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1 **What is the impact of early rehabilitation following rotator cuff repairs on**
2 **clinical and biomechanical outcomes? A randomised controlled trial**

3 **ABSTRACT**

4 **Background:** The number of rotator cuff repairs performed worldwide is increasing
5 every year. However, there are still controversies regarding when rehabilitation after
6 surgery should start.

7 **Objectives:** To assess and to compare clinical and biomechanical outcomes of
8 patients who were randomised and allocated to early or conservative rehabilitation
9 after rotator cuff repairs.

10 **Methods:** Twenty patients were randomised to two treatment groups. The
11 biomechanical assessments were performed before surgery and at three and six
12 months, consisting of 3D kinematics and muscle activity from 5 muscles (upper
13 trapezius, anterior deltoid, middle deltoid, posterior deltoid and biceps brachii) from
14 six movement tasks. In addition, the Oxford Shoulder Score and EQ-5D-5L were
15 also recorded. At 12 months an ultrasound scan was performed to check the repair
16 integrity.

17 **Results:** Overall, both groups had similar results for function and health-related
18 quality of life. However, at six months patients in the early group had better range of
19 motion (ROM) than those in the conservative group, especially for shoulder flexion
20 (Early: median=152.1° vs Conservative: median=140.0°). The number of re-tear
21 events was higher in the early group (5 vs 1), and of these only two patients reported
22 symptoms at 12 months.

23 **Conclusion:** Early rehabilitation may improve ROM but it does not seem to be
24 superior to a conservative management in improving function and quality of life. In

25 addition, more re-tear events were observed in the early group. However, the results
26 should be interpreted with caution due to the small sample size.

27

28 **INTRODUCTION**

29 Rotator cuff tear is a common disorder affecting approximately 30% of people older
30 than 60 years (1) and it is responsible for almost 450,000 operations per year in the
31 US (2). Rotator cuff tear can be debilitating and impair patients' quality of life and
32 function; if initial non-operative treatments fail, surgical repair is often recommended
33 (3). However, for optimal results, the postoperative rehabilitation must be adequately
34 planned to help patients with their recover and return to daily activities (4).

35 Following a rotator cuff repair, a period of movement restriction is advised (5). Using
36 a sling for six weeks is encouraged to protect the tendon and allow adequate soft-
37 tissue healing and possibly avoid a re-tear (6). In contrast, delaying mobilisation may
38 increase the risk of shoulder stiffness and potentially postpones improvements in
39 function and return to work (7). Based on the available evidence, it is difficult to make
40 an informed clinical decision on the most favourable postoperative time to start
41 physiotherapy and reduce the use of sling. An overview of systematic reviews with
42 updated meta-analyses demonstrated that, currently, there is almost the same
43 number of systematic reviews compared with randomised controlled trials (RCTs)
44 published on the topic, with reviews and primary studies showing conflicting
45 conclusions (8).

46 In a clinical setting, it is common to use questionnaires to screen patients'
47 impairments in activities of daily living (ADL) and goniometers to quantify range of
48 motion (ROM). These tools have the advantage of being easy to use and are

49 relatively inexpensive; however, their simplistic capacity for measurement may not
50 objectively define how patients are affected and how they are recovering. For
51 instance, the deltoid and upper trapezius muscles are activated for longer periods in
52 patients having surgery for rotator cuff related problems but there is a lack of studies
53 investigating if an early postoperative structured exercise program could be more
54 effective than a conservative in readjusting the activity of the shoulder muscles (9,
55 10). Considering the uncertainties related to the application of early rehabilitation
56 following rotator cuff repairs, and the lack of information on how different timing of
57 starting physiotherapy affect muscle activation and quality of movement during ADLs
58 after surgery; this study aimed to investigate the effectiveness of a therapist-led early
59 rehabilitation regime compared with a conservative management on clinical and
60 biomechanical outcomes.

61

62 **METHODS**

63 This study was an RCT which followed the CONSORT statement (11). Ethical
64 approval was gained (16/NW/0143) and it was registered in the clinicaltrials.gov
65 database (NCT02631486). The patients' recruitment and screening for eligibility
66 were made on the same day that patients attended their scheduled appointments
67 with the consultant regarding their shoulder symptoms and need for surgery.

68 Potential patients were approached and informed about the study, this included what
69 would happen if they agreed to take part and how their rehabilitation would progress.

70 All participants signed an informed consent form after the study details were
71 explained and any questions from the patient were addressed.

72

73

74 **Eligibility criteria**

75 The inclusion criteria consisted of 1) males and females aged between 40 and 70
76 years old (most common age range for rotator cuff tears) (1), 2) on the waiting list for
77 a rotator cuff repair for a chronic tear (symptoms for >3 months), 3) with no other
78 previous shoulder surgery on the same side, and 4) no other musculoskeletal
79 impairment on the assessed limb or in the cervical and thoracic spine. Patients were
80 excluded if 1) during the surgery a repair was deemed not needed or the tear was
81 too extensive to allow early rehabilitation, 2) they had previous shoulder surgery
82 and/or other musculoskeletal impairment on the assessed limb or in the cervical and
83 thoracic spine, and 3) were unable to follow instructions.

84

85 **Intervention**

86 Rehabilitation consisted of two groups who received physiotherapy post-surgery with
87 a planned frequency of once every two weeks, lasting for approximately 3-4 months.
88 In the first stage (discharge to 4 weeks), patients in the Early group used the sling for
89 comfort only, which could be discarded when the patient felt comfortable and
90 confident in doing so; whereas the Conservative group was asked to remain in the
91 sling until the 6th week and remove it only to perform the prescribed exercises. The
92 full protocols are available in the Supplementary file A. Treatment compliance and
93 adherence were checked at the follow-up assessment sessions and were based on
94 patients self-report on sling usage and attendance to physiotherapy.

95

96 **Randomization and allocation concealment**

97 A sequence of random numbers (www.randomization.com) was generated by an
98 independent research team member (JR) who was also responsible for the allocation

99 concealment. The opaque sealed envelopes were opened after surgery by one of
100 the treating physiotherapists who was not involved with the study design or data
101 analysis.

102

103 **Procedures**

104 Four assessment sessions were undertaken in the outpatient setting at baseline
105 (before surgery), three, six and 12 months follow-up. The first three assessment
106 sessions consisted of completing the Oxford Shoulder Score (OSS) for function, the
107 EQ-5D-5L for health-related quality of life and a biomechanical assessment. The
108 OSS is a 12 item questionnaire about pain and function commonly used in
109 randomised controlled trials. It is valid, reliable and showed good responsiveness
110 (12, 13). The EQ-5D is a generic questionnaire about quality of life which has been
111 extensively used and researched and validated (14, 15). The assessments were led
112 by an assessor (BM) who was blinded to patients' allocation until the final data
113 analysis. The last assessment session at 12 months consisted of an ultrasound scan
114 only. The scans were performed by a single Fellowship-trained Musculoskeletal
115 Radiologist (SB), blinded to patient's group allocation, using a GE Logiq S8
116 ultrasound scanner (General Electric Healthcare; Chicago, United States of
117 America).

118

119 **Biomechanical assessment**

120 The biomechanical assessment used two different systems that were synchronized;
121 the Xsens MVN system (Xsens Tech®, Enschede, Netherlands) motion capture
122 system which recorded upper body kinematics at 120 Hz, and the Trigno (Delsys®,
123 Boston, USA) wireless EMG system which recorded muscle activity at 2000 Hz.

124 Every participant performed six shoulder movements and repeated each of them five
125 times at a comfortable self-selected speed. The decision about using the tasks
126 described in Table 1 was based on what is generally used during routine clinical
127 assessments and common tasks used in everyday life that were assessed in similar
128 studies (16-18). After determining the ROM (humerus in relation to the thorax) in
129 degrees for each repetition, an average was calculated. For the EMG analysis, the
130 muscles chosen were the anterior (AD), middle (MD) and posterior (PD) deltoids,
131 upper trapezius (UT) and biceps brachii (BC). These muscles are easy to access
132 and are sensitive to changes to the rotator cuff muscles activation (19). The integral
133 was calculated and expressed as a percentage of the peak value (20). All sensors
134 were placed on each participant by the same assessor at every assessment session.

135

136

Table 1

137

Sample size calculation

138
139 The primary outcome was shoulder ROM during flexion at 6 months. Based on a
140 similar study (4), 14 patients would be needed in each group to detect a minimal
141 clinically important difference (MCID) of 25° of flexion ROM, with a standard
142 deviation of 23.6° at the 5% significance level, with 80% power. Adding 20% for
143 eventual follow-up loss, the final total sample needed was 34 participants.

144

Statistical Analysis

145
146 Considering the number of patients recruited in each group and the number of
147 patients that were reassessed at the follow-up points, descriptive statistics were
148 preferred (21). We followed the intention-to-treat principle to report all outcomes.

149 **RESULTS**

150 Ninety-nine patients were assessed for eligibility between May 2016 and January
151 2017; 57 were excluded as they did not agree to take part in the study. From the
152 remaining 42, a further 22 were excluded: 17 did not need a rotator cuff repair and 5
153 had a massive tear, which were considered inappropriate for the early mobilisation
154 protocol. Therefore, 20 patients were randomised, 10 per group (Figure 1).

155

156 *Figure 1*

157 **Demographics**

158 Table 2 shows the demographic details at baseline. Most of the variables were
159 similar between groups; there was a substantial difference in the length of time from
160 first symptoms until the date of surgery and the Early group had more smokers than
161 the Conservative group. Based on the surgeons' reports for the repairs, the most
162 common lesions were found in the supraspinatus combined with the infraspinatus
163 (Table 3).

164 *Table 2*

165 *Table 3*

166 **Physiotherapy compliance**

167 Seventy percent of patients in the Early group used the sling for less than 4 weeks
168 and 88% of patients in the Conservative group used for at least 6 weeks (Table 4).
169 Patients in the Early group reported a usage of 8.7 (SD=10.6) hours per day (h/d) in
170 comparison to 22.1 h/d (SD=3.5) in the Conservative group. The Early group had an
171 average of 6.5 (SD= 2.9) sessions with a physiotherapist and the Conservative group
172 had an average of 8.7 (SD= 4.3).

173

Table 4

174 **Clinical scores**

175 A large improvement from baseline was observed for both groups on both follow-ups
176 for the OSS. Both groups had better scores for the EQ-5D-5L compared to baseline
177 with equivalent values at 6 months (Table 5).

178

179 **Biomechanics**

180 ***Combing***

181 At three months, the Conservative group showed slightly better ROM and higher
182 muscle activity for the PD. At six months, the Early group had better ROM (6.7°
183 between groups difference) and similar muscle activity apart from the BC, which
184 showed 18% higher activity in the Conservative group (Supplementary file B).

185 ***Abduction***

186 Similar to the results of the Combing task, the Conservative group had better ROM
187 at three months (7.6° between groups difference) and the Early group at six months,
188 (14° between groups difference). At three months, the Conservative group showed
189 higher muscle activity for all muscles. At six months, the Early group showed higher
190 activity of the AD, MD and BC, with between groups differences of 15%, 9.6% and
191 25.8%, respectively.

192 ***Carrying***

193 For the Carrying task, the Conservative group showed higher ROM and EMG activity
194 at three and six months, although the between groups differences for ROM were
195 small; 0.2° and 1.9°, respectively. The largest difference between groups for muscle
196 activity was 18.8% for the MD at six months in favour of the Conservative group.

197 **Reaching**

198 The Early group had better ROM and muscle activity for the PD at three months
199 (4.9° and 11.7% between groups difference) and the Conservative at six months (2°
200 and 9.3% between groups difference).

201 **Flexion**

202 Comparing the follow-up values with baseline, the Early group improved 25° at three
203 months and over 45° at six months. In contrast, the Conservative group had a
204 reduction of approximately 6° at three months and an improvement of 9° at six
205 months. The main between groups differences for muscle activity was for the MD
206 (13.9% in favour of the Conservative group) at three months and for the AD (20.1 %
207 in favour of the Early group) and the PD (13.4% in favour of the Conservative group)
208 at six months.

209 **Lifting**

210 Comparing follow-up values with baseline, the Early group improved 40.7° at three
211 months and 68.9° at six months, while the Conservative group got worse at three
212 months by 9.5° and improved by 9.6° at six months. The main between groups
213 differences for muscle activity was observed for MD (19.8%) in favour of the
214 Conservative group, and PD (12.1%) in favour of the Early group at 3 months. At six
215 months, the Early group showed greater activity for AD, MD and BC (28.4%, 14.2%
216 and 20.4%, respectively).

217

218 **Repair Integrity**

219 Sixteen patients (Early n=9; Conservative n=7) had an ultrasound scan and six re-
220 tears were found (Early n=5, Conservative n=1). Based on patients self-report, only 2

221 patients, both from the Early group, reported any symptoms; all the others confirmed
222 that they were satisfied and had no pain or difficulties with activities involving the
223 shoulder.

224 ***Table 5***

225 **DISCUSSION**

226 The study aimed to assess and to compare outcomes of patients who had a rotator
227 cuff repair and were randomised to either early or conservative rehabilitation. We
228 found that the majority of patients reported adhering to the use of the sling as per
229 instructions, which corroborates with the study of Mazzocca, Arciero (22). In their
230 study, the authors reported that the majority of patients in the trial comparing early
231 with conservative rehabilitation following rotator cuff repairs also used the sling as
232 requested. Although the information on sling usage from our study is important, it
233 relies on patients' self-reported information, which may be prone to inaccuracies.

234 Overall, both groups improved self-reported function at both follow-ups with similar
235 results at six months. However, it could be observed that the Early group continued
236 to improve over time, while the Conservative group did not improve further at six
237 months. Both groups improved above the OSS MCID of six points from baseline to
238 six months (23, 24). Previous studies that have evaluated the effectiveness of rotator
239 cuff repairs only have shown that the surgery is effective in improving function and
240 quality of life of patients (25-27). Other RCTs on the topic have used different
241 questionnaires, which limit direct comparisons. However, based on the MCID of each
242 scale some estimations are possible. For example, the MCID for the Constant-
243 Murley Score (0-100), is 11 and for the Simple Shoulder Test (0-12) is 2.2 points (23,
244 24). Using this approach, it is possible to observe the same trend on the RCTs

245 reported by Kim, Chung (28) and Koh, Lim (29). These authors did not find
246 statistically significant differences between groups at follow-ups, but both groups in
247 both studies improved more than the MCID after 6 months.

248 Trying to compare the biomechanics results of the ADLs from this RCT to
249 other studies is challenging due to the lack of similar design and hypotheses tested.
250 Most studies with a similar method of assessment compared differences between
251 healthy groups with patients who had the injury but were still untreated or compared
252 patients after surgery versus healthy groups. For example, Vidt, Santago (30)
253 assessed 7 functional activities comparing patients with rotator cuff tears to a healthy
254 control group, which included two similar tasks (combing and upward reach) to those
255 used in our study. Their results showed that for upward reaching, which was similar
256 to the Flexion and Lifting tasks, patients with rotator cuff tears had approximately a
257 60° range of motion in the sagittal plane. Another study, from Fritz, Inawat (31),
258 measured 3D kinematics and EMG at 9-12 weeks post-surgery for 10 patients who
259 had rotator cuff repairs compared to 10 healthy subjects. The authors assessed 10
260 activities which included Combing and Reaching, with patients showing a lower ROM
261 for Combing, Reaching and for all the other tasks included in their study. From the
262 six tasks proposed in our study, a clear pattern was observed where the Early group
263 continually improved their ROM at every follow-up time point for all tasks excepting
264 for Reaching. Whereas the Conservative group showed a slight deterioration at 3
265 months for the tasks Carrying, Flexion and Lifting, and at 6 months for Combing;
266 Abduction was the only task to improve in the Conservative group at both follow-up
267 time points.

268 At three months, the differences in ROM between groups were generally
269 small. Nevertheless, at six months, substantial differences of 14° for Abduction,

270 12.1° for Flexion and 13.8° for Lifting were observed. The MCID for shoulder flexion
271 reported by Muir, Corea (32) is 14° when measured with a goniometer. Considering
272 that the glenohumeral relative angle was defined as the humerus in relation to the
273 thorax, it could be possible that the difference between groups for ROM are clinically
274 important; however, such analysis is beyond the scope of our study and the
275 instrument used to measure ROM was not a goniometer. Despite the difference in
276 ROM for some of the tasks favouring the Early group, the narrow margin for other
277 tasks may explain why the OSS score was similar. Patients may not see a
278 substantial increase in range of motion being the same as an indicator of a better
279 outcome; as long as they reach a functional range that permits the return to some of
280 their basic activities. Therefore, even though the Early group had greater
281 improvements in ROM, both groups were functionally equivalent and consequently,
282 one rehabilitation regime does not seem to be superior to the other on meeting
283 patients' expectations. Moreover, at this stage, patients may consider that a better
284 improvement in pain intensity and quality of sleep is more relevant than having a
285 greater ROM of their shoulders (33, 34).

286 In our study, muscle recruitment was assessed with EMG. Overall, the integral of the
287 5 muscles showed some changes between groups but with high variability, which
288 indicates that the amount of work done by each muscle was similar between groups
289 and time points. However, as mentioned previously, the Conservative group
290 generally showed a reduction in ROM over the tasks. Therefore, although groups
291 may have equivalent muscle recruitment, Early rehabilitation may facilitate an earlier
292 return to activities. The similar amount of work done and EMG amplitude, but with
293 better ROM for the Early group, indicates that their shoulder muscles may be more
294 efficient than the Conservative group, i.e. patients in the Early group needed

295 equivalent muscle activity to perform greater joint excursions (35). This rationale is
296 supported by other studies showing that the amount of power generated by muscles
297 is not associated with an increase in EMG activity (36).

298 We found that the Early group had a higher number of re-tear events. However,
299 three patients from the Conservative group did not attend their scan appointment
300 compared to one from the Early group, thus, additional events in the Conservative
301 group may have been missed. Moreover, the Early group had a greater number of
302 smokers; smoking has been linked to worse outcomes and is considered a risk factor
303 for rotator cuff tears (37). Although a higher number of re-tear events was found for
304 the Early group only two patients were symptomatic. This finding corroborates with
305 other studies reporting that even if a re-tear occurs patients may present significant
306 improvement of their pain and strength (38-40).

307

308 **Limitations**

309 The sample size planned was not achieved and considerable lost to follow-up was
310 observed, therefore, descriptive statistics was preferred as the study would have
311 limited power to determine whether possible non-significant statistical differences
312 between groups were not truly different (41). These limitations may limit the
313 applicability of our findings, it is possible that due to missing values the treatment
314 effects have been underestimated or overestimated (42, 43).

315

316 **CONCLUSION**

317 This study suggests that early rehabilitation is not superior to conservative
318 rehabilitation in improving function and quality of life. There is some indication that

319 an early regime may be beneficial to improve ROM and muscle efficiency; however,
320 the number of re-tears, although mostly asymptomatic (no pain or difficulties with
321 daily activities), were higher for this group.

322

323 **CONFLICT OF INTEREST**

324 The authors have no conflict of interest to report.

325

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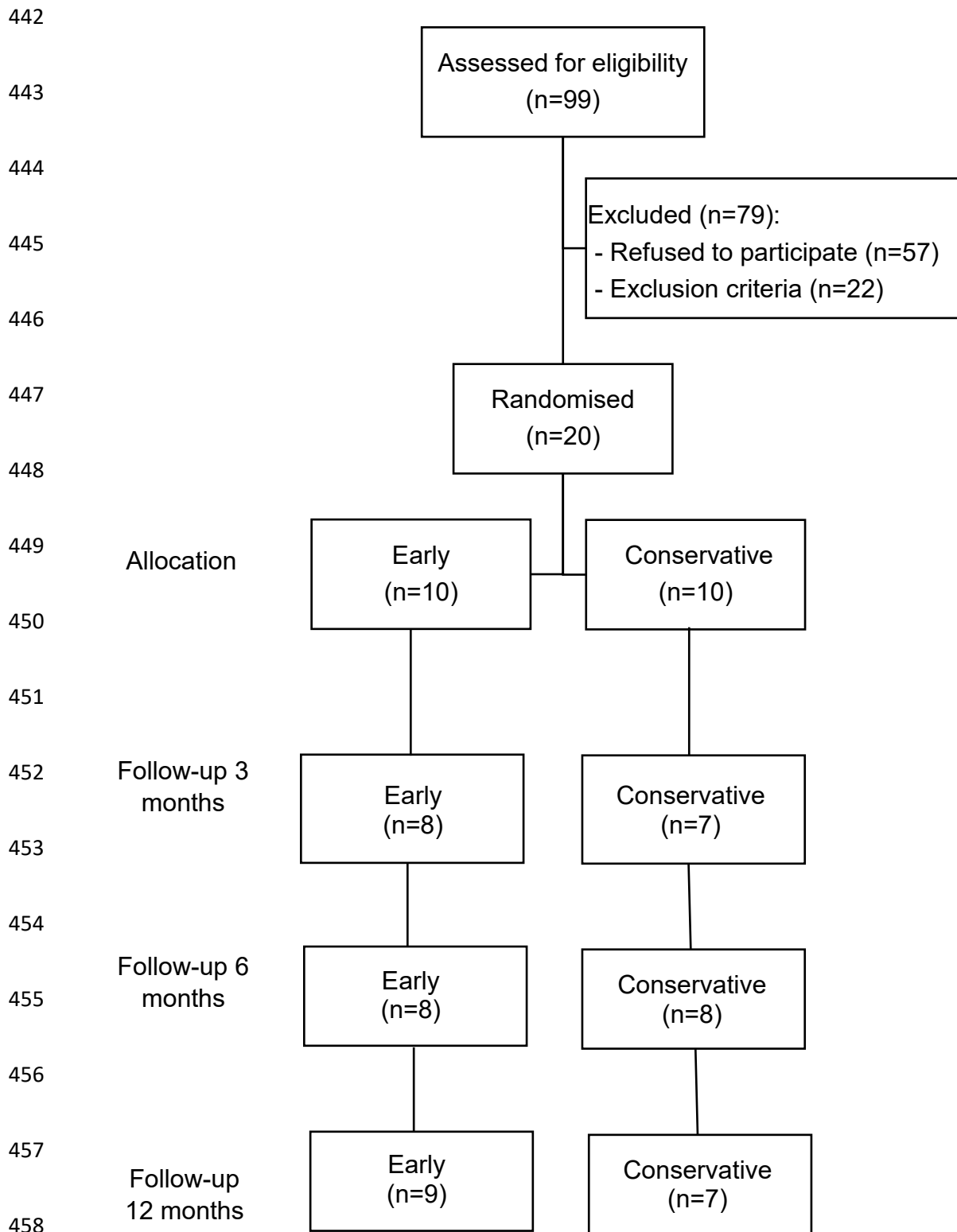
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459 **Figure 1.** Flow diagram of patient recruitment, allocation and analyses.

TABLE 1. Range of motion tasks.

| Task | Description | Movement involved |
|--------------|---|--|
| 1) Combing | Simulated combing movement taking the hand to the back of the head. | Shoulder abduction (coronal plane) combined with external rotation (transverse plane). |
| 2) Abduction | Maximal abduction in the coronal plane. | Abduction only (coronal plane). |
| 3) Carrying | With the arms resting besides the body, the participant took a dumbbell to the furthest point in a horizontal shoulder abduction and adduction movement with the elbow in complete extension. | Horizontal shoulder adduction and abduction (transverse plane). |
| 4) Reaching | The participants tried to reach their opposite back pocket. | Shoulder extension (sagittal plane) combined with internal rotation (transverse plane) |
| 5) Flexion | Maximal forward flexion and extension in the sagittal plane. | Flexion only (sagittal plane) |
| 6) Lifting | With the arm resting beside the body, the participant raised a dumbbell (1 kg) to the highest point above the head. | Flexion only (sagittal plane) |

TABLE 2. Baseline characteristics.

| | Group | |
|---|--|---|
| | Early \bar{x} (SD) | Conservative \bar{x} (SD) |
| Demographics | | |
| Age (years) | 55.2 (8.1) | 58.3 (11.7) |
| Weight (kg) | 85.2 (13.7) | 95.0 (14.2) |
| Height (m) | 1.71 (0.08) | 1.75 (0.08) |
| Sex | | |
| Female (%) | 3 (30) | 3 (30) |
| Male (%) | 7 (70) | 7 (70) |
| Smoker | | |
| Yes (%) | 3 (30) | 0 |
| No (%) | 7 (70) | 10 (100) |
| Diabetes | | |
| Yes (%) | 0 | 0 |
| No (%) | 10 (100) | 10 (100) |
| Side of surgery | | |
| Right (%) | 5 (50) | 7 (30) |
| Left (%) | 5 (50) | 3 (30) |
| Dominance | | |
| Right (%) | 6 (60) | 8 (80) |
| Left (%) | 4 (40) | 2 (20) |
| Time from first symptoms to surgery (months) | 20.0 (13.0) | 9.80 (4.2) |

SD: standard deviation

TABLE 3. Surgery characteristics.

| | Early (n) | Conservative (n) | Total (n) |
|--------------------------------------|----------------------|-----------------------------|----------------------|
| Muscle Affected | | | |
| Supraspinatus | 4 | 3 | 7 |
| Supra+Infra | 4 | 6 | 10 |
| Multiple | 2 | 1 | 3 |
| Total | 10 | 10 | 20 |
| Tear Size | | | |
| Small (< 1 cm) | 2 | 2 | 4 |
| Medium (1-3 cm) | 5 | 6 | 11 |
| Large (3-5 cm) | 3 | 2 | 5 |
| Total | 10 | 10 | 20 |
| Thickness | | | |
| Full | 10 | 9 | 19 |
| Partial | 0 | 1 | 1 |
| Total | 10 | 10 | 20 |
| Fixation method | | | |
| Single-row | 7 | 7 | 14 |
| Double-row | 3 | 3 | 6 |
| Total | 10 | 10 | 20 |
| Additional procedure | | | |
| SAD | 4 | 4 | 8 |
| Multiple | 6 | 6 | 12 |
| Total | 10 | 10 | 20 |
| Previous contralateral repair | | | |
| Yes | 3 | 1 | 4 |
| No | 7 | 9 | 16 |
| Total | 10 | 10 | 20 |

SAD: subacromial decompression.

TABLE 4. Self-reported sling usage.

| Number of weeks w/ sling | Group | | Total |
|--------------------------|--------------|---------------------|-------|
| | Early (n) | Conservative (n) | |
| <1 | 4 | 0 | 4 |
| 2 | 1 | 0 | 1 |
| 3 | 2 | 1 | 3 |
| 4 | 1 | 0 | 1 |
| 5 | 1 | 0 | 1 |
| 6 | 1 | 6 | 7 |
| >6 | 0 | 1 | 1 |
| Total | 10 | 8 | 18 |

TABLE 5. Questionnaires and ROM results.

| Clinical score | Early | | Conservative | |
|-----------------------|---------------|---------------------|---------------------|---------------------|
| | Median | IQR (25-75%) | Median | IQR (25-75%) |
| OSS | | | | |
| Baseline | 27.5 | 15-35.2 | 34.5 | 27.7-40.5 |
| 3 months | 34.5 | 27.5-43.5 | 43 | 40-44 |
| 6 months | 46 | 42.5-48 | 42 | 34.5-47 |
| EQ-5D | | | | |
| Baseline | 10 | 7.7-12.5 | 7.5 | 6-9.7 |
| 3 months | 8.5 | 6-13.7 | 6 | 5-14 |
| 6 months | 6 | 5.5-11 | 6 | 5-12 |
| ROM (°) | | | | |
| Combing | | | | |
| Baseline | 79.2 | 65.5-113.2 | 87.6 | 72.9-96.4 |
| 3 months | 93.3 | 89.4-101.7 | 96.4 | 85.8-107.6 |
| 6 months | 102.0 | 96.6-118.7 | 95.3 | 66.2-103.8 |
| Abduction | | | | |
| Baseline | 63.2 | 29.4-86.4 | 91.9 | 50.2-113.3 |
| 3 months | 92.5 | 81.4-103.5 | 100.1 | 77.7-110.5 |
| 6 months | 115.8 | 94.9-117.3 | 101.8 | 64.2-115.5 |
| Carrying | | | | |
| Baseline | 43.5 | 19.8-64.6 | 64.7 | 43.2-71.6 |
| 3 months | 56.8 | 41.4-85.7 | 57.0 | 42.9-68.3 |
| 6 months | 78.6 | 71.0-87.9 | 80.5 | 43.3-90.2 |
| Reaching | | | | |
| Baseline | 13.6 | 3.14-20.8 | 15.4 | 11.9-20.6 |
| 3 months | 20.3 | 10.0-25.8 | 15.4 | 9.9-21.1 |
| 6 months | 19.5 | -2.2-29.5 | 21.5 | 4.6-22.9 |
| Flexion | | | | |
| Baseline | 105.9 | 66.7-138.9 | 131.0 | 103.4-152.5 |
| 3 months | 130.0 | 125.2-144.9 | 125.1 | 104.1-140.1 |
| 6 months | 152.1 | 147.7-165.9 | 140.0 | 104.3-157.9 |
| Lifting | | | | |
| Baseline | 83.9 | 60.3-107.7 | 129.4 | 87.4-150.4 |
| 3 months | 124.6 | 97.8-141.2 | 119.9 | 82.9-142.4 |
| 6 months | 152.8 | 141.4-154.1 | 139.0 | 83.9-157.1 |

IQR: interquartile range, OSS: Oxford Shoulder Score.

SUPPLEMENTARY FILE A. Early and Conservative protocols.

| Early Rehabilitation | | Conservative Rehabilitation | |
|---|---|---|--|
| Stage 1 On Discharge – 4 weeks | <ul style="list-style-type: none"> • Sling for comfort only • Advice on sling management • Neck, elbow, wrist & hand exercises • Postural awareness and scapula control • Active assisted closed chain ROM in safe zone • Kinetic chain rehabilitation • Thoracic spine ROM' • Avoid combined abduction and external rotation and HBB | Stage 1 On Discharge – 4 weeks | <ul style="list-style-type: none"> • Sling 6 weeks, if abduction wedge then reduce to standard sling at 2-3 weeks • Advice on sling management • Neck, elbow, wrist & hand exercises • Postural awareness and scapula control • Active assisted closed chain ROM in safe zone • Kinetic chain rehabilitation • Thoracic spine ROM • Avoid combined abduction and external rotation and HBB |
| Stage 2 4-6 weeks | <ul style="list-style-type: none"> • Progress from active-assisted to active ROM beyond safe zone (short to long lever). • HBB within limits of pain • Begin cuff control exercises and submaximal (approx. 30%) isometric strengthening in neutral through available range | Stage 2 4-6 weeks | <ul style="list-style-type: none"> • Continue with stage 1 • Light proprioceptive exercises • Remain in sling |
| Stage 3 6-8 weeks | <ul style="list-style-type: none"> • Commence open chain rotator cuff strengthening (short to long lever) • Active short lever kinetic chain rehabilitation of the affected arm progressing to long lever function movement • Begin stretching into combined movement ranges | Stage 3 6-8 weeks | <ul style="list-style-type: none"> • Wean from sling • Progress active-assisted ROM beyond safe zone (short to long lever). • HBB with limits of pain • Begin cuff control exercises and submaximal (approx. 30%) isometric strengthening in neutral through available range |

Continue

SUPPLEMENTARY FILE A (CONTINUE). Early and Conservative protocols.

| Early Rehabilitation | | Conservative Rehabilitation | |
|------------------------------|--|------------------------------------|---|
| Stage 4 8-12 weeks | <ul style="list-style-type: none"> • Progression of full kinetic chain rehabilitation • Progression of stretching • Patient-specific functional/sports training • Begin combined abduction and external rotation | Stage 4 8-12 weeks | <ul style="list-style-type: none"> • Commence open chain rotator cuff strengthening (short to long lever) • Active short lever kinetic chain rehabilitation of the affected arm progressing to long lever function movement • Begin stretching into combined movement ranges |
| Stage 5 12 weeks + | <ul style="list-style-type: none"> • Continue and progress with stage 4 • Manual therapy to address ROM deficits | Stage 5 12 weeks + | <ul style="list-style-type: none"> • Begin combined abduction and external rotation • Full kinetic chain rehabilitation • Patient-specific functional/sports training • Manual therapy to address ROM deficits |
| Milestones | | Milestones | |
| Week 4 | <ul style="list-style-type: none"> • ROM 75%-80% of normal, sling discarded, return to driving as able, return to sedentary work | Week 8 | <ul style="list-style-type: none"> • ROM 75%-80% of normal, sling discarded, return to driving as able, return to sedentary work |
| 3-6 months | <ul style="list-style-type: none"> • Full active ROM, can consider return to non-contact sport. • Return to manual work as guided by surgeon/physiotherapist | 3-6 months | <ul style="list-style-type: none"> • Full active ROM, can consider return to non-contact sport. • Return to manual work as guided by surgeon/physiotherapist |
| 6 months | Unrestricted activity | 6 months | <ul style="list-style-type: none"> • Unrestricted activity |

HBB: hand behind back.

SUPPLEMENTARY FILE B. Muscle activity for the various tasks at baseline, three and six months follow-ups.

| EMG (%) | Early Median (IQR 25-75%) | | | | | Conservative Median (IQR 25-75%) | | | | |
|------------------|------------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------------------|-------------------------|-------------------------|-------------------------|-------------------------|
| | UT | AD | MD | PD | BC | UT | AD | MD | PD | BC |
| Combing | | | | | | | | | | |
| Baseline | 38.1 (17.6- 51.6) | 32.6 (21.1- 47.1) | 38.8 (20.6- 54.5) | 30.8 (17.1- 40.3) | 37.5 (27.3- 51.5) | 31.3 (22.3- 40.5) | 22.3 (10.3- 39.2) | 25.5 (16.8- 42.6) | 17.8 (10.4- 26.1) | 35.8 (20.7- 48.2) |
| 3 months | 39.0 (28.12- 50.9) | 43.6 (35.7- 54.1) | 31.0 (25.0- 37.5) | 13.1 (9.1- 28.7) | 35.7 (25.0- 50.0) | 31.4 (21.8- 45.6) | 36.8 (23.8- 45.7) | 35.9 (32.0- 41.5) | 23.5 (21.4- 32.5) | 36.6 (27.3- 65.6) |
| 6 months | 33.6 (20.0- 45.6) | 41.1 (33.7- 54.8) | 33.5 (28.4- 41.7) | 23.4 (14.4- 34.7) | 30.0 (17.5- 49.6) | 33.0 (23.6- 41.6) | 43.9 (35.0- 52.5) | 30.5 (18.0- 38.1) | 22.8 (16.2- 32.3) | 48.4 (35.6- 59.4) |
| Abduction | | | | | | | | | | |
| Baseline | 45.7 (24.0- 61.7) | 30.1 (16.2- 47.0) | 49.8 (32.5- 75.7) | 54.5 (41.2- 66.0) | 20.0 (15.3- 27.5) | 52.0 (39.0- 72.3) | 46.5 (39.1- 63.8) | 65.6 (56.0- 77.9) | 49.6 (31.5- 73.5) | 30.6 (15.7- 55.6) |
| 3 months | 50.0 (39.6- 73.9) | 48.8 (32.5-64) | 51.0 (44.0- 68.1) | 52.9 (31.2- 64.1) | 16.7 (8.4- 35.7) | 61.8 (45.0- 77.3) | 58.6 (37.3- 71.5) | 69.2 (49.2- 79.2) | 53.6 (34.3- 71.7) | 28.2 (23.5- 35.7) |
| 6 months | 51.8 (42.4- 56.0) | 63.4 (47.0- 69.6) | 64.1 (52.2- 68.6) | 53.5 (44.2- 69.0) | 43.5 (20.1- 51.0) | 58.9 (44.7- 64.5) | 48.4 (42.2- 64.0) | 54.5 (37.9- 68.3) | 57.2 (45.3- 67.0) | 17.7 (15.0- 40.9) |

Continue

SUPPLEMENTARY FILE B (CONTINUED). Muscle activity for the various tasks at baseline, three and six months follow-ups.

| EMG (%) | Early Median (IQR 25-75%) | | | | | Conservative Median (IQR 25-75%) | | | | |
|-----------------|------------------------------|---------------------|---------------------|---------------------|---------------------|-------------------------------------|---------------------|---------------------|---------------------|---------------------|
| | UT | AD | MD | PD | BC | UT | AD | MD | PD | BC |
| Carrying | | | | | | | | | | |
| Baseline | 68.6 (36.5-78.9) | 73.7 (42.4-85.6) | 40.0 (21.4-74.5) | 30.0 (20.1-65.0) | 60.0 (38.6-78.8) | 71.4 (59.3-78.2) | 74.1 (55.5-87.7) | 52.0 (40.6-77.6) | 52.5 (48.0-69.7) | 80.0 (50.5-92.8) |
| 3 months | 66.2 (47.2-81.1) | 61.4 (48.4-84.0) | 78.0 (22.9-88.4) | 60.0 (28.2-81.5) | 73.3 (71.4-77.1) | 73.1 (55.3-80.9) | 79.5 (61.1-90.6) | 80.1 (58.9-90.0) | 77.1 (55.8-83.6) | 76.9 (64.9-83.4) |
| 6 months | 67.7 (44.9-86.3) | 80.1 (63.0-84.8) | 66.9 (50.6-78.7) | 65.8 (55.1-71.0) | 69.8 (62.8-73.7) | 80.7 (63.2-86.9) | 83.6 (57.9-91.3) | 85.7 (57.3-90.2) | 77.1 (69.0-86.6) | 76.1 (51.8-88.4) |
| Reaching | | | | | | | | | | |
| Baseline | 11.2 (5.3-19.0) | 4.9 (1.6-11.0) | 10.2 (6.7-16.8) | 31.5 (17.7-42.5) | 5.9 (0-12.2) | 3.6 (2.6-15.2) | 2.6 (1.2-9.4) | 6.8 (2.5-15.3) | 27.7 (10.9-50.5) | 4.2 (1.5-14.3) |
| 3 months | 8.3 (4.5-21.5) | 14.5 (5.4-18.0) | 8.9 (3.1-13.6) | 34.8 (20-48) | 16.7 (14.2-33.3) | 12.5 (1.8-17.8) | 5.3 (2.2-6.7) | 7.7 (3.9-18.0) | 23.1 (13.7-60) | 15.4 (7.3-30.0) |
| 6 months | 7.0 (3.0-13.5) | 3.5 (1.9-5.3) | 5.7 (3.2-8.9) | 27.8 (23.7-52.7) | 18.4 (6.2-48.9) | 4.6 (2.9-5.4) | 3.7 (2.6-5.8) | 7.1 (3.7-13.9) | 37.1 (17.0-55.6) | 15.5 (8.0-23.9) |

Continue

SUPPLEMENTARY FILE B (CONTINUED). Muscle activity for the various tasks at baseline, three and six months follow-ups.

| EMG (%) | Early Median (IQR 25-75%) | | | | | Conservative Median (IQR 25-75%) | | | | |
|----------------|------------------------------|---------------------|---------------------|---------------------|---------------------|-------------------------------------|---------------------|----------------------|---------------------|---------------------|
| | UT | AD | MD | PD | BC | UT | AD | MD | PD | BC |
| Flexion | | | | | | | | | | |
| Baseline | 40.4 (32.7-45.8) | 45.7 (30.7-54.9) | 44.6 (23.8-52.9) | 45.3 (29.4-53.6) | 32.2 (25.7-52) | 48.4 (37.3-52.6) | 46.7 (36.3-60.2) | 50.8 (40.86-67.5) | 43.6 (30.7-54.9) | 38.2 (30.1-48.3) |
| 3 months | 44.4 (40.4-60) | 49.0 (47.2-61.2) | 42.5 (33.3-50.8) | 51.3 (39.4-72.7) | 33.3 (36.6-45.7) | 51.9 (33.5-64.2) | 46.7 (38.5-66.4) | 56.4 (39.0-64.6) | 49.5 (40.6-52.4) | 40.0 (30.7-56.0) |
| 6 months | 49.5 (37.6-78.2) | 65.7 (55.1-67.4) | 56.5 (48.1-74.8) | 51.1 (38.2-78.2) | 55.6 (26.3-76.8) | 57.1 (49.0-63.4) | 45.6 (37.6-73.2) | 59.7 (43.6-73.6) | 64.5 (56.7-74.0) | 48.9 (35.2-59.2) |
| Lifting | | | | | | | | | | |
| Baseline | 36.1 (31.6-47.1) | 48.6 (37.3-66.6) | 41.3 (25.3-51.7) | 43.3 (27.3-72.0) | 57.5 (35.2-72.5) | 49.5 (42.2-69.1) | 55.7 (48.4-72.7) | 56.6 (39.8-76.2) | 70.0 (39.7-86.6) | 55.0 (47.9-86.6) |
| 3 months | 52.4 (38.9-70.8) | 64.2 (42.1-81.2) | 47.5 (29.7-72.1) | 74.0 (25.0-90.1) | 53.3 (39.2-67.6) | 59.4 (54.8-67.2) | 67.3 (49.4-81.3) | 63.5 (58.3-84.4) | 61.9 (57.5-67.4) | 60.0 (55.9-88.1) |
| 6 months | 61.9 (53.6-72.3) | 77.2 (67.0-89.9) | 67.4 (58.9-75.5) | 62.3 (54.2-85.8) | 80.4 (69.7-90.1) | 55.0 (50.1-72.8) | 48.8 (44.1-66.3) | 53.2 (47.2-78.4) | 72.2 (60.3-81.2) | 60.0 (54.8-72.9) |

AD: anterior deltoid, MD: middle deltoid, PD: posterior deltoid, BC: biceps brachii, IQR: interquartile range.