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1 **The Role of Indigenous and Local Knowledge in Climate Change Adaptation in**
2 **Africa**

3

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51 **Abstract**

52 Africa is particularly affected by climate change due to its exposure to climate hazards, high
53 vulnerability, and low adaptive capacity. Yet, Africa is also a continent rich in Indigenous and
54 Local knowledge (ILK) that has a long history informing responses to climatic variability and
55 change. This paper explores the extent to which ILK has been used in climate change
56 adaptation in Africa. It deploys a bibliometric analysis to describe the connections between ILK
57 and climatic change adaptation in Africa, complemented by analysis of ILK literature and case
58 studies. We consider four key dimensions of ILK, 1) type, 2) contexts of application, 3) value
59 for adaptation, and 4) outcomes and effects in responses to climate change in Africa. Examples
60 drawn from 19 countries across Africa highlight ILK systems are closely connected with
61 biocultural relationships associated with observed patterns of climate change and where
62 adaptation can be more effective when informed by ILK. This body of knowledge is critical to
63 the delivery of climate change adaptation in Africa. The paper suggests some measures
64 through which ILK may be more widely leveraged, both for improved adaptation outcomes, as
65 well as enhancing the biocultural heritage value of ILK systems across Africa. The study
66 commends the remarkable value of ILK in Africa for climate change adaptation and its value
67 for supplementing climate services particularly in areas with limited access to modern climate
68 and weather forecast.

69 **Keywords:** Indigenous and Local knowledge; Africa; climate change; adaptation,
70 bibliometric analysis, biocultural heritage.

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78 **1 Introduction**

79

80 Indigenous and Local knowledge (ILK) is a term used to describe the wisdom, techniques,
81 approaches, skills, practices, philosophies, and uniqueness of knowledge within a given
82 culture, is developed by local communities over years through the accumulation of experiences
83 and informal experiments, and based on an intimate understanding of local contexts(Chikaire
84 et al., 2012; Hiwasaki et al., 2014; Rhodes et al., 2014; Kolawole et al., 2016). ILK is generally
85 transmitted via oral and practiced traditions (Garcia et al.,2009; World Bank, 1998).

86

87 Across Africa, ILK informs decision-making about fundamental aspects of life, from day-to-day
88 livelihood activities to longer term actions (Leal Filho et al. 2021). This knowledge is integral to
89 socio-cultural complexity, which also encompass location, language, systems of classification,
90 resource use practices, social interactions, religion, belief, values, ritual, and spirituality. These
91 distinctive ways of knowing are important artefacts of the world's cultural diversity (IPCC,
92 2019a; Macchi et al., 2008) and considered by ILK users as time tested practice that has been
93 adjusted to local conditions to manage environmental, social, administrative, and health
94 problems including resources use and community integration (Radeny et al., 2019). ILK is
95 therefore dynamic and essential to the survival of historical and cultural legacy of Indigenous
96 groups, and it is a pillar of social, cultural, political, economic, scientific, and technological
97 identity (Magni, 2017; Ayal et al., 2015).

98

99 Although climate change and climatic extremes adversely affect the adaptive capacity of
100 Indigenous communities across the world, many special needs are seen among those in Africa,
101 particularly for those who rely on rainfed agriculture for their livelihoods. In such circumstances,
102 ILK is recognised for its potential to play a key role in climate change adaptation (IPCC, 2019b).
103 However, there has been limited documentation of ILK in the literature on climate change
104 adaptation in Africa, when compared to other regions. This article aims to contribute to this gap
105 through identifying contexts of application of ILK, the value ILK adds for adaptation, and
106 observed outcomes and effects of ILK through its various roles in climate change adaptation
107 across in Africa. We set out with an overview of ILK types and knowledge holders in Africa
108 positioning them in light of climate change adaptation. We then provide an overview of the
109 methods and present the results of the bibliometric analysis. Drawing lessons from examples
110 of adaptation which are demonstrated to be effective when informed by ILK, the discussion
111 outlines measures through which ILK may be more widely leveraged across Africa. The
112 discussion emphasises the importance of ILK for improved adaptation outcomes as well as
113 enhancing the biocultural heritage value of ILK systems. We conclude with reflection on the

114 value of ILK in Africa for climate change adaptation and its value for supplementing climate
 115 services particularly in areas with limited access to modern climate and weather forecast.

116

117 **2 Indigenous and local knowledge in Africa: some trends and data**

118

119 Various ILKs are traditionally applied in harmony with the natural and spiritual world. These
 120 socio-cultural practices are resourcefully designed to address local ecological limitations by
 121 maintaining a sustainable utilization and protection of commonly shared natural resources
 122 (Ayal et al., 2015; Lalonde, 1991). ILK is practiced day to day and plays a crucial role in various
 123 aspects of the wellbeing of Indigenous communities including forecasts and decision making
 124 regarding impending climate change risks (Asmamaw et al, 2020; Radeny et al., 2019; Omari
 125 et al., 2018; Abednico, Quegas and Taruvinga, 2018; Adger et al., 2014; Kebede et al., 2006;
 126 Kashem and Islam, 1999; Langill, 1999; Grenier, 1998). A range of ILK practices employed to
 127 manage resources, improve productivity and respond various biophysical risks are
 128 summarised in table below (Table 1).

129

130 **Table 1:**ILKs in Africa employed to manage resources, improve productivity and respond
 131 various biophysical risks

Indigenous and local knowledge practice	Description
<i>Crop farming</i>	Crop selection, timing of specific farm management activity (e.g., land preparation, planting, weeding, & harvesting), irrigation, application of manure for various crop varieties.
<i>Livestock husbandry</i>	Selection of livestock species to local context, selection of livestock for draughting, transportation and breeding, feed preparation and management.
<i>Resources management</i>	Rangeland management, soil fertility management, water resources management, sustainable management of wild species, behaviour and use of wildlife.
<i>Conflict resolution</i>	Settle intra- and inter-seasonal resources-based disputes and conflicts.
<i>Anticipate and manage impending risks</i>	Forecast and manage biological, hydro-metrological and human induced social risks using biotic and abiotic indicators.
<i>Indigenous health care and medicine</i>	Treat crop, livestock and human ailments using ethno-veterinary medicine.
<i>Community maintenance and development</i>	Resource allocation, effective resources utilization plan, strengthening community membership to infrastructure and resources development.
<i>Risk sharing experiences</i>	Indigenous communities in different part of Africa have well established risk sharing experience targeted to restock the assets of those affected.
<i>Use of Plants</i>	As a source of wild food, building material, household tools, personal uses (dyes, perfumes, soaps), fuel wood and charcoal, medicinal purposes.

132 Source: authors (2021)

133

134 Understanding different ILK practices can help effective adaptation planning by establishing a
 135 greater diversity of projects or innovative mitigative measures, contextually appropriate

136 interventions, and avoid unintentional damage to ecosystems or culture (Nyadze, Ajayi and
 137 Ludwig, 2021; Theodory, 2016). Recognition and adoption of Indigenous technologies in
 138 partnership with development interventions have been noted to improve the likelihood of
 139 acceptance and adoption of development interventions (Moyo, 2010). Identifying ecological
 140 functions of various components of ecosystems, ILK can also be used to support
 141 developmental interventions. For example, new agricultural technologies can be designed
 142 more appropriately for diverse contexts when ILK is integrated with the design and
 143 implementation of an intervention (McNeely et al, 1990; Nkuba et al, 2020a).

144
 145 Indigenous people are distinct social and cultural groups that share collective ancestral ties to
 146 the lands and natural resources where they live, occupy, or are from. The land and natural
 147 resources on which they depend are inextricably linked to their identities, cultures, livelihoods,
 148 as well as their physical and spiritual well-being. The International World Group for Indigenous
 149 Affairs (IWGIA) and the African Commission Human and Peoples' Right (ACHPR) have
 150 estimated have there is approximately 50 million Indigenous people in Africa (AfDB, 2016) and
 151 most Indigenous peoples are farmers, pastoralists, agro-pastoralists, and hunter-gatherers
 152 (IFAD/ECG, 2016). Table 2 presents a range of the Indigenous peoples in Africa based on
 153 their broad ethnolinguistic grouping.

154
 155 **Table 2:** Main Indigenous Groups in Africa

156 **Table 2:** Countries in Africa and some of their Indigenous groups

Location and Countries	Countries and some of their Indigenous Groups
SOUTHERN AFRICA: Angola, Botswana, Eswatini, Lesotho, Mozambique, Namibia, South Africa, Zambia and Zimbabwe.	Angola: Bakongo, Bantu, San, Himba, Khoisan, Kwepe, Kwisi, Ovimbundu, Mbundu etc; Botswana: Balala, Basarwa, Kalanga, Nama, San, Tswana etc; Eswatini: Khoisan, Swazi, Zulu, etc; Lesotho: Basotho (Bafokeng, Batlounge, Baphuthi, Bakuena, Bataung, Batšoeneng), Khoisan etc; Mozambique: Macua, Tsonga, Makonde, Shangaan, Shona, Sena, Marendje, Ndau etc; Namibia: Damara, Herero, Kavango, Nama, Ovahimba, Ovazemba, Ovatjimba, San, Ovatwa etc; South Africa: Bantu, Griqua, Khoisan, Khoekhoe, Koranna, Nama, Ndebele, San, Sotho, Swazi, Tsonga, Tswana, Venda, Xhosa, Zulu, etc; Zambia: Bantu, Bemba, Kaonde, Khoisan, Lozi, Luvale, Nkoya, Ngoni, Tonga etc; Zimbabwe: Bantu, Doma, Kalanga, Ndebele, Shangaan, Shona, Tonga, Tshawa, Venda etc.
NORTH AFRICA: Algeria, Egypt, Libya, Mauritania, Morocco, Sudan, Tunisia and Western Sahara.	Algeria: Amazigh (Berber), Mozabite, Tuareg etc; Egypt: Amazigh (Berber), Beja, Copts, Dom, Nubians, etc; Libya: Amazigh (Berber), Imazighen, Tuareg, Toubou (Tebou), Duwwud etc; Morocco: Amazigh (Berber), Haratin, Saharawis etc; Sudan: Anuak, Azande, Baggara, Beja, Cushit, Dinka, Fur, Murle, Nuban, Nuba, Nuer, Shilluk etc; Tunisia: Andalusian, Amazigh (Berber), Bahai, Marazig, Jleila etc; Western Sahara: Berber, Sahrawis.
EAST AFRICA: Burundi, Comoros, Djibouti, Eritrea,	Burundi: Batwa (Twa), Hutu, Tutsi; Comoros: Banjar, Malayo-Indonesian/Polynesians; Djibouti: Afar, Dir, Gadabuursi, Isaaq, Issa (Ciise) Somali; Eritrea: Agew, Afar, Beja, Bilen, Jeberti, Kunama, Nara,

<p>Ethiopia, Kenya, Madagascar, Malawi, Mauritius, Rwanda, Seychelles, Somalia, South Sudan, Tanzania & Uganda.</p>	<p>Rashaida, Saho, Tigre, Tigrinya; Ethiopia: Afar, Agew, Amhara, Basketo, Dassenech (Daasanach), Erbore (Arbore), Gedeo, Gumuz, Hamer, Irob, Majang (Majengir), Nuer, Nygagaton, Oromo, Shinasha (Bworo or Boro) Sidama, Somalis, Tigre, Wolayta; Kenya: Abagusii, Akamba, Aweer (Dahalo), Bantu, Boni, Cushits, Daasanach, Embu, Endorois, Kalenjin, Kamba, Kisii, Kikuyu, Kwegu, Luhya, Luo, Maasai, Meru, Mijikenda, Ogiek, Omotic, Rendile, Sanya, Samburu, Sengwer, Somali, Swahili, Taita, Turkana, Yaaku Waata; Madagascar: Antaifasy, Antakarana, Antandroy, Antemoro, Antesaka, Bara, Betsileo, Betsimisaraka, Bezanozano, Côtier, Mahafaly, Masikoro, Merina, Sakalava, Sihanaka, Tanala, Tsimihety; Vezo; Malawi: Chewa, Lambya/Nyiha, Lomwe, Nyakyusa/Ngonde, Ngoni, Nyanja, Sena, Tonga, Tumbuka, Yao; Mauritius: Chagossians/Ilois, Creoles; Rwanda: Tutsi and Hutu; Seychelles: Creole; Somalia: Ashraf, Benadiri, Boni, Darood, Digil-Mirifle, Dir, Gaboye, Gosha, Hawiye, Isaaq, Oromo, Rahanweyn, Somali, Shabelle, Shekal, Shidle, Tumul, Yibir; South Sudan: Ambororo, Anuak (Anyuaa), Azande, Bari, Bongo (Babongo), Boya (Larim), Burun (Maban), Daasanach, Didinga, Dinka, Kara, Latuka, Madi, Moru, Murle, Nilotic, Nuer, Nyangatom, Shilluk, Taposa, Turkana; Tanzania: Akiye (Akie), Barabaig, Chagga, Hadzabe, Iraqw, Kalenjin, Maasai, Sandawe, Sukuma; Uganda: Bamba, Basongora, Banyabindi, Batwa, Benet, Ik, Kalenjin, Karamojong, Maragoli.</p>
<p>CENTRAL AFRICA: Cameroon, Central African Republic, Chad, Congo Republic, Democratic Republic of Congo, Equatorial Guinea, Gabon, & Sao Tome & Principe.</p>	<p>Cameroon: Baka, Bagyeli, Bakola, Bedzan, Kirdi, Mbenga Mbororo; Central African Republic: Aka, Baka, Banda, Bayaka, Fula, Gbaya, Kara, Kresh, Litho, Mandja, Mbaka, M'bororo Fulani, Ngbandi, Sara, Vidiri, Wodaabe, Yakoma, Yulu, Zande etc; Chad: Baguirmi, Boulala, Fulbe, Hadjerai, Kanembou, Kotoko, Maba, Mbororo Fulani, Salamat, Sara, Shuwa, Taundjor, Toubou, Zaghawa etc; Congo Republic: Aka, Baaka, Babi, Babongo, Bakola, Bantu Gyeli (Gyele), Kango, Luma, Mbendjele, Mbenga, Mikaya, Twa (Tswa); Democratic Republic of Congo: Baka (Bacwa), Batwa (Twa), Mbuti (Bambutu), Wochua; Equatorial Guinea: Benga, Bubi (Bube), Bukeba, Fang, Ndowe; Gabon: Akoula, Akwoa, Baka, Babongo, Baghame, Bakoya, Barimba, Batéké, Mbenga, etc; Sao Tome & Principe: <i>Forros, Tongas, Mesticos, Servicais.</i></p>
<p>WEST AFRICA: Benín, Burkina Faso, Cape Verde, Cote D'Ivoire, Gambia, Ghana, Guinea, Guinea Bissau, Liberia, Mali, Mauritania, Níger, Nigeria, Sierra Leone, Senegal & Togo.</p>	<p>Benín: Adja, Aizo, Bariba, Dendi, Ewe, Fon, Fulani (Peul), Gua/Ottamari, Yoa-Lokpa, Yoruba etc; Burkina Faso: Bwa, Gurunsi, Lobi, Mossi, Peul, Senufo, Tuareg; Cape Verde: None; Cote D'Ivoire: Akan, Bété, Dida, Ebrié, Gagu, Guéré, Krou, Lobi, Mandé, Senoufo, Voltaique/Gur etc; Gambia: Bambara, Creole/Aku, Fulani/Fula/Peulh, Mandinka/Mandé, Jola/Karoninka, Manjago, Serahule, Serer, Wolof, etc; Ghana: Akan, Dagbani, Ewe, Ga-Adangme, Guan, Grusi, Gurma, Hausa, Kokomba, Mande, etc; Guinea: Conagui, Fulani / Peuhl, Kissi, Kpelle (Guerze), Kono, Loma, Malinké, Manon, Soussou, Toma, etc; Guinea Bissau: Balanta, Ejamat, Fula (Fulani), Jola (Diola), Mandinka, Manjaco, Papel, Susu; Liberia: Bassa, Belleh (Kuwaa), Gbandi, Gio, Gola, Grebo, Kissi, Kpelle, Krahn, Kru, Loma, Mandingo, Mano, Mende, Sapo, Vai; Mali: Berabish, Bozo, Diawara, Dogon, Fulani, Songhaï, Tuareg; Mauritania: Amazigh (Berber), Bafour, Haratin, Moor (Bidhan), Senoufo, Soninké; Níger: Fulani, Toubou, Tuareg; Nigeria: Bini (Edo), Ibibio-Efik, Hausa/Fulani, Igbo, Ijaw, Kanuri, Nupe, Tiv, Yoruba etc; Sierra Leone: Fullah, Kono, Kisi, Krim, Kuranko, Limba, Loko, Madingo, Mende, Sherbro, Susu, Temne, Vai, Yalunka etc; Senegal: Berbers, Diola, Fulani, Malinke, Serer, Soninke, Tukolor, Wolof; Togo: Adja, Ana-Ife, Éwé, Kabyé, Kotokoli, Losso, Mina, Moba, Ouatchi (Gbe).</p>

157 Source: Authors, 2021

158

160 The livelihood systems of many African communities are diverse but the majority of ILK
161 communities depend on rainfed subsistence agriculture. Subsistence agricultural systems
162 encompass crop production and animal husbandry with limited application of modern

163 agricultural inputs and early warning systems. Yet these economies and livelihoods are
164 vulnerable to climate change partly due to the limited provision of accurate and context specific
165 forecast information. As a result, most farmers and pastoralists depend on ILK for their
166 agricultural activities and decisions, as well as a tool to address broader challenges such as
167 conflict resolution over resource allocation (Williams et al. 2019; Radeny et al., 2019; Kolawole
168 et al. 2014).

169

170 The emerging risks associated with climate change highlight the need for knowledge that will
171 more effectively contribute towards climate action. Modern scientific knowledge remains
172 inadequate to transform climate policies and manage the full range of impacts of climate
173 change (Mafongoya and Ajayi, 2017), particularly for those most vulnerable. There is therefore
174 growing need to employ ILK to bridge this climate response deficit. ILK is often considered as
175 social capital for the poor.

176

177 There are however noted constraints to the role of ILK in climate change adaptation that have
178 particularly acute effects in Africa. For example, the rate of climate change and the scale of its
179 impacts may exceed the patterning built into ILK and may therefore render the kinds of
180 incremental adaptation practices by smallholder farmers and others, less relevant and less
181 effective at current and projected climate change (Lane and McNaught 2009; Orłowsky and
182 Seneviratne 2012). Climatic changes have led to disappearance and/or changing the
183 behaviour of old practices, due to environmental degradation and frequent climate change and
184 extremes. An example is seen in Maasai, a nomad ethnic group distributed between Kenya
185 and northern Tanzania. For centuries, the Maasai have been using cattle as a source of blood,
186 which is part of their diets. Due to the unfavourable conditions, they have been switching to
187 using camels for mixing blood and milk (Leal Filho et al. 2017).

188

189 Many harsh changes have contributed to the decline of ILK accuracy and reputation due to
190 faulty forecast information and a lack of interest from younger generations (Theodory, 2016).
191 Across Africa there has been disruption of ILK through, for example, by colonial education and
192 missionary activity, and a general perception that ILK is outdated and unfavourably contrasted
193 with scientific knowledge. These elements have negatively affected the transmission of ILK
194 across generations (Speranza et al. 2010; IPCC, 2019c). There has been a lack of systematic
195 and effective knowledge and skill transfer, dissemination, and documentation of ILK across
196 Africa, which is seen when awareness and attitudes are analysed (Rapholo and DikoMakia
197 2020). Further disruption and dislocation have been caused by the influence of monolithic

198 religion and modern education which have labelled ILK forecast experts as a witch and
199 traditional practices against the act of God (Shizha 2013, Mawere2015).

200

201 Together with shifting educational norms, there has arisen a lack of recognition and support
202 from policymakers, practitioners and the scientific community of the potential value of ILK
203 (Radeny et al., 2019; Mafongoya and Ajayi, 2017; Theodory, 2016; Ayal et al., 2015; Kitinya
204 et al. 2012). Urbanisation is occurring faster in Africa than on any other continent and this
205 process has been noted to erode ILK, even though ILK is often integrated into urban
206 environments (Oteros-Rozas et al. 2013; van Andel and Carvalheiro 2013).

207

208 However, many communities trust ILK forecasts more than the modern scientific forecast
209 system, particularly in rural areas (Radeny et al., 2019) and many societies in Africa consider
210 elder knowledge holders of ILK as an asset. Knowledge holders are frequently consulted for
211 advice on how to respond to the different environmental uncertainties occurring in their local
212 context (Theodory, 2016). In the broader sense, in Africa ILK has been used to address natural,
213 human induced and socio-economic risks, for example hydro-metrological hazards (including
214 droughts and floods), and health issues using the signals of various biotic and abiotic indicators
215 (Leal Filho, et al. 2021). ILK plays vital role to adapt the impact of climate change and ensure
216 food security in Africa (Ajayi and Mafongoya, 2017). Importantly, the ILK systems in Africa
217 have well established informal forecast dissemination platforms across communities and
218 geographies under serviced by current climate services.

219

220 Some of the most common knowledge holders include elders of a community, traditional
221 leaders, and traditional healers; while other groups such as farmers, fishers, beekeepers,
222 pastoralists also possess and share ILK.

223

224 In Africa, ILK weather and climate forecasting systems has been playing remarkable roles in
225 resolving diverse impacts of climate change and are often recognized as a key resource for
226 climate change adaptation and mitigation (Nyadze, Ajayi and Ludwig, 2021; Adger *et al.*, 2014).
227 In most cases, ILK is recognized as the reference point for intervention which enabled
228 generations to survive and benefit from the risks. Both ILK and scientific knowledge weather
229 and climate forecasting systems are based on observations, experimentations, and
230 validations, however, ILK weather forecasting is based on short-term climate extremes
231 observation whereas scientific weather forecasting made using aggregated mean values of
232 climate variables. These forecasting systems suffer from limitations and hence, the provision

233 of blended forecast services could help to provide more accurate information (Radeny et al.,
234 2019). Yet they also afford a richer understanding of climate change, one that incorporates
235 local perceptions into analysis by exploring local meanings of space and time, how people and
236 places relate to each other, and how local knowledge is built, transmitted and, most
237 importantly, changed over time.

238

239 **3 Methods**

240

241 This research adopted a structured review to explore the role of ILK in climate change
242 adaptation in Africa. The review aimed to identify ILK types, contexts of application, the added
243 value ILK for adaptation, and observed outcomes and effects of ILK through its various roles
244 in climate change adaptation across in Africa.

245

246 The rapid pace of academic publications makes it challenging to keep up to date with the
247 trends and advances in scientific fields using traditional literature review methods (Callaghan
248 et al., 2020). Advances in text-mining provide opportunities to partially deal with this issue.
249 Over the past decade, several software tools have been developed for this purpose. Here we
250 employ term co-occurrence analysis to find out what the key focus areas related to the use of
251 ILK for climate adaptation exist in the literature. For this purpose, VOS viewer (version 1.6.17),
252 a software tool for constructing and visualizing bibliometric networks, was used to identify
253 common areas of research and their interlinkages (van Eck and Waltman 2010).

254

255 Input data was retrieved from the Web of Science (WoS) a scientific database that archives
256 high quality peer-reviewed publications. To ensure collection of all relevant publications, the
257 broad-based search string developed by Petzold et al. (2020) was used and modified to only
258 retrieve literature focused on Africa. Words or terms relating to ILK such as Indigenous,
259 traditional, aboriginal, were used to initiate the search (see Appendix for full search string). To
260 further classify the search, several related terms were included, which are knowledge,
261 research, practice, ritual, belief, institution, values, norms and skills where any of the
262 formulated terms could be picked up. Each search string included: climate change, global
263 warming, climate variability, extreme event, weather, heat wave, sea level rise, flood, drought,
264 storm, erosion, desertification, or degradation. The resultant string was concatenated with
265 terms related to human responses to climate change such as resilience, response, adapt,
266 coping and cope.

267

268 The full text and citation data of the retrieved documents were downloaded from WoS for
269 analysis using VOS viewer. The initial search was conducted on April 12, 2021 and returned
270 139 articles. Of different WoS Document types, these included Articles, Review Articles,
271 Proceedings Papers, Book Chapters, Data Papers, and Letters. Titles and abstracts of these
272 articles were screened, and 133 articles that were related to the role of ILK for climate change
273 adaptation were selected for final bibliometric analysis using VOS viewer and for further
274 assessment. The exclusion criterion was irrelevance to the role of [ILK for climate change](#)
275 [adaptation](#). Results of the term co-occurrence analysis are presented as a network of nodes
276 and links, where node size is proportional to the frequency of term co-occurrence and link
277 strength is proportional to the strength of connection between two terms. This potentially
278 indicates concentrations of key themes that have received more attention in the literature and
279 the relative importance of identified themes based on the frequency of occurrence in the
280 literature. Terms that have co-occurred more frequently establish thematic clusters that are
281 shown in different colours on the term map. It is worth noting that, while term co-occurrence
282 analysis provides insights into major thematic focus areas and potential links between different
283 terms, interpretation of the results requires expert knowledge of ILK together with further
284 interpretation of the literature. Overall, this method is useful for gaining overall understanding
285 of the thematic focus of research fields and relationships between key concepts, geographical
286 and sectoral concentrations, while providing direction for further investigation of the substance
287 of articles under consideration. Given the software limitations, only documents indexed in the
288 WoS were included in the term co-occurrence analysis. We therefore also searched for other
289 possibly relevant documents (including grey literature) using Google Scholar and the same
290 search terms and used the combination of peer-reviewed and grey literature to develop the
291 final set of literature for analysis.

292

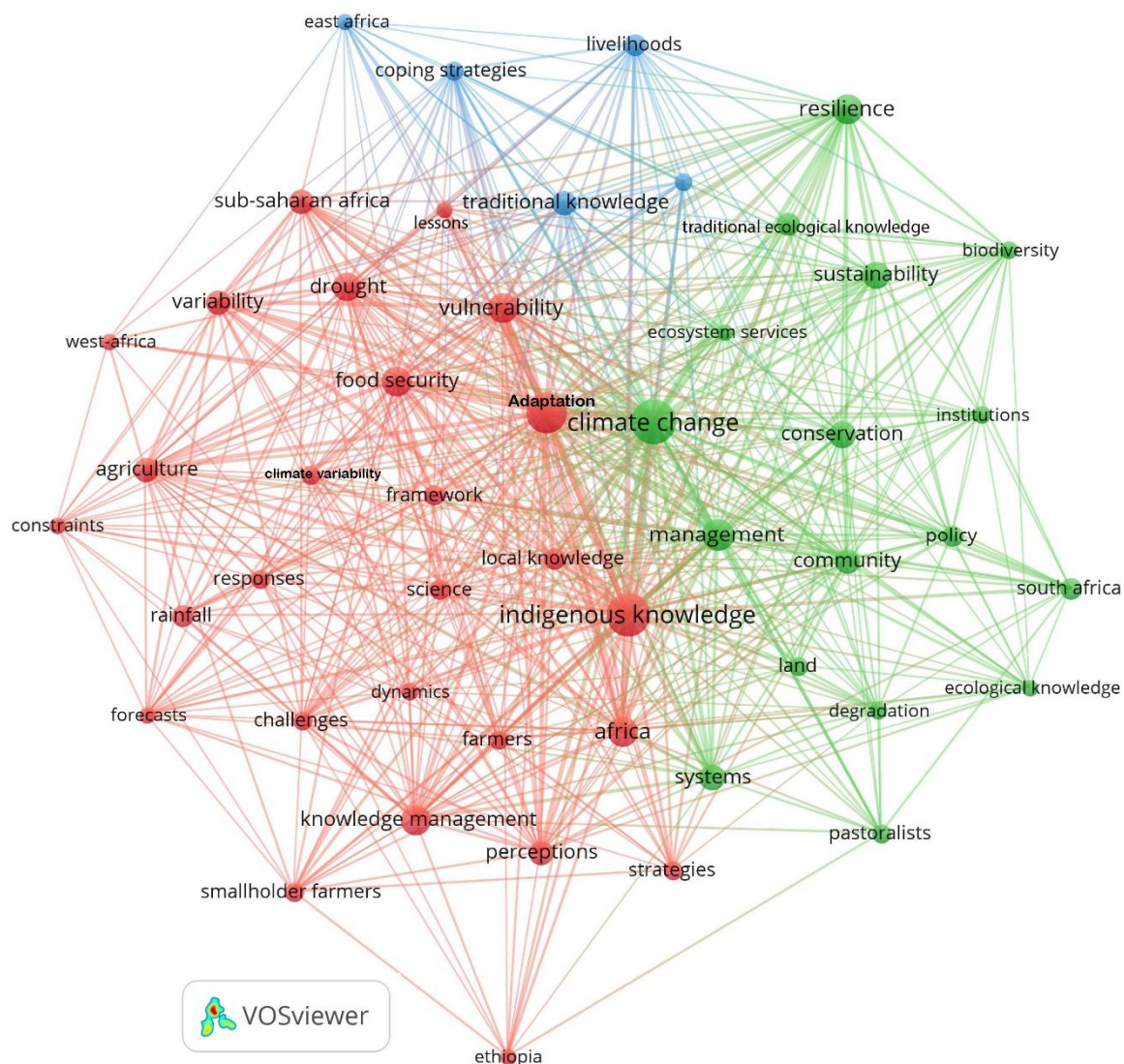
293 **4 Results and Discussion**

294

295 **4.1. Bibliometric analysis**

296 From the bibliometric analysis (Figure1), the role of ILK in adaptation was found to be mostly
297 associated with food security, weather and climate forecasting, which is used for adaptation
298 decision support, disaster management, and forest resource management. Most of the
299 research on ILKs in Africa has been done in Eastern and Southern Africa. The important
300 association of the term “knowledge management” and its strong connections with the other

301 keywords shows that knowledge management is essential to ensure ILK is effectively utilized
302 for climate change adaptation.



303
304 **Figure 1.** Results of term co-occurrence analysis showing the commonly used terms and their
305 interactions. Source: authors

306
307 Results of the co-occurrence analysis for a minimum threshold of 15 keywords are shown in
308 Figure 1. Three major thematic clusters can be identified from this analysis. The largest cluster
309 (red colour) is mainly focused on issues related to the use of Indigenous knowledge in
310 agriculture, indicating that research has mainly focused on this issue. The second major cluster
311 (green colour) is focused on issues related to biodiversity conservation and land degradation.
312 This implies that Indigenous knowledge has also been widely used to prevent land degradation
313 and biodiversity loss and ensure sustainability and resilience of ecosystems. Finally, the third
314 cluster (in blue) shows that traditional knowledge has also been used for enhancing coping

315 capacity and livelihood options. Interactions between terms in these clusters that elaborate the
316 role of ILK in climate change adaptation will be further discussed below.

317

318 The analysis showed that, apart from the terms included in the search string, terms such as
319 forecast, adaptation, resilience, management, vulnerability, food security, knowledge
320 management, sustainability, conservation, agriculture, community, perceptions, livelihoods,
321 policy, rainfall, farmers, land, pastoralists, and smallholder farmers. This indicates that
322 collective memories of communities and ILK have particularly been associated empirically in
323 the literature with efforts to enhance adaptation, particularly to water stress and to improve
324 ecosystem and biodiversity conservation that are critical for food and livelihood security. Figure
325 1 also indicates that literature has mainly focused on ILK that has been used by farmers and
326 pastoralists groups and very little observation has been made of the application of ILK outside
327 these main uses. This indicates the role and importance of ILK for farmers and pastoralists
328 (Orlove et al., 2010). Likewise, term co-occurrence analysis also illustrates the same farmers
329 and pastoralists are the most vulnerable to climate related risks. Investigating the literature
330 further, this is due to both the direct impacts of climate change and both lack of supportive
331 policy and framework, and context-specific downscaled climate services, particularly for
332 weather forecasting. Contextually situated, livelihoods and food security are further challenged
333 by resources degradation, loss of biodiversity, and decline of ecosystem services, which act in
334 concert to constrain their adaptation or coping responses.

335

336 Figure 1 indicates a major focus in the literature has been on how ILK has been used by
337 farmers and pastoralists highlighting the high reliance of such groups on ILK for decisions
338 relating to rain-fed agriculture (Orlove et al., 2010). In Malawi, research validates the accuracy
339 of farming communities' perception of climatic changes and demonstrates how local
340 knowledge can be used to improve adaptation to droughts and rainfall variability by measures
341 such as shifting from non to native crops and investment in local livestock that are more
342 resistant to water stress (Kalanda-Joshua et al., 2011; Nkomwa et al., 2014). Similar findings
343 have been reported in Burkina Faso regarding the convergence of local farmers' rainfall
344 forecasts with scientific ones and the utility of rainfall prediction based on Indigenous
345 knowledge for taking adaptive measures (Roncoli et al., 2002). Elsewhere, in central Tanzania
346 and Uganda, farmers rely on their familiarity with seasonal patterns and use local knowledge
347 and experiences to practice timely cultivation in response to rainfall variability and this
348 enhances their coping capacity, thereby ensuring their livelihood and food security (Orlove et
349 al., 2010; Slegers, 2008).

350

351 Close connection between ecological knowledge, land, and degradation may be interpreted as
352 its significance for mitigating land degradation. This could, for instance, be achieved through
353 temporal restrictions on resource exploitation based on local knowledge and experiences of
354 the state of the ecosystem as practiced by herders in African Sahel (Berkes et al., 2000). For
355 instance, Indigenous knowledge has been effective in implementing a fallow cultivation system
356 that contributes to forest management, thereby ensuring provision ecosystem services that are
357 critical for enhancing adaptive capacity and coping with climatic stressors (Nyong et al., 2007).

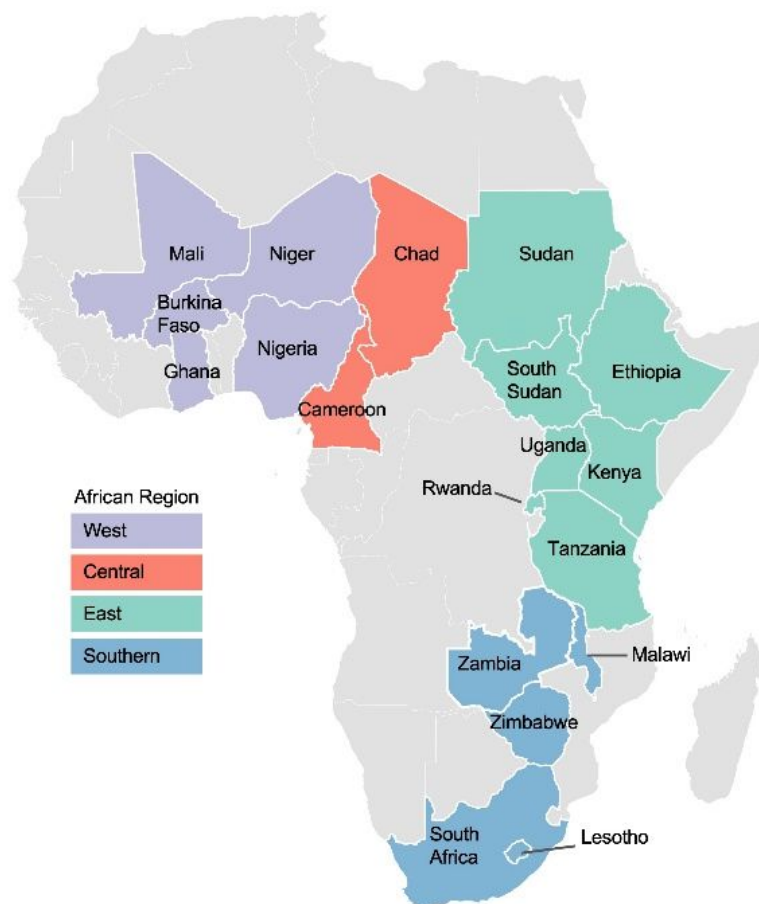
358

359 The important position of the term 'knowledge management' and its strong connections with
360 the other keywords associated with adaptation shows that proper knowledge management is
361 essential to ensure Indigenous knowledge is effectively utilized for climate change adaptation.
362 A case study from Malawi demonstrates enhancing knowledge management through
363 facilitating interactions between various stakeholders such as scientists, farmers, pastoralists,
364 and policy makers is important and can ensure effective integration of local knowledge into
365 adaptation plans and policies (Kalanda-Joshua et al., 2011; Nkomwa et al., 2014; Roncoli et
366 al., 2002). It is argued that integrating Indigenous climate knowledge into management
367 practices and modern technologies can also fine tune scientific predictions and measures and
368 enhance their local buy in through improved communication mechanisms (Nyong et al., 2007;
369 Orlove et al., 2010). Local buy can be further strengthened through engaging local
370 stakeholders in the adaptation planning processes. Such processes wherein locals can
371 participate in planning and implementation stages also enhance local capacities and facilitate
372 long term sustainability and resilience benefits (Nyong et al., 2007). Indeed, traditional
373 ecological knowledge can provide multiple co-benefits (Nyong et al., 2007) and this is reflected
374 in the term co-occurrence analysis that, among other things, shows strong connections
375 between traditional ecological knowledge and terms such as resilience, sustainability,
376 biodiversity, and ecosystem services.

377

378 **4.2. Case studies**

379 In order to elaborate on how ILK is relevant in adaptation to climate change in Africa, several
380 case studies drawn from 19 countries across the continent have been described in more detail
381 (Figure 2).



382

383 Figure 2. Examples of Indigenous Knowledge and Local Knowledge are drawn from 19
 384 countries across Africa. Visualisation indicates the four regions of Africa from which the case
 385 studies are drawn. Note: IK and LK practices are spread across multiple countries, (e.g.,
 386 meteorological inference drawn from observation of plants and behaviour of animals common
 387 to Ethiopia, Tanzania and Uganda, see Table 3); IK and LK practices are not necessarily
 388 evenly spread across any single country (e.g., observations of flowering of peach trees
 389 localised to Swayimane, South Africa, see Table 3).

390

391 African farmers and pastoralists are not passive victims to the adverse impacts of climate
 392 change and extremes. Rather, ILK has been used by these actors to adapt to climate change
 393 and conserve their environment in various parts of Africa. For example, Mafongoya and Ajayi
 394 (2017) reported various situations where ILK was used in addressing climate related
 395 challenges across several regions of Africa in including in Lesotho, Malawi, Nigeria, Zimbabwe,
 396 and Zambia. Case studies from across the continent indicate that the communities are aware
 397 that a well conserved environment helps them reduce risk famine, food insecurity, and poverty
 398 associated climate variability and disasters (Mafongoya and Ajayi, 2017). ILK has helped
 399 communities developed a variety of measures to survive climate changes, such as growing

400 drought tolerant and early maturing Indigenous crops, gathering wild fruits and vegetables,
 401 cultivating wetlands, and diversifying and selling livestock.

402

403 The phenology of Indigenous tree varieties such as *Cyphostemmao rondo*, and *Acalypha*
 404 *fruticosa* in Kenya (Kitinya et al., 2012), *Milicia excels* in Uganda (Radeny et al., 2019), and
 405 *Acacia tortilis* and aloe tree across multiple countries (Ayal et al., 2015) are used to forecast
 406 and adjust community farming activities. In Ethiopia, pastoralists and agro-pastoralists used
 407 the behaviour and activities of biotic and abiotic indicators such as insects, birds, trees and
 408 other wildlife (Balehegn et al., 2019; Ayal et al., 2015), the moon-star alignment, and animal
 409 intestine interpretation to forecast long-term and short-term weather conditions to inform
 410 adjustments to farming activities and rangeland management systems (Radeny et al., 2019;
 411 Ayal et al., 2015). In the Sahel region, ILK has been used to manage climate related risks to
 412 water and agricultural production. For example, an Indigenous water harvesting technique
 413 originating from the Sahel and known as zai pits or tassa helps restore degraded drylands
 414 through climate-smart agriculture (UNHCR, 2020). The design and positioning of the pits
 415 ensures they capture erratic rainfalls allowing infiltration of water to irrigate the seeds, which
 416 increases soil fertility and crop yields.

417

418 In general, ILK weather and climate forecasting plays a crucial role and is trusted to reduce
 419 climate related risks in Africa. In Kenya, in addition to weather and climate forecasting, ILK is
 420 applied for land use and rangeland management to maximize milk production and hence,
 421 ensure food security and alleviate poverty (Amwata, 2013). In Ethiopia ILK is also used to warn
 422 and manage risk related with flood, conflict, geo-hazards and livestock and human health
 423 problems (Ayal et al. 2015). Farmers in Cameroon value their ability to accurately observe and
 424 anticipate local conditions in various ways to serve their local realities more aptly than outside
 425 forecasts (Tume et al., 2020). Further, community-based adaptation in Zimbabwe has been
 426 shown to reduce the vulnerability as well as improve the resilience of the local people to climate
 427 variability and change and these measures have helped sustain Indigenous practices
 428 (Mugambiwa, 2018).

429

430 **Table 3.** Some situations where ILK has been used in Africa

Indigenous and local knowledge	Where it is found	Situation where it has been used	Strategies	Reference/Literature where it is documented
Indigenous agronomic practices	Ghana (Gowrie Kunkua and SoeKabre communities)	Organic manure		(Aniah et al. 2019)

Biotic Plant phenology Animal behaviour Bird migration Wind direction	Western Uganda (Ruteete) 60 Interviews	Weather forecasting and understanding seasonal changes.	Information in farming (onset and cessation)	(Nyakaisiki et al. 2019)
	Rakai District, Uganda 150 HH 2 FGDs 15 KI	Fine tuning scientific forecasts	Composite decision making in farming	(Orlove et al. 2010)
Plant phenology (Flowering of peach trees)	Swayimane, South Africa	Weather prediction Planning farm activities Adaptation	Water harvesting Water conservation Irrigation planning	(Basdew et al. 2017)
Plant phenology Animals, Weather and cosmological indicators	Gwanda, Zimbabwe	Forecasting Malaria	Development of disease calendars Development of a community-based malaria early warning system	(Macherera and Chimbari 2016)
Trees	Masvingo, Zimbabwe 60 HH 15 KI	Management of forest resources	Minimal damage to the environment	(Tanyanyiwa and Chikwanha 2011)
Meteorological, Plant based and behaviour of animals	Ethiopia 200 HH, 4 FGDs, 8 KI Tanzania 77 HH, 3 FGDs Uganda: 120 HH	Decision support in Agriculture	Availability of location specific forecasts	(Radeny et al. 2019)
Indigenous crop varieties Organic manure (crop residue and cow dung)	Rwanda	Crop productivity	Increased resilience	(Taremwa et al. 2016)
Traditional Crop varieties	Nandi, Kenya	Crop productivity	Increased food security	(Songok et al. 2011) (Nakashima et al. 2012)
Tree Phenology Behaviour of animals, crickets and ants	Chikhwawa district, Malawi	Decision support in crop productivity	Management of risk	(Nkomwa et al. 2014)
Traditional water dams	Tanzania	Scheduled Fishing time Traditional Farming	Fish regeneration Environmental protection	(Kihila 2017)
Traditional diviners to control strong wind	Missenyi and Muleba Districts, Tanzania	Means to control the blowing strong winds	Protection of the home garden with bananas	(Theodory, 2020)

431 *Household Informant (HHI), Key Informants (KI), Focus group discussion (FGD)

432

433 As previously noted, farming communities' perception of climatic changes have been validated
434 with meteorological records to demonstrate the accuracy of locally appropriate ILK (Kalanda-
435 Joshua et al., 2011; Nkomwa et al., 2014). When applied to decision making informed by ILK,
436 this perception accuracy has leveraged improved adaptation to droughts and rainfall variability
437 by measures such as shifting from non-indigenous to native crops and investment in locally
438 bred livestock that are more resistant to water stress (Kalanda-Joshua et al., 2011; Nkomwa
439 et al., 2014). Similar findings have been reported in Burkina Faso regarding the convergence
440 of local farmers' rainfall forecasts with scientific ones and the utility of rainfall prediction based
441 on ILK for taking adaptive measures (Roncoli, Ingram, and Kirshen, 2002). Elsewhere, in
442 central Tanzania and Uganda, farmers rely on their familiarity with seasonal patterns and use
443 locally informed ILK and experiences to practice timely cultivation in response to rainfall

444 variability and this enhances their coping capacity, thereby ensuring their livelihood and food
445 security (Orlove et al., 2010; Slegers, 2008; Nkuba et al., 2020b).

446

447 Yet Indigenous weather forecasting is becoming less effective among the *Haya* people in
448 Tanzania. In views of the *Haya community*, in the past it was possible to predict the weather
449 of the following day because there were specific periods for certain rainfall levels and
450 temperatures, but in recent years climatic variability have complicated the legibility and
451 interpretation of prediction signs (Theodory, 2016).

452

453 Close connections between ecological knowledge and local resource allocations are used for
454 better land use management outcomes. For example, temporal restrictions on resource
455 exploitation based on locally informed ILK and experiences of the state of the ecosystem as
456 practiced by herders in African Sahel (Berkes, Colding and Folke, 2000). Further, ILK has been
457 effective at implementing a fallow cultivation system that contributes to forest management,
458 thereby ensuring provisioning ecosystem services that are critical for enhancing adaptive
459 capacity and coping with climatic stressors (Nyong, Adesina, and Osman Elasha, 2007).

460

461 There is empirical evidence of successful integration of ILK with the formal adaptation
462 strategies to climate change and other development endeavours at the local scale (Briggs and
463 Sharp, 2004; Theodory, 2020). Integrating Indigenous climate knowledge into management
464 practices and modern technologies can also fine tune scientific predictions and measures and
465 enhance their local buy-in through improved communication mechanisms (Nyong, Adesina,
466 and Elasha, 2007; Orlove et al. 2010, Leal Filho, Matandirotya, Lütz, et al 2021). Thus, greater
467 efforts to identify, document and validate the potentials that ILK may contribute to development,
468 particularly on climate change adaptation is therefore highly important for socially engaged
469 research on Africa with potential to contribute towards climate action on the continent. This
470 can be achieved by engaging local stakeholders in the adaptation planning processes. Such
471 processes wherein locals can participate in planning and implementation stages also enhance
472 local capacities and facilitate long term sustainability and resilience benefits (Nyong, Adesina,
473 and Elasha 2007). Indeed traditional ecological knowledge can provide multiple co-benefits
474 (Nyong, Adesina, and Elasha 2007) and this is reflected in the term co-occurrence analysis
475 that, among other things, shows strong connections between traditional ecological knowledge
476 and terms such as resilience, sustainability, biodiversity, and ecosystem services.

477

478 **5 Conclusions**

479

480 Africa is rich in time tested and context specific ILK used to respond to climatic variability and
481 change. This intangible asset is not limited to merely coping with climate impacts as ILK has
482 contributed substantively towards climate change adaptation. It has been used in different
483 expressions including forecasting and managing natural and human induced hazards. The
484 ILKs are inbuilt in local culture and hence, accepted by the local community to rescue their
485 property and life from climate-related hazards including drought, floods, diseases, conflict,
486 manage resources, and ensure food security. While ILK has the potential to fill the information
487 gap in modern scientific knowledge, currently ILK has faced serious challenges due to lack of
488 proper knowledge transfer, documentation, dissemination, the influence of religion and
489 education, lack of recognition of forecasters, and environmental degradation and extinction of
490 biological indicators.

491 This paper has some limitations. The first one is that it could only sample a set of examples of
492 ILK in practices in some countries, and was unable to cover the whole of Africa. In addition,
493 we have referred to documented and verifiable ILK practices and did not focus on
494 undocumented ones. Finally, the study looked at ILK in a climate change context, and did not
495 investigate other themes.

496 Despite these limitations, the paper provides a welcome addition to the literature since it
497 describes various ILK tools and processes, some of which are playing a key role in supporting
498 African communities to cope with changing climate conditions.

499 A final conclusion which can be made is that , climate change has itself had a negative impact
500 on the accuracy of ILK, which has negatively affected perceptions of its efficacy. Thus,
501 development interventions, particularly those associated with weather and climate forecast
502 services should aim to preserve and consider the ILK in their planning and operational
503 activities. Blending ILK with scientific knowledge could help the services provision and program
504 implementation cost effective, successful and also Indigenous community's develop senses of
505 ownership and contribute for the sustainability of the impact intervention.

506

507 **Conflict of interest**

508 None

509

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514

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741

742 **Appendix**

743 TS= (("indigen* knowledge" OR "indigen* research" OR "indigen* practice*" OR "indigen*
744 ritual*" OR "indigen* belief*" OR "indigen* institutions" OR "indigen* value*" OR "indigen*
745 norm*" OR "indigen* skill*" OR "traditional ecological knowledge" OR "tradition* knowledge"
746 OR "tradition* research" OR "tradition* practice*" OR "tradition* ritual*" OR "tradition* belief*"
747 OR "tradition* institutions" OR "tradition* value*" OR "tradition* norm*" OR "tradition* skill*" OR
748 "aborigin* knowledge" OR "aborigin* research" OR "aborigin* practice*" OR "aborigin* ritual*"
749 OR "aborigin* belief*" OR "aborigin* institutions" OR "aborigin* value*" OR "aborigin* norm*"
750 OR "aborigin* skill*" OR "tribal knowledge" OR "tribal research" OR "tribal practice*" OR "tribal
751 ritual*" OR "tribal belief*" OR "tribal institutions" OR "tribal value*" OR "tribal norm*" OR "tribal
752 skill*" OR "native knowledge" OR "native research" OR "native practice*" OR "native ritual*"
753 OR "native belief*" OR "native institutions" OR "native value*" OR "native norm*" OR "native
754 skill*" OR "folk knowledge" OR "multiple knowledge systems") AND ("clim* change" OR "global

755 warming" OR "climate variability" OR "extreme event" OR "extreme weather" OR "heat wave"
756 OR "sea level*" OR "flood*" OR "drought" OR "storm*" OR "erosion" OR "desertif*" OR
757 "degrad*") AND ("adapt*" OR "resilien*" OR "respon*" OR "coping" OR
758 "cope") AND ("africa*"))

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