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# 1 Trends in Scientific Publishing on Sustainability in Higher Education

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# 9 Abstract

It is widely acknowledged that research and publications in peer reviewed journals offer 10 important metrics in describing the academic outputs of higher education institutions on 11 one hand, and their societal impacts on the other. Peer review is a well-tested method for 12 quality control and has been successfully deployed over many decades in academic 13 journals worldwide. But despite the fact that publications on matters related to sustainable 14 development offer solid evidence of academic activity and excellence, there is a dearth 15 of literature in this field. In order to address this need, the European School of 16 Sustainability Science and Research (ESSSR) and the Inter-University Sustainable 17 Development Research Programme (IUSDRP) have undertaken the World Survey on 18 Sustainability Publishing and Research in Higher Education (WSSSP-HEI) 19 has two main aims. The first is to document and showcase trends in scientific publishing 20 on matters related to sustainable development. The second aim is to contribute to a greater 21 22 understanding of this rapidly growing field, by describing the latest developments and the 23 role played by some of the journals active in this area. Consistent with these aims, this paper focuses on publications on sustainability in higher education, describes the methods 24 used in the study and some of its results. It can be seen that despite the intrinsic value of 25 research on sustainable development in higher education as a whole, and of publications 26 in this field in particular, such practices are not as widely developed as one could expect. 27 This paper discusses the possible reasons and also outlines some measures via which 28 higher education institutions may be able to take more advantage of the many 29 opportunities that publishing on sustainability offers to them. 30

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Keywords: publications; publish or perish; sustainability articles; sustainability books;higher education

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# 1 Introduction: Scientific publications on Sustainability in Higher Education

38 The engagement of higher education institutions (HEIs) worldwide in sustainable

development (SD) was highlighted for the first time in the 1972 Stockholm Declaration

40 on the Human Environment. Ever since, HEIs have engaged in several global initiatives

and expressed their commitment to SD in a variety of national and international
declarations, agreements and conventions (Lozano et al. 2013). The related actions and

results have been increasing and reflect the growing number of publications on the topicof sustainability in higher education.

At the outset, it is relevant to outline some of the work definitions to the main terms deployed in the study. The first term to de defined, namely "sustainability in higher education", refers to matters related to sustainable development in a higher education context. In other words, this terms describes sustainability-related components of relevance to tertiary education. The second term which should be defined is "sustainability". Here is it used to describe socio-ecological process

via which a holistic view of nature and a balanced use of natural resouces isadvocated, so as to achieve societal gains.

Finally, the term "sustainability publishing" is herewith used, in order to focus on publications whose main subject and focus is on sustainable development. Therefore, the very specific nature of this paper means that the focus is not on publications on general issues or matters of wider interest, but quite focused on sustainable development.

58 Sustainability in higher education has a potential influence on the exchange of 59 information between various aspects of sustainability (Davin 2015). In this sense, the 60 publications on sustainability in higher education have been deeply involved in themes 61 related to the role of HEIs: education, campus operations, community 62 engagement/outreach, and governance (Kapitulcinová et al. 2017).

63 Most publications show reports and case studies regarding the initiatives and activities for sustainability. These initiatives take different applications and cover 64 multiple areas. Beringer and Adombent (2008) emphasize that sustainability research in 65 the higher education spectrum is broad, with scientific inquiry taking many different 66 forms and pathways regarding research paradigms, designs, methodology practical 67 goals and aspirations. In another study, Caniglia et al. (2017) analyze the transnational 68 collaboration for sustainability in higher education, identifying the main research 69 activities as virtual research, single projects multiple projects, and visiting scholars 70 71 projects. The authors' research found a low frequency of these activities, and they argue

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that it may be due to the low research rate in international partnerships, or becauseindividual researchers are preferred to institutional partnerships.

An increasing number of studies point out sustainability in higher education in general, including discussions about the barriers and challenges for implementing sustainability in HEIs (Aleixo et al. 2019; Ávila et al. 2017). Some publications cover conceptual descriptions, practical experiences and parallels among the variety of sustainability assessment tools (Shriberg 2002; Caeiro et al. 2013), and other publications address university rankings (Torabian 2019).

80 There are several specific research areas within sustainability in HEIs which have
81 experienced significant growth in the last few years. Three of these areas include the
82 Living Labs methodology, Climate Change Education, and SDGs implementation.

A growing focus of research in the field of sustainability in higher education is the 83 utilization of 'Living Labs' which aid HEIs in conducting research that has relevance to 84 society and which addresses real-world sustainability issues (Leal Filho et al. 2019a). 85 86 Research on living labs and sustainability indicates that technological innovation needs 87 to be interwoven with social and cultural aspects over a long time period in order to 88 achieve the required outcomes (Von Geibler 2014). The research work is usually published as case studies. It includes wide-ranging domains in sustainability, such as the 89 implementation of solar-powered schools (REGSA 2016), the formation and evolution 90 91 of university degrees (Mifsud 2014) and the utilization of open and distance learning 92 (Nicolau et al. 2018).

Research on the role of HEIs and climate change has increased during the last
decade due to the scientific, social, environmental and political challenges that the
phenomenon has created on the entire biosphere. The most common approach utilized
by HEIs in this area appears to be the embedding of climate change education in their
curricula and the research framework employed to achieve this (Leal Filho et al. 2019b).
A further area of enquiry focuses on students and universities that specialize in climate
change adaptation expertise and mitigation tools (Hill et al. 2019).

A relatively new area which is seeing a lot of growth and publications is the study of the relevance, relationships and possible implementation strategies to achieve the UN Sustainable Development Goals (SDGs) within HEIs. (Leal Filho 2019c). HEIs are working to incorporate the ambitious 17 goals into their agendas and policies and to achieve the SDGs. Due to the multi-stakeholder platform and the participation from
numerous institutions, there are multifaceted opportunities for research and publications
both in work evaluation and in capacity building (Shiel et al. 2015).

Several HEIs have developed a wide range of initiatives in order to embed
sustainability within their organization. These can be broadly categorized under
education, outreach, research, operations, and governance (Lozano et al. 2015). All
these possibilities are the reasons why publications on sustainability in higher education
in the databases have increased, both in terms of the scope of the subject and their
geographical range during the last decade.

The analysis of publications shows that the journals which most commonly
published on this subject are the *International Journal of Sustainability in Higher Education*, the *Journal of Cleaner Production*, *Sustainability, Environmental Education Research and Quality Management in Higher Education*. Besides those, more than 98
other journals include publications on the subject.

The selection of journals for publication is linked to many factors. Authors are increasingly publishing in open access journals and are responsive to library funding initiatives. However, the prestigious closed access journals still range high on the wishlist of the authors. Another aspect is the Impact Factor of the journal, which indicates the most extensive exposure and reach of the peer community (Nariani and Fernandez 2012).

124 Even though publications on issues related to sustainable development offer substantial evidence of academic activity and excellence, there is a dearth of literature 125 126 related to this topic and a lack of studies which give a broad view of worldwide publications over time. In order to address this need, the European School of 127 128 Sustainability Science and Research (ESSSR) and the Inter-University Sustainable 129 Development Research Program (IUSDRP) have undertaken the World Survey on 130 Sustainability Publishing in Higher Education (WSSSP-HEI). The objective of this study was to shed some light on the nature of publications on sustainability, with 131 132 information which may enhance both the current and future potentials in this field. There are three main factors which outline the relevance of this research. The first, 133

is that the complexity of sustainability publishing makes it sometimes difficult to
understand its true nature and usefulness. Secondly, sustainability publishing entails

136 environmental, social and economic elements which are broad and difficult to precisely

137 define. Finally, it encompasses various fields of academic research that aim to address

138 various issues, from the natural environment and ecosystems, to human behaviour,

139 financial elements and technical issues, among others.

This paper takes all these items into account. It has two main aims. The first is to 140 document and showcase trends in scientific publishing on matters related to sustainable 141 development. The second aim is to contribute to a greater understanding of this rapidly 142 growing field, by describing the latest developments and the role played by some of the 143 journals active in this area. Apart from showcasing some of the trends in scientific 144 publishing on sustainability in higher education, this paper also presents an overview of 145 measures via which higher education institutions may be able to take more advantage of 146 the many opportunities that publishing on sustainability offers to them. 147

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# 2 The role of peer review in quality assurance in higher education and its

## links with sustainable development

Peer review requires a collegiate approach between editors, reviewers and authors 151 that, in the advancement of disciplines and professions, necessitates due courtesy, 152 empathy and diligence from all (Desselle et al. 2019). The importance of publishing for 153 tenure, promotion and entry-level positions is recognized by authors (Teele and Thelen 154 2017), and they regard the contribution of peer reviewers beneficial for developmental 155 feedback (Atjonen 2019), constructive comments (Roll 2019), and improvements to 156 manuscript quality, readability and accuracy (Rowley and Sbaffi 2018). Editors across 157 158 disciplines agree that the peer review process should critically assess manuscripts for clarity of thought, objectivity and knowledge (Pollock 2019), quality and 159 methodological rigor (Roll 2019), novelty and significance (Alexandratos et al. 2017), 160 161 and it should demonstrate clear links to the aims and scope of the journal (Pollock 2019; Alexandratos et al. 2017; Roll 2019). Furthermore, as a measure of performance, editors 162 163 see the "publication of peer-reviewed evaluations as the gold standard in reporting impact" (Font et al. 2019 p. 7). 164 165 However, authors, reviewers and editors are part of a system that protects opinions, methods and innovations by promoting an 'in-crowd' (Frijters and Torgler 166 2019 p. 1286). Authors have been accused of assessing publication value by impact 167

107 2017 p. 1200). Authors have been accused of assessing publication value by impact

168 factors or prestige, rather than the rigor and quality of each peer reviewed submission

- 169 (Schimanski and Alperin 2018). Reviewer expertise and experience are also open to
- 170 criticism, being blamed for the exercise of power, gatekeeping, paradigm contradiction

and insufficient expertise (Atjonen 2019), as well as for providing descriptive praise or
criticism, instead of practical guidance for improvement of manuscripts (del Fierro et al.
2018). Even though peer reviewers are impartial experts (Roll 2019), there is
recognition by editors that the peer review process is not without bias (Pollock 2019).
With single-blind, double-blind, triple-blind, quadruple-blind and open peer review
approaches in use, there is a need for improvement in transparency, accountability,
quality and further research on the peer review process (Haffar et al. 2019).

178 Editors rely on reviewer efficacy and effectiveness, but with peer reviewed 179 scientific outputs continuing to increase, this impacts experienced reviewers (Curtain et al. 2019). Increasingly multi-tasking, these reviewers are becoming time-challenged, 180 which leads to delays (Sonne and Alstrup 2019), demotivated, due to repeated rejection 181 of the same paper (Drvenica et al. 2019), and concerned that quality cannot be 182 guaranteed as the process is not functioning well (Curtain et al. 2018). Editors are clear 183 184 as to the reasons for rejection under peer review, these being factors of poor journal fit, lack of insight, fatal flaws, or lack of development (Pollock 2019), or factors of error, 185 186 language, or lack of explanation or mechanisms (Alexandratos 2017). However, with the pressure for authors to publish and the high levels of rejection from legitimate 187 188 scholarly journals, there is motivation to publish in predatory journals (Alrawadieh 2018), cite rejected papers (Sonne and Alstrup 2019), trade authorship and fake peer 189 190 review (FPR) (Rivera 2019).

191 The legitimacy and credibility of scientific knowledge is dependent on the quality process of peer review. If the speed of spurious news delivery via mass and digital 192 193 communications impacts negatively on societal knowledge, then this will influence 194 public health, environmental and medical science (Sonne and Alstrup 2019). A key 195 strategy of climate science denialism is the creation of fake controversies (Hansson 196 2017). Therefore, a healthy peer reviewed debate is required not only to advance 197 knowledge but to highlight errors, inaccuracies and misinformation (Hall et al. 2015a). This is clearly demonstrated in a debate over several papers on climate change 198 199 scepticism: "Climate change and tourism: Time for environmental scepticism" (Shani and Arad 2014); "No time for smokescreen scepticism: A rejoinder to Shani and Arad" 200 (Hall et al. 2015a); "There is always time for rational scepticism: Reply to Hall et al" 201 202 (Shani and Arad 2015); and "Denying bogus scepticism in climate change and tourism

research" (Hall et al. 2015b). The final response suggests that the "obfuscation of
scientific research" can have long-term negative consequences for policy and action in
relation to climate change (Hall et al. 2015b p. 352). This has a direct impact on the
achievement of the Sustainable Development Goals.

In consideration of the impact of Higher Education Institutions (HEIs) on 207 208 sustainable development, there was a noted increase in publishing between 2005-2017 (Findler et al. 2018). Over half of the 113 peer reviewed journal articles representing the 209 210 'state of knowledge' were submitted in the final four years (Findler et al. 2018). Furthermore, a fragmented discourse was identified across a wide journal base, although 211 212 the 'Journal of Cleaner Production' and 'International Journal of Sustainability in HE' had the highest contributions (Findler et al. 2018). Special issues might account for 213 some of the fragmented discourse: "Evidence for upscaling existing SDGs policies and 214 programmes in African countries" (Okonofua 2016); "Work-based and vocational 215 education as catalysts for sustainable development" (Wall and Hindley 2018). However, 216 movement beyond peer reviewed special issues is needed. The Journal of Sustainable 217 Tourism's editorial team reflected on how their publication could help authors achieve 218 more impact with their research, resulting in a decision to "ask all authors to frame their 219 220 submitted articles against the Sustainable Development Goals" (Font et al. 2019 p. 9). 221 Nevetheless, there is overall a lack of strategies that promote international research 222 (Caniglia et al. 2017) and publication. This paper seeks to contribute to this discussion.

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#### 3 Methodology

In order to assess the trends of scientific publishing on sustainability in Higher Education, the World Survey on Sustainability Publishing in Higher Education (WSSSP-HEI) was undertaken. It was divided into two parts: research (I) and publishing (II). The part on research will be the subject of another paper. Regarding part (II), the methodological steps included the survey development (definition of questions, pre-test and preparation of final version), survey dissemination, and data analysis. Each step is detailed as follows.

The questionnaire had an initial section on demographic details, enquiring
respondents for details of their universities (name, department, and country) and their

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234	age group, gender and background (Education, Social Sciences, Natural Sciences,		
235	Engineering & Technology and Other). In the sequence, the questions related to the:		
236	a) Number of book chapters on matters related to sustainable development (SD) in	n	
237	higher education (HE) published by the respondent over the past five years;		
238	b) Number of books on matters related to SD in HE edited or co-edited and		
239	published by the respondent over the past five years;		
240	c) Number of articles on matters related to SD in HE published by the respondent		
241	in journals which are peer-reviewed and have an impact factor over the past fiv	'e	
242	years;		
243	d) Journals in which the respondents usually publish their research (e.g. Journal o	f	
244	Cleaner Production; Int. J. of Sustainability in Higher Education; Int. J. of		
245	Sustainable Development and World Ecology, among other options);		
246	e) Areas on SD in HE the papers usually focus on (i.e. Sustainability in higher		
247	education in general, campus greening, teaching issues, research issues);		
248	f) Main reasons for choosing a journal/book to publish their research.		
249	The questions were initially prepared by the authors and pre-tested by		
250	researchers and professors working the social and environmental sciences, and with		
251	expertise in sustainability in higher education, hence catering for a wide range of		
252	perspectives The final survey (Appendix A) was then disseminated online (through		
253	Google Forms) to all members of the Inter-University Sustainable Development		
254	Research Programme (IUSDRP, <u>https://www.haw-hamburg.de/en/ftz-</u>		
255	<u>nk/programmes/iusdrp.html</u> ), a network of universities committed to sustainability. The		
256	Programme has over 140 member universities, and the participants who receive the		
257	communications are members of administrative sectors or researchers/professors		
258	actively involved in matters related to SD in their organizations, thereby ensuring the		
259	reliability and validity of this methodological approach.		
260	The online survey remained active from June to October, 2019 and collected 103		
261	responses from 43 different countries. Simple descriptive statistics to summarize and		
262	discuss the collected data was used for the analysis. The results will be presented		
263	following each survey section.		
264			
265	4 Results and Discussion		

266	This section starts by describing the trends in scientific publishing on	
267	sustainability in higher education collected from the worldwide survey. By the end, it	
268	presents an overview of measures that universities and researchers can adopt to improv	
269	their publishing opportunities.	
270		
271	4.1 Demographic details	
272	Figure 1 shows the 43 countries represented in the study, and the intensity in the	
273	number of responses. From the Americas, the participant countries were Argentina,	
274	Bahamas, Belize, Brazil, Canada, Chile, Guatemala, Mexico and USA; from Africa:	
275	Cote d'Ivoire, Egypt, Ghana, Liberia, Malawi, Nigeria and Uganda; from Asia/Oceania:	
276	Australia, Bangladesh, China, Hong Kong, India, Japan, Malaysia, Pakistan,	
277	Philippines, Saudi Arabia, Sri Lanka and Vietnam; and from Europe the participant	
278	countries were Belarus, Estonia, Finland, Germany, Greece, Italy, Latvia, Malta,	
279	Poland, Portugal, Romania, Spain, Sweden, Ukraine and the UK.	

Figure 1. Countries which participated in the survey (and intensity of the number ofresponses)



- 282
- 283 Source: Prepared by the Authors
- 284
- Figure 2 summarizes the sample demographic details: when it comes to gender,
- 51% of the respondents are female, 46% are male, and 3% preferred not to state.
- Regarding the age group, the survey received responses from all levels: 4% in the age

- 288 group of 18-25, 18% between 26-35 years of age, 29% between 36-45 years of age.
- The majority of the sample, 37%, is between 46-59 years of age; only 12% are 60 years
- of age or more. Regarding background, more than 40% are from the social sciences,
- and more than 20% from engineering and technology. Other areas, such as education
- and natural sciences, are represented by a lesser proportion.
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Figure 2. Sample demographic details (gender, age group and background)



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# 4.2 Number of publications

Publications are relevant for researchers in order to share their studies and get recognition from their peers. From a practical point of view, they are often used when decisions on promotion or tenure are to be taken. The primary modalities include publishing books, book chapters or journal articles. The survey started by asking the respondents to indicate the number of books and book chapters on matters related to sustainable development in higher education that were published by them over the past five years, as well as the number of articles published in peer reviewed journals in the
same period. Figure 3 summarizes the responses for the three types of publication,
including the percentage of responses according to the number of publications in the last
years.

Interestingly, the majority of the respondents in the sample stated to have 313 published less than ten publications or none, regardless of the type of publication, 314 during the last years. For books, however, the percentage of respondents which 315 316 indicated "none" is higher than the other groups (>35%). For book chapters and articles, this percentage was approximately 10%. On average, among all types of publications, 317 journal articles are more commonly published, reaching almost 10% of responses in the 318 categories "between 31 and 40" and "over 40," while the same categories resulted in 319 only 2% for books and book chapters. Although the peer review process for books and 320 book chapters might be slightly less complicated, authors may prefer publishing journal 321 322 articles for reasons associated with the evaluation of scholarship in general (Arnăutu and Panc 2015; Schimanski and Alperin 2018), curriculum scores and demands from 323 324 graduate programs (Dyke 2019; Harris 2015; Rawat and Meena 2014). Additionally, 325 books demand coordinated efforts and support from the publisher (Cortada 2017).

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Figure 3. Results on the number of book chapters, books and articles published by therespondents





The survey also intended to find out in which journals the respondents usually or 332 frequently publish their research. Among the given options, two were the most 333 indicated: International Journal of Sustainability in Higher Education (indicated by 334 39% of the respondents) and Journal of Cleaner Production (indicated by 37% of the 335 respondents). This is one of the key results from this analysis. It indicates that these 336 337 options as the most preferred ones to publish studies related to sustainability in higher education, and virtually dominate the sustainability in higher education conversation. 338 339 Looking into the journals which address environmental management performance issues at HEIs, Guenther and Ross (2020) corroborate these results by indicating that 340 the majority of publications are published in the International Journal of Sustainability 341 in Higher Education (IJSHE) (45%) and Journal of Cleaner Production (JCP) (41%), 342 with only around 14% of literature being published in various other journals (Guenther 343 and Ross, 2020). 344 345 These include journals such as "Environment and Sustainable Development" 346 347 (16%) and "Journal of Environmental Management" (15%). 348 An additional 48 responses were received in the option "Others," where 349 respondents could mention journal titles not presented in the offered options. Of these, the most recurring journal was "Sustainability", 350 351 with eight mentions. This is also a 352 key result, since these periodicals account for about a third of the journals mentioned sample. 353 354 Other journals refer to climate change and educational issues, in addition to 355 energy and sustainability challenges in general. It can also be highlighted the presence 356 of local/national journals, which publish papers in other languages (such as Spanish and 357 Portuguese). Figure 4 presents these results. 358

359 Figure 4. Journals preferred by the respondents for publishing



361

#### 4.4 Areas and reasons

When asked about the areas of sustainable development in higher education that 362 the published papers usually focus on (Figure 5), the respondents indicate Sustainability 363 364 in general as the most common topic (>60% of responses). This was already expected at a certain point, since several studies may not fall under a specific approach on teaching, 365 366 research or campus operations. With around 40% of the responses, the following most common areas are: teaching and research issues, which include teaching techniques 367 368 and innovative approaches for teaching education for sustainable development 369 (Hermann and Bossle 2020; Lozano and Young 2013) and challenges and 370 opportunities for researching sustainability (Barbosa-Póvoa et al. 2018; Salvia et al. 2019; Turnheim et al. 2020), among others. Campus greening was indicated by 32% of 371 the sample. However, its contribution towards publications on the topic might increase, 372 mainly due to recent publications which support this matter [e.g. "Books Universities as 373 Living Labs for Sustainable Development - Supporting the Implementation of the 374 Sustainable Development Goals" (Leal Filho et al. 2020) and "Towards Green Campus 375 Operations - Energy, Climate and Sustainable Development Initiatives at Universities" 376 (Leal Filho et al. 2018)]. 377

The option "Other" contained further interesting results. The respondents included topics such as the Sustainable Development Goals, climate change efforts, sustainable procurement at universities, sustainable consumer behaviour, in addition to others that may represent connections with society in general and local communities (capacity

- building, social innovation, global citizenship, urban mobility and poverty, religions and
- 383 sustainability, psychological aspects and sustainable construction projects).
- 384



Figure 5. Areas of SD in HE the papers usually focus on

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As already touched upon, one may wonder about the reasons for choosing a 388 certain journal/book to publish a study. In this regard, Figure 6 shows the main reasons 389 indicated by the respondents for choosing a publication. Impact factor should be 390 highlighted as the most mentioned reason (indicated by 87% of the respondents). 391 Reaching the community of peers (80%) and the publisher (78%) were the next most 392 393 indicated reasons, followed closely by the Open Access availability (74%). This is also a key result. The least indicated reasons (but still indicated by 67-72% of the sample) 394 395 are national/local evaluations, Indexes and publication databases. An additional seven comments (7%) were included in the space for "Other" responses, and they are related 396 to the adherence of the paper subject to the scope of the journal/book, the ease in 397 handling the publication (probably meant by the respondent as the steps of submission 398 399 and peer review until getting the study finally published) and the case of being invited by peers to submit studies to a publication. 400

401

402 Figure 6. Main reasons for choosing a journal/book to publish research



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## 4.5 Discussion

The results indicate that the reasons behind the choice of where to publish may vary, and they depend on the relevance that authors give to specific factors. Although the publishing process used to take a rather long time in the past (depending on the publisher, type of publication, and peer review process, among others), this is not so today. Many publishers are able to make an accepted paper available with a DOI and ensure they can be cited a few weeks after being accepted. The advantages of this new trend are innumerous.

All these elements reiterate the advantages academics may have, by being aware of the publishing opportunities in the topic of sustainability in higher education. The list below presents some insights on how to take more advantage of these opportunities, based on the authors' experience:

a) Participate in national and international sustainability networks: these networks 417 work as complex and integrated spaces for universities and researchers who 418 share a common goal to support each other. Partnerships for publications and 419 projects are among their advantages and purposes (Bixler et al. 2019; Keeler et 420 421 al. 2016). The IUSDRP, for example, has among its aims to "catalyse and facilitate the production of high-quality joint publications in indexed journals, as 422 well as in ground-breaking books and book chapters, in cooperation with well-423 established publishers" (IUSDRP n.d.). 424 b) Subscribe to mailing lists on the topics of interest: through these lists, 425

426 researchers can invite other colleagues to work on project proposals,

427	publications and even partnerships for events, for example. Calls for authors for
428	diverse publishing opportunities are common and frequent;
429	c) Contact editors and editorial teams of journals informing them of one's interest
430	to act as a reviewer: being available to act as a reviewer (given the topic reflects
431	the researcher expertise area) may increase one's chances to publish more - not
432	solely for gaining experience on the topic, but also for getting recognition in the
433	area (Verbeke et al. 2017);
434	d) Participate in conferences which lead to publications in journals/books: there are
435	other various reasons to choose conferences to attend and present research
436	results, but whenever appropriate and suitable, researchers may consider those
437	that promote high-impact publications.
438	These recommendations will assist authors in recognizing diverse publishing
439	possibilities and choosing the ones that respond to their main reasons for publishing.
440	These findings corroborate with Caniglia et al. (2017), specifically focusing on
441	communication strengthening and the collaborative process, which can contribute to
442	increasing the low research rate in international partnership.
443	Based on these contributions, the following framework is suggested to increase
444	the publication rate in sustainability in higher education (Figure 7).
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447	
448	
449	Figure 7 - Framework to increase publications on Sustainability in Higher Education

Participation in sustain networks	ability	Subscription to sustainability mailing lists
	Sustaina Higher E	ability in ducation
Acting as reviewer of sustainability journals		Participation in national and international conferences

From the framework, it can be seen that academics have many disadvantages when they work alone. By taking part on sustainability network and being kept informed about progresses in mailing lists, they can be kept abreast of the latest development, and access information they would not normally not become aware of. An example is the IUSDRP mailing list which can be accessed for free at: <u>https://www.jiscmail.ac.uk/cgibin/webadmin?A0=IUSDRP</u>. It contains information on events, publishing opportunities and project calls, which academic staff may find very useful.

Also, academic staff may wish to act as reviewers in sustainability journals, being 459 460 able to obtain information on recent research. Moreover, participation in international 461 events, albei costly, often proves to be a good investment since many are in fact in-service training and offer the opportunity to meet individuals who are otherwise known from the 462 463 literature. The current restrictions posed by the COVID-19 pandemic do not need to 464 adversely affect communication or networking among academics: even though physical 465 events cannot at present be easily organised or held, on-line events offer a good 466 complement. This is not to say that on-line events could ever replace presence ones. But 467 they do offer an alternative, until it is safe again to organised normal Symposia, 468 Workshops or Congresses.

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471 **5** Conclusions

As this paper has shown, publications on matters related to sustainable development are good indicators of academic activity and excellence. They provide valuable venues for discussing issues pertaining to sustainable development, showing the plurality of viewpoints and perspectives and documenting experiences.

Before dwelling on the conclusions, it should be reiteirated that female participants, (with 51%) had a slightly higher engagement in the study than their male counterparts. Senior researchers, between 36 and 59 years of age, accounted for 65% of the respondents, in the context of which the social sciences were twice as highly represented in the study as engineering and technology.

The survey undertaken has identified a number of trends. The first one is that over 481 half of the 113 peer reviewed journal articles published and representing the 'state of 482 knowledge' were submitted in the last four years. This suggests an intensification of 483 research efforts, coupled with an increased in the willingness to submit this work to peer 484 485 reviewed journals. Secondly, there is a trend towards a fragmented discourse, i.e. a discourse focusing on specific issues, thanks to the production of special issues. These, 486 487 however, present one advantage: they may address the concerted coverage of some topics 488 (e.g. sustainability governance, sustainability reporting), which may not otherwise be well 489 covered elsewhere. In addition, articles in journals are seen to be more popular than book 490 chapters. Furthermore, it is clear that two journals seem to dominate the conversation of 491 sustainability in higher education, with over 3/4 of all papers published on this subject 492 matter, namely the International Journal of Sustainability in Higher Education and the Journal of Cleaner Production. 493

Whereas "sustainability" as a general term appears to be the most popular theme, the study has shown that papers on teaching, research or campus operations are also popular. Also, themes such as the Sustainable Development Goals, climate change and sustainable procurement at universities, along with sustainable consumer behavior, are increasingly popular topics.

The fact that nearly 90% of the authors indicated that the impact factor (followed by Open Access) is the main criteria in the decision to publish in a particular journal shows that these elements will also guide future decisions. This may be explained by the fact that these indicators are used in decisions related to the tenure and promotion of academics. Authors may benefit from accessing networks and mailing lists, and byattending events and venues for accessing journals.

The research has some limitations. Firstly, the sample is too small to allow for a 505 506 broad extrapolation of the results. Secondly, its wide dissemination via various networks is not a guarantee that it mobilized all concerned sustainability researchers. Nonetheless, 507 508 the data obtained offers a rough profile of how academic publishing on sustainable development is perceived and practiced. Since the paper was not meant to cluster 509 510 responses among specific countries but to build a general profile instead, the purpose has been achieved. It contains no specific geographical focus; rather, it needs to be 511 512 considered as a global study.

The present paper nevertheless provides a welcome addition to the literature since it addresses the deficiency in studies on published research on matters related to sustainable development. Its implications are two-fold: it offers a detailed overview of the state-of-the-art on publications on sustainability in a higher education context, outlining its main features. Also, it sheds light on the journals most active in the topic and the level of emphasis they attach to various themes.

519 Methodologically, the approach used in this paper can be replicated and used in 520 similar studies, especially in those where a combination of qualitative and quantitative 521 data is important in order to allow a broader understanding of trends.

Looking forward, there are various measures via which higher education institutions may be able to take more advantage of the many opportunities that publishing on sustainability offers to them. Some of them are as follows:

\* the SDGs offer universities good opportunities to document and promote their
works, be it in respect of policies or practical activities;

\* authors should take more advantage of networks, mailing lists and conferences,
as a means of better engaging with their peers and accessing publishing opportunities;

\* the specialist journals available and mentioned in this paper offer a solid basis for
scientific publishing, and have many published papers which may be used as reference
points.

532

As far as future steps are concerned, there is a perceived need for more research on publishing trends wijch are specific to the social and natural sciences, since they adopt

different sustainability philosophies. In addition research is also needed on the extent to 535 which the SDGs are being taken into account in the context of scientific publishing. These 536 research gaps will be addressed in forthcoming studies being undertaken as part of the 537 newly-founded "The SDGs Academic Research and Publications Initiative" (SDG-538 539 ARPI), whose details can be seen https://www.hawat: hamburg.de/en/university/newsroom/news-details/news/news/show/new-research-540 initiative-on-the-sdgs/. 541

542 Overall, academic publications provide a valuable service in that they disseminate 543 case studies, projects and programs and report on the findings of studies and research on 544 sustainable development. As such, they are very important tools in fostering information 545 exchange and serve as a vehicle for the documentation and dissemination of what 546 government bodies, research agencies, international bodies, universities and aid agencies 547 are undertaking in the various pathways leading to a more sustainable world.

548

## 549 Conflict of Interest

- 550 The authors declare that they have no conflict of interest.
- 551

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	Questions	Responses
	Name of your University:	
	Country:	
	Your age group:	() 18-25 () 26-35 () 36-45 () 46-59 () 60+
	Your gender:	() Female () Male
Demographics	What is your background?	<ul> <li>() Education</li> <li>() Social Sciences in general (including politics, economics, arts, languages)</li> <li>() Natural Sciences</li> <li>() Engineering &amp; Technology</li> <li>() Other</li> </ul>
Number of publications	How many book chapters on matters related to sustainable development in higher education have been written and published by yourself/your team at your university over the past 5 years?	<ul> <li>() none</li> <li>() between 1 and 10</li> <li>() between 11 and 20</li> <li>() between 21 and 30</li> <li>() between 31 and 40</li> <li>() over 40</li> </ul>
	How many books on matters related to sustainable development in higher education have been edited or co-edited and published by yourself/your team at your university over the past 5 years?	() none () between 1 and 10 () between 11 and 20 () between 21 and 30 () between 31 and 40 () over 40
	How many articles on matters related to sustainable development in higher education have been published by yourself/your team at your university in journals which are peer- reviewed and have an impact factor, over the past 5 years?	() none () between 1 and 10 () between 11 and 20 () between 21 and 30 () between 31 and 40 () over 40

# 722 Appendix A. Summary of the survey questions and response options

Main journals	In which journals do you usually publish? (multiple answers possible)	<ul> <li>() Journal of Cleaner Production</li> <li>() Int. J. of Sustainability in Higher Education</li> <li>() Futures</li> <li>() Int. J. of Sustainable Development and World Ecology</li> <li>() Environment and Sustainable Development</li> <li>() Evaluation and Program Planning</li> <li>() World Development</li> <li>() Journal of Environmental Management</li> <li>() Sustainable Cities and Society</li> <li>() Other</li> </ul>
	Which areas have the papers focused on in relation to sustainable development in higher education? (multiple answers possible)	<ul> <li>() Sustainability in higher education in general</li> <li>() Campus greening</li> <li>() Teaching issues</li> <li>() Research issues</li> <li>() Other</li> </ul>
Areas and reasons	Which are the main reasons for choosing a journal/book to publish your research? (multiple answers possible)	<ul> <li>() Impact Factor</li> <li>() National/local evaluations</li> <li>() Open access</li> <li>() Publisher</li> <li>() Index</li> <li>() Publication database</li> <li>() Reaching your community of peers</li> <li>() Other</li> </ul>