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The new WHO air quality guidelines for PM2.5: predicament for small/medium cities

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- 40

41 1. INTRODUCTION

42 Air pollution has received attention in recent decades due to its adverse impact on eco-systems and human health. 43 The global burden of disease (GBD) study estimated that approximately 7.1 million deaths worldwide were related to air 44 pollution in 2016 (Landrigan et al., 2018; WHO, 2021), of which 543 000 (just shy of 8%) were that of children under five 45 years of age, but less than 1% for the ages between 5 and 15 years. It is evident that the more vulnerable groups are at high-46 risk, even at low exposure levels (Chen et al., 2018; Klepac et al., 2018; Landrigan et al., 2018; WHO, 2018). In addition, 47 99% and 89% of deaths related to household and ambient air pollution, respectively, occurred in low-and middle-income 48 countries in 2015 (Landrigan et al., 2018). Although air quality has improved in high-income countries, the contrary can be 49 said for low- and middle-income countries, due to urbanisation and economic development.

50 Because of the growing evidence that burden of disease is strongly associated with ambient and household air 51 pollution exposure, the World Health Organization (WHO) launched its latest latest Air Quality Guidelines (AQG) in 52 September 2021, after a thorough evidence-based review. For PM_{2.5} the former update (dated to 2005) was based mainly on two studies (Dockery et al., 1993; Pope et al., 2002), whereas the 2021 update was based on 25 studies (Chen & Hoek, 2020) 53 54 which concluded that PM_{2.5} exposure poses a moderate to strong health risk. The review concludes that the lowest level of 55 long-term exposure associated with mortality, was between 3 and 6.7 µg m⁻³, with a mean of 4.2 µg m⁻³ and a sensitivity 56 analysis value of 4.9 µg m⁻³, leading to the long-term AQG level of 5 µg m⁻³ annual mean concentration of PM_{2.5} (WHO, 2021). Moreover, mortality due to circulatory, non-malignant respiratory diseases and lung cancer showed even higher 57 58 relative risks than the all-cause of death, and there may be a higher relative risk in the lower levels of exposure (Chen & 59 Hoek, 2020). With the 2005 WHO update, it was estimated that a reduction of air pollutant levels would avoid 3.4 million 60 deaths (47.8%) (Evangelopoulos et al., 2020) where the new 2021 update (WHO, 2021) predicts a decrease of 5.6 million 61 (79.5%).

Even so, scientific reports highlight that only a limited number of cities have $PM_{2.5}$ sources and components consistently measured (Lelieveld et al. 2015). In addition, the authors point out that most information about air pollution is related to megacities and/or capital cities due to their political relevance or industrial complexity. To estimate air quality in rural locations, towns and smaller cities, atmospheric chemistry and transport models are used (Lelieveld et al., 2015) by reason of a lack of monitoring data. Due to the complexity of $PM_{2.5}$ emissions and the impact of diverse local and long-rage transport sources on the levels and chemistry of these airborne particles, reliable monitoring should be carried out frequently and/or continuously across all areas (Martins et al., 2018).

69 However, the air quality guidelines (AQG) of the World Health Organization (WHO) is still based on the exposure-70 response functions that rely on PM_{2.5} mass concentration, implicitly treating all fine particles as equally toxic without regard 71 of their sources and/or chemical composition (Lelieveld et al., 2015). The main exposure route for PM_{2.5} is through inhalation 72 by which potentially toxic, carcinogenic, and genotoxic compounds in the aerosol can become partially or totally soluble in 73 the lung fluid. This fraction, called the bioaccessible fraction, can then pass through the blood barrier and thus be taken up in 74 the blood stream, causing systemic health effects such as increasing the relative risk to a stroke (Nascimento et al., 2012; 75 Fisher et al., 2019). During the last decade researchers documented the importance of the chemical composition of PM_{2.5} and 76 identified compounds of specific health importance (Pope et al., 2009; Canova et al., 2012; Cao et al., 2012; Langrish et al., 77 2012; Sorensen et al., 2012; Wu et al., 2012). Specifically, metals have been associated with adverse effects, such as 78 respiratory, neurological, reproductive and carcinogenic effects (Kurt-Karakus, 2012; Liu et al., 2015; Fang et al., 2018). 79 Some metals such as Fe. Cu and Mn have the capacity to generate reactive oxygen species (ROS), which can cause damage 80 to DNA and cellular functionality through the oxidation of proteins and lipids associated with oxidative stress (Angelé81 Martínez et al., 2014). To that end, the pulmonary bioaccessibility of these metals are of importance to establish exposure
 82 risk.

In vitro studies using artificial biological fluids have the advantage of producing rapid and inexpensive results compared to in vivo studies, in addition to the worldwide concern over animal cruelty and the difficulties associated with human studies. Artificial pulmonary fluids seek to mimic the lung environment, presence of macrophages and pulmonary surfactants. Artificial Lysosomal Fluid (ALF) currently presents the closest composition to the more acidic lung environment, simulating phagocytosis conditions when inflammatory conditions prevail (Midander et al., 2007).

88 The new WHO guidelines put all countries on the spot, asking them to take action, not only in monitoring levels but 89 reducing the health risks associated with it. By means of an extensive characterization of fine particles in a medium sized 90 middle-income city, the present paper aims to call into question why and how to guide efforts to reduce air pollution 91 emissions to the new WHO guidelines levels, using Londrina, Brazil as a case study. To estimate the toxic and carcinogenic 92 potential of potentially harmful elements (PHEs) present in inhaled PM, we conducted lung bioaccessibility tests using ALF. 93 Although bioaccessibility data, using artificial lung fluids, are available in a limited number of papers, very few studies were 94 conducted in medium size cities (those with 1 million inhabitants or less) all over the world (Mbengue et al., 2015; Coufalík 95 et al., 2016; Hernández-Pellón et al., 2018; Expósito et al., 2021). To provide data on such a middle-sized city, we 96 investigated the long-term trend of PM2.5 in Londrina, Brazil. Londrina has a population of around 569,733 inhabitants (IBGE, 2019), similar to some important cities worldwide, as shown in Table 1. This research presents the results of almost 97 98 2 years of daily PM2.5 monitoring. We report mass, black carbon and elemental concentrations (used for source 99 apportionment). Using an in vitro approach, the pulmonary bioaccessibility in ALF simulated lung fluid, were determined 100 and resident's health exposure risks estimated.

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Country	Region/City	Population	Ref.	Country	Region/City	Population	Ref.			
USA	New Mexico/ Albuquerque	560.218	(USCB, 2019)	Italy	Genoa	580.097	(Istat, 2018)			
USA	Maryland/ Baltimore	602.495	(USCB, 2019)	Spain	Málaga	571.026	(INEbase, 2018)			
USA	Arizona/ Tucson	545.975	(USCB, 2019)	China	Mongolia/ Wuhai	532.900	(NBSPRC, 2019)			
UK	Glasgow	615.070	(ONS-UK, 2017)	China	Tibet/ Lhasa	559.400	(NBSPRC, 2019)			
Germany	Dortmund	585.813	(IT.NRW, 2013)	China	Yunnan/ Nujiang	534.000	(NBSPRC, 2019)			

Table 1 - Worldwide cities population estimate in 2018

103

104 2. MATERIALS AND METHODS

105 106

2.1. SAMPLING SITE DESCRIPTION AND PM_{2.5} SAMPLING PROCEDURES

107 The study site is located at the Historical Museum of Londrina, Paraná state, Brazil (Figure S1 - coordinates
108 23°18'29"S 51°09'35.1"W, and at 608 m asl). The museum is situated in the central part of the city where traffic density
109 between 746 and 1,300 vehicles per hour are experienced during peak times. The Central Bus Station, which is responsible

for transporting approximately 45 million commuters per year, is in close vicinity. The 400 buses in the fleet are on average
five years old and run on S500 and S10 diesel fuel (500 and 10 ppm of Sulphur) (CMTU, 2015; IPPUL, 2016).

Londrina area is about 1,652 km² with a population of 569,733 inhabitants registered in 2018 (IBGE, 2019). It has almost 400,000 vehicles of which 59% are light vehicles, 18% motorcycles, and 15% heavy duty vehicles (DENATRAN, 2019). The main Gross Domestic Product (GDP) of the metropolitan region of Londrina are mainly services (40%), agriculture and livestock (23%), industry (19%), and administration/education (18%) (IBGE, 2017). Londrina has a subtropical climate with temperature highs of 24°C (December to March) and lows of 16 °C (May to August).

117 Twenty four-hour $PM_{2.5}$ continuous mass sampling was carried out from September 2014 to February 2015 and 118 from June 2015 to July 2016, using an inertial low volume Harvard impactor sampler (Marple et al., 1987) operated at 10 L 119 min⁻¹ and collecting particulates on 37 mm polycarbonate filters. The impactor was positioned at 2 m height and field blanks 120 were kept for both campaigns to reduce filter handling and transport errors.

PM_{2.5} mass concentration was determined using gravimetry by determining weight differences before and after sampling, using a microbalance and an electrostatic charge eliminator. Black Carbon (BC) mass concentration was obtained using a transmissometer SootScan OT21 (Magee Scientific) at an 880 nm wavelength (infrared), the meteorological data of the city was obtained in the National Institute of Meteorology website database (INMET, 2016).

125

126 2.2. SOURCE APPORTIONMENT

127 In order to determine the sources of the pollutant over time and season, the elemental profile of each filter had to be 128 determined. The elemental concentrations were obtained by non-destructive X-ray Fluorescence Spectrometry, using a 129 Minipal-4 (PANalytical. Almelo. The Netherlands) equipped with a thermo-electrically cooled Silicon Drift Detector (SDD). 130 The optimum tube voltage and current were determined based on reference standards (Micromatter. Seattle. WA. USA) and 131 validated by the measurement of a certified reference material from NIST (2783 air particulate on filter media). The best 132 spectra and calibration curves were obtained using a He-atmosphere with 600 s of acquisition time under two conditions: a 133 tube voltage of 30 kV. and a current of 0.3 mA with the limit of detection in brackets in ng m⁻³ for Cr (0.39), Cu (0.32), Fe 134 (0.69), Mn (0.35), Pb (0.81), Ti (0.35), K (1.3) and Zn (0.5); a tube voltage of 9 kV and a current of 1.0 mA for Al (0.53), Si 135 (2.3), S (1.4), Ca (0.39), Cl (1.9), and Mg (9.0). The limit of detection (LOD) was calculated as three times the inverse of 136 instrumental sensitivity multiplied by the square root of the background noise signal from the measure of ten blank filters 137 divided by the measurement time.

In order to determine the PM_{2.5} sources in the region of interest, two methods were applied, Firstly, enrichment
 factor analysis (EF), which enables the identification of anthropogenic elements, and secondly, principal component analysis
 (PCA), a receptor modelling method.

The EF analysis was applied as described in previous studies (Godoi et al., 2006, Polezer et al., 2018) using Equation 1 (Molnar et al., 1993; Hoornaert et al., 2004). Al is used as the crustal reference element, and average crustal elemental concentrations were obtained from literature (Mason, 1966). An element with an EF value close to one (below 10) is considered to be of natural origin and values higher than 10 is indicative of anthropogenic sources at play (Liu et al., 2003).

146

147
$$EF = \frac{\frac{X_{experimental}}{RE_{experimental}}}{\frac{X_{crustal}}{RE_{crustal}}}$$

148

Equation 1

149 Where X_{experimental} is the concentration of the element under assessment on the filter, RE_{experimental} is the concentration of the 150 chosen reference element (Al) on the filter, X_{crustal} is the concentration established for the element under assessment in 151 nature, and RE_{crustal} is the concentration established for the RE nature. As described by Polezer et al. (2018), the PCA was 152 performed with a Varimax rotation of the coordinates system, where the principal components were related to specific 153 sources due to the high loadings of specific variables. This was followed by absolute principal component analysis (APCA). 154 The combination of these two methods provides a quantitative chemical profile of sources instead of the known qualitative 155 factor loading matrix as in PCA, a method previously applied successfully in aerosol studies (Echalar et al., 1998; Maenhaut and Cafmeuver, 2002). The method was applied using the SPSS 16.0 software[®]. The variables data was composed of PM_{2.5} 156 157 mass, BC and elemental concentration.

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159 2.3. HEALTH RISK EVALUATION

Ambient airborne particulates are complex chemical mixtures made up of primary and secondary particles, each of which is prone to further chemical ageing due to atmospheric processes such as adsorption and absorption. The resulting product is a polydisperse system with complex toxic and carcinogenic potential due to its diverse chemical and biochemical character. To assess the impact on health, two health risk assessment procedures were performed. Firstly, the toxic and carcinogenic risk was assessed using the elemental composition and the web-based Risk Assessment Information System (RAIS) (https://rais.ornl.gov). Secondly, in-vitro studies were performed to determine the pulmonary bioaccessibility.

166

167 2.3.1 Toxic and carcinogenic risk assessment

168 The elemental profile of the $PM_{2.5}$ samples was subjected to a chemical health risk assessment using the RAIS, which is based on a method created by the United States Environmental Protection Agency (USEPA, 1989; USEPA, 2009) as 169 170 described by Godoi et al. (2013). The RAIS models the Carcinogenic Risk (CR) and non-carcinogenic risks (Hazardous 171 Quotient - HQ) of adverse health effects that may occur through ingestion with the pollutant based on the equations 2 and 3 172 presented below. The input parameters used to model the CR and HQ risks are: C - Concentration of contaminant in air 173 (ug/m³); ET: Exposure Time (hours/day); EF: Exposure Frequency (days/year); ED: Exposure Duration (years); RfCi: 174 Chronic Inhalation Reference Concentration (mg/m³); IUR: Chronic Inhalation Unit Risk (1 (µg/m³)⁻¹); LT: Lifetime (years). CR is the probability of an individual to develop cancer over a lifetime in relation to the population exposed to the 175 176 pollutants, where values in excess of 10^{-4} are considered a significant risk to develop cancer. An HQ< 1 indicates there is no 177 significant risk of non-carcinogenic effects occurring, with a probability that tends to increase as the value of HQ increases 178 (USEPA, 1989; Hu et al., 2011; USEPA, 2009).

179

180 $HQ = \frac{\left(\frac{C \times ET \times EF \times ED}{24 \times 365 \times 1000 \times ED}\right)}{RFC_{i}}$

181

$$CR = IUR \times \left(\frac{C \times ET \times EF \times ED}{24 \times 365 \times LT}\right)$$
 Equation 3

183

182

For Cr (VI) exposure, 40% of the total Cr obtained was used (Swietlik et al., 2011). The other elemental concentrations were used as total elemental concentration. Other parameters for the calculation were: exposure duration of 40 years, exposure frequency of 350 days per year, exposure time of 24 hours per day (the average exposure of resident obtained in the chemical risk users guide (RAIS, 2020), and a lifetime of 76 years (IBGE, 2018). These values were deemed

Equation 2

188 representative of describing the exposure duration and frequency of the population of the urban area of the city under 189 investigation.

190

191 2.3.2 Bioaccessibility analysis

The percentage bioaccessibility of each studied element (Cu, Pb, and Mn) in $PM_{2.5}$ was obtained by the element mass concentration solubilized in a simulated lung fluid, artificial lysosomal fluid (ALF) in relation to total mass concentration as determined by XRF. To simulate the inhalation of air pollution process, $PM_{2.5}$ collected on filters were subjected to an in vitro test (Colombo et al., 2008), whereby each filter was incubated at 37 °C with agitation at 40 cycles per minute for 1 hour in ALF with a solid:liquid ratio between 1/16,000 and 1/30,000 g mL⁻¹. There after the supernatant was analysed by graphite furnace atomic absorption spectrometer (GFAAS) (model AA 6800. Shimadzu. Japan).

198 A method blank was obtained by treating a field blank filter to the same procedure as the $PM_{2.5}$ filters. The instrumental 199 settings and chemical modifiers employed were the same as those used in previous work and can be found in Polezer et al. 200 (2020). The limits of detection obtained were 0.4, 2.9 and 0.3 µg L⁻¹; and the limits of quantification were 1.5, 9.8 and 1.2 201 µg L⁻¹ for Cu, Pb, and Mn, respectively. The accuracy and repeatability of the analytical methods were evaluated by the 202 analysis of the ALF previously spiked with known amounts of each element (Cu, Pb, and Mn) and the recovery tested. The 203 recovery of the spiked ALF solution for different levels of the calibration curve was 91% to 109% for Cu, 82% to 108% for 204 Pb and 84% to 104% to Mn. Likewise, the repeatability values of spiked samples were within the acceptable range, RSD 205 <10% (European Commission, 2002).

206

207 3. RESULTS AND DISCUSSION

208 3.1. PM_{2.5} MASS CONCENTRATION, BC AND ELEMENTAL CONTENT

The results obtained for $PM_{2.5}$ 24-hour mass concentrations (µg m⁻³), BC concentration (µg m⁻³), and elemental profiles (ng m⁻³) during the two sampling campaigns are reported in Table 2. The chemical elements are ordered from the highest concentrations to the lowest. Three hundred and seven $PM_{2.5}$ samples were collected of which 268 were analysed for BC and 172 for elemental concentration. The sum of the average BC and total elemental concentrations constituted 37% of the PM_{2.5} mass, corresponding to 16% and 21%, respectively.

The mean mass concentration of PM2.5 of 4.4 µg m⁻³ was below either the former (WHO, 2006) and the new (WHO, 214 215 2021) World Health Organization (WHO) annual guidelines level, respectively 10 μ g m⁻³ and 5 μ g m⁻³ (the most restrictive 216 current guideline). The 24-hour mean guideline was exceeded one day in the former WHO guideline (25 µg m⁻³), whereas 6 217 days exceeded the new value (15 µg m⁻³) within a year in 2015, that is more than the 99th percentile permitted exceedance of 3 days. The mean PM_{2.5} mass concentration found is also below 12.9 μ g m⁻³ (11.0 – 16.2 μ g m⁻³), the mean PM_{2.5} in Brazil 218 219 for the 1990 – 2016 period (Ritchie and Roser, 2019). Brazil is one of the most populated countries and it is among the most 220 important emerging economies (Brazil, Russia, India, China - BRICs) and classified as a middle-income country (MIC). It is 221 interesting to note that the mean PM_{2.5} mass concentration in Brazil is well below the average of 48.9 μ g m⁻³ (45.0 – 55.5 μ g 222 m⁻³) observed for MICs during the same period (Ritchie and Roser, 2019).

The mean PM_{2.5} mass concentrations reported here for Londrina were also lower than other medium-sized cities around the world. Ribeirão Preto, São José dos Campos, Piracicaba, Guaratinguetá, Taubaté, middle-size cities located in the São Paulo state of Brazil, presented annual averages from 10 to 15 μg m⁻³ (CETESB, 2019). In Denver (EUA), for example, the values found were between 5.7 and 6.5 μg m⁻³ (Clements et al., 2014), while in Genoa (Italy), 22.3 μg m⁻³ was reported

- by Ariola et al. (2006). In several medium-sized cities in Southern Spain (with populations ranging from 250 000 to 700 000
- 228 inhabitants), PM_{2.5} varied from 18 to 37 μ g m⁻³ (Amato et al., 2014).
- 229
- Table 2 Average and standard deviation of PM_{2.5} mass concentration (µg m⁻³), BC (µg m⁻³), and elemental concentration
- 231 (ng m⁻³), enrichment factor and chemical health risks (Hazard Quotient (HQ) and Carcinogenic Risk (CR) of samples
- 232

	obta	ined d	uring the	two sampling campaig	gns.	-	
	Co	ncenti	ation	_			
	Mean	S.D. Median		Enrichment factor	HQ	CR (10 ⁻⁵)	
PM2.5	4.4	3.8	3.5				
BC	0.7	0.7	0.5				
Mg	285	90	281	19			
S	227	157	188	920			
Si	151	98	119	1			
Fe	57	47	40	1			
Ca	56	19	54	2			
K	49	87	0.1	1			
Mn	26	11	23	40	0.50		
Al	25	28	13	0.2	0.005		
Cu	12	6.2	10	310			
Sr	7.0	2.3	7	33			
Со	4.9	1.7	4.6	319	0.24	2.3	
Ti	4.1	4.5	2.7	1			
Pb	4.0	5.7	0.1	327		0.002	
Cr	1.0	1.0	0.9	19	0.001	3.0	
Zn	0.50	1.4	0.1	4			
Total risk					0.75	5.3	

*Risk for Cr⁶⁺ was obtained assuming that it constitutes 40% of total Cr.

234

235 A previous study done in Londrina (Beal et al., 2017), measured PM2.5 mass and BC concentrations during winter 236 and summer periods (one month). These were conducted at the Federal University of Technology -Paraná (UTFPR), which 237 is a semi-urbanized residential region with agricultural fields in close vicinity, light and heavy-duty traffic and is situated 238 about 4 km from our sampling site. Beal et al. (2017) report average $PM_{2.5}$ and BC concentrations of 7.7 µg m⁻³ and 1.6 µg m^{-3} in September 2013, 4.4 µg m^{-3} and 0.9 µg m^{-3} in December 2013, and 10.3 µg m^{-3} and 1.8 µg m^{-3} in August 2014, 239 240 respectively. For comparison purposes, our temporal data is also displayed in Figure S2, showing the monthly mean and 241 variation for both BC and PM_{2.5} mass concentrations across the two campaigns. Although the PM_{2.5} mass concentrations 242 (Beal et al., 2017) are mostly higher (41%) than the average value reported in our study, comparing on a month by month 243 basis (even though different years) there seems to be a fair correlation for the September and December concentrations.

According to Ritchie and Roser (2019) there was a general decrease in $PM_{2.5}$ mass concentration in Brazil since the 1990s, however, from 2015 there seems to be an increase again (~10%). The data has been obtained from fixed monitoring stations (only 10 of the 27 states are monitored) of which none are close to the Parana State. In fact, the Brazilian Government only announced the launching of monitoring air pollution in the remaining states in June 2019. Even if it becomes a reality, when the data from these stations become available, it has to be said that it will not account for local hot spots and therefore the value of these local studies is obvious.



Figure 1. Boxplots of $PM_{2.5}$ and BC mass concentrations, precipitation of the sampling period (P) and historic precipitation (Pclim) in Londrina among seasons of the sampling campaign between October 2014 and February 2016. Boxplots show the median, 25th and 75th percentile, and average (\Box).

- 255 This tendency is not observed in this data, displaying a decrease on average compared to the Beal et al. (2017) 256 study, albeit not directly comparable (Figure 1). One plausible explanation for this is the precipitation, the main natural 257 process whereby airborne PM are removed from the atmosphere. The rainfall during the campaign was significantly higher 258 than the historic rainfall data for the city, but it has to be noted that there is no direct correlation on a month-to-month basis, 259 and one has to conclude that it cannot be the prime reason for the lower concentrations observed. The BC concentration in 260 our study is also similar to background values (roof top measured) reported in Londrina (Krecl et al., 2018). Comparing the 261 Beal et al. (2017) campaign with our study it is evident that location, and therefore different sources of pollution and 262 meteorological conditions, seems to be the main reasons for the differences observed.
- The elemental profiles and the corresponding enrichment factors (EFs) are displayed in Figure 2. It is seen that Mg, Ca, Sr, and Co do not vary significantly across the four seasons and crustal elements (Al, Fe, Si, Ca) seem to vary the most, generally speaking. The Beal et al. (2017) paper also report large variations in Al concentrations, but interestingly their concentrations were an order of magnitude higher in comparison with this study, pointing towards the different sources influencing the chemical character of the PM. In their case it may well be that the higher Al concentration is due to resuspension of dust due to the dryer weather.
- Pb and Mn were similar to those found in Albuquerque, New Mexico (USA), and probably mostly associated with road dust (Kavouras et al., 2015). Beal et al. (2017), however, reported four times higher concentrations for Pb, both from the Londrina and Maringa (~100 km west to Londrina) sites. In Cuiaba (Brazil), an agricultural city with around 600,000 inhabitants (Santanna et al., 2016), and in the harbour city of Genoa (Italy) much lower values were found for Mn, although

8

Pb in Genoa presented similar concentrations (Bove et al., 2014). Similar Al and Fe concentrations are reported in Denver,
EUA (Clements et al., 2014) and is probably associated with industrial and vehicular (abrasion and fuel consumption)
emissions. While in Cuiaba and Genoa, Fe and Al concentrations were higher than in this study (Bove et al., 2014; Santanna
et al., 2016).

Londrina presented higher Cr levels on the other hand than observed in Albuquerque (Kavouras et al., 2015) and at
the Londrina site of the Beal et al. (2017) study, whilst in Cuiaba Cr levels where similar (Santanna et al., 2016). The Zn
levels were higher than that reported in Denver, and lower than Cuiaba and Genoa (Bove et al., 2014). The relatively high
(above 10) EFs for the PHEs (here referring to those with toxic/carcinogenic and/or reactive oxidative generating
properties), Cu, Cr, Pb, Zn, and Co, not only informs on sources but predicts that significant health risks upon inhalation is
plausible.

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Figure 2 – Comparison of mean enrichment factors (top), and element concentrations (bottom) among different seasons.
Boxplots show the median, 25th and 75th percentile. EF = 1 line is also shown as reference.

286 287

3.2. SOURCE APPORTIONMENT

The enrichment factor (EF) and absolute principal component analysis (APCA) of $PM_{2.5}$ and its contents were performed for Londrina in order to obtain the source apportionment. The EF results displayed in Table 2 and illustrated temporally in Figure 2 indicate that the elements Al, Si, Fe, Ca, K, Zn, and Ti (EF close to 1) could be ascribed to resuspension of soil as they are crustal related. The remaining chemical elements were enriched in relation to the crustal reference (i.e. EF > 10) (Liu et al., 2003), indicating that they probably were generated by anthropogenic sources. Pb and S had the highest EFs pointing to PM being highly enriched with these elements. After the EF analysis, the data group consisting of $PM_{2.5}$ mass, BC and the elemental concentrations were subjected to APCA analysis, in which the variables and samples containing more than 30% of missing data (below the quantification limits) were excluded. Then the variables selected to compose the data group for APCA analysis were $PM_{2.5}$, BC, Mg, Al, Si, Cu, Pb, Fe, Ti, Mn, and S concentrations.

298 Table S1 contains the Pearson correlation of the data set selected for APCA analysis. As expected, the typical 299 crustal correlations that both Al and Si displayed with Fe and Ti, were observed and is probably representative of the 300 resuspended soil or road dust contribution. It is known that road dust could make up a substantial portion of airborne 301 particulate matter and this also seems to corroborate with the correlation between PM_{2.5} mass concentration and these four 302 crustal elements. The slightly higher correlation between Fe and PM2.5 mass could be the contribution from vehicle wear and 303 tear (Srimuruganandam and Nagendra, 2012). PM_{2.5} mass and BC were correlated to Al and Fe, indicating the contribution 304 of fuel combustion and soil (Viana et al., 2008). For the S correlation one would not see the realistic correlation unless you 305 take away the soluble sulphates, which will be correlated with Na, NH_4^+ and potentially K.

Applying APCA to the chosen variables, 12 principal components were initially identified, which were reduced to four after Varimax rotation, contributing 86% of the total cumulated variation (%CPC). The rotated principal components with the loadings of each variable are shown in Table S2. Loadings below 0.3 were ignored. The four principal components were assigned to four different PM_{2.5} sources, based on the loadings of variables (BC, Mg, Al, Si, Cu, Pb, Fe, Ti, Mn, and S concentrations) and in concordance with published literature (Song et al., 2006).

The APCA was followed by a linear regression analysis between $PM_{2.5}$ mass concentration and the factor scores matrix of the four selected principal components. This enabled the prediction of the relative $PM_{2.5}$ mass concentration contribution of each sample for each principal component. The average mass concentration of $PM_{2.5}$ (%AVG $PM_{2.5}$ mass) attributed to each principal component could then be determined, allowing source apportionment. Both the %AVG $PM_{2.5}$ mass and absolute average concentrations for each principal component are presented in Table S2.

316 The first principal component (PC) presented the highest contribution (36%) to the data set variance, as well as the 317 %AVG PM_{2.5} mass. This component is associated with transport emissions, namely diesel vehicle, high loading of BC and 318 low loading of S associated with diesel combustion (Brito et al., 2010, Herich et al., 2011; Andrade et al., 2012; Sharma et 319 al., 2021), and resuspended road dust (high loadings of Al, Fe, Ti, and Si, corroborating with the low EF values indicative of 320 natural sources such as sediments) (Viana et al., 2008; Srimuruganandam and Nagendra, 2012), as well as a high loading of 321 Mg which had a high EF value, indicative of an anthropogenic source (Song et al., 2006). Brake lining dusts are associated 322 with markers Mg, Fe, and to a lesser extent Al, Cr, Mn, Ca, and Na, indicating a possible source reason for Mg's presence in 323 this PC (Srimuruganandam and Nagendra, 2012). The percentage AVG PM2.5 mass associated with this PC suggests that 324 65% of the PM_{2.5} mass can be apportioned to diesel vehicles and/or resuspended road dust.

325 The second component corresponding to a strong soil signature due to the high Al, Si, Fe, and Ti loadings 326 (Srimuruganandam and Nagendra, 2012; Chow et al., 2015; Sharma et al., 2021), represent 5.3% of the PM_{2.5} mass 327 concentration. The third component showed high Cu and Mn loadings and could be attributed to industrial sources such as 328 metallurgical activities (Yang et al., 2013; Qi et al., 2016; Sharma et al., 2021) which totalled 1.5% of PM_{2.5} mass. The 329 fourth component had a high loading of Pb and S, an industrial source, possibly smelting of metals, contributing to 1.2% of 330 PM_{2.5}. Londrina has several recycling industries, for example battery recycling that could contribute to this component. 331 Unfortunately, no specific source could be assigned to the remaining 24% of PM_{2.5} mass concentration, using APCA 332 analysis, suggesting that other sources different from that identified in the PC contributes either to PM_{2.5} budget in Londrina.

- 333 The limited number of source apportionment studies of PM2.5 in medium/small-sized cities worldwide makes comparison difficult (some results are summarized in the Table 3). Comparing the data from the studies listed in Table 3, it 334 335 is noted that the PM_{2.5} levels varied greatly (2 to 37 μ g m⁻³). This was mirrored by an equally wide variation in types of 336 sources allocated, as well as the percentage contribution to the PM2.5 budget. The major contributors were from road 337 transport sources, such as emissions from vehicle exhausts, wear and tear, and road dust resuspension (4 - 68%) and crustal 338 or soil sources (4 to 28%). The industrial sources presented in the studies, including our study, are of such diverse nature that 339 a general comparison is not possible, suffice to say that it varied from as low as 1.5% to as high as 36%. Several other 340 sources are reported, such as biomass and oil burning, maritime and sea salt emissions, and undetermined sources. This 341 variance in source apportionment and contribution has also been reported for larger urban conurbations (Putaud et al., 2004, 342 2010; Hand et al., 2012; Snider et al., 2016; Hama et al., 2021), further emphasising the importance of monitoring at local 343 level.
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City	Pop. (1,000)	PM _{2.5} (μg m ⁻³)	Sources (% of PM _{2.5})								Reference
Albuquerque (USA)	800		Automobile (4-12)			Road dust (5-15)	Biomass burning (32-43)	Sulphate (9-48)	Nitrate (7-34)		Kavouras et al., 2015
Cuiaba (Brazil)	600	2-20	Urban	Soil dust	Fire	Biogenic					Santanna et al., 2016
Spain	250-700	18-37	Vehicle /traffic (10-63)	Mineral (4-12)	Metallurgy (0-19)	Road dust (6-31)	Sulphate (4-34)	Nitrate (0-11)	Sea salt (0-31)	Oil (0-20)	Amato et al., 2014
Genoa (Italy)	580	12.6-14	Road transport (35-38)		Energy/ industry (33-36)	Others (14-18)	Maritime (14-16)				Bove et al., 2014
Denver (USA)	650	5.7-6.5	Vehicle (6-15)	Crustal/ road dust (13-28)		Road salt (7-8)	Sulphur (46-62)				Clements et al., 2014
São Jose do Rio Preto (Brazil)	450	10.88		Crustal	Industrial combustion	Biomass burning					Franzin et al., 2020
Londrina (Brazil)	570	4.4	Diesel Vehicle /Road Dust (68)	Soil (5.3)	Industrial (1.5)	Battery recycling (1.2)					Present study

346 Table 3 – PM_{2.5} mass concentration (µg m⁻³) and estimated percentage of sources in brackets when available, for medium sized cities with source apportionment studies.

3.3. HEALTH RISK EVALUATION

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349 3.3.1. Chemical assessment

The results obtained from the elemental health risk assessment (RAIS) are presented in Table 2. The sum of the non-carcinogenic risks (HQ) observed for Mn, Co, Al and Cr (VI) was 0.75, with Mn and Co contributing to 50 % and 24 % of the risk, respectively. Although below unity, this is still important to consider. The total carcinogenic risk (CR = $5x10^{-5}$, meaning a 5 in 100,000 chance to develop cancer during lifetime) observed, indicates a moderate risk according to the US EPA. The maximum daily values found for HQ was 1.53 while for CR was $9.9x10^{-5}$ (almost 1 in 10,000 chance to develop cancer during lifetime), and 21.7% of the days investigated for non-carcinogenic risk were above the unit risk of health effects.

Moreira et al. (2018) present personal monitoring data, sampling fine particles and their trace elements during typical workdays (about 9 hours of sample collection during travel to and from work) to assess personal exposure of workers in Londrina. The hazard quotient (HQ) calculated, considering the reference dose, ranged from 0.15×10^{-03} to 1.38 for all volunteers, indicating potential non-carcinogenic effects. Moreira et al. (2018) found PM_{2.5} average level of 12.3 µg m⁻³ (maximums of 20 µg m⁻³ and 100 µg m⁻³ during non-peak and peak-time hours respectively) during a working day trial including one bus ride commute. In our study, 24-hour samples were collected, resulting in an obvious lowering of the average due to lower night-time traffic density.

So, we can infer that although the 24-hour data indicates a non-carcinogenic risk below the dose reference of observed outcomes, the dose exposure during work/day activities and high pollution days may pose a significant risk. In addition, the great variability in the concentration of $PM_{2.5}$ composition throughout the exposure time indicates that a responsible and correct health risk evaluation should be performed with epidemiological studies that consider both concentrations and responses in health of the population.

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370 3.3.2. Bioaccessibility

The bioaccessibility of Cu, Mn, and Pb in ALF was calculated as the ratio of the ALF-soluble concentration and the total sampled concentration of the elements in PM_{2.5}, expressed as a percentage. Boxplots, to provide insight on the distribution of the spread of data (the average is indicated by the square symbol), are presented in Figure S3.

374 Cu presented the highest bioaccessibility average (59%) and variability in the results (38 - 97%). A similar study 375 developed in two large Brazilian capitals (about 2 million inhabitants) obtained averages of 56% in Curitiba associated with 376 traffic source, and 31% in Manaus associated with Thermal Power Plants (TPP) sources (Polezer et al., 2019). Wiseman and 377 Zereini (2014) reported Cu bioaccessibility after 24-hour ALF-incubation of 80% during a study performed in Frankfurt 378 (730.000 inhabitants in the city and 2.3 million in the urban region). They indicated that the main source of Cu was vehicle 379 traffic, probably originating from brake lining attrition and rubber tire degradation (Midander et al., 2007; Sysalová et al., 380 2012; Wiseman and Zereini, 2014). The lower bioaccessibility average of Cu in Londrina could indicate that the primary Cu 381 source in Londrina is obviously different than that of Frankfurt. This finding was confirmed by the APCA, where we found a 382 96% correlation with industrial sources and no correlation with vehicular emissions, although Cu bioacessibility in Londrina 383 was much more similar to Curitiba than Manaus. These results give rise to the hypothesis that source apportionment at local 384 level is crucial to build air pollution inventories that could inform decision making and result in responsible and sustainable 385 health interventions. Besides that, deleterious effects of Cu on human health are generally associated with the rare Wilson's 386 disease, a hereditary disorder of the homeostasis regulation process of Cu leading to a high concentrations of Cu in body

tissues (WHO, 1998). It has been recently observed that anthropogenic Cu has the most potential of all of the transition metals to induce the formation of reactive oxygen species (ROS), generating oxidative stress in the body (Becker et al., 2005; Godoi et al., 2016, Charrier & Anastasio, 2015). Differential leaching is also observed in this study, where even though the Cu had a lower concentration than either Mn or Fe (both with concentrations two and five times higher), it had a much higher bioaccessibility than Mn (the latter three time lower). Indicating yet again the importance of Cu content and hazard health risk exposure.

393 The lowest average bioaccessibility in Londrina was observed for Mn (17%). Similar values were obtained in the 394 Curitiba and Manaus study with an average of 15% for Mn bioacessibility with sources related to limestone/ceramic/cement 395 kilns and TPP respectively (Polezer et al., 2019), while the study conducted in Frankfurt observed 52% of bioaccessibility 396 for Mn (Wiseman and Zereini, 2014). It seems that the Mn traffic related emissions in Frankfurt had a higher soluble fraction 397 in relation to the Mn from industrial processes in Londrina, Curitiba and Manaus. Mn is an essential nutrient with the level 398 of its absorption controlled by the body homeostasis regulation system (firstly by metabolised in the liver and potentially 399 excreted before entering the circulation system) (Mehvar, 2018). For example, generally, up to 95% of ingested Mn is 400 retained in the liver, and 5% is absorbed into the circulatory system (WHO, 1999). However, the respiratory exposure route 401 can deliver this element to the circulatory/systemic system before it passes through metabolisms and excretion, enabling a 402 higher amount of Mn that could reach for instance the brain. Consequently, long-term respiratory exposure to high levels of 403 Mn has been associated with a syndrome similar to Parkinson's disease, with progressive neurodegenerative damage (WHO, 404 1999; Chen et al., 2015). Crossgrove and Zheng (2004) reported that the neurotoxicity of Mn may be associated with its 405 interaction with iron (Fe) homeostasis, leading to high Fe deposition in the brain, which generates cellular oxidative stress 406 and neural damage. Then besides the low bioacessibility of Mn found in Londrina samples, the concentration of Mn (second 407 highest between transition metals) and its possible interaction with Fe (highest concentration between transition metals) 408 could produce health risks to the population upon exposure.

409 Pb is a toxic element and it has the ability to accumulate in the hard tissues (e.g., bones and teeth), with a half-life of 410 about 20 years, and it can cause severe and sometimes irreversible damage in the nervous system from fetuses to adults 411 (ATSDR, 2019). In the present study the Pb bioacessibility average was 42%, compared to an average of 57% in Curitiba 412 (traffic source), 71% in Manaus (associated with the TPP's), and 84% in the Frankfurt study (related to traffic sources) 413 (Wiseman and Zereini, 2014). A similar study developed in Nanjing (8 million inhabitants) indicated that the bioaccessibility 414 of Pb is between 66% and 78%, when the metallurgic smelters are in operation together with coal combustion power plants; 415 and 61% when only coal combustion power plants are in operation, showing that the emissions of metallurgical operations 416 have a higher Pb bioaccessibility (Li et al., 2016).

These data suggest that population health in Londrina is at risk to be adversely affected by PM_{2.5} inhalation, due to high elemental concentrations, as well as bioaccessible fractions of PHEs (Cu, Pb, Mn). The data further confirms the hypothesis that without local monitoring and analysis, an informed risk assessment in terms of population health cannot be made.

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3.4. WORLD URBAN DEVELOPMENT AND AIR POLLUTION IN PERSPECTIVE

Sustainable and responsible urban development is co-dependent on air quality. At least two goals of the 2030
Agenda for Sustainable Development recognize the importance: SDG3 - Ensure healthy lives and promote wellbeing for all
at all ages, and SDG11 - Make cities and human settlements inclusive, safe, resilient, and sustainable (UN, 2020). Clearly,
air pollutant inventories, specifically of PM_{2.5} (including its composition and levels), in small- and medium-sized cities of

427 middle/low-income countries, are greatly beneficial as a tool to formulate policies to improve the well-being and quality of

428 life for those most at risk (Landrigan et al., 2018).

429 According to the report by the World Urbanization Prospects of the United Nations (UN, 2018) about 57%, 2.4 430 billion people, of the urban world population live in a city with less than 1 million inhabitants (Figure 3). Each 431 agglomeration (city, metropolitan areas, conurbations, etc.) in the world was ranked by its population size (the number of 432 inhabitants) and the number of people living in each category was summed to give the total number of populations by 433 agglomeration size. For instance, in the most populated countries in the world, China, India, and USA, this percentage is 434 52.0%, 54.1%, and 43.5%, respectively (UN, 2018). The largest growing rate, 20 million residents per year in average, is 435 predicted in cities with fewer than 300,000 inhabitants and those with more than 10 million (Fig. 3), followed by those with 436 populations larger than 1 million inhabitants (19 million inhabitants per year in average).

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Figure 3: Sum of the world population that lives in each agglomeration size. (UN, 2018)

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It is important and necessary to manage the air pollution health risk not only in large cities but also in medium- and small-sized cities, the most prevalent type of urban settlements in all the geographic regions of the world (Figure 4). This is especially of importance taking into account that in the next few years the number of small/medium size cities is expected to rise most in the Less Developed Regions, Middle-income, Lower-middle-income and Low-income countries (which are also the countries most at risk of the effects of climate change which goes hand in hand with air pollution) (USEPA, 2021; Manisalidis et al., 2020).



Figure 4: Number of agglomerations (cities proper, urban agglomeration, or metropolitan area) by population size (afrom 0.3 to 1 million inhabitants. b- from 1 to 10 million inhabitants) in the world. The used classifications are based on country development by the United Nations (MDR: more developed regions; LDR: less developed regions; LTDR: least developed regions; in gray) and the economic development by the Word Bank (HIC: high-income countries; MIC: middle-income countries; UMIC: upper-middle-income countries; LMIC: lower-middle-income countries; LIC: lowincome countries; in black).

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Therefore, as the world continues to grow and urbanize (Figure 5), the proportion of the population exposed to urban air pollution will increase, further exacerbated by the fact that urbanization is predicted to be 2 to 2.5 times higher in the low- and middle income countries, exactly were the air pollution management and control is more limited.

458 Although the growing role of technologies such as Satellite Observations and Chemical Transport Modeling to evaluate the 459 global burden of PM_{2.5} exposure, the ground and air-craft-based observations produce specific detailing in inventory 460 information that are not noted from satellite (Snider et al., 2016). For instance, the chemical composition of PM_{2.5} ranges 461 more than one order of magnitude between populated sites (Polezer et al., 2019; Snider et al., 2016), being dependent on 462 several local particularities such as social-economic development models (Gouveia et al., 2021; Polezer et al., 2019), and 463 climate and geographic characteristics (Hand et al., 2012), and source contributions (Cepeda et al., 2017; Evans et al., 2021), 464 that lead to specific and unique aerosol mixtures. Consequently, the improvement of the global health $PM_{2.5}$ risk factor, 465 providing a better understanding of the role of the chemical components in this global health challenge to assess health 466 effects, needs additional local observations of this pollutant all over the word, and more so in the low and medium size sites 467 (Hand et al., 2012; Putaud et al., 2004, 2010; Lippman, 2014; Bell et al., 2007).

468



469 Figure 5: Proportion of population living in urban areas in the world and categorized by development regions and economic
 470 status of the countries.

472 Finally, beyond the need to determine the specific features of air pollution, it is also necessary to investigate the 473 local population characteristics such as social conditions, access to medical care, genetics, age, sex, and behavior (Pope et 474 al., 2020; West et al., 2016; Pope et al., 2009). A recent study by Shi et al. (2020), applying a longitudinal nationwide open 475 cohort population-based study in the United States of America (all above 65 years from 2000 to 2016), investigated the 476 annual mean PM_{2.5} concentrations associated with zip codes and the first hospital admissions for Parkinson's disease, 477 Alzheimer's disease, and Related Dementias. The health model adjustment considered, besides the usual possible confounds, 478 also age, sex, race, zip code, medical eligibility (indicating level of socio-economic status), level of education, population 479 density, previous smoking, median home value, owner-occupied housing, and median household income. It was possible to 480 obtain Hazard Ratios relating the hospital admissions for both outcomes with 5 µg m⁻³ change, and strong evidence of linearity with $PM_{2.5}$ concentrations less than 16 μ g m⁻³ was found. Moreover, it was found that subgroups of women, white 481 482 people, and more urbanized populations were more susceptible for hospital admissions for Parkinson's and Alzheimer's 483 diseases, and Related Dementias.

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4. CONCLUSIONS

As in any city, air quality in Londrina is determined by the balance between pollutant emissions sources and the capacity to disperse and remove those air pollutants. We found that a substantial health risk is present even at pollutant concentrations below the new updated WHO AQGs, highlighting the general unspoken hypothesis that no level of $PM_{2.5}$ is safe. The important statement to be made is that high levels of anthropogenic metals, including Cu, Pb, and Mn, are critical factors compounding the health risk of the population of Londrina.

The differential bioaccessibility of the PHEs in PM_{2.5} observed during this study is of particular importance, not only for the Londrina population, but also in the wider global context, as absolute concentrations did not reflect necessarily in the degree of mobility of the elements. As this differential behavior is chemistry dependent, which in turn is source

494 dependent, the true health risk can only be determined by performing local ground-based studies. It is therefore, not 495 unrealistic to expect a wide spectrum of pathologies at different locations. The fact that the disease burden due to poor air 496 quality is most prone in low- to middle-income countries and that the indiscriminate use of atmospheric chemistry and 497 transport models to estimate the local/regional air quality of medium-sized cities underestimate the real impact of air 498 pollution on the population, provides a basis for urgent global action to empower these countries to monitor effectively and 499 widely. Lastly, in view of the predictions of increased urbanization, growth of small- and medium-sized cities, and increased 500 numbers of agglomerations (especially in the Less Developed and Low Income Countries) in the near future, local 501 authorities will need to take action urgently to protect their citizens from the additional burden on population health.

503 **Declarations**

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508

509 Declaration of competing interest

510 The authors declare that they have no known competing financial interest or personal relationship that could have appeared 511 to influence the work reported in this paper.

512

513 Availability of data and material

514 Data available within the article or its supplementary materials

515

516 CRediT authorship contribution statement

517 Gabriela Polezer: Methodology, Investigation, Data Curation, Writing - Original Draft, Writing - Review & Editing. Sanja 518 Potgieter-Vermaak: Conceptualization, Data Curation, Writing - Review & Editing, Visualization. Andrea Oliveira: 519 Validation, Investigation, Writing - Review & Editing. Leila D. Martins: Methodology, Investigation, Writing - Review & 520 Editing. Jéssica C. Santos-Silva: Software, Writing - Review & Editing. Camila A. B. Moreira: Software, Writing -521 Review & Editing. Theotonio Pauliquevis: Formal analysis, Writing - Review & Editing. Ana F. L. Godoi: Resources, 522 Writing - Review & Editing. Yara Tadano Resources, Writing - Review & Editing. Carlos I. Yamamoto: Resources, 523 Writing - Review & Editing, Funding acquisition. Ricardo H. M. Godoi: Conceptualization, Writing - Review & Editing, 524 Supervision, Project administration, Funding acquisition.

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