





Please cite the Published Version

Duncan, Oliver , Leslie, Gemma , Moyle, Stephen, Sawtell, David  and Allen, Tom  (2022) Developments on auxetic closed cell foam pressure vessel fabrications. *Smart Materials and Structures*, 31 (7). 074002-074002. ISSN 0964-1726

DOI: <https://doi.org/10.1088/1361-665X/ac6ea2>

Publisher: IOP Publishing

Version: Accepted Version

Downloaded from: <https://e-space.mmu.ac.uk/629702/>

Usage rights:  [Creative Commons: Attribution-Noncommercial-No Derivative Works 3.0](https://creativecommons.org/licenses/by-nc-nd/3.0/)

Additional Information: This is an Author Accepted Manuscript of an article which was published in *Smart Materials and Structures*, by IOP Publishing.

Enquiries:

If you have questions about this document, contact openresearch@mmu.ac.uk. Please include the URL of the record in e-space. If you believe that your, or a third party's rights have been compromised through this document please see our Take Down policy (available from <https://www.mmu.ac.uk/library/using-the-library/policies-and-guidelines>)

1 **Developments on auxetic closed cell foam pressure vessel fabrications**

2 O. Duncan¹, G. Leslie¹, S. Moyle¹, D. Sawtell¹ and T. Allen¹

3 ¹Manchester Metropolitan University, Manchester, M15 6BH, UK

4

5 **Key Words**

6 Negative Poisson's ratio; Digital Image Correlation; Protective Equipment; Metamaterial;
7 Manufacture

8 **Abstract**

9 Auxetic foam can have higher indentation resistance, better protection under impact and
10 higher vibration damping than conventional foam. Unlike auxetic open cell foam, with
11 established, commercially viable options for manufacturing, methods for making auxetic
12 closed cell foam are not established. We revisited pressure-vessel methods, proposed in 1996,
13 for making auxetic closed cell foam. We processed low-density polyethylene foam for six
14 hours at 400 to 700 kPa and 100 °C, causing foams to shrink by a factor of two to five. The
15 volumetric compression kinked cell walls, producing negative Poisson's ratios as low as -0.2
16 and Young's moduli from 0.2 to 1.2 MPa. Trends between applied volumetric compression
17 and Poisson's ratio agree with those for open cell foam – initially decreasing to negative values
18 as volume reduced by a factor of two after processing, then plateauing or slightly increasing
19 as volume decreased by a factor of two to five. Foams of different sizes and shapes (15 to 75
20 mm sides) processed in the same conditions (700 kPa, 6 hours, 100 °C) shrank evenly in all
21 three axes and had similar final volume ratios. We noticed a long settling period, of up to three
22 months, where foams slowly shrank. Placing foam in a vacuum after processing reduced the
23 settling period to within 24 hours.

24 **1. Introduction**

25 Auxetic foam [1] has a negative Poisson's ratio, meaning it expands in one or more transverse
26 axis during tension, or contracts transversely during compression. Auxetic foam, and auxetic
27 lattice metamaterials with macro- [2–4], micro- [5] and nano-scale [6] unit cells could improve
28 sporting (and other) protective equipment, footwear [7–10], and composite sandwich
29 structures [11–14]. Potential benefits of auxetic behaviour include unique shape change (e.g.,
30 domed curvature), which could improve equipment fit and comfort [5,15], high indentation
31 resistance [7–9] and vibration damping [11,12], and high energy absorption before exceeding
32 a threshold force associated with increased injury risk [8,10,16]. Indeed, auxetic materials are
33 a class of smart material [17] – reacting to shape (e.g. of impacting bodies [8]), deformation
34 type [18,19], and the speed of travelling waves within the material [14]. Sporting protective
35 equipment, footwear and prosthetics often use closed cell foam as padding, with Young's
36 moduli of about 1 MPa or more [20,21]. Closed cell foam can also prevent absorption of water
37 or other contaminants, and heat loss by convection. Such stiff, insulating, water/contaminant
38 resistant foam is also used in applications like bone surrogates [22], medical devices [23],
39 protective equipment for defence [24], and in aerospace [25] and marine vessels [26].

40 Auxetic open cell foam was first made in the 1980s [27], by compressing conventional open
41 cell foam to buckle cell walls, then heating and cooling to fix the imposed re-entrant structure.

42 Commercially viable methods have been proposed for making auxetic open cell foam (e.g.
43 [9,28–31]), with associated patents (e.g. [31–34]). Auxetic (and conventional) open cell foam is
44 typically softer than closed cell foam [8], undergoes stark changes in mass, volume, and other
45 mechanical properties when wet [35,36], absorbs other contaminants [36], and allows
46 convection [37].

47 Methods for making auxetic closed cell foam [38–42] are less established than those for auxetic
48 open cell foam. As open and closed cell foam are not interchangeable, development of auxetic
49 closed cell foam fabrication methods is needed. Recent work has used a steaming process to
50 make auxetic closed cell foam [40,41]. Steam processing works by allowing steam to be
51 absorbed into closed cells, causing them to shrink and form kinked cell walls as it condenses,
52 giving a re-entrant cellular structure and auxetic behaviour. The foam polymer can be fixed
53 over time if it passes through a transition temperature as the steam condenses [40,41].
54 Steaming uses simple equipment (container and conventional oven), but may be unsuitable
55 for mass production, as it is slow, and processing conditions vary with sample shape and size
56 [40]. While water evaporates from sheets of closed cell foam after steaming [43], increasing
57 sample size (particularly thickness) may cause it to be trapped for longer. Steam processing
58 also excludes polymer foams that melt or degrade notably below 100 °C – like polyurethane
59 [1,44] and Ethylene-Vinyl-Acetate (EVA) [45–47], which are common in sports products like
60 running shoes. Rapid “one-pot” steaming and foaming methods, in autoclaves with
61 adjustable pressure settings, have also made auxetic closed cell foams [38,39]. These steam
62 based “one-pot” methods have similar limitations (so far) to the steaming process described
63 above [40,41]. The next challenge is to develop auxetic closed cell foam fabrication methods
64 for larger samples, with fine control over cellular structure, which can be applied to various
65 polymers.

66 Building on early, unrepeated work published in 1996 [42], we used a pressure vessel and
67 oven to make auxetic closed cell foam. The method combines heat and pressure to soften and
68 compress the foam, followed by cooling with the pressure retained to fix the re-entrant cellular
69 structure. We clarified methods, investigated whether faster fabrication was possible and
70 whether processing conditions (time, temperature & pressure) were sensitive to sample size.

71 **2. Methods**

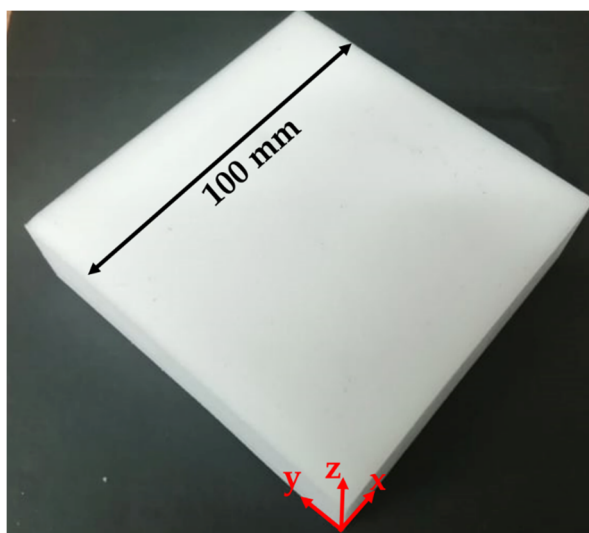
72 *2.1 Fabrication*

73 Pressure vessels (140 mm long, internal diameter 50 mm) were made by adapting vacuum
74 fittings (Edwards Vacuum – NW50 Full Nipple Stainless Steel and fittings – see
75 supplementary assembly details (Figure S1), bill of materials (Table S1), and operational
76 procedures). The vessels were used inside ovens, with the instrumentation (pressure gauge,
77 thermo-couple reader and valve/pump) outside the ovens. Environmental conditions
78 (temperature and relative humidity) were recorded during every measurement, test and
79 processing cycle.

80 Processing time and temperature were first explored. Thirty-five closed cell foam samples of
81 various sizes (15 to 75 mm sides) and densities (PlastaZote LD-24 and LD-45, **Figure 1**) were

82 processed. These foams were similar to those used for steam processing [40,48]. These were
83 both closed cell low-density polyethylene foams, with stated densities of 24 and 45 kg/m³.
84 Similar stiffness (~1 MPa) polyethylene foam (including PlastaZote LD-45) is used in footwear
85 [49–51], prosthesis [52] and sporting protective equipment [20,21]. Foam samples were placed
86 in unpressurised vessels, within ovens, and then pressurised to between 400 and 700 kPa
87 (gauge pressure). Ovens were set to 100 °C, close to the measured foam melting temperature
88 of 108 °C (see Figure S2), and the pressurised vessels housing the foams were left for six hours.
89 The air temperature inside the vessels reached 99 ± 0.5 °C (mean ± standard deviation) after
90 half an hour. After six hours, the oven was switched off, and the vessel and foam were left to
91 cool for an hour (with internal air temperature reaching 23 ± 2°C – Figure S3), before
92 depressurising using the external valve. Pressure was checked and adjusted (if needed) every
93 two minutes during heating and cooling to prevent safe working limits being exceeded,
94 varying by up to 25 kPa above or below the set pressure. While health and safety requirements
95 prevented longer overnight conversions, the effect of cumulative duration was assessed by
96 processing for one, two or three six-hour cycles (six, 12 or 18 hours in total), typically on
97 consecutive days.

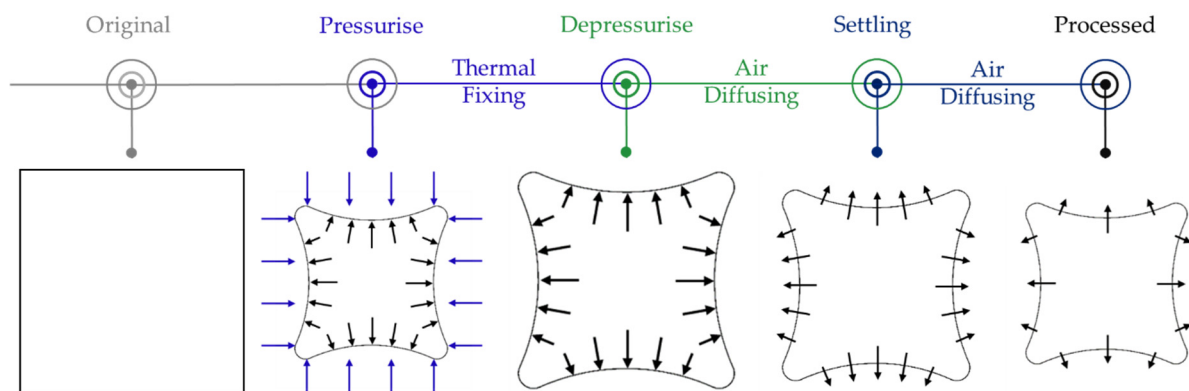
98 Initially, one ~25 mm sided cube of LD-45 foam was processed for each condition (12 in total
99 – 400 to 700 kPa, one to three cycles), along with three further LD-24 samples at 700 kPa (one
100 for one cycle, and two for two cycles). For the initial tests on these cubes, 700 kPa and one
101 cycle were found to consistently provide a final volume ratio (FVR = final/original volume) of
102 about three to four – a target value based on previous work [40–42,48]. As such, a further
103 seven ~25 mm sided cubes of each foam density (LD-24 & LD-45) were processed using these
104 conditions of interest (700 kPa and one cycle), along with three smaller (15 × 25 × 25 mm) and
105 three larger (75 × 25 × 25 mm) LD-45 samples. These samples of varying sizes were split
106 between the three pressure vessels, with a small and a large one in each, to mitigate any effects
107 of vessel conditions.



108

109 **Figure 1:** An LD-45 unconverted foam cuboid (100 × 100 × 25 mm) that cubes were cut from using a utility knife's blade
110 (Stanley), and axis labelling convention used throughout (z was through thickness). The LD-24 cuboid looked the same.

111 Samples shrank for up to three months after conversion (Supplementary Figure S4), which
 112 could be problematic for commercial production. Based on the assumption that this long
 113 settling time was caused by air trapped within closed cells slowly dispersing over time (Figure
 114 2), postprocessing (compression and suction, applied separately) was applied to foam cubes
 115 processed in the conditions of interest. Three cubes of each foam density were compressed to
 116 80% engineering strain along their z-axis (Hounsfield HK10S uniaxial test device with a 5 kN
 117 load cell) at a strain rate of 0.0267 s^{-1} , then held for six hours, followed by the same amount
 118 and rate of compression in their x- and y-axis (without a holding period). Suction was applied
 119 to one cube of each type of foam, in a vacuum chamber (Teer Coatings, UDP450) pumped
 120 down to an absolute pressure of $1.1 \times 10^{-5} \text{ Pa}$ and left overnight (~17 hours).



121
 122 **Figure 2:** Schematic showing foam volume change over time – with black and blue arrows representing air inside and outside
 123 foam cells, respectively.

124 2.2 Foam measurements

125 Foam size measurements (Vernier Calipers), in all three axes at the centre of opposing faces,
 126 and masses (Sartorius, AC210S), were taken before and after processing, and about every
 127 seven days thereafter.¹ FVR reduced gradually after processing – as expected [42]. Foams
 128 were considered to have settled when the standard deviation of the weekly FVR
 129 measurements was under 10% of the mean FVR measured over three previous weeks (i.e.,
 130 <3.5% which was ~0.5 mm variation in each axis). Foams left in the vacuum chamber overnight
 131 were measured after removal; daily for five days, then weekly for a month.

132 2.3 Cellular Structure

133 Optical microscopy was applied to view foam cellular structures, using an S-100 stereo
 134 microscope with 3 × optical zoom, a backing light (only), drapes to remove room light, and
 135 high contrast settings on the camera. Samples were sliced at a thickness of ~1 mm from
 136 processed (FVR ~3, 4 and 5) and unconverted samples to better show the cellular structures.

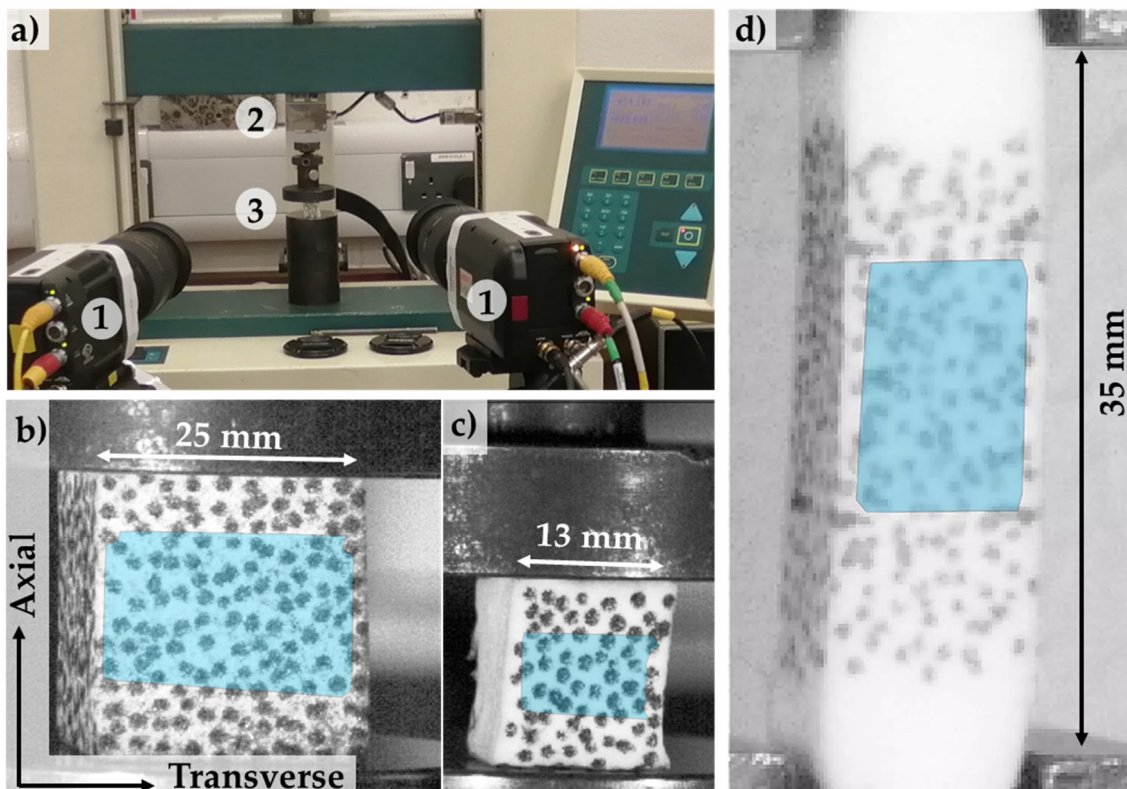
137 2.4 Mechanical Testing

138 ASTM D3574–11 was followed where possible, although the small size of the vessels – and
 139 hence produced samples – did not allow tests of $50 \times 50 \times 25 \text{ mm}$ compression samples, nor
 140 the stamping of “dog-bone” tensile samples [53]. Laboratory conditions during testing were

¹ Some unavoidable breaks resulted from local lockdowns, laboratory closures and staff isolation.

141 21.75 ± 1.13 °C with a relative humidity of 34.28 ± 2.35% (below the 50% stated in ASTM
142 D3574–11).

143 Compression tests to 20% engineering strain were applied to all foam cubes, in three
144 orthogonal axis – first z, then x, then the y-axis – after they had settled (Figure 1 shows axis
145 orientations). These tests were undertaken on the uniaxial test device, with a 1 kN load cell,
146 at a strain rate of 0.0133 s⁻¹, with a preload of 0.5 N and a sampling rate of 48 Hz. Compression
147 tests were filmed using two synchronized cameras (Phantom Miro, R111 & Nikon, AF Nikkor
148 24 – 85 mm lens), recording at 24 fps with 85 mm optical zoom and a resolution of 1,280 × 800
149 p (Figure 3a). Speckle patterns (Figure 3b to c) were applied to the white foam using a small
150 point black marker pen (Staedtler, Lumocolour), to facilitate full-field strain measurements by
151 3D digital image correlation (DIC). Samples were rotated between tests, so the horizontal
152 camera field of view was parallel to the x, then z, then x-axis – facilitating v_{zx} , v_{xz} then v_{yx}
153 measurements.



154
155 **Figure 3:** Mechanical test set up showing a) cameras (1), load cell (2) and foam and compression plates (3) – with lights placed
156 to the outside of the cameras (outside the image); b) to d) left hand camera image of b) compression test of an unconverted cube;
157 c) compression test of a processed cube (FVR = 3.5); d) tensile test of a processed sample (FVR = 2.5) (all LD-45 before loading).
158 Axes in (b) clarify naming conventions used throughout. Target area for DIC shaded blue in (b) to (d).

159 Camera calibration was undertaken using a GOM CP20MV 72 × 90 mm calibration board,
160 with video footage analysed in GOM Correlate Professional (2018). A target area was defined
161 over the central third of each cube (Figure 3b to c), to mitigate frictional end effects, over which
162 mean axial and transverse engineering strains were calculated, with matching against
163 definition stage. While the cameras could not be synchronised with the uniaxial test device,
164 data from each system was matched manually. The end of each test was identified as the point
165 when the axial strain (DIC) or displacement (test device) became constant, and the start was

166 then located – 15 seconds before this. Polynomial trend lines were fitted to axial engineering
167 strain vs. time data (Pearson’s $r^2 = 1.00 \pm 0.00$) and used to recalculate axial strain at time
168 intervals recorded by the uniaxial test device. Young’s moduli were calculated by fitting
169 straight lines to stress vs. DIC strain data, over the initial linear stress vs. strain region (0 to
170 $5.75 \pm 3.00\%$, $r^2 = 0.99 \pm 0.02$), with engineering stress calculated from device force and sample
171 measurements taken before each test. Poisson’s ratios were calculated by fitting straight lines
172 to DIC transverse vs. axial engineering strain data, over the initial linear region (0 to $5.24 \pm$
173 2.64% , $r^2 = 0.89 \pm 0.19$). All r^2 values indicate strong (0.70 to 0.89) or very strong (0.9 to 1.0)
174 correlations [25].

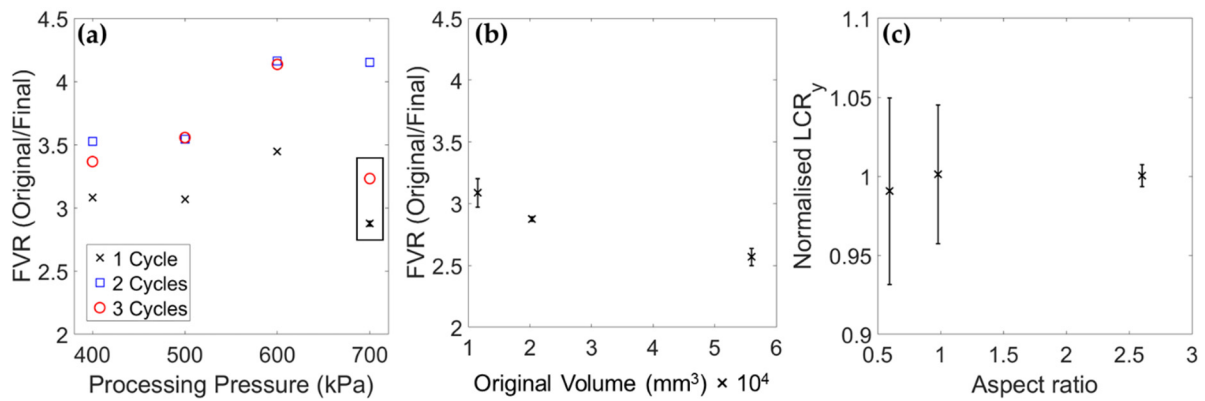
175 Tensile tests (Figure 3d) were applied to $\sim 8 \times 8 \times 50$ mm cuboids cut from the centre of the
176 processed large samples (original dimensions of $75 \times 25 \times 25$ mm) – with textured device jaws
177 clamping over a length of 7.5 mm to a thickness of ~ 1 mm. Each of the three cuboids was tested
178 twice – both in the long y-axis, with the camera field of view parallel to first the x, then the z-
179 axis – facilitating v_{yx} then v_{yz} measurements.

180 3. Results

181 3.1 Sample measurements

182 Settling lasted up to three months, before sample volumes varied by under 10% for three
183 consecutive weeks. Settling time reduced to within 24 hours following post-processing in the
184 vacuum chamber (Supplementary Figure S4). The measured density of the unconverted LD-
185 45 foam was 39.74 ± 1.39 kg/m³, while that of the LD-24 foam was 21.26 ± 0.63 kg/m³, both
186 slightly below expected values of 45 and 24 kg/m³, respectively. There was negligible (<0.5%)
187 mass loss after processing. FVRs were between two and five (Figure 4), covering the range
188 that gave a negative Poisson’s ratio with steam processing of similar foam [40]. FVR increased
189 with both the processing pressure, and the number of processing cycles (Figure 4a) – although
190 outliers with low FVRs were noticed at the highest pressure of 700 kPa. These outlying
191 samples were processed towards the end of the study – when relative humidity was higher
192 (52.4 ± 7.6) than at the beginning ($40.3 \pm 2.3\%$). For the same processing conditions, similar
193 FVRs were achieved for samples of varying original size (Figure 4b). Unlike with steam
194 processing methods [40,48], the original aspect ratio of the foam barely effected the amount of
195 compression in each axis (Figure 4c). Indeed, linear compression ratios (LCR, final/original
196 length) were similar for each foam (Supplementary Figure S5).

197

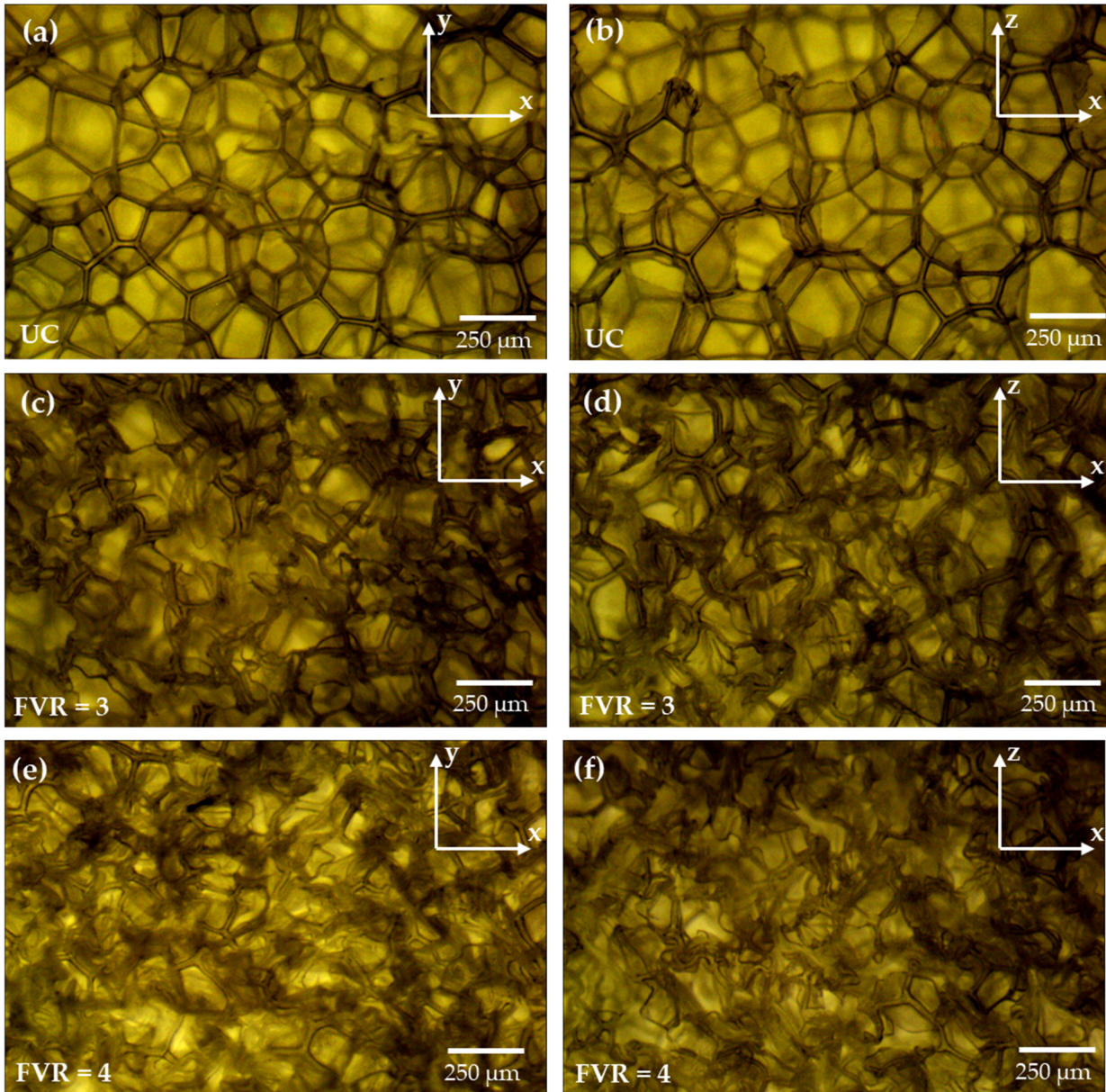


198
 199
 200
 201

Figure 4: Sample measurement data: a) Final volume ratio (FVR) vs. processing pressure, with outliers labelled; b) original sample volume vs. FVR, for LD-45 samples fabricated in one cycle at 700 kPa; c) aspect ratio (y dimensions / mean of x and z) vs. normalised LCR_y (LCR_y multiplied by the cube root of FVR).

202 3.2 Cellular Structure

203 Microscopic images show the unconverted foam hexagonal cellular structure (**Figure 5a & b**),
 204 and the processed foam's kinked cell walls (Figure 5c to f), characteristic of an auxetic foam.
 205 The elongated cell rise present in some foams, and typically visible under microscopy [54],
 206 was not seen in either of the unconverted foams used here (Figure 5a & b; Supplementary
 207 Figure S6 shows LD-24 cellular structures). Similarly, differences between planes were not
 208 seen for the processed samples (i.e., between Figure 5c & d, or Figure 5e & f), but the higher
 209 FVR foam (FVR = 4, Figure 5e & f) had visibly smaller cells than when the FVR was three
 210 (Figure 5c & d). Trends for the two foams were similar, but the LD-24 had a less dense cellular
 211 structure than the LD-45 (Supplementary Figure S6), as expected.

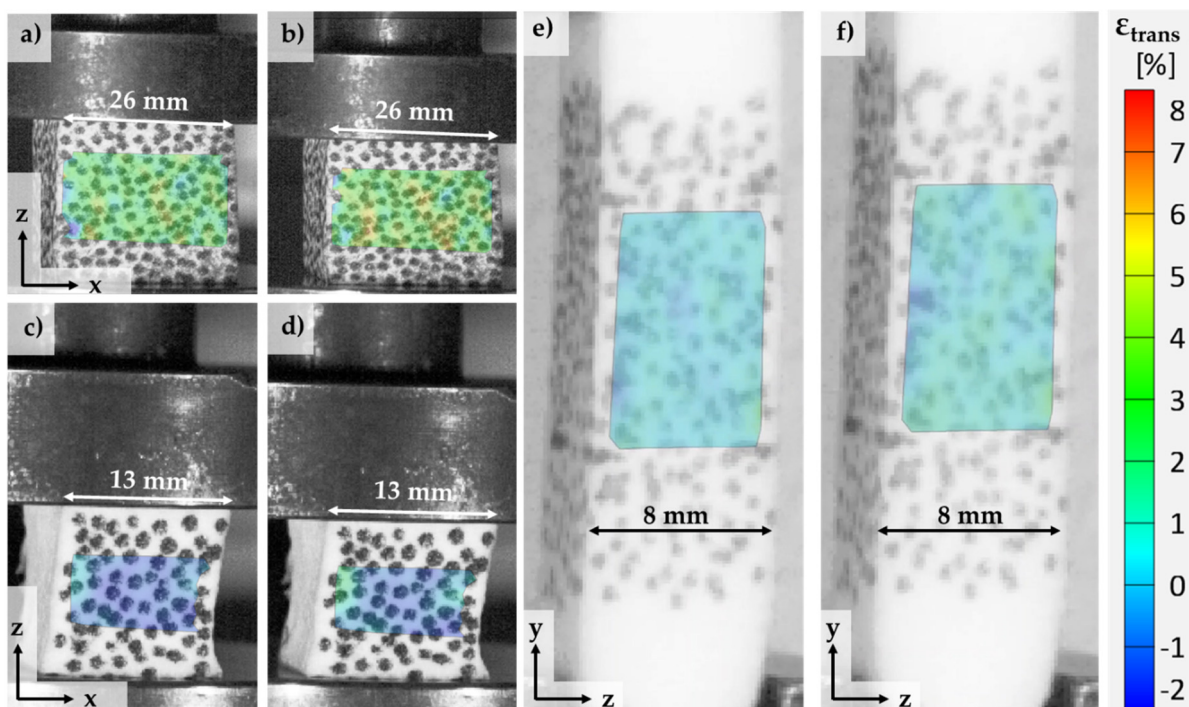


212
 213 **Figure 5:** Microscopic images of LD-45 taken at three times magnification, showing the cellular structure, when a) & b)
 214 unconverted, and with an FVR of c) & d) three, and e) & f) four. a), c) & e) show the y-x plane, while b), d) & f) show the z-x
 215 plane. Axes labelled in figures show the planes.

216 **3.3 Digital Image Correlation**

217 DIC contour plots show positive transverse strain (and positive Poisson's ratio v_{zx}) in
 218 compression for the unconverted foam, as expected, increasing in magnitude between 10 (2%,
 219 mostly green, **Figure 6a**) and 20% compression (3%, green and yellow, **Figure 6b**). Processed
 220 foam exhibited auxetic v_{zx} , transverse contraction in compression, decreasing in magnitude
 221 between 10 (-1%, mostly dark blue, **Figure 6c**) and 20% compression (> -1%, mixture of light
 222 and dark blue, **Figure 6d**). Auxetic v_{xy} transverse expansion was seen in tension, increasing in
 223 magnitude between 10 (1%, mostly light blue, **Figure 6e**) and 20% compression (>1%, mostly
 224 light blue with darker regions, **Figure 6e**). The supplementary video shows the tests in **Figure**
 225 **6**. **Figure 7a** shows the same trends as **Figure 6**; transverse expansion in compression for the

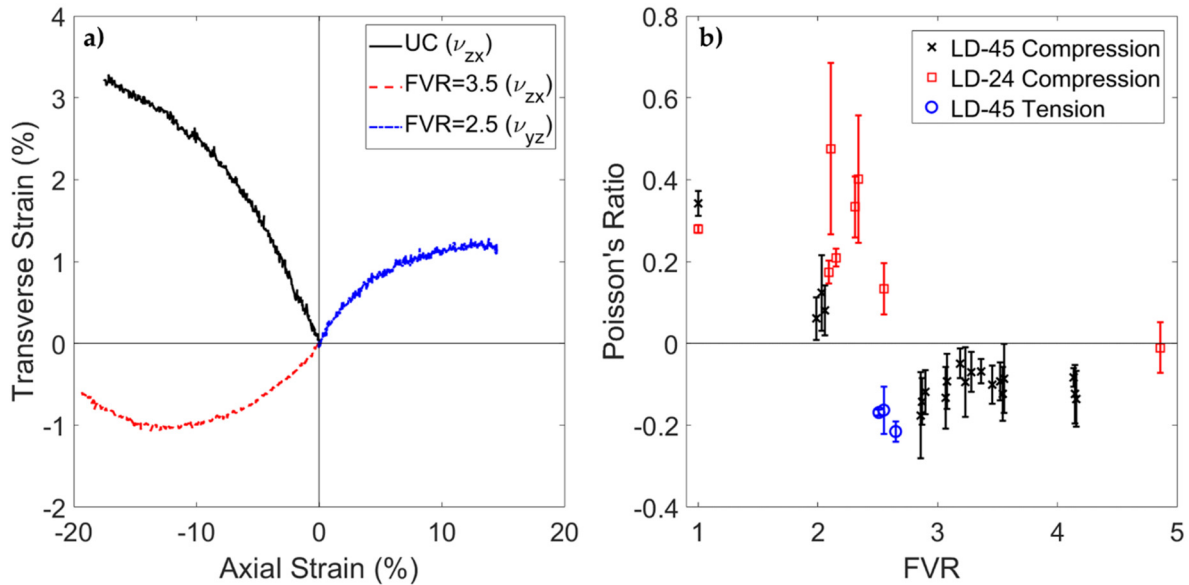
226 unconverted cube, transverse contraction then expansion beyond ~10% compression for the
 227 processed cube, and transverse expansion in tension (Figure 7a).



228
 229 *Figure 6: DIC contour plots showing transverse strain of LD-45 foam; a) & b) unconverted at a) 10% and b) 20% compression;*
 230 *c) & d) processed (FVR = 3.5) at c) 10% and d) 20% compression; e) & f) processed (FVR = 2.5) at e) 10% and f) 20% tension.*
 231 *All contour plots are overlaid on the left camera image and use the same legend. Axes in a), c) & e) show sample orientation.*

232 3.4 Poisson's ratios

233 A mean Poisson's ratio was taken over the three axes, based on similarity in cellular structure
 234 and LCR between orientations, and similar trends in FVR vs. direction dependent Poisson's
 235 ratio data (Supplementary Figure S7). The Poisson's ratio vs. FVR data for these processed
 236 cubes agree with studies using open cell foam (e.g. [43,55–59]); reducing to negative values
 237 between FVRs of 1.0 and 2.5 (lowest Poisson's ratios here were about -0.2, Figure 7), then
 238 plateauing or marginally increasing towards zero at an FVR of five. The samples tested in
 239 tension were auxetic at a lower FVR (<2.5) than those tested in compression [43,55,56].

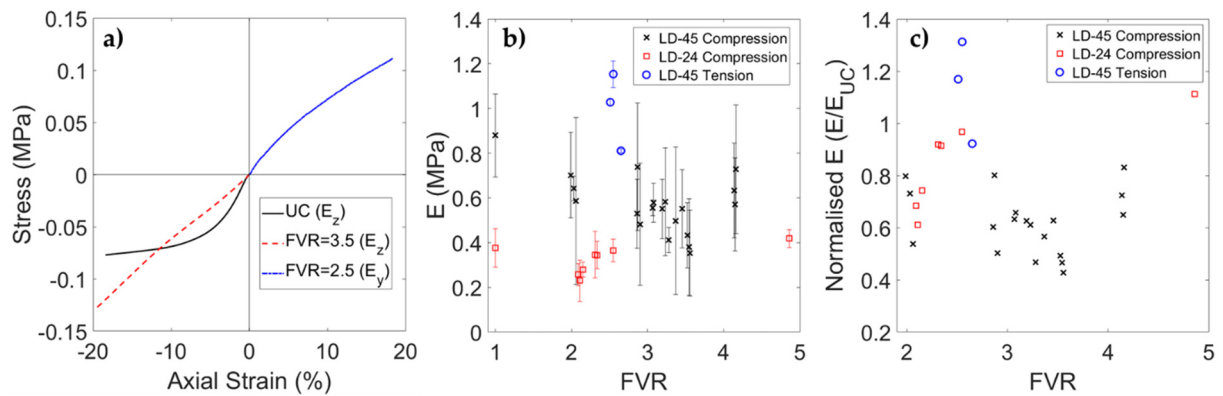


240
 241 **Figure 7:** a) Sample DIC transverse vs. axial strain plots, and b) mean Poisson's ratio (between orientations, calculated over
 242 the initial linear transverse vs axial strain region up to $5.24 \pm 2.64\%$) vs. FVR data (FVR = 1 is the unconverted foam data).
 243 Error bars show one standard deviation.

244 **3.5 Young's moduli**

245 The unconverted foam compressive strain data was non-linear, with a plateau region between
 246 5 and 10% compression (**Figure 8a**) – as expected [40,48,54,60,61]. As with Poisson's ratio data,
 247 mean values for Young's moduli are presented – with direction dependent values in
 248 supplementary Figures S7 and S8. The LD-24 foam had lower Young's moduli than the LD-
 249 45 (Figure 8b), as expected due to its lower density, and therefore lower ratio of cell wall
 250 thickness to length [54,61]. Some samples showed high variation in Young's modulus between
 251 orientations (Figure 8b) – although this did not appear to be a consistent trend (Figure S8), so
 252 did not suggest anisotropy. Variation between orientations was more likely related to
 253 "wasting" of sample edges (visible in Figure 6c & d), which became concave under applied
 254 pressure, as expected [42], meaning samples were not always cubic. Lower variation in
 255 Young's modulus was noticed in the more uniform tensile samples. The trends between FVR
 256 and normalised Young's moduli, which were; an initial decrease in Young's modulus up to
 257 an FVR of ~3.5, then constant or increasing Young's moduli up to an FVR of five, agree with
 258 previous work on open cell foam [43,58,59]. Compressive Young's moduli, Poisson's ratio and
 259 FVR data are collated in supplementary Table S2 (LD-45) and S3 (LD-24).

260



261 **Figure 8:** a) Sample stress vs. axial strain plots, b) mean Young's moduli (between orientations, calculated over the initial
 262 linear transverse vs axial strain region up to $5.75 \pm 3.00\%$) vs. FVR data (FVR = 1 is the unconverted foam data, error bars
 263 show one standard deviation) and c) Young's moduli, normalised to unconverted foam Young's modulus, vs. FVR.
 264

265 With the high standard deviations for Young's moduli in Figure 8b, a symmetric compliance
 266 matrix is included as a measure of data reliability [19] (Supplementary Figure S9). Pearson's
 267 r^2 between $E_x \times \nu_{zx}$ and $E_z \times \nu_{xz}$ was 0.94, suggesting a very strong correlation [25] and reliable
 268 data. Auxetic samples, with negative $E_x \times \nu_{zx}$ and $E_z \times \nu_{xz}$, and concave edges (most prevalent
 269 in their planar x and y axes, Figure 6c & d), deviated most from the symmetric compliance
 270 condition.

271 4. Discussion

272 We have clarified a method for making auxetic closed cell foam in a pressure vessel
 273 (Supplementary Materials Figure S1 & Table S1). Increasing the processing pressure caused
 274 the foam to shrink more (increased FVR), other than for some outlying cases collected towards
 275 the end of the study (Figure 4a). The outlying FVRs may have been caused by higher relative
 276 humidity recorded both when processing these samples and while they settled. Moisture can
 277 promote cross-linking in polyethylene [62], which may have fixed cellular structures sooner
 278 in these samples, causing them to shrink less than those stored at lower relative humidity. As
 279 such, further work should control relative humidity while processing such foams that are
 280 susceptible to moisture.

281 The results suggest that the pressure vessel method is less sensitive to original foam aspect
 282 ratio and volume than steam processing methods [40,48] – producing quasi-isotropic samples
 283 (Figures 4, 5, 7 & 8). Interestingly, trends between FVR, cellular structure and mechanical
 284 properties also agree with work on auxetic open cell foam (e.g. [43,55–59]). This finding
 285 indicates that the extensive structure-property knowledge base for auxetic open cell foam,
 286 dating back thirty years, can be broadly applied to auxetic closed cell foams. Broadly, higher
 287 FVR increases the number, and inward angle, of kinked cell ribs [1,43,63,64], as shown in
 288 Figure 5. As FVR increases, Poisson's ratio reduces – first towards zero, then to increasing
 289 magnitude negative values (Figure 7b), as explained by Gibson & Ashby's hinging, and
 290 combined rib bending and hinging, analytical models for hexagonal honeycombs [54].

291 The long settling time for the processed foam of up to three months may cause problems for
 292 commercial manufacture and uptake. Placing the processed foam in a vacuum reduced the
 293 fabrication and settling duration to within 24 hours – which is faster than the current steam

294 processing method (whereby samples must be dried after conversion [40]). The previous
295 pressure vessel study used longer processing times (of ~24 hours), and found foam settled
296 after about three weeks [42]. It is likely that there would be more options to further reduce
297 processing and settling time, to save fabrication costs and energy, by adjusting processing
298 conditions. As settling time can be reduced by placing the processed foam in a vacuum, such
299 work will be simpler; removing the need to monitor foam for several weeks or months with a
300 view to save hours during processing.

301 Efforts can now focus on using larger pressure vessels to make larger auxetic closed cell foam
302 samples. Such larger samples could address limitations to this work, where ASTM D3574 – 11
303 compliant test samples could not be cut out and tested [53]. Larger samples would also
304 facilitate prototyping and impact testing for sports applications [10,65,66], footwear [67] and
305 other protective equipment. Indeed, scaled up, streamlined procedures, and optimised
306 processing conditions, could help bring auxetic closed cell foam to the various potential
307 commercial applications (e.g., sporting goods, medical devices, defence, aerospace and
308 marine vessels). Combining pressure based and “one-pot” fabrication methods could be of
309 particular interest [38,39] – with potential to make larger samples using any polymer (pressure
310 method benefits) more quickly and efficiently (“one-pot” benefits).

311 Without relying on the boiling point of water, or other liquids, the pressure vessel method
312 could potentially be applied to more closed cell foams, particularly those made from polymers
313 that do not soften close to 100°C. Such polymers commonly used to make closed cell foam
314 include polyurethane (which can soften above 180°C [1,44]) and some EVAs (which can melt
315 at 65°C [45–47]). The wider range of potential foams opens new applications; with EVA’s
316 improved damping making it more suitable to impact protection [68,69] than the polyethylene
317 foam used in most auxetic closed cell foam fabrications [39–41,48]. Future work could use this
318 pressure vessel method with a broader range of foams made from different polymers,
319 adjusting processing temperatures to match the foam softening temperatures.

320 With the increasing options to make auxetic closed cell foam, further work can focus on more
321 detailed characterisation and application-based testing. For open cell auxetic foam, shear
322 modulus [14,18], indentation resistance [70–72], impact performance [9,10,73,74], vibration
323 damping [11,12,14], and energy absorption [8,10,16] have all been studied. Auxetic closed cell
324 foam studies have only focussed on fabrication [39–41,48], and high strain rate testing [60].
325 With conventional closed cell foam being common for impact protection and energy
326 absorption [20,21,24] devices, indentation and impact studies – targeting potential benefits of
327 auxetic behaviour [1,7,8] – could be focusses of further work.

328 **5. Conclusions**

329 Increasing the processing pressure, and number of cycles (i.e., cumulative processing
330 duration), increased the final volume ratio of closed cell foam made in a pressure vessel within
331 an oven. Unlike with steam processing, final volume ratio barely reduced with increasing
332 original foam volume (same processing conditions) and changing the aspect ratio did not
333 cause anisotropy. Further, this method – unconstrained by the boiling point of water – has the
334 potential to be applied to more foam types than steam processing. As with open cell foam,

335 final volume ratios of two to five provided auxetic foams – with the lowest negative Poisson’s
336 ratios (of about -0.2) at a final volume ratio of three. Young’s moduli reduced between final
337 volume ratios of 1.0 and 2.5, then remained constant or marginally increased. A settling time
338 of up to three months under ambient conditions, where samples slowly shrank after
339 processing, was reduced to within 24-hours by post-processing in a vacuum.

340 **Acknowledgements**

341 This work was funded by ON AG (Zurich, Switzerland) and Manchester Metropolitan
342 University. The authors declare no conflicts of interest. We would like to thank technicians at
343 Manchester Metropolitan University for their assistance with fabrication and testing –
344 particularly Michael Green, John Penfold and Stephen Lloyd.

345 **References**

- 346 1. Jiang W, Ren X, Wang SL, Zhang XG, Zhang XY, Luo C, et al. Manufacturing, characteristics and
347 applications of auxetic foams: A state-of-the-art review. *Compos Part B Eng.* 2022.235. 109733.
- 348 2. Shepherd T, Allen T, Winwood K, Venkatraman PD, Alderson A. Validation of a Finite Element
349 Modelling Process for Auxetic Structures under Impact. *Phys Status Solidi B Basic Solid State Phys.*
350 2020.1900197.
- 351 3. Hanna B, Adams R, Townsend S, Robinson M, Soe S, Stewart M, et al. Auxetic Metamaterial
352 Optimisation for Head Impact Mitigation in American Football. *Int J Impact Eng.* 2021. 103991.
- 353 4. Chung VWJ, Dias L, Booth G, Crompton PA. Clinical Biomechanics Incorporating neck biomechanics in
354 helmet testing: Evaluation of commercially available WaveCel helmets. *Clin Biomech.* 2022.94(August
355 2021). 105628.
- 356 5. Dudek KK, Iglesias Martinez JA, Ulliac G, Kadic M. Micro-scale Auxetic Hierarchical Mechanical
357 Metamaterials for Shape Morphing. *Adv Mater.* 2022.
- 358 6. Valente J, Plum E, Youngs IJ, Zheludev NI. Nano- and Micro-Auxetic Plasmonic Materials. *Adv Mater.*
359 2016.28(26). 5176–80.
- 360 7. Evans KE, Alderson A. Auxetic materials: Functional materials and structures from lateral thinking! *Adv*
361 *Mater.* 2000.12(9). 617–28.
- 362 8. Duncan O, Shepherd T, Moroney C, Foster L, Venkatraman PD, Winwood K, et al. Review of auxetic
363 materials for sports applications: Expanding options in comfort and protection. *Appl Sci.* 2018.8(6). 941.
- 364 9. Zhang Q, Scarpa F, Barton D, Zhu Y, Lang Z, Zhang D, et al. Impact properties of uniaxially
365 thermoformed auxetic foams. *Int J Impact Eng.* 2022. 104176.
- 366 10. Duncan O, Foster L, Senior T, Alderson A, Allen T. Quasi-static characterisation and impact testing of
367 auxetic foam for sports safety applications. *Smart Mater Struct.* 2016.25(5). 054014.
- 368 11. Bianchi M, Scarpa F. Vibration transmissibility and damping behaviour for auxetic and conventional
369 foams under linear and nonlinear regimes. *Smart Mater Struct.* 2013.22(8).
- 370 12. Scarpa F, Giacomini J, Zhang Y, Pastorino P. Mechanical performance of auxetic polyurethane foam for
371 antivibration glove applications. *Cell Polym.* 2005.24(5). 253–68.
- 372 13. Scarpa F, Ciffo LG, Yates JR. Dynamic properties of high structural integrity auxetic open cell foam.
373 *Smart Mater Struct.* 2003.13(1). 49–56.
- 374 14. Chun Checn H, Scarpa F, Hallak Panzera T, Farrow I, Peng H-X. Shear stiffness and energy absorption of
375 auxetic open cell foams as sandwich cores. *Phys Status Solidi.* 2018.256(1). 1–9.
- 376 15. Moroney C, Alderson A, Allen T, Sanami M, Venkatraman P. The Application of Auxetic Material for
377 Protective Sports Apparel. *Proceedings.* 2018.2(6). 251.
- 378 16. Sanami M, Ravirala N, Alderson K, Alderson A. Auxetic materials for sports applications. *Procedia Eng.*
379 2014.72. 453–8.
- 380 17. Scarpa F. Auxetics in smart systems and structures 2015. 2016. 1.
- 381 18. Novak N, Duncan O, Allen T, Alderson A, Vesenjajk M, Ren Z. Shear modulus of conventional and
382 auxetic open-cell foam. *Mech Mater.* 2021. 104743.
- 383 19. Timoshenko SP, Goodier JN. *Theory of Elasticity.* 3rd ed. New York: McGraw-Hill, USA; 1970.
- 384 20. Ankrah S, Mills NJ. Performance of football shin guards for direct stud impacts. *Sport Eng.* 2003.6(4).
385 207–19.

- 386 21. Ankrah S, Mills NJ. Analysis of ankle protection in Association football. *Sport Eng.* 2004.7(1). 41–52.
- 387 22. Calvert KL, Trumble KP, Webster TJ, Kirkpatrick LA. Characterization of commercial rigid polyurethane
- 388 foams used as bone analogs for implant testing. *J Mater Sci Mater Med.* 2010.21(5). 1453–61.
- 389 23. Sanders JE, Greve JM, Mitchell SB, Zachariah SG. Material properties of commonly-used interface
- 390 materials and their static coefficients of friction with skin and socks. *J Rehabil Res Dev.* 1998.35(2). 161–
- 391 76.
- 392 24. Gokhale AA, Ravi Kumar N V., Sudhakar B, Sahu SN, Basumatary H, Dhara S. Cellular metals and
- 393 ceramics for defence applications. *Def Sci J.* 2011.61(6). 567–75.
- 394 25. Schober P, Schwarte LA. Correlation coefficients: Appropriate use and interpretation. *Anesth Analg.*
- 395 2018.126(5). 1763–8.
- 396 26. Luong DD, Pinisetty D, Gupta N. Compressive properties of closed-cell polyvinyl chloride foams at low
- 397 and high strain rates: Experimental investigation and critical review of state of the art. *Compos Part B*
- 398 *Eng.* 2013.44(1). 403–16.
- 399 27. Lakes RS. Foam Structures with a Negative Poisson's Ratio. *Science* (80-). 1987.235(4792). 1038–40.
- 400 28. Zhang Q, Lu W, Scarpa F, Barton D, Lakes RS, Zhu Y, et al. Large stiffness thermoformed open cell foams
- 401 with auxeticity. *Appl Mater Today.* 2020.20. 100775.
- 402 29. Li Y, Zeng C. Room-Temperature, Near-Instantaneous Fabrication of Auxetic Materials with Constant
- 403 Poisson's Ratio over Large Deformation. *Adv Mater.* 2016.28(14). 2822–6.
- 404 30. Bianchi M, Scarpa F, Banse M, Smith CW. Novel generation of auxetic open cell foams for curved and
- 405 arbitrary shapes. *Acta Mater.* 2011.59(2). 686–91.
- 406 31. Grima JN, Attard D, Gatt R. A novel process for the manufacture of auxetic foams and for the conversion
- 407 of auxetic foam to conventional form (WO 2010049511 A2). 2010. 1–5.
- 408 32. Zeng C, Li Y. Material systems and methods of manufacture for auxetic foams (WO2016014782A1). 2016.
- 409 p. 1–17.
- 410 33. Bianchi M, Scarpa F. Method of manufacturing a foam. Vol. 2. US Patents; 8,313,682 B2, 2012. p. 1–5.
- 411 34. Alderson A, Alderson KL, Davies PJ, Smart GM. Process for the preparation of auxetic foams. Vol. 2. US
- 412 Patents; US 8,277,719 B2, 2012. p. 1 to 4.
- 413 35. Hu H, Lai Z, Ding G, Zhuang D. Experimental investigation on water drainage characteristics of open-
- 414 cell metal foams with different wettabilities. *Int J Refrig.* 2017.79. 101–13.
- 415 36. Mills NJ. Chapter 20 - The effects of water. In: Mills NJ, editor. *Polymer Foams Handbook.* Oxford, UK:
- 416 Butterworth-Heinemann; 2007. p. 479–501.
- 417 37. Mills NJ. Chapter 8 - Air flow in open-cell foams. In: Mills NJ, editor. *Polymer Foams Handbook.* Oxford,
- 418 UK: Butterworth-Heinemann; 2007. p. 117–203.
- 419 38. Li N, Liu Z, Shi X, Fan D, Xing H, Qiu J, et al. Preparing Polypropylene Auxetic Foam by a One-pot CO₂
- 420 Foaming Process. *Adv Eng Mater.* 2021.2100859. 1–10.
- 421 39. Fan D, Shi Z, Li N, Qiu J, Xing H, Jiang Z, et al. Novel Method for Preparing a High-Performance Auxetic
- 422 Foam Directly from Polymer Resin by a One-Pot CO₂ Foaming Process. *ACS Appl Mater Interfaces.*
- 423 2020.12. 48040–8.
- 424 40. Duncan O, Allen T, Birch A, Foster L, Hart J, Alderson A. Effect of steam conversion on the cellular
- 425 structure, Young's modulus and negative Poisson's ratio of closed cell foam. *Smart Mater Struct.* 2020.30.
- 426 015031.
- 427 41. Fan D, Li M, Qiu J, Xing H, Jiang Z, Tang T. Novel Method for Preparing Auxetic Foam from Closed-Cell
- 428 Polymer Foam Based on the Steam Penetration and Condensation Process. *ACS Appl Mater Interfaces.*
- 429 2018.10(26). 22669–22677.
- 430 42. Martz EO, Lee T, Lakes RS, Goel VK, Park JB. Re-entrant transformation methods in closed cell foams.
- 431 *Cell Polym.* 1996.15(4). 229–49.
- 432 43. Duncan O, Clegg F, Essa A, Bell AMT, Foster L, Allen T, et al. Effects of Heat Exposure and Volumetric
- 433 Compression on Poisson's Ratios, Young's Moduli, and Polymeric Composition During Thermo-
- 434 Mechanical Conversion of Auxetic Open Cell Polyurethane Foam. *Phys Status Solidi.* 2019.256(1800393).
- 435 1–12.
- 436 44. Critchley R, Corni I, Wharton JA, Walsh FC, Wood RJK, Stokes KR. A review of the manufacture,
- 437 mechanical properties and potential applications of auxetic foams. *Phys Status Solidi Basic Res.*
- 438 2013.250(10). 1963–82.
- 439 45. Blieske U, Stollwerck G. *Glass and other encapsulation materials.* 1st ed. Vol. 89, *Semiconductors and*
- 440 *Semimetals.* Elsevier Inc.; 2013. 199–258 p.
- 441 46. Henderson AM. Ethylene-vinyl acetate (EVA) copolymers: a general review. 1993.(1).
- 442 47. Thorne JL, Crawford RJ. 2 - ROTATIONAL MOLDING POLYMERS. In: *In Plastics Design Library,*

- 443 Rotational Molding Technology. Science Direct; 2002. p. 19–68.
- 444 48. Duncan O, Alderson A, Allen T. Fabrication, characterisation and analytical modelling of gradient
445 auxetic closed cell foams. *Smart Mater Struct.* 2021.30(035014).
- 446 49. Tong JWK, Ng EYK. Preliminary investigation on the reduction of plantar loading pressure with
447 different insole materials (SRP – Slow Recovery Poron®, P – Poron®, PPF – Poron® + Plastazote, firm
448 and PPS – Poron® + Plastazote, soft). *Foot.* 2010.20. 1–6.
- 449 50. Kermen E, Mohammadi H. Mechanics of foot orthotics: material properties. *J Med Eng Technol.*
450 2021.45(8). 627–41.
- 451 51. Meng Y, Yang L, Jiang X, István B, Gu Y. The Effectiveness of Personalized Custom Insoles on Foot
452 Loading Redistribution during Walking and Running. *J Biomimetics, Biomater Biomed Eng.* 2020.44. 1–8.
- 453 52. Sanders JE, Daly CH. How does vacuum forming affect Pelite mechanical properties? *Prosthet Orthot Int.*
454 1994.18(1). 43–8.
- 455 53. Annual Book of ASTM Standards. Standard Test Methods for Flexible Cellular Materials — Slab,
456 Bonded, and Molded Urethane Foams. Annual Book of ASTM Standards 2008.
- 457 54. Gibson LJ, Ashby MF. Cellular solids. Structure and properties. Cambridge: Press Syndicate of the
458 University of Cambridge; 1997. 67, 176–183, 259–264, 286, 301, 498 p.
- 459 55. Critchley R, Smy V, Corni I, Wharton JA, Walsh FC, Robert JK, et al. Experimental and computation
460 assessment of thermomechanical effects during auxetic foam fabrication. *Sci Rep.* 2020.10(18301).
- 461 56. Duncan O, Allen T, Foster L, Gatt R, Grima JN, Alderson A. Controlling Density and Modulus in Auxetic
462 Foam Fabrications—Implications for Impact and Indentation Testing. *Proceedings.* 2018.2(6). 250.
- 463 57. Choi JB, Lakes RS. Non-linear properties of polymer cellular materials with a negative Poisson’s ratio. *J*
464 *Mater Sci.* 1992.27(17). 4678–84.
- 465 58. Bianchi M, Scarpa F, Smith CW. Shape memory behaviour in auxetic foams: Mechanical properties. *Acta*
466 *Mater.* 2010.58(3). 858–65.
- 467 59. Boba K, Bianchi M, McCombe G, Gatt R, Griffin AC, Richardson RM, et al. Blocked shape memory effect
468 in negative Poisson’s ratio polymer metamaterials. *ACS Appl Mater Interfaces.* 2016. acsami.6b02809.
- 469 60. Duncan O, Bailly N, Allen T, Petit Y, Wagnac E, Alderson A. Effect of compressive strain rate on the
470 Poisson’s ratio of auxetic foam. *Appl Sci.* 2021.11(3).
- 471 61. Mills NJ, Zhu HX. The high strain compression of closed-cell polymer foams. *J Mech Phys Solids.*
472 1999.47(3). 669–95.
- 473 62. Pape PG. Moisture crosslinking process for foamed polymers. *J Vinyl Addit Technol.* 2000.6(1). 49–52.
- 474 63. Zhang Q, Lu W, Scarpa F, Barton D, Rankin K. Topological characteristics and mechanical properties of
475 uniaxially thermoformed auxetic foam. *Mater Des.* 2021.211.
- 476 64. Chan N, Evans KE. Microscopic examination of the microstructure and deformation of conventional and
477 auxetic foams. *J Mater Sci.* 1997.2. 5725–36.
- 478 65. Allen T, Shepherd J, Hewage TAM, Senior T, Foster L, Alderson A. Low-kinetic energy impact response
479 of auxetic and conventional open-cell polyurethane foams. *Phys Status Solidi Basic Res.* 2015.9. 1–9.
- 480 66. Foster L, Peketi P, Allen T, Senior T, Duncan O, Alderson A. Application of Auxetic Foam in Sports
481 Helmets. *Appl Sci.* 2018.8(3). 354.
- 482 67. Duncan O, Naylor G, M JG, Allen T, Foster L, Hart J, et al. Plantar Pressure Distribution under Uniform
483 and Gradient Foam during Running and Jumping †. *Proc 13th Conf Int Sport Eng Assoc.* 2020.49(116). 1–
484 6.
- 485 68. Mills NJ, Fitzgerald C, Gilchrist A, Verdejo R. Polymer foams for personal protection: Cushions, shoes
486 and helmets. *Compos Sci Technol.* 2003.63(16). 2389–400.
- 487 69. Even-tzur N, Weisz E, Hirsch-falk Y, Gefen A. Role of EVA viscoelastic properties in the protective
488 performance of a sport shoe: Computational studies. *Biomed Mater Eng.* 2017.16(5). 289–99.
- 489 70. Chan N, Evans KE. Indentation resilience of conventional and auxetic foams. *J Cell Plast.* 1998.34. 231–60.
- 490 71. Lakes RS, Elms K. Indentability of conventional and negative Poisson’s ratio foams. *J Compos Mater.*
491 1993.27(12). 1193–202.
- 492 72. Allen T, Duncan O, Foster L, Senior T, Zampieri D, Edeh V, et al. Auxetic foam for snow-sport safety
493 devices. *Snow Sport Trauma Saf Proc Int Soc Ski Saf.* 2016.21.
- 494 73. Lisiecki J, Błazejewicz T, Kłysz S, Gmurczyk G, Reymer P, Mikułowski G. Tests of polyurethane foams
495 with negative Poisson’s ratio. *Phys Status Solidi Basic Res.* 2013.250(10). 1988–95.
- 496 74. Ge C. A comparative study between felted and triaxial compressed polymer foams on cushion
497 performance. *J Cell Plast.* 2013.49(6). 521–33.
- 498