda, Xhosa, Zulu, etc; Zambia: Bantu, Bemba, Kaonde, Khoisan, Lozi, Luvale, Nkoya, Ngoni, Tonga etc; Zimbabwe: Bantu, Doma, Kalanga, Ndebele, Shangaan, Shona, Tonga, Tshawa, Venda etc.
NORTH AFRICA: Algeria, Egypt, Libya, Mauritania, Morocco, Sudan, Tunisia and Western Sahara.	Algeria: Amazigh (Berber), Mozabite, Tuareg etc; Egypt: Amazigh (Berber), Beja, Copts, Dom, Nubians, etc; Libya: Amazigh (Berber), Imazighen, Tuareg, Toubou (Tebou), Duwwud etc; Morocco: Amazigh (Berber), Haratin, Saharawis etc; Sudan: Anuak, Azande, Baggara, Beja, Cushit, Dinka, Fur, Murle, Nuban, Nuba, Nuer, Shilluk etc; Tunisia: Andalusian, Amazigh (Berber), Bahai, Marazig, Jleila etc; Western Sahara: Berber, Sahrawis.
EAST AFRICA: Burundi, Comoros, Djibouti. Eritrea,	Burundi: Batwa (Twa), Hutu, Tutsi; Comoros: Banjar, Malayo- Indonesian/Polynesians; Djibouti: Afar, Dir, Gadabuursi, Isaaq, Issa (Ciise) Somali; Eritrea: Agew, Afar, Beja, Bilen, Jeberti, Kunama, Nara,

ashaida, Saho, Tigre, Tigrinya; Ethiopia : Afar, Agew, Amhara, Basketo, assenech (Daasanach), Erbore (Arbore), Gedeo, Gumuz, Hamer, Irob, lajang (Majengir), Nuer, Nygagaton, Oromo, Shinasha (Bworo or Boro) idama, Somalis, Tigre, Wolayta; Kenya : Abagusii, Akamba, Aweer Dahalo), Bantu, Boni, Cushits, Daasanach, Embu, Endorois, Kalenjin, amba, Kisii, Kikuyu, Kwegu, Luhya, Luo, Maasai, Meru, Mijikenda, Ogiek, motic, Rendile, Sanya, Samburu, Sengwer, Somali, Swahili, Taita, urkana, Yaaku Waata; Madagascar: Antaifasy, Antakarana, Antandroy, ntemoro, Antesaka, Bara, Betsileo, Betsimisaraka, Bezanozano, Côtier, lahafaly, Masikoro, Merina, Sakalava, Sihanaka, Tanala, Tsimihety; Vezo; lalawi : Chewa, Lambya/Nyiha, Lomwe, Nyakyusa/Ngonde, Ngoni, yanja, Sena, Tonga, Tumbuka, Yao; Mauritius: Chagossians/Ilois, reoles; Rwanda: Tutsi and Hutu; Seychelles: Creole; Somalia: Ashraf, enadiri, Boni, Darood, Digil-Mirifle, Dir, Gaboye, Gosha, Hawiye, Isaaq, romo, Rahanweyn, Somali, Shabelle, Shekal, Shidle, Tumal, Yibir; South udan: Ambororo, Anuak (Anyuaa), Azande, Bari, Bongo (Babongo), Boya .arim), Burun (Maban), Daasanach, Didinga, Dinka, Kara, Latuka, Madi, loru, Murle, Nilotic, Nuer, Nyangatom, Shilluk, Taposa, Turkana; anzania: Akiye (Akie), Barabaig, Chagga, Hadzabe, Iraqw, Kalenjin, laasai, Sandawe, Sukuma; Uganda: Bamba, Basongora, Banyabindi,
Dahalo), Bantu, Boni, Cushits, Daasanach, Embu, Endorois, Kalenjin, amba, Kisii, Kikuyu, Kwegu, Luhya, Luo, Maasai, Meru, Mijikenda, Ogiek, motic, Rendile, Sanya, Samburu, Sengwer, Somali, Swahili, Taita, urkana, Yaaku Waata; Madagascar: Antaifasy, Antakarana, Antandroy, ntemoro, Antesaka, Bara, Betsileo, Betsimisaraka, Bezanozano, Côtier, lahafaly, Masikoro, Merina, Sakalava, Sihanaka, Tanala, Tsimihety; Vezo; lalawi: Chewa, Lambya/Nyiha, Lomwe, Nyakyusa/Ngonde, Ngoni, yanja, Sena, Tonga, Tumbuka, Yao; Mauritius: Chagossians/Ilois, reoles; Rwanda: Tutsi and Hutu; Seychelles: Creole; Somalia: Ashraf, enadiri, Boni, Darood, Digil-Mirifle, Dir, Gaboye, Gosha, Hawiye, Isaaq, romo, Rahanweyn, Somali, Shabelle, Shekal, Shidle, Tumal, Yibir; South udan: Ambororo, Anuak (Anyuaa), Azande, Bari, Bongo (Babongo), Boya, arim), Burun (Maban), Daasanach, Didinga, Dinka, Kara, Latuka, Madi, loru, Murle, Nilotic, Nuer, Nyangatom, Shilluk, Taposa, Turkana; anzania: Akiye (Akie), Barabaig, Chagga, Hadzabe, Iraqw, Kalenjin,
atwa, Benet, Ik, Kalenjin, Karamojong, Maragoli.
ameroon: Baka, Bagyeli, Bakola, Bedzan, Kirdi, Mbenga Mbororo; entral African Republic: Aka, Baka, Banda, Bayaka, Fula, Gbaya, Kara, resh, Litho, Mandja, Mbaka, M'bororo Fulani, Ngbandi, Sara, Vidiri, /odaabe, Yakoma, Yulu, Zande etc; Chad: Baguirmi, Boulala, Fulbe, adjerai, Kanembou, Kotoko, Maba, Mbororo Fulani, Salamat, Sara, huwa, Taundjor, Toubou, Zaghawa etc; Congo Republic: Aka, Baaka, abi, Babongo, Bakola, Bantu Gyeli (Gyele), Kango, Luma, Mbendjele, lbenga, Mikaya, Twa (Tswa); Democratic Republic of Congo: Baka Bacwa), Batwa (Twa), Mbuti (Bambuti), Wochua; Equatorial Guinea: enga, Bubi (Bube), Bukeba, Fang, Ndowe; Gabon: Akoula, Akwoa, Baka, abongo, Baghame, Bakoya, Barimba, Batéké, Mbenga, etc; Sao Tome & rincipe: Forros, Tongas, Mesticos, Servicais.
enín: Adja, Aizo, Bariba, Dendi, Ewe, Fon, Fulani (Peul), ua/Ottamari, Yoa-Lokpa, Yoruba etc; Burkina Faso: Bwa, Gurunsi, Lobi, lossi, Peul, Senufo,Tuareg; Cape Verde: None; Cote D'Ivoire: Akan, été, Dida, Ebrié, Gagu, Guéré, Krou, Lobi, Mandé, Senoufo, oltaique/Gur etc; Gambia: Bambara, Creole/Aku, Fulani/Fula/Peulh, landinka/Mandé, Jola/Karoninka, Manjago, Serahule, Serer, Wolof, etc; hana: Akan, Dagbani, Ewe, Ga-Adangme, Guan, Grusi, Gurma, Hausa, okomba, Mande, etc; Guinea: Conagui. Fulani / Peuhl, Kissi, Kpelle Guerze), Kono, Loma, Malinké, Manon, Soussou, Toma, etc; Guinea issau: Balanta, Ejamat, Fula (Fulani), Jola (Diola), Mandinka, Manjaco, apel, Susu; Liberia: Bassa, Belleh (Kuwaa), Gbandi, Gio, Gola, Grebo, issi, Kpelle, Krahn, Kru, Loma, Mandingo, Mano, Mende, Sapo, Vai; Mali: erabish, Bozo, Diawara, Dogon, Fulani, Songhaï, Tuareg; Mauritania: mazigh (Berber), Bafour, Haratin, Moor (Bidhan), Senoufo, Soninké; íger: Fulani, Toubou, Tuareg; Nigeria: Bini (Edo), Ibibio-Efik, ausa/Fulani, Igbo, Ijaw, Kanuri, Nupe, Tiv, Yoruba etc; Sierra Leone: ullah, Kono, Kisi, Krim, Kuranko, Limba, Loko, Madingo, Mende, Sherbro, usu, Temne, Vai, Yalunka etc; Senegal: Berbers, Diola, Fulani, Malinke, erer, Soninke, Tukolor, Wolof; Togo: Adja, Ana-Ife, Éwé, Kabyé, Kotokoli, osso, Mina, Moba, Ouatchi (Gbe).
a a e nhahaka e a r leuké o khogi aise nísau u e

157 Sol 158

160 The livelihood systems of many African communities are diverse but the majority of ILK 161 communities depend on rainfed subsistence agriculture. Subsistence agricultural systems 162 encompass crop production and animal husbandry with limited application of modern agricultural inputs and early warning systems. Yet these economies and livelihoods are vulnerable to climate change partly due to the limited provision of accurate and context specific forecast information. As a result, most farmers and pastoralists depend on ILK for their agricultural activities and decisions, as well as a tool to address broader challenges such as conflict resolution over resource allocation (Williams et al. 2019; Radeny et al., 2019; Kolawole et al. 2014).

169

The emerging risks associated with climate change highlight the need for knowledge that will more effectively contribute towards climate action. Modern scientific knowledge remains inadequate to transform climate policies and manage the full range of impacts of climate change (Mafongoya and Ajayi, 2017), particularly for those most vulnerable. There is therefore growing need to employ ILK to bridge this climate response deficit. ILK is often considered as social capital for the poor.

176

177 There are however noted constraints to the role of ILK in climate change adaptation that have 178 particularly acute effects in Africa. For example, the rate of climate change and the scale of its 179 impacts may exceed the patterning built into ILK and may therefore render the kinds of 180 incremental adaptation practices by smallholder farmers and others, less relevant and less effective at current and projected climate change (Lane and McNaught 2009; Orlowsky and 181 Seneviratne 2012). Climatic changes have led to disappearance and/or changing the 182 behaviour of old practices, due to environmental degradation and frequent climate change and 183 184 extremes. An example is seen in Maasai, a nomad ethnic group distributed between Kenya and northern Tanzania. For centuries, the Maasai have been using cattle as a source of blood, 185 which is part of their diets. Due to the unfavourable conditions, they have been switching to 186 using camels for mixing blood and milk (Leal Filho et al. 2017). 187

188

Many harsh changes have contributed to the decline of ILK accuracy and reputation due to 189 190 faulty forecast information and a lack of interest from younger generations (Theodory, 2016). 191 Across Africa there has been disruption of ILK through, for example, by colonial education and 192 missionary activity, and a general perception that ILK is outdated and unfavourably contrasted 193 with scientific knowledge. These elements have negatively affected the transmission of ILK across generations (Speranza et al. 2010; IPCC, 2019c). There has been a lack of systematic 194 and effective knowledge and skill transfer, dissemination, and documentation of ILK across 195 Africa, which is seen when awareness and attitudes are analysed (Rapholo and DikoMakia 196 2020). Further disruption and dislocation have been caused be the influence of monolithic 197

religion and modern education which have labelled ILK forecast experts as a witch and traditional practices against the act of God (Shizha 2013, Mawere2015).

200

Together with shifting educational norms, there has arisen a lack of recognition and support from policymakers, practitioners and the scientific community of the potential value of ILK (Radeny et al., 2019; Mafongoya and Ajayi, 2017; Theodory, 2016; Ayal et al., 2015; Kitinya et al. 2012). Urbanisation is occurring faster in Africa than on any other continent and this process has been noted to erode ILK, even though ILK is often integrated into urban environments (Oteros-Rozas et al. 2013; van Andel and Carvalheiro 2013).

207

However, many communities trust ILK forecasts more than the modern scientific forecast 208 209 system, particularly in rural areas (Radeny et al., 2019) and many societies in Africa consider elder knowledge holders of ILK as an asset. Knowledge holders are frequently consulted for 210 211 advice on how to respond to the different environmental uncertainties occurring in their local 212 context (Theodory, 2016). In the broader sense, in Africa ILK has been used to address natural, 213 human induced and socio-economic risks, for example hydro-metrological hazards (including 214 droughts and floods), and health issues using the signals of various biotic and abiotic indicators 215 (Leal Filho, et al. 2021). ILK plays vital role to adapt the impact of climate change and ensure 216 food security in Africa (Ajayi and Mafongoya, 2017). Importantly, the ILK systems in Africa have well established informal forecast dissemination platforms across communities and 217 geographies under serviced by current climate services. 218

219

Some of the most common knowledge holders include elders of a community, traditional
leaders, and traditional healers; while other groups such as farmers, fishers, beekeepers,
pastoralists also possess and share ILK.

223

224 In Africa, ILK weather and climate forecasting systems has been playing remarkable roles in resolving diverse impacts of climate change and are often recognized as a key resource for 225 climate change adaptation and mitigation (Nyadze, Ajayi and Ludwig, 2021; Adger et al., 2014). 226 In most cases, ILK is recognized as the reference point for intervention which enabled 227 generations to survive and benefit from the risks. Both ILK and scientific knowledge weather 228 and climate forecasting systems are based on observations, experimentations, and 229 validations, however, ILK weather forecasting is based on short-term climate extremes 230 231 observation whereas scientific weather forecasting made using aggregated mean values of climate variables. These forecasting systems suffer from limitations and hence, the provision 232

of blended forecast services could help to provide more accurate information (Radeny et al., 2019). Yet they also afford a richer understanding of climate change, one that incorporates local perceptions into analysis by exploring local meanings of space and time, how people and places relate to each other, and how local knowledge is built, transmitted and, most importantly, changed over time.

238

239 3 Methods

240

This research adopted a structured review to explore the role of ILK in climate change adaptation in Africa. The review aimed to identify ILK types, contexts of application, the added value ILK for adaptation, and observed outcomes and effects of ILK through its various roles in climate change adaptation across in Africa.

245

246 The rapid pace of academic publications makes it challenging to keep up to date with the 247 trends and advances in scientific fields using traditional literature review methods (Callaghan 248 et al., 2020). Advances in text-mining provide opportunities to partially deal with this issue. Over the past decade, several software tools have been developed for this purpose. Here we 249 employ term co-occurrence analysis to find out what the key focus areas related to the use of 250 251 ILK for climate adaptation exist in the literature. For this purpose, VOS viewer (version 1.6.17), 252 a software tool for constructing and visualizing bibliometric networks, was used to identify 253 common areas of research and their interlinkages (van Eck and Waltman 2010).

254

Input data was retrieved from the Web of Science (WoS) a scientific database that archives 255 256 high quality peer-reviewed publications. To ensure collection of all relevant publications, the 257 broad-based search string developed by Petzold et al. (2020) was used and modified to only 258 retrieve literature focused on Africa. Words or terms relating to ILK such as Indigenous, 259 traditional, aboriginal, were used to initiate the search (see Appendix for full search string). To further classify the search, several related terms were included, which are knowledge, 260 research, practice, ritual, belief, institution, values, norms and skills where any of the 261 formulated terms could be picked up. Each search string included: climate change, global 262 warming, climate variability, extreme event, weather, heat wave, sea level rise, flood, drought, 263 storm, erosion, desertification, or degradation. The resultant string was concatenated with 264 terms related to human responses to climate change such as resilience, response, adapt, 265 266 coping and cope.

The full text and citation data of the retrieved documents were downloaded from WoS for 268 analysis using VOS viewer. The initial search was conducted on April 12, 2021 and returned 269 139 articles. Of different WoS Document types, these included Articles, Review Articles, 270 Proceedings Papers, Book Chapters, Data Papers, and Letters. Titles and abstracts of these 271 articles were screened, and 133 articles that were related to the role of ILK for climate change 272 adaptation were selected for final bibliometric analysis using VOS viewer and for further 273 274 assessment. The exclusion criterion was irrelevance to the role of ILK for climate change 275 adaptation. Results of the term co-occurrence analysis are presented as a network of nodes and links, where node size is proportional to the frequency of term co-occurrence and link 276 strength is proportional to the strength of connection between two terms. This potentially 277 278 indicates concentrations of key themes that have received more attention in the literature and 279 the relative importance of identified themes based on the frequency of occurrence in the 280 literature. Terms that have co-occurred more frequently establish thematic clusters that are 281 shown in different colours on the term map. It is worth noting that, while term co-occurrence 282 analysis provides insights into major thematic focus areas and potential links between different 283 terms, interpretation of the results requires expert knowledge of ILK together with further 284 interpretation of the literature. Overall, this method is useful for gaining overall understanding of the thematic focus of research fields and relationships between key concepts, geographical 285 286 and sectoral concentrations, while providing direction for further investigation of the substance of articles under consideration. Given the software limitations, only documents indexed in the 287 WoS were included in the term co-occurrence analysis. We therefore also searched for other 288 possibly relevant documents (including grey literature) using Google Scholar and the same 289 search terms and used the combination of peer-reviewed and grey literature to develop the 290 291 final set of literature for analysis.

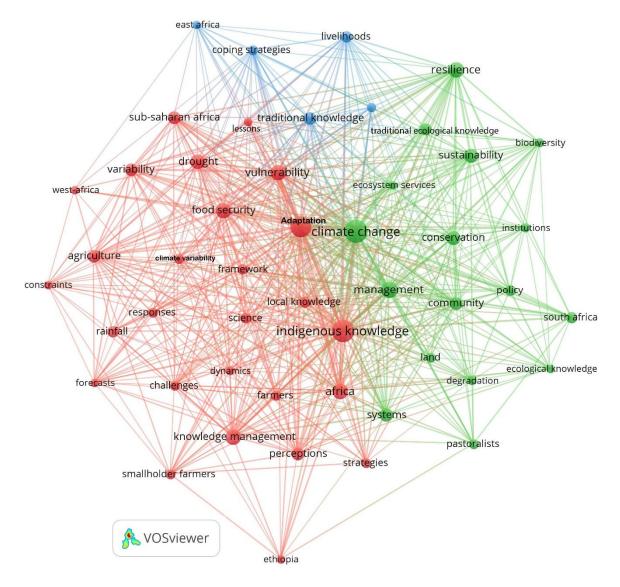
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293 4 Results and Discussion

- 294
- 295 4.1. Bibliometric analysis

From the bibliometric analysis (Figure 1), the role of ILK in adaptation was found to be mostly associated with food security, weather and climate forecasting, which is used for adaptation decision support, disaster management, and forest resource management. Most of the research on ILKs in Africa has been done in Eastern and Southern Africa. The important association of the term "knowledge management" and its strong connections with the other

- 301 keywords shows that knowledge management is essential to ensure ILK is effectively utilized
- 302 for climate change adaptation.



303

Figure 1. Results of term co-occurrence analysis showing the commonly used terms and theirinteractions. Source: authors

306

Results of the co-occurrence analysis for a minimum threshold of 15 keywords are shown in 307 308 Figure 1. Three major thematic clusters can be identified from this analysis. The largest cluster 309 (red colour) is mainly focused on issues related to the use of Indigenous knowledge in 310 agriculture, indicating that research has mainly focused on this issue. The second major cluster 311 (green colour) is focused on issues related to biodiversity conservation and land degradation. 312 This implies that Indigenous knowledge has also been widely used to prevent land degradation and biodiversity loss and ensure sustainability and resilience of ecosystems. Finally, the third 313 cluster (in blue) shows that traditional knowledge has also been used for enhancing coping 314

capacity and livelihood options. Interactions between terms in these clusters that elaborate the
 role of ILK in climate change adaptation will be further discussed below.

317

318 The analysis showed that, apart from the terms included in the search string, terms such as forecast, adaptation, resilience, management, vulnerability, food security, knowledge 319 320 management, sustainability, conservation, agriculture, community, perceptions, livelihoods, 321 policy, rainfall, farmers, land, pastoralists, and smallholder farmers. This indicates that collective memories of communities and ILK have particularly been associated empirically in 322 the literature with efforts to enhance adaptation, particularly to water stress and to improve 323 ecosystem and biodiversity conservation that are critical for food and livelihood security. Figure 324 1 also indicates that literature has mainly focused on ILK that has been used by farmers and 325 326 pastoralists groups and very little observation has been made of the application of ILK outside these main uses. This indicates the role and importance of ILK for farmers and pastoralists 327 328 (Orlove et al., 2010). Likewise, term co-occurrence analysis also illustrates the same farmers and pastoralists are the most vulnerable to climate related risks. Investigating the literature 329 further, this is due to both the direct impacts of climate change and both lack of supportive 330 331 policy and framework, and context-specific downscaled climate services, particularly for 332 weather forecasting. Contextually situated, livelihoods and food security are further challenged 333 by resources degradation, loss of biodiversity, and decline of ecosystem services, which act in 334 concert to constrain their adaptation or coping responses.

335

336 Figure 1 indicates a major focus in the literature has been on how ILK has been used by farmers and pastoralists highlighting the high reliance of such groups on ILK for decisions 337 relating to rain-fed agriculture (Orlove et al., 2010). In Malawi, research validates the accuracy 338 of farming communities' perception of climatic changes and demonstrates how local 339 knowledge can be used to improve adaptation to droughts and rainfall variability by measures 340 341 such as shifting from non to native crops and investment in local livestock that are more 342 resistant to water stress (Kalanda-Joshua et al., 2011; Nkomwa et al., 2014). Similar findings have been reported in Burkina Faso regarding the convergence of local farmers' rainfall 343 344 forecasts with scientific ones and the utility of rainfall prediction based on Indigenous 345 knowledge for taking adaptive measures (Roncoli et al., 2002). Elsewhere, in central Tanzania 346 and Uganda, farmers rely on their familiarity with seasonal patterns and use local knowledge 347 and experiences to practice timely cultivation in response to rainfall variability and this 348 enhances their coping capacity, thereby ensuring their livelihood and food security (Orlove et al., 2010; Slegers, 2008). 349

Close connection between ecological knowledge, land, and degradation may be interpreted as its significance for mitigating land degradation. This could, for instance, be achieved through temporal restrictions on resource exploitation based on local knowledge and experiences of the state of the ecosystem as practiced by herders in African Sahel (Berkes et al., 2000). For instance, Indigenous knowledge has been effective in implementing a fallow cultivation system that contributes to forest management, thereby ensuring provision ecosystem services that are critical for enhancing adaptive capacity and coping with climatic stressors (Nyong et al., 2007).

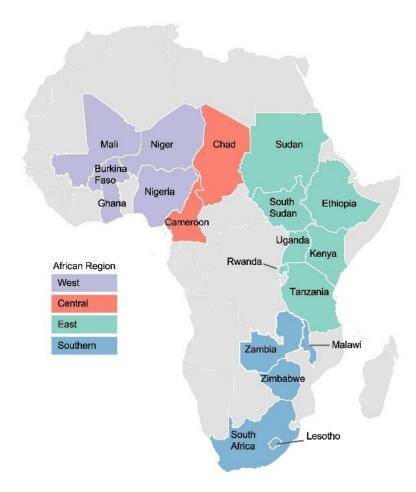
358

The important position of the term 'knowledge management' and its strong connections with 359 the other keywords associated with adaptation shows that proper knowledge management is 360 essential to ensure Indigenous knowledge is effectively utilized for climate change adaptation. 361 A case study from Malawi demonstrates enhancing knowledge management through 362 facilitating interactions between various stakeholders such as scientists, farmers, pastoralists, 363 and policy makers is important and can ensure effective integration of local knowledge into 364 adaptation plans and policies (Kalanda-Joshua et al., 2011; Nkomwa et al., 2014; Roncoli et 365 al., 2002). It is argued that integrating Indigenous climate knowledge into management 366 practices and modern technologies can also fine tune scientific predictions and measures and 367 368 enhance their local buy in through improved communication mechanisms (Nyong et al., 2007; 369 Orlove et al., 2010). Local buy can be further strengthened through engaging local 370 stakeholders in the adaptation planning processes. Such processes wherein locals can 371 participate in planning and implementation stages also enhance local capacities and facilitate 372 long term sustainability and resilience benefits (Nyong et al., 2007). Indeed, traditional ecological knowledge can provide multiple co-benefits (Nyong et al., 2007) and this is reflected 373 374 in the term co-occurrence analysis that, among other things, shows strong connections 375 between traditional ecological knowledge and terms such as resilience, sustainability, 376 biodiversity, and ecosystem services.

377

378 **4.2. Case studies**

In order to elaborate on how ILK is relevant in adaptation to climate change in Africa, several
case studies drawn from 19 countries across the continent have been described in more detail
(Figure 2).



382

Figure 2. Examples of Indigenous Knowledge and Local Knowledge are drawn from 19 countries across Africa. Visualisation indicates the four regions of Africa from which the case studies are drawn. Note: IK and LK practices are spread across multiple countries, (e.g., meteorological inference drawn from observation of plants and behaviour of animals common to Ethiopia, Tanzania and Uganda, see Table 3); IK and LK practices are not necessarily evenly spread across any single country (e.g., observations of flowering of peach trees localised to Swayimane, South Africa, see Table 3).

390

African farmers and pastoralists are not passive victims to the adverse impacts of climate 391 392 change and extremes. Rather, ILK has been used by these actors to adapt to climate change 393 and conserve their environment in various parts of Africa. For example, Mafongoya and Ajayi 394 (2017) reported various situations where ILK was used in addressing climate related 395 challenges across several regions of Africa in including in Lesotho, Malawi, Nigeria, Zimbabwe, 396 and Zambia. Case studies from across the continent indicate that the communities are aware that a well conserved environment helps them reduce risk famine, food insecurity, and poverty 397 associated climate variability and disasters (Mafongoya and Ajayi, 2017). ILK has helped 398 communities developed a variety of measures to survive climate changes, such as growing 399

drought tolerant and early maturing Indigenous crops, gathering wild fruits and vegetables,cultivating wetlands, and diversifying and selling livestock.

402

403 The phonology of Indigenous tree varieties such as Cyphostemmao rondo, and Acalypha fruticosa in Kenya (Kitinya et al., 2012), Milicia excels in Uganda (Radeny et al., 2019), and 404 Acacia tortilis and aloe tree across multiple countries (Ayal et al., 2015) are used to forecast 405 406 and adjust community farming activities. In Ethiopia, pastoralists and agro-pastoralists used 407 the behaviour and activities of biotic and abiotic indicators such as insects, birds, trees and other wildlife (Balehegn et al., 2019; Ayal et al., 2015), the moon-star alignment, and animal 408 intestine interpretation to forecast long-term and short-term weather conditions to inform 409 adjustments to farming activities and rangeland management systems (Radeny et al., 2019; 410 411 Ayal et al., 2015). In the Sahel region, ILK has been used to manage climate related risks to water and agricultural production. For example, an Indigenous water harvesting technique 412 originating from the Sahel and known as zai pits or tassa helps restore degraded drylands 413 414 through climate-smart agriculture (UNHCR, 2020). The design and positioning of the pits ensures they capture erratic rainfalls allowing infiltration of water to irrigate the seeds, which 415 increases soil fertility and crop yields. 416

417

In general, ILK weather and climate forecasting plays a crucial role and is trusted to reduce 418 419 climate related risks in Africa. In Kenya, in addition to weather and climate forecasting, ILK is 420 applied for land use and rangeland management to maximize milk production and hence, 421 ensure food security and alleviate poverty (Amwata, 2013). In Ethiopia ILK is also used to warn 422 and manage risk related with flood, conflict, geo-hazards and livestock and human health 423 problems (Ayal et al. 2015). Farmers in Cameroon value their ability to accurately observe and anticipate local conditions in various ways to serve their local realities more aptly than outside 424 forecasts (Tume et al., 2020). Further, community-based adaptation in Zimbabwe has been 425 426 shown to reduce the vulnerability as well as improve the resilience of the local people to climate 427 variability and change and these measures have helped sustain Indigenous practices 428 (Mugambiwa, 2018).

429

430 **Table 3.** Some situations where ILK has been used in Africa

Indigenous and local knowledge	Where it is found	Situation where it has been used	Strategies	Reference/Literature where it is documented
Indigenous agronomic practices	Ghana (Gowrie Kunkua and SoeKabre communities)	Organic manure		(<u>Aniah et al. 2019</u>)

Biotic Plant phenology Animal behaviour Bird migration	Western Uganda (Ruteete) 60 Interviews	Weather forecasting and understanding seasonal changes.	Information in farming (onset and cessation)	(<u>Nyakaisiki et al. 2019</u>)
Wind direction	Rakai District, Uganda 150 HH 2 FGDs 15 KI	Fine tuning scientific forecasts	Composite decision making in farming	(<u>Orlove et al. 2010</u>)
Plant phenology (Flowering of peach trees)	Swayimane, South Africa	Weather prediction Planning farm activities Adaptation	Water harvesting Water conservation Irrigation planning	(<u>Basdew et al. 2017</u>)
Plant phenology Animals, Weather and cosmological indicators	Gwanda, Zimbabwe	Forecasting Malaria	Development of disease calendars Development of a community-based malaria early warning system	(<u>Macherera and</u> <u>Chimbari 2016</u>)
Trees	Masvingo, Zimbabwe 60 HH 15 KI	Management of forest resources	Minimal damage to the environment	(<u>Tanyanyiwa and</u> <u>Chikwanha 2011</u>)
Meteorological, Plant based and behaviour of animals	Ethiopia 200 HH, 4 FGDs, 8 KI Tanzania 77 HH, 3 FGDs Uganda: 120 HH	Decision support in Agriculture	Availability of location specific forecasts	(<u>Radeny et al. 2019</u>)
Indigenous crop varieties Organic manure (crop residue and cow dung)	Rwanda	Crop productivity	Increased resilience	(<u>Taremwa et al. 2016</u>)
Traditional Crop varieties	Nandi, Kenya	Crop productivity	Increased food security	(<u>Songok et al. 2011</u>) (<u>Nakashima et al. 2012</u>)
Tree Phenology Behaviour of animals, crickets and ants	Chikhwawa district, Malawi	Decision support in crop productivity	Management of risk	(<u>Nkomwa et al. 2014</u>)
Traditional water dams	Tanzania	Scheduled Fishing time Traditional Farming	Fish regeneration Environmental protection	(<u>Kihila 2017</u>)
Traditional diviners to control strong wind	Missenyi and Muleba Districts, Tanzania	Means to control the blowing strong winds	Protection of the home garden with bananas	(Theodory, 2020)

431 *Household Informant (HHI), Key Informants (KI), Focus group discussion (FGD)

432

As previously noted, farming communities' perception of climatic changes have been validated 433 434 with meteorological records to demonstrate the accuracy of locally appropriate ILK (Kalanda-435 Joshua et al., 2011; Nkomwa et al., 2014). When applied to decision making informed by ILK, this perception accuracy has leveraged improved adaptation to droughts and rainfall variability 436 by measures such as shifting from non-indigenous to native crops and investment in locally 437 bred livestock that are more resistant to water stress (Kalanda-Joshua et al., 2011; Nkomwa 438 et al., 2014). Similar findings have been reported in Burkina Faso regarding the convergence 439 440 of local farmers' rainfall forecasts with scientific ones and the utility of rainfall prediction based 441 on ILK for taking adaptive measures (Roncoli, Ingram, and Kirshen, 2002). Elsewhere, in 442 central Tanzania and Uganda, farmers rely on their familiarity with seasonal patterns and use 443 locally informed ILK and experiences to practice timely cultivation in response to rainfall variability and this enhances their coping capacity, thereby ensuring their livelihood and food
security (Orlove et al., 2010; Slegers, 2008; Nkuba et al., 2020b).

446

Yet Indigenous weather forecasting is becoming less effective among the *Haya* people in Tanzania. In views of the *Haya community*, in the past it was possible to predict the weather of the following day because there were specific periods for certain rainfall levels and temperatures, but in recent years climatic variability have complicated the legibility and interpretation of prediction signs (Theodory, 2016).

452

453 Close connections between ecological knowledge and local resource allocations are used for 454 better land use management outcomes. For example, temporal restrictions on resource 455 exploitation based on locally informed ILK and experiences of the state of the ecosystem as 456 practiced by herders in African Sahel (Berkes, Colding and Folke, 2000). Further, ILK has been 457 effective at implementing a fallow cultivation system that contributes to forest management, 458 thereby ensuring provisioning ecosystem services that are critical for enhancing adaptive 459 capacity and coping with climatic stressors (Nyong, Adesina, and Osman Elasha, 2007).

460

There is empirical evidence of successful integration of ILK with the formal adaptation 461 462 strategies to climate change and other development endeavours at the local scale (Briggs and Sharp, 2004; Theodory, 2020). Integrating Indigenous climate knowledge into management 463 practices and modern technologies can also fine tune scientific predictions and measures and 464 enhance their local buy-in through improved communication mechanisms (Nyong, Adesina, 465 and Elasha, 2007; Orlove et al. 2010, Leal Filho, Matandirotya, Lütz, et al 2021). Thus, greater 466 efforts to identify, document and validate the potentials that ILK may contribute to development, 467 particularly on climate change adaptation is therefore highly important for socially engaged 468 research on Africa with potential to contribute towards climate action on the continent. This 469 470 can be achieved by engaging local stakeholders in the adaptation planning processes. Such 471 processes wherein locals can participate in planning and implementation stages also enhance 472 local capacities and facilitate long term sustainability and resilience benefits (Nyong, Adesina, 473 and Elasha 2007). Indeed traditional ecological knowledge can provide multiple co-benefits 474 (Nyong, Adesina, and Elasha 2007) and this is reflected in the term co-occurrence analysis that, among other things, shows strong connections between traditional ecological knowledge 475 and terms such as resilience, sustainability, biodiversity, and ecosystem services. 476

478 **5** Conclusions

479

Africa is rich in time tested and context specific ILK used to respond to climatic variability and 480 change. This intangible asset is not limited to merely coping with climate impacts as ILK has 481 contributed substantively towards climate change adaptation. It has been used in different 482 expressions including forecasting and managing natural and human induced hazards. The 483 484 ILKs are inbuilt in local culture and hence, accepted by the local community to rescue their property and life from climate-related hazards including drought, floods, diseases, conflict, 485 manage resources, and ensure food security. While ILK has the potential to fill the information 486 gap in modern scientific knowledge, currently ILK has faced serious challenges due to lack of 487 proper knowledge transfer, documentation, dissemination, the influence of religion and 488 489 education, lack of recognition of forecasters, and environmental degradation and extinction of biological indicators. 490

This paper has some limitations. The first one is that it could only sample a set of examples of ILK in practices in some countries, and was unable to cover the whole of Africa. In addition, we have referred to documented and verifiable ILK practices and did not focus on undocumented ones. Finally, the study looked at ILK in a climate change context, and did not investigate other themes.

496 Despite these limitations, the paper provides a welcome addition to te literature since it
497 describes various ILK tools and processes, some of which are playing a key role in supporting
498 African communities to cope with changing climate conditions.

A final conclusion which can be made is that , climate change has itself had a negative impact on the accuracy of ILK, which has negatively affected perceptions of its efficacy. Thus, development interventions, particularly those associated with weather and climate forecast services should aim to preserve and consider the ILK in their planning and operational activities. Blending ILK with scientific knowledge could help the services provision and program implementation cost effective, successful and also Indigenous community's develop senses of ownership and contribute for the sustainability of the impact intervention.

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- 509
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514

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742 Appendix

TS= (("indigen* knowledge" OR "indigen* research" OR "indigen* practice*" OR "indigen* 743 ritual*" OR "indigen* belief*" OR "indigen* institutions" OR "indigen* value*" OR "indigen* 744 norm*" OR "indigen* skill*" OR "traditional ecological knowledge" OR "tradition* knowledge" 745 OR "tradition* research" OR "tradition* practice*" OR "tradition* ritual*" OR "tradition* belief*" 746 OR "tradition* institutions" OR "tradition* value*" OR "tradition* norm*" OR "tradition* skill*" OR 747 "aborigin* knowledge" OR "aborigin* research" OR "aborigin* practice*" OR "aborigin* ritual*" 748 OR "aborigin* belief*" OR "aborigin* institutions" OR "aborigin* value*" OR "aborigin* norm*" 749 OR "aborigin* skill*" OR "tribal knowledge" OR "tribal research" OR "tribal practice*" OR "tribal 750 751 ritual*" OR "tribal belief*" OR "tribal institutions" OR "tribal value*" OR "tribal norm*" OR "tribal skill*" OR "native knowledge" OR "native research" OR "native practice*" OR "native ritual*" 752 OR "native belief*" OR "native institutions" OR "native value*" OR "native norm*" OR "native 753 skill*" OR "folk knowledge" OR "multiple knowledge systems") AND ("clim* change" OR "global 754

warming" OR "climate variability" OR "extreme event" OR "extreme weather" OR "heat wave"
OR "sea level*" OR "flood*" OR "drought" OR "storm*" OR "erosion" OR "desertif*" OR
"degrad*") AND ("adapt*" OR "resilien*" OR "respon*" OR "coping" OR
"cope") AND ("africa*"))