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Article A Novel Energy-efficient Clustering Algorithm for more Sustainable Wireless Sensor Networks enabled Smart Cities Applications

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1 Abstract: Wireless Sensor Networks (WSNs) is a major sensing technology that has revolutionized

- ² the way information is collected, processed, and used in many smart cities' applications that rely
- ³ on sensing technologies for events detection and monitoring. Despite the multiple benefits that
- 4 such technology offers, the quick depletion of sensors' battery power represents a major concern,
- ⁵ mainly due to the extensive computational tasks and communication operations performed by
- 6 individual sensors. Indeed, the cost of replacing batteries can be prohibitively expensive, especially
- v when sensors are deployed in areas where access is difficult, in urbanized cities. To extend sensors'
- 8 lifetime, this paper proposes a new variant of LEACH protocol named LEACH enhanced with
- PRObabilistic Cluster Head Selection (LEACH-PRO). LEACH-PRO introduces several measures
- to extend WSNs nodes' lifetime such as cluster head nodes selection using a probabilistic function
- ¹¹ based on maximum residual energy and minimum distance to the sink. The obtained simulation
- results have proven the supremacy of LEACH-PRO over LEACH and Direct Transmission protocol
- in terms of the achieved network lifetime and the generated traffic overhead. Most importantly,
- 14 LEACH-PRO will significantly extend the sensors' lifetime, which would make this type of
- deployment more viable in smart city scenarios.
- 6 Keywords: Wireless Sensor Networks; Energy-efficiency; Smart Cities; LEACH

17 1. Introduction

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The concept of smart cities continues to attract a lot of interest from industry, academia and policy makers to enable better quality of life for cities' inhabitants. At the forefront of these cities, sensors and actuators are the main driver for many value-added services and the expected automation, which not only targets the improvement of the urban life, but also the reduction of the management costs of these cities [1]. In order to support the provision of these new services, Wireless Sensor Networks (WSNs) and other similar sensing technologies should be deployed all over the cities [2]. Once deployed, these different sensors automatically organize themselves, forming an ad hoc network, in order to ensure global connectivity, regardless of their ranges which are sometimes very limited. Once deployed and configured, such sensors will allow the monitoring of multiple metrics such as motion, temperature or humidity level; they could be responsible of generating certain events, such as the detection of a fire to take safety measures in real-time [3]; they could even trigger actions, directly or indirectly, such as turning on the light when detecting movements.

WSNs are composed of tiny, low powered, low cost and light weighted sensor nodes. These nodes are capable of sensing, processing, aggregating and transmitting data on the wireless channel directly to the base station (BS) or via neighbor nodes to BS [4]. Usually,

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WSNs are deployed in remote sensing fields where each node is equipped with a non-35 replaceable battery and consumes an amount of energy while sensing, aggregating and transmitting data [4]. Hence, communication among sensor nodes must be efficient for 37 faster relief operations in emergencies. In some situations, like areas where accessibility is difficult, it is almost impossible or too costly to replace the exhausted batteries [5]. 39 Since the lifetime of a sensor node depends on its battery or power source capacity, which is consumed through communication and sensing activities, it is necessary to 41 design energy-aware solutions to increase the lifetime of WSNs [6]. The design of 42 energy-efficient routing techniques for WSNs is very challenging task due to the limited 43 computational and storage capacities in such tiny and energy-constrained sensors [7], [8]. ^ In this paper, we propose a new protocol called LEACH-PRO (LEACH enhanced with 45 Probabilistic Cluster Head Selection), which represents an enhanced version of LEACH 46 (low energy adaptive clustering hierarchy), in order to maximize WSNs' lifetime. 47 The main contribution of this paper is to overcome LEACH's related issues, outlined 48 in Section 5, by designing a new probabilistic mechanism in which CHs selection is made based on two metrics: the maximum residual energy and the minimum distance 50 to the base station. Although LEACH-PRO has similar design logic as LEACH, the 51 main difference lies in the CH selection process and the fact that sensors located at the 52 border of the sensing field are excluded from participating in the CH selection process under certain conditions, making LEACH-PRO more energy efficient than LEACH. 54 An extensive performance evaluation is performed under varying network densities 55 by measuring several metrics of interest and the results have proved the effectiveness 56 of LEACH-PRO. Moreover, one of the distinguishing features of LEACH-PRO is its compatibility with other state of the art approaches, such as those concerned with 58 the determination of the number of clusters and could therefore be used jointly to 59

60 complement them.

The remainder of this paper is organized as follows. In Section 2, we provide a brief overview of the importance of wireless sensor networks in smart cities. In Section 3, we present an overview of LEACH routing protocol followed by the literature review in Section 4. Afterwards, we introduce our proposed scheme, named LEACH-PRO, and discuss its operation in detail in Section 5. In Section 6, we present and analyze the obtained simulation results. Finally, we conclude the paper in Section 7.

67 2. WSNs enabled smart cities applications

To deal with the expanding demography of urban centers, the development of smart cities is becoming more and more critical. These cities will indeed improve the quality of life of citizens by optimizing their daily lives (e.g., urban transportation planning), their safety (e.g., rapid response in emergency situations), while offering them value-added services (e.g., remote monitoring and control of their homes). On the other hand, these cities are expected to integrate intelligence, in order to automate their operation, and should foster energetic sobriety, for a more sustainable and resilient world [9].

The deployment of heterogeneous wireless network technologies will be necessary to enable these advances. Long-range wireless networks, such as 5G or Beyond 5G (B5G) networks, will definitely be needed to serve bandwidth-intensive or low-latency applications. These cities will also require sensor networks, whether short-range, such as WSNs, or long-range, such as Low Power Wide Area Networks (LPWANs), which could serve as a base station (or sink) to aggregate and report sensors readings.

Without being exhaustive, WSNs can be considered in several use cases in smart cities. Their use within smart road infrastructure and integration with Connected Autonomous Vehicles (CAVs) will help preventing accidents while improving traffic flow fluidity. Their use in buildings can reduce energy consumption when combined with actuators. They can trigger alerts, faster, during fires, and allow to adapt, for example, the frequency of the means of transportation according to the needs, enabling a significant reduction in carbon footprint. The number of applications and practical

- use cases are extremely numerous and are only limited by the boundaries of the human
 imagination [10].
- ⁹⁰ By organizing themselves in networks, WSNs potentially cover large areas, even
- ⁹¹ inside buildings [11]. However, the viability of these sensors is very much linked to their
- ⁹² lifetime which should be of several years. To maximize the operating lifetime of these
- ³ wireless sensors, several strategies have been proposed in the literature. These techniques
- can be broadly divided into three categories: (i) strategies based on energy harvesting
- ⁹⁵ from the environment (stemming from different sources such as solar, mechanical, or
- electromagnetic energy) [12], (ii) strategies optimizing the idle time of the devices [13],
- ⁹⁷ and (iii) techniques aiming to optimize the wireless devices' operation [14]. These
- different strategies can be found in different scenarios for smart cities. They are in no
 way contradictory, and can even be leveraged by the same wireless sensors.
- In this paper, we focus on the operational optimization of sensors, and particularly
 on clustering techniques. Thus, our contribution may concern the various introduced
- ¹⁰² use cases, in which clustering strategies are central for enhanced energy savings.

3. Background and overview of LEACH

Table 1. Summary of the used notations

Notation	Meaning		
СН	Cluster Head		
СМ	Cluster Member		
BS	Base Station		
1	Length of Message in Bits		
p	Probability to Select a Node as CH		
Ni	<i>i_{th}</i> Node		
$T(N_i)$	Threshold Function Value against i_{th} Node		
$R(N_i)$	Random Number against <i>i</i> _{th} Node		
DT	Direct Transmission		
AP	AP Access Point		
G	Set of Nodes not selected as CH		
RSS	S Received Signal Strength		
TDMA	Time Division Multiple Access		
r	Number of Rounds		
d	Remote Distance to BS		
E_b/N_0	Bit to Noise Ratio		
E _{AVG}	Average Energy of System		
E _{CH}	Current Energy of CH		
E _{CM}	Current Energy of CM		
D _{CM}	Distance of <i>CM</i> to <i>BS</i>		
D_{AVG}	Average Distance to BS		
$E_{Tx-elec}$	-elec Transmitter Electronics		
$E_{Rx-elec}$	Receiver Electronics		
\in_{amp}	Transmitter Amplifier		
ADVPKT	Advertisement Message Packet		
CTRPKT	Control Packet		
DRN	Death Rate of Nodes		
FND	First Node Died		
HND	Half of the Nodes Died		
SRE	System Remaining Energy		
C _{id}	Cluster ID		

In WSNs, recharging the batteries of sensor nodes is an infeasible task [3]. Hence, 104 the network lifetime is a primary concern in WSNs. In order to prolong the network 105 lifetime, several routing protocols exist and could be classified into two types depending 106 on the network topology: Flat routing protocols and Hierarchical routing protocols. 107 Since flat routing protocols require maintaining routing table data and cannot aggregate 108 the sensed data, they are not applicable for large scale WSNs. However, hierarchical routing protocols can solve this issue to some extent. Direct Transmission (DT) [4] is the 110 basic hierarchical routing protocol used for transferring data from source node to the 111 destination directly without any intermediate node. Due to this, DT consumes more 112 power for sending information towards the BS, especially when this latter is located far 113 away from the sensing field. This will quickly degrade nodes' battery and reduce the 114 overall lifetime of the network [4]. 115

116 3.1. First order radio model

Low energy radio model is considered as an important factor to communicate 117 effectively over a network. In our work, simple energy consumption first order radio 118 model is presumed. However, energy consumption in transmitter and receiver modes 119 will affect and compensate the performance of routing protocols. In transmitter and 120 receiver mode electronic circuitry dissipate $E_{Tx-elec} = E_{Rx-elec} = 50 \text{ nJ/bit to run}$ 121 different operations of a given radio model. At the transmitter end the signal is amplified 122 to $\in = 100 p J/bit/m^2$ for achieving acceptable bit to noise ratio E_b/N_0 at the receiver end, 123 as shown in Table 2. Generally, this model describes the energy consumption while 124 transmitting and receiving a packet to neighbor node or to an end station. Equations. 1 125 and 2 show the mathematical model for energy dissipation when transmitting a (l) bit 126 packet to remote distance (*d*). 127

$$E_{Tx}(l,d) = E_{Tx-elec}(l) + E_{Tx-emp}(l,d)$$
(1)

128

$$E_{Tx}(l,d) = E_{elec} \times l + \in_{amp} \times l \times d^2$$
⁽²⁾

Equations 3 and 4 describe the energy dissipation induced by the reception of (*l*) bits packet. In this model, the receiver consumes less energy than the transmitter [15] because it does not need to further amplify the signal for forwarding the received information, but instead will only process it.

$$E_{Rx}(l) = E_{Rx-elec}(l) \tag{3}$$

133

$$E_{Rx}(l) = E_{elec} \times (l) \tag{4}$$

Symmetric radio channel is assumed in this model, in which the energy required to transfer information from a node *X* to a node *Y* is similar to that needed to transmit the same information from node *Y* to node *X*. Alternatively, the clustering protocols are designed in such a way that consumes minimum amount of energy for each transition. Additionally, transmit distance should also be reduced for improving the energy consumption for transmission and receiving operation, especially in this model [4], [16], [17] and [18].

Table 2. Radio characteristics

Operation	Energy Dissipaton		
Transmitter Electronics $ E_{Tx-elec}$ Receiver Electronics $ E_{Rx-elec}$ $(E_{Tx-elec} = E_{Rx-elec} = E_{elec})$	50nJ/bit		
Transmitter Amplifier (\in_{amp})	100pJ/bit/ <i>m</i> ²		

141 3.2. Direct Transmission (DT)

DT is the basic routing protocol used in WSNs to route the data from the sensing 142 field directly, without any intermediate node or AP (Access Point), towards the base 143 station. The deployed nodes in the field transmit the sensed data directly to the BS 144 without any intermediate or neighbor node intervention. The nodes located far away 145 from the BS will consume more energy, as opposed to the closer ones, because the energy consumption is directly proportional to the distance separating the sender node from 147 the BS. Such transmission operation will rapidly deplete the nodes' battery, which in 148 turn leads to degradation of overall network lifetime. The BS is considered as a remote 149 monitoring station equipped with sufficient power for processing the received data from 150 the sensing filed. 151

To better understand the energy consumption in DT, consider a linear simple network model composed of *n* sensor nodes separated by uniformly distributed distance *r*. Equations 5, 6 and 7 describe the energy consumption needed for transmitting *l* bits long message from the sensing field to the BS in this network. For communication and data transmission, DT uses first order radio model explained in Section 3.1 [18], [4].

$$E_{direct} = E_{Tx-elec}(l, d = n \times r)$$
(5)

157

$$E_{direct} = E_{elec} \times l + \in_{amp} \times l \times (n \times r)^2$$
(6)

158

$$E_{direct} = l \times (E_{elec} + \in_{amp} n^2 r^2) \tag{7}$$

159 3.3. LEACH overview

Due to their tiny size, WSNs' nodes have limited energy resources, meaning that 160 energy-efficient routing is compulsory to maximize the network lifetime. As discussed in 161 Section II, several energy-efficient routing protocols have been proposed for WSNs in the 162 literature, each of them has its advantages and limitations. However, hierarchical and 163 cluster based routing techniques are the most prominent ones as they foster more energy 164 efficient operations than other alternative schemes. Low Energy Adaptive Clustering Hierarchy (LEACH) is considered as one of the most important basic cluster-based 166 routing protocols used for efficient communication in WSNs. A clustering algorithm 167 ensures optimal energy utilization as compared with non-clustering routing algorithms 168 [4]. LEACH is a basic energy efficient routing protocol that minimizes the power consumption in all aspects of communication, thus improving the network lifetime. In 170 LEACH, the network is divided into a number of clusters, each cluster comprises a 171 cluster head (CH) and a set of cluster members (CMs) as shown in Fig. 1. CMs sense and 172 aggregate data from the sensing field and transfer it to the CH. CHs are then responsible 173

for transmitting this data to the BS. LEACH uses randomized selection of CHs to give equal chance to all participating nodes for competing in CHs selection process rather than using a pre-determined selection of CHs. In static clustering, CHs usually transmit data continuously, consume more power, and die quickly. LEACH operates in rounds; each round consists in two phases, setup phase and steady state phase. In setup phase, a CH is selected based on the generated random number $R(N_i)$ between zero and one. If the random number $R(N_i)$ is lower than the threshold function $T(N_i)$, a CH node is

elected as shown in Equation 8 [4].

$$T(N_i) = \begin{cases} \frac{p}{1 - p \times (rmod\frac{1}{p})} & \text{if } N_i \in G\\ 0 & Otherwise \end{cases}$$
(8)



Figure 1. Example of a WSN composed of a number of clusters

Where p denotes the probability of selecting a node as CH in r rounds, and G182 represents a set of nodes that have not been selected as CHs in 1/p rounds. The nodes 183 selected as CHs generate an advertisement message and broadcast it to CMs; the CMs 184 will then join one of the clusters depending on the maximum received signal strength 18 (RSS). The CH creates a Time Division Multiple Access (TDMA) slot for each CM 186 for collision free intra cluster communication. In steady state phase, CHs compress, 187 aggregate and transmit data to the BS. In LEACH, the number of transmissions is reduced 188 by introducing the clustering. Efficient CHs selection reduces the power consumption of individual nodes, which maximizes the overall network lifetime [3]. 190

¹⁹¹ 3.3.1. Setup Phase

LEACH completes its operation in rounds, each round starts with a setup phase followed by a steady state phase. Initially, a cluster organizes itself in the setup phase and completes its advertisement operation. Then, in the steady state phase the CMs transmit data to the CH and this latter transfers the aggregated data to the BS [18] and [4].

When the clusters are created, each node individually decides whether to participate in CH selection operation in the current round or not. Equation (8) shows the formula for calculating $T(N_i)$ threshold value where N_i is a node and p is the probability of node N_i to become the CH in round r. G is a set of nodes that are not selected as CH in previous 1/p rounds. Based on these parameters the threshold value is calculated for further setup operations [7]. Regardless of the network density, the decision is made up on the initial probabil-

ity (i.e., the probability p used in Equation (8) of the nodes that will become the CH. 204 Afterwards, those nodes get a chance to become the CH for the current 1/p rounds. 205 CHs broadcast an advertisement (ADV) message to all nodes. Upon receiving an ADV 206 message, CMs send a response message to their chosen CH individually based on the 207 measured RSSI. Each CH creates a TDMA schedule and sends it to its CMs to avoid 208 the collision while transmitting data to CH. Each CM receives the TDMA schedule and 209 accordingly switches to sleep state until its scheduled time slot is reached. At this instant 210 only, this CM can send the data. The operation of TDMA schedule creation is illustrated 211 in Fig. 9(b), where TS_i refers to Time Slot *i*. 212



Figure 2. LEACH frame operation

213 3.3.2. Steady State Phase

At the end of the setup phase operation, every CM can start the data transmission 214 towards its CH during its own assigned time slot. We assume that each CM has always 215 data to transmit. Regardless of the communication in each cluster, the CH must keep its 216 radio receiver on for receiving all data from its CMs. In addition, when TDMA time slot 217 is over or a round time has ended the CH performs data compression over the received 218 data from its CMs and sends the resulting data to the BS. As the distance from the BS to 219 the CH is significantly larger than the distance separating this latter and its CMs, this 220 transmission requires high-energy consumption compared to other data transmission 221 operations. This whole process represents the steady state phase operation of LEACH. 222 Subsequently, the sensor nodes which have not been elected as CH in previous rounds 223 will participate to become CH in next rounds. 224

225 4. Related Work

In this section, we will provide a brief overview of the most important works that have proposed extensions or improvements to the basic LEACH protocol discussed in the previous section.

In [19], the authors proposed an effective differential evolution (DE) based routing 229 algorithm, named DE-LEACH, to improve the performance of LEACH. DE-LEACH's 230 CHs selection process is similar to LEACH, i.e., random selection based on threshold 231 value shown in Eq. (8). DE-LEACH is designed by leveraging two of the most important 232 factors of WSNs, which are energy consumption and the distance separating neighboring 233 nodes inside a cluster, to overcome the early death of CHs issue. DE-LEACH features 234 a fast search mechanism based on optimum level while selecting CHs in the setup 235 phase. Performance evaluation results, using simulation, show that DE-LEACH can 236 successfully prevent random selection of nodes during the clustering process while 237 improving large-scale WSNs lifetime. However, the exclusion of random selection 238

of CHs using optimal level based on residual energy increases the complexity of the algorithm and the overhead in the network [19].

In Energy-Efficient LEACH (EE-LEACH) protocol, proposed in [20], the CH se-241 lection is based on the residual energy where a sorting algorithm extracts the residual 242 energy of each sensor node and the one which has the maximum residual will be chosen 243 as a CH. Gaussian distribution is used for computing the coverage probability. The collection of data can save substantial energy despite the fact that one cluster formed 245 in a small area can consume less energy than the cluster formed in a larger one. The 246 experimental evaluation of EE-LEACH highlights that it has higher packet delivery ratio, 247 lower end-to-end delay and lower energy consumption, but It achieves this at the cost 248 of more traffic on the network. In Intra Cluster Balanced Cluster LEACH (IB-LEACH 249 Protocol) [21], the clustering process is divided into two phases, i.e., intra cluster and 250 inter cluster, which will further help to increase the energy efficiency of the system. 251 The evaluation results reveal that the use of IB-LEACH protocol increases the network 252 lifetime. On the other hand, due to the extra computing required it increases the traffic 253 load to some extent. 254

Assisted LEACH (A-LEACH) [22] is an amplified form of LEACH aiming to minimize the power dissipation of CHs by introducing a helper node, called assistant node, to the cluster head. In A-LEACH, when a cluster is formed and the CH is selected this latter selects a helper node located near the base station. Then, the cluster head aggregates the received data from the cluster members and sends it, through the selected helper node, to the BS. The evaluation results has shown that A-LEACH performs better than LEACH.

Balanced-LEACH (LEACH-B) uses de-centralized approach for cluster formation 262 in which each node knows the final destination to transmit data to and its own position 263 but has no information about other nodes [23]. LEACH-B operation consists of three 264 steps, cluster formation, CH selection and data transmission. A sensor node sends data to destination node using the best path characterized by the low power dissipation and 266 based on that it selects the CH. Firstly, the great convergence and global optimization 267 capability of the proposed algorithm can reasonably divide the entire network area 268 into a number of sub-regions. Then, in each sub-region a CH is elected taking the 260 residual energy factor into account. The conducted experiments results have shown that, 270 compared to LEACH, LEACH-B can effectively balance the network load and improve 271 the energy usage, so as to extend the network lifetime. However, the division of the 272 entire network into sub-regions increases the communication overhead in WSNs [23]. 273

In Round Robin Cluster Head (RRCH) [24], clusters are created one time only, using similar method to LEACH, in order to minimize the energy usage during the 275 clustering phase. Once the clusters are formed, RRCH keeps the clusters fixed and 276 uses the round-robin scheme to select the CH within each cluster. All nodes have equal 277 chance to be CH during each frame. Upon detection of an abnormal node, the CH modifies the scheduling information and broadcasts it to the entire cluster during the 279 frame modification. Afterwards, its cluster members delete this abnormal node based on 280 the received updated schedule information. Similar to LEACH, RRCH suffers from the 281 incapability of guaranteeing a good quality of the formed clusters. Without the periodic 282 re-clustering (i.e., regeneration of clusters), RRCH cannot manage clusters with varying 283 sizes (e.g., overlay of clusters, very small or very large clusters). 284

Fixed LEACH (LEACH-F) [25] is centralized technique of cluster formation in 285 which the clusters are formed permanently by the base station, only the CHs are changed 286 within a cluster in rotation. In default LEACH, re-clustering is performed in each 287 round, whereas, LEACH-F removes re-clustering process in next rounds for the whole 288 network lifetime. The steady state is similar to LEACH. LEACH-F is not flexible due to 289 preemptive policy of clusters formation. Before the completion, in each round, energy 290 and information are wasted due to fixed round time in the steady state. Because the CHs 291 or CMs in each cluster cannot change their behavior, this results in an uncertainty in the 292

whole network and degrades the overall performance. This problem can be minimized
by introducing a relationship between residual energy of nodes and round time within a
cluster.

- When the size of WSNs exceeds certain level, the distance between the CH and base station increases significantly, creating problems for single hop communications
- in LEACH [26]. Multi-hop LEACH (M-LEACH) solved the above issue by using multi-
- ²⁹⁹ hop communication between the CHs and the base station. In Table 3, we compare
- LEACH and its various extensions based on the communication pattern considered, the
- assumption made about the mobility of sensor nodes, the achieved level of scalability,
- ³⁰² the used clustering approach, in addition to the achieved energy-efficiency level and the
- ³⁰³ generated overhead.

Table 3. Comparison of various extensions of LEACH protocol

Protocol	Communication	Mobility	Scalability	Clustering	Energy	Overhead
	Pattern	assumption		approach	efficiency	
LEACH	Single-Hop	Stationary nodes	Low	Distributed	Moderate	High
A-LEACH	Chain-Based	Stationary nodes	Low	Distributed	High	Low
LEACH-EE	Multi-Hop	Stationary nodes	Very High	Distributed	High	High
LEACH-M	Multi-Hop	Mobile nodes	High	Distributed	Low	High
LEACH-F	Single-Hop	Stationary nodes	Low	Centralized	High	High
LEACH-DE	Single-Hope	Stationary nodes	Low	Distributed	High	High
IB-LEACH	Single Hop	Stationary nodes	Low	Distributed	High	High
LEACH-PRO	Single-Hop	Stationary nodes	High	Distributed	High	Moderate

Many LEACH related approaches continue to be proposed in the literature. A

recent study presented a detailed taxonomy of such existing works [27]. Among the

aspects addressed in this study are the determination of the number of clusters [28] and
 the consideration of the devices' mobility [29]. Although these aspects are essential, they

are not covered in this paper which focuses more on the routing aspects through the

³⁰⁹ design of an efficient selection of cluster heads.

310 5. Our proposed scheme

Although LEACH is an interesting routing protocol for WSNs it suffers from a number of weaknesses that affect its performance. Such weaknesses are due to a number of factors related to its operation, as highlighted below.

- Ignoring the residual energy of sensor nodes when selecting the CH in next rounds.
 Therefore, if a sensor node with low residual energy is chosen as the CH, then it dies
 quickly. Hence, the robustness of the network is affected and its lifetime degrades.
- The CHs located at the boundary of the clusters would dissipate more energy and
- thus decrease the overall performance of the network.

• CHs located far away from the BS consume more energy for transmitting data compared to CHs closer to the BS. This can compromise the network life time.

To overcome these issues and improve the performance of LEACH, a new variant 321 named LEACH enhanced with Probabilistic Cluster Head Selection (LEACH-PRO) is 322 proposed. LEACH-PRO introduces a probabilistic mechanism in which CHs selection is 323 made based on two metrics: the maximum residual energy and the minimum distance 324 to BS. LEACH-PRO has similar design logic as LEACH since both protocols operate in 325 rounds. Each round completes its operations in two phases; setup phase and steady 326 state phase. The main difference lies in the CH selection process and the fact that sensors 327 located at the border of the sensing field are excluded from participating in the CH 328 selection process if they satisfy the conditions set in Equation (9). LEACH-PRO detailed operational steps are illustrated in Fig. 3. We assume a static deployment of sensor 330 nodes in the sensing field with the coordinates of each node determined a priori as (x_i, y_i) 331

- to easily identify borderline nodes. Each node generates a random number and saves it in its memory. However, nodes at the borderline must be selected as CM only and
- excluded from the CH selection process.



Figure 3. LEACH-PRO working principle

It is worth noting that several other parameters could be determining for keeping a cluster active for a maximum duration. Among these parameters, there is the number of clusters in the network as well as the update frequency of the cluster head selection. These factors are discussed in several papers in the literature such as [31] and [32]. Although in this paper we focused on clusters with constant load, our proposed algorithm is compatible with several strategies in the literature, such as those discussed in [33],

³⁴¹ which are based on a dynamic determination of the number of clusters.

342 5.1. Role of a border sensor node

The node that resides in the borderline of the sensing field or closer to it is considered 343 as a border node. The node selected as CH must operate and communicate with its 344 neighbors efficiently. When clusters are formed, almost in circular fashion, each CH 345 broadcasts its ADV message to its neighbors. Such message is transmitted to all members 346 of each cluster. A border node can cover its communication range either side of the 347 sensing field, therefore, if it is selected as CH, it cannot communicate with its CMs 348 effectively. To avoid such issue, LEACH-PRO excludes border nodes from participating 349 in the CH selection process to improve the overall performance. 350

Let us assume that the coordinates of each node (x_i, y_i) are predetermined when the nodes are distributed in the sensing field. Thus, any node that satisfies the conditions set in Equation (9) is eligible to participate in the CH selection process; otherwise, it is excluded. These conditions are defined based on Fig. 4 which illustrates the area in the sensing field where border nodes are located. Nodes in this area cannot be selected as CH in next rounds and are declared as CMs.



Generally, for clusters formation a threshold number $T(N_i)$ is calculated based on 358 the probability function as given in Equation. 10. If the randomly generated $R(N_i)$ 359 number between 0 and 1 is less than $T(N_i)$ then the node (N_i) will be selected as CH 360 from the set G of nodes that have not been elected as CH in the previous 1/p rounds. 361 The first round of LEACH-PRO is similar to that of LEACH but next rounds will follow 362 the working principle of LEACH-PRO, as illustrated in Fig. 3. ADV PKT is a control 363 packet with a size of 200 bits as set in our simulation, that contains the current energy level of the CH node, the distance separating it from the BS and its cluster Id denoted by 365 E_{CH} , D_{CH} and C_{id} respectively as shown in Fig. 5. 366

$$T(N_i) = \begin{cases} \frac{p \times E_{CM} \times D_{CM}}{E_{AVG} \times D_{AVG} \times (1-p \times (r \mod \frac{1}{p}))} & \text{if } n \in G\\ 0 & Otherwise \end{cases}$$
(10)

³⁶⁷ Where E_{AVG} is the Average Energy of System, E_{CM} refers to the Current Energy ³⁶⁸ of CM, D_{CM} is the Distance separating a CM from the BS, r represents the Number ³⁶⁹ of Rounds, 1/p denotes the Number of Nodes in each Cluster, D_{AVG} is the Average ³⁷⁰ Distance to the BS, and G represents the set of nodes that have not been selected as CH

in 1/p rounds.



Figure 5. Advertisement message packet format

372 5.3. Cluster head selection process

The primary metric for selecting CHs is the residual energy of a node, as it is considered an optimal parameter for achieving better performance, while the distance to the BS is considered a secondary metric in the selection process of the CHs. In this regards, the following three cases are inspected. When a node is elected as a CH in the second round, it generates an ADV message and broadcasts it towards its CMs, as shown in Fig. 6(a).

5.3.1. Case1:
$$E_{CH} > E_{CM}$$

Suppose that every CM in a cluster has less residual energy than the CH. Hence, each CM directly responds to join that specific CH, as shown in Fig. 6(b).



Figure 6. Selection of the energy-efficient node as CH

- ³⁸² 5.3.2. Case2: $E_{CM} > E_{CH}$
- In this case, a CM with a higher residual energy than the CH generates a new ADV
- message and broadcasts it to its neighbors as a dominant CM in a cluster. Upon receiving
- this new ADV message each CM including the previously declared CH, discards the
- $_{386}$ first received ADV message based on the C_{id} information and responds to the new CH,
- as illustrated in Fig. 7(b).



(a) Dominant node broadcasts a new ADV message

(b) CMs Reply to the dominant node

Figure 7. Maximum Residual Energy Node Broadcast ADV Message

5.3.3. Case3: IF $E_{CM} = E_{CH}$

Finally, this case cannot be resolved without considering the distance separating both nodes from the BS. If a CM within a cluster has similar residual energy as that of the declared CH, then this CM will check the distance to the BS metric. If this CM is closer to the BS than the currently declared CH then, the CM declares itself as CH and generates a new ADV message and broadcasts it to its neighbors in similar way as in case 2. Otherwise, the operation will be the same as in case 1. The operation of case 3 is illustrated in Fig. 8.



Figure 8. Optimal node declaration as CH with nearest distance to BS

Finally, after selecting the optimal nodes as CHs, each CH defines a TDMA schedule
 and sends it to its CMs. Upon reception of this TDMA frame each CM switches to sleep
 state until its time slot is reached. The remaining operations of LEACH-PRO are similar
 to that of LEACH.

400 5.4. Complexity analysis

The computational complexity of LEACH-PRO is very low and allows a fast conver-401 gence. The latter is of the same order of magnitude as the original LEACH protocol [30]. 402 Indeed, there is no combinatorial here that would make the execution time important, 403 so the execution time is of the order of o(1). However, if one looks at the functional 404 complexity, by counting the number of messages exchanged, the complexity of our 405 protocol is reduced since the edge nodes (i.e., border sensor nodes shown in Fig. 4) do 406 not participate as CHs. For more details about the functional complexity, one could refer 407 to [30]. 408

6. Results and discussion

A simulation environment is created using MATLAB-2015A where DT, LEACH 410 and LEACH-PRO are implemented to evaluate and compare their performance under 411 different scenarios. The simulation parameters used are similar to those used in many 412 state of the art works in this topic. The main performance evaluation metrics used are 413 NDR (Nodes Death Rate), SRE (System Remaining Energy) and Packets to BS. NDR is 414 calculated by measuring the First Node Death rate (FND), Half of Nodes Death rate 415 (HND) and 90% of nodes death rate. Similarly, SRE is also calculated by measuring 416 the remaining energy in percentage as 10% SRE, 50% SRE and 90% SRE to analyze the 417 overall performance of the protocols. Packets to BS refers to the number of packets sent 418 towards the base station. A summary of the simulation setting is presented in Table 4. 419

Besides the above metrics, packet delivery ratio and end-to-end (E2E) delay measurements are very important metrics as well since they will uncover any potential negative effect of the proposed LEACH-PRO scheme. Indeed, a decrease in packet delivery ratio and/or a high end-to-end delay will make LEACH-PRO unsuitable for certain application scenarios where low delay and high reliability are required. To investigate these two metrics thoroughly we will measure them in the experiments to be conducted in the monitoring environment setup at QUEST, in Nawabshah and the results will be reported in another experimental paper.

Parameters	Values		
MATLAB Version	2015/R		
Node Deployment Area	200 m x 300 m		
Network Sizes (Nodes Density)	50, 100, 200, 500		
Initial Energy (J) of each node	0.5 J]		
$E_{Tx-elec}$	50 nJ/bit		
$E_{Rx-elec}$	50 nJ/bit		
\in_{amp}	100 pJ/bit/m2		
Control Packet Length	200 Bits		
Data Packet Length	4000 Bits		
Number of nodes in Cluster	10		
Probability	0.1		
Simulation Rounds	4000		
Position of BS	(100,300)		

Table 4. Summary of simulation settings

428 6.1. Analysis of network lifetime and NDR

The network lifetime is described as the time elapsed between initiating the network operation until the death of its last node. The scenario simulated in our experiments is similar to the topology shown in Fig. 1.

In this scenario, nodes density is also varied from 50 to 500 nodes to analyze the scalability of the three protocols.

Figures 9 and 10 depict the achieved network lifetime and NDR by DT, LEACH 434 and LEACH-PRO when applied to a WSN with sizes of 50, 100, 200 and 500 nodes 435 respectively. The plotted results show that LEACH-PRO is outperforming the other two 436 protocols. In DT, the source node directly transmits its data to the BS and thus consumes more transmit power, hence, the death rate of nodes in DT is much higher which makes 438 the network lifetime much shorter as well. In LEACH, instead of direct transmission the 430 data is transmitted via CHs, thus, LEACH achieves longer lifetime than DT, but the blind 440 CHs selection leads to a fast degradation in its performance compared to LEACH-PRO. 441 The supremacy of this latter, in relation to network lifetime and NDR, is mainly due 442 to its efficient probabilistic CH replacement based on maximum residual energy and 443 minimum distance to BS. From Figures 9 and 10 we observe as well that the increase of the network density negatively affects the performance of all protocols, including 445 LEACH-PRO, but this latter is still performing better than DT and LEACH.

More specifically, Fig. 9(a) and Fig. 10(a) illustrate the network lifetime and NDR 447 for a WSN of size 50. As shown in Fig.10(a), the first node dies after 263 rounds, in DT, 448 after 759 rounds, in LEACH and after 1484 rounds in LEACH-PRO. For DT, 50% of nodes 449 die after 502 rounds, and the entire network die after 584 rounds, whereas for LEACH 50% of nodes consume their entire power after 1114 rounds. These results highlight that 451 the death of the first node in LEACH-PRO occurs after the death of 1005 of nodes in DT 452 and 50% of nodes in LEACH. This trend continues when the network size is increased 453 to 100 nodes. However, for a network with 200 nodes, the FND in LEACH-PRO occurs after the death of all nodes in DT but before HND occurrence in LEACH. This trend will 455 hold with a network of 500 nodes. Such significant improvement of performance shown 456 by LEACH-PRO is justified by the stability in finding a node with maximum residual 457 energy to act as a CH. 458



Figure 9. The achieved lifetime for varying network densities: number of dead nodes vs. number of rounds



Figure 10. NDR evolution during the network lifetime under varying network densities

6.2. Impact of network density on NDR

Figures 11 and 12 show the evolution of FND and HND occurrence under the three protocols when the WSNs size increases. The plotted results reveal that the speed at which FND and HND occur in all protocols increases with the increase of the WSNs size but the speed increase varies. If we compare the FND occurrence between the WSNs of 50 and 500 nodes, we find that the death speed increases by a factor of 3.37, 1.36 and 1.67 in DT, LEACH and LEACH-PRO respectively. For HND, its occurrence

speed has similar trend to FND where it increases by a factor of 5.52, 1.28 and 1.96 in DT,

LEACH and LEACH-PRO respectively. The analysis of these results tell us that although LEACH-PRO is still outperforming DT and LEACH it has a slightly higher HND speed compared to LEACH.



Figure 11. FND evolution under varying WSN densities



Figure 12. HND evolution under varying WSN densities

6.3. Analysis of Energy Consumption

Each node in WSNs is equipped with a limited power source or battery. Therefore, the nodes energy should be utilized in an efficient manner for better sustainability of the network. The lifetime of a node is directly proportional to the speed at which its energy is being consumed. In this work, the initial energy of each node is set to 0.5 Joule.

Figures 13 and 14 represent the system residual energy (SRE) and energy consump-475 tion evaluation of DT, LEACH and LEACH-PRO for network size of 50, 100, 200 and 500 476 nodes respectively. As illustrated in the plotted results, LEACH-PRO is outperforming 477 the other two protocols. DT has fast energy degradation rate as it does not use intermedi-478 ate nodes for transmission towards the BS. LEACH uses its own probability function for 479 selecting CHs, where each node has the same probability to become a CH, thus all nodes 480 die quickly because of the blind CH selection strategy. LEACH-PRO, however, achieves 481 the lowest degradation rate of energy due to its CH selection strategy that maximizes 482 the energy efficiency of the network. 483

- As shown in Figure 14, DT consumes more energy in less number of rounds and
- exhibits low performance as the size of the network increases. LEACH and LEACH-PRO
- ⁴⁸⁶ both show comparable performance at the start of communication operation and do
- ⁴⁸⁷ not have much difference up to 50% of SRE. However, beyond this limit and up to
- 10% of SRE LEACH-PRO performs significantly better than LEACH. This supremacy of
 LEACH-PRO is inversely proportional to the increase of WSNs size as the performance
- ⁴⁹⁰ gap between it and LEACH shrinks as the network gets larger.



Figure 13. System residual energy under varying network densities



Figure 14. Energy consumption evolution under varying network densities

6.4. Analysis of Traffic Load in the Network

Traffic load is measured as the number of packets transmitted in the network. 492 Higher packet transmissions directly affect the bandwidth and energy consumption, 493 these resources are limited and thus their usage should be optimized. Therefore, it is necessary to reduce the frequent packets transmission in the network. Figure 15 495 illustrates the traffic load pattern generated by the three protocols. As we can see, DT generates high traffic load as compared to LEACH and LEACH-PRO due to the frequent 497 transmissions towards the BS, and sensors die quickly after at most 500 rounds. The traffic load generated in LEACH increases gradually to stabilize after 1500 rounds, for 499 networks of 200 and 500 nodes, and after 1000 rounds for smaller networks. LEACH-500 PRO has similar pattern to LEACH but the traffic load generated is almost 40% to 50% 501 lower, especially after the first 500 rounds. 502



Figure 15. Traffic load evolution under varying network densities

503 7. Conclusion

In this work, a novel energy-efficient routing protocol named LEACH-PRO was 504 proposed to make WSNs more sustainable and optimize their operation in many smart 505 cities use cases. This protocol was designed to overcome the limitations of LEACH by 506 introducing the probabilistic cluster head selection based on the residual energy and the 507 distance to the base station. Performance evaluation results highlighted that LEACH-508 PRO outperforms both LEACH and Direct Transmission protocol in terms of the achieved network lifetime, node death rate speed, residual energy depletion speed in addition to 510 the generated traffic load on the network. However, the results show also that as the 511 network size increases the performance of LEACH-PRO degrades to some extent. Future 512 works will include implementing LEACH-PRO on a hardware platform and testing its 513 performance at the campus of QUEST in Pakistan. The promising performance shown 514 by LEACH-PRO suggests that it can efficiently support several application scenarios in 515 future smart cities. 516

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