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Self-reported management among people with rotator cuff related shoulder pain: an observational study

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Title: Self-reported management among people with rotator cuff related shoulder pain: an observational study

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### Keywords: rotator cuff related shoulder pain, tendinopathy

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#### <u>Abstract</u>

<u>Background:</u> Rotator cuff related shoulder pain is the most common cause of shoulder pain. Whilst guidelines recommend conservative management prior to imaging, injection or surgical management, recent findings suggest that patients experience management contrary to guideline recommendations.

<u>Objectives:</u> The aim of this study was to investigate self-reported management among people with rotator cuff related shoulder pain and their beliefs towards management (RCRSP).

Materials and methods: Cross-sectional survey of people with RCRSP recruited when referred for imaging (n=120). Electronic survey about demographic factors, management people had had (including imaging, injections, surgery, exercise, adjuncts), and beliefs about treatments. The frequency of various treatments was reported (separately for each cohort and traumatic onset) as well as the timing of interventions related to first-line care. Results: Most people had tried exercise (99/120, 82.5%) but only one in five people reported exercise was helpful, and one in six reported it was unhelpful or made their symptoms worse. Approximately a third of the cohort reported not receiving activity modification advice (34.2%, 41/120), those that did received inconsistent information. People with both traumatic (imaging 31/43, 72.1%; injections 13/24, 52.2%, surgery 4/19, 21.1%) and atraumatic onset pain (imaging 43/77, 72.1%; injections 31/51, 60.7%, surgery 8/21, 38.1%) had similarly high rates of intervention prior to trialling conservative management. Patient beliefs in regards to management showed trends towards interventionalist care.

<u>Conclusion</u>: Patient reported management of RCRSP is often inconsistent with guideline

recommended management.

Keywords: rotator cuff related shoulder pain, management, shoulder, rotator cuff

tendinopathy, rotator cuff

Journal Pre-proof

1	
2	Introduction
3	Shoulder pain is one of the most common musculoskeletal conditions with an
4	estimated prevalence of 15-30% of the population at any one time <sup>1, 2</sup> . Shoulder pain is
5	the third most common musculoskeletal reason that people consult their general
6	practitioner in Australia, and rotator cuff related shoulder pain (RCRSP) is thought to
7	be the most common presentation accounting for 70% of shoulder pain cases <sup>3</sup> .
8	
9	As an umbrella term, RCRSP encompasses several pathoanatomical terms that are
10	difficult to differentially diagnose, including; subacromial pain syndrome, rotator cuff
11	tendinopathy and symptomatic rotator cuff tears <sup>4, 5</sup> . Similar to other musculoskeletal
12	conditions where definitive structural diagnosis is evasive, the term rotator cuff
13	related shoulder pain (RCRSP), interchangeable with rotator cuff pain syndrome, is
14	more appropriate <sup>5</sup> . As such RCRSP is a clinical presentation diagnosed from patient
15	history and clinical examination, characterized by pain with active and resisted
16	shoulder elevation, external rotation and maintained passive range of shoulder motion
17	4, 5
18	
19	People affected suffer functional limitations during activities of daily living (e.g.
20	dressing, grooming, eating) and RCRSP can lead to substantial societal burden through
21	utilization of healthcare resources and work absenteeism <sup>6</sup> . Recommended first-line
22	treatment includes advice, activity modification and clinician guided exercise, for 6 –
23	12 weeks before considering imaging, injection or surgical opinion <sup>7-9</sup> . Imaging is not

24	required for the diagnosis of RCRSP unless red flag pathology is suspected or no
25	improvement is seen with first-line management after several weeks <sup>7, 8</sup> .
26	
27	Recent surveys indicate that physiotherapists in Australia <sup>10</sup> , the United Kingdom <sup>11</sup> ,
28	Belgium and The Netherlands <sup>12</sup> deliver guideline based interventions for people with
29	RCRSP. A recent Australia-wide survey <sup>13</sup> and a database study of RCRSP management
30	<sup>14</sup> by general practitioners both demonstrate high rates of imaging referral prior to
31	recommended non-invasive first-line care, and to a lesser extent injection and surgical
32	referrals. It is important to understand treatment trends from the patient's
33	perspective to determine whether they report receiving guideline recommended care
34	(for example, trialing exercise prior to imaging) and understand their care experiences.
35	
36	The aim of this study was to investigate self-reported management among people with
37	RCRSP, their beliefs towards management, and the extent to which current
38	management of RCRSP is consistent with guideline recommendations.
39	
40	Methods
41	Study design
42	A cross-sectional survey exploring patient experience of RCRSP management and their
43	beliefs.
44	
45	Recruitment and sampling method

46 Participants were recruited from a radiology centre in Australia specialising in 47 musculoskeletal imaging. Patients from the radiology centre who had imaging for 48 shoulder pain between December 2018 to December 2019 and had consented to be 49 contacted about research, were invited to complete the survey. Stage 1 screening: 50 screening questions were included at the start of the survey, excluding those who had 51 been diagnosed (by a health professional) with other shoulder conditions, including; 52 adhesive capsulitis, dislocation, osteoarthritis and instability. Patients were also 53 excluded if they had had shoulder pain for less than 6 weeks, they were under 18 years 54 of age or their pain was not consistent with RCRSP, ie. their pain was: 1) not primarily 55 in the antero-lateral aspect of the shoulder and upper arm; 2) brought on with cervical movement; 3) not made worse moving the arm overhead <sup>4</sup>. Stage 2 screening: the 56 57 imaging reports were analysed to determine if they included rotator cuff tendon or 58 subacromial bursal pathology as the primary findings. This enabled exclusion of participants with other primary pathologies (fracture, adhesive capsulitis, severe 59 osteoarthritis) <sup>5</sup>. Despite RCRSP having a clinical (not imaging) diagnosis, this step 60 ensured that obvious participant self-reporting inaccuracies (e.g. answering no to 61 62 having trauma when they had a fracture reported on imaging) were identified. Participants were offered a \$20 gift voucher to compensate them for their time. 63

64

#### 65 <u>Response and participation rates</u>

The response rate was calculated (number of people consenting divided by the
number of people invited). The completion rate was the number who completed the
survey divided by the number consenting.

# 70 <u>Survey instrument</u>

71	The survey instrument (see Appendix 1) was constructed using Qualtrics software
72	(Qualtrics, Provo, Utah) consisting of a maximum of 62 questions dependent upon
73	patient answers. Questions about management for RCRSP and patient beliefs were
74	developed by a subgroup of investigators based on clinical practice guidelines <sup>4, 7-9</sup> ,
75	systematic reviews <sup>15, 16</sup> , and qualitative studies among patients with this condition <sup>17,</sup>
76	<sup>18</sup> . The survey was subsequently pilot tested with a convenience sample of 5
77	physiotherapists and 2 patients not involved in the study, to test for clarity and
78	potential online operational issues. Minor subsequent amendments were made
79	following feedback from the pilot test.
80	
81	The survey instrument collected data on participant characteristics including age,
81 82	The survey instrument collected data on participant characteristics including age, gender, co-morbidities, duration of shoulder pain (selected from dropdown menu; 6-
82	gender, co-morbidities, duration of shoulder pain (selected from dropdown menu; 6-
82 83	gender, co-morbidities, duration of shoulder pain (selected from dropdown menu; 6->52 weeks) and if they have had or were planning to have surgery for their shoulder
82 83 84	gender, co-morbidities, duration of shoulder pain (selected from dropdown menu; 6- >52 weeks) and if they have had or were planning to have surgery for their shoulder pain. Participant intervention and management experience (imaging, injections,
82 83 84 85	gender, co-morbidities, duration of shoulder pain (selected from dropdown menu; 6- >52 weeks) and if they have had or were planning to have surgery for their shoulder pain. Participant intervention and management experience (imaging, injections, exercise, adjuncts and surgery) was explored with multiple choice and multiple answer
82 83 84 85 86	gender, co-morbidities, duration of shoulder pain (selected from dropdown menu; 6- >52 weeks) and if they have had or were planning to have surgery for their shoulder pain. Participant intervention and management experience (imaging, injections, exercise, adjuncts and surgery) was explored with multiple choice and multiple answer questions. Where "other" was selected, participants were asked to provide a
82 83 84 85 86 87	gender, co-morbidities, duration of shoulder pain (selected from dropdown menu; 6- >52 weeks) and if they have had or were planning to have surgery for their shoulder pain. Participant intervention and management experience (imaging, injections, exercise, adjuncts and surgery) was explored with multiple choice and multiple answer questions. Where "other" was selected, participants were asked to provide a descriptive answer. Subsequent questions incorporated short answer questions

# 91 <u>Determination of recommended care</u>

92	To establish to what extent participant's management experiences were consistent
93	with recommended management we compared their answers to a summation of
94	relevant guidelines adapted from <sup>4, 7-9</sup> . A summary of recommendations about
95	exercise, education, imaging, surgery and injections in these guidelines is shown in
96	Appendix 2.

97

### 98 <u>Statistical analysis</u>

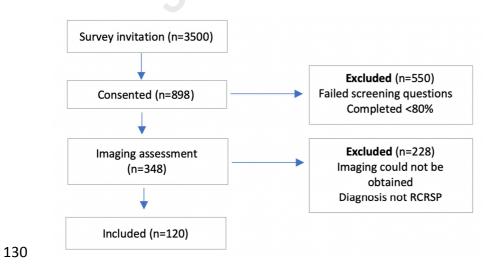
All survey data was exported from Qualtrics to SPSS version 25 (IBM Corp., Armonk, 99 100 NY, USA) data analysis software. Descriptive data included; age, gender, duration of 101 shoulder pain, residential location, co-morbidities and traumatic onset. The frequency 102 of different management options (exercise, imaging, injections, surgery, education and 103 adjunctive treatments) were reported. Patient beliefs related to indications and the 104 appropriateness of imaging and surgery were reported. Data for treatments (except 105 for education) were separated based on whether people did and did not report a 106 traumatic onset as this may influence management (e.g. surgery, imaging). The 107 duration of symptoms and age of people who did (or scheduled to) and did not have 108 surgery was compared (Mann Whitney U [non-parametric distributions]).

109

Every open-ended question response was transcribed verbatim with identifying data removed. Microsoft Excel (Microsoft excel, 2016) was used to manage the survey data and compare responses. A qualitative content analysis approach was employed allowing for large amounts of data to be reduced to concepts that describe the research <sup>19</sup>. Units of meaning were identified by two researchers analysing each

115	response, manually developing initial codes. These codes were then deductively
116	derived into categories informed by the open question's focus following collaborative
117	meetings and discussion between the researchers. Additionally, a frequency count of
118	the content was performed to aid interpretation. Any researcher perspective
119	differences were negotiated, and if necessary, regrouped and recoded until consensus
120	was reached. The final step examined relationships between categories to form
121	themes.
122	
123	<u>Results</u>
124	One hundred and twenty people with RCRSP were eligible and included in analysis.
125	Sixty-four clinicians from all around Melbourne, Australia (including physiotherapists,
126	osteopaths, chiropractors, sports doctors, surgeons) referred the 120 people included
127	into the imaging center. Figure 1 shows the recruitment process. The response rate
128	was 25.7% (898/3500) and the completion rate was 38.8% (348/898).







- 132
- 133 Demographic data are shown for people with and without a traumatic onset (Table 1).
- 134 Median duration of symptoms was higher among people with a traumatic onset. Most
- 135 common co-morbidities were osteoarthritis and metabolic disease (hypertension and
- 136 Hypercholesterolemia). The most common professions consulted included
- 137 physiotherapists, general practitioners, sports physicians and orthopaedic surgeons.
- 138
- 139 Table 1. Respondent demographic and treatment information (<sup>4</sup>mean and standard
- 140 deviation, \*median and Interquartile range, otherwise frequency and %)

	Trauma (n=43)	No trauma (n=77)
SPADI <sup>¥</sup>	na	na
Age, yrs*	50 (38 to 63)	52 (41 to 59)
Symptom duration, wks*	52 (24 to >52)	40 (22 to >52)
Location		
Major urban	30 (69.8)	64 (83.1)
Other urban	4 (9.3)	7 (9.1)
Rural	9 (20.9)	6 (7.8)
Gender		
Male	28 (65.1)	32 (41.6)
Female	15 (34.9)	44 (57.1)
Prefer not to state	0 (0.0)	1 (1.3)
Co-Morbidities		
Osteoarthritis 🛛 🧹	9 (20.9)	16 (20.8)
Rheumatoid arthritis	3 (7.0)	2 (2.6)
Psoriatic arthritis	1 (2.3)	0 (0.0)
Gout	4 (9.3)	3 (3.9)
Inflammatory bowel disease	3 (7.0)	1 (1.3)
Fibromyalgia	0 (0.0)	1 (1.3)
Hypertension	12 (27.9)	18 (23.4)
Hypercholesterolemia	10 (23.3)	10 (13.0)
Diabetes	1 (2.3)	3 (3.9)
Other	8 (18.6)	10 (13.0)
Treating/advising		
practitioners		
General practitioner	32 (74.4)	44 (57.1)
Physiotherapist	30 (69.8)	52 (67.5)
Osteopath	3 (7.0)	9 (11.7)
Chiropractor	2 (4.7)	3 (3.9)

Massage/Myotherapist	9 (20.9)	22 (28.6)
Exercise physiologist	2 (4.7)	6 (6.5)
Sports physician	19 (44.2)	35 (45.5)
Orthopaedic surgeon	24 (55.8)	29 (37.7)
Rheumatologist	1 (2.3)	2 (2.6)
Other medical professional	4 (9.3)	1 (1.3)

### 142 <u>First-line management</u>

143 *Exercise and adjunctive therapy* 

144 Table 2 shows the frequency of exercise and adjunctive treatments. Between 86.0%

145 (37/43, traumatic onset) and 80.5% (62/77, atraumatic onset) of people surveyed had

- 146 tried exercise. Similar proportions of people tried loaded and unloaded shoulder
- 147 exercise. Almost all participants reported trialing at least one adjunctive treatment.
- 148 Medications specified mostly included various anti-inflammatories (steroidal and non-
- 149 steroidal).
- 150

$\sqrt{0}$	Trauma (n=43)	No trauma (n=77)
)	Frequency (%)	Frequency (%)
Exercise treatment	37 (86.0)	62 (80.5)
Type of exercise		
Without resistance	29 (67.4)	45 (58.4)
With resistance	28 (65.1)	47 (61.0)
Shoulder stretching	17 (39.5)	29 (37.7)
Other	8 (18.6)	7 (9.1)
Adjunctive treatment	43 (100.0)	74 (96.1)
Type of other treatment		
Massage/ manipulation	28 (65.1)	49 (63.6)
Taping	16 (37.2)	20 (26.0)
Acupuncture/ needling	14 (32.6)	22 (28.6)
Complete rest	28 (65.1)	35 (45.5)
Electrotherapy	8 (18.6)	9 (11.7)
Hot or cold therapy	10 (23.3)	17 (22.1)
Neck or back treatment	12 (27.9)	11 14.3)

151 *Table 2. Frequency and type of first-line treatment and interventional care* 

Medication	16 (37.2)	32 (41.6)
Imaging	43 (100.0)	77 (100.0)
Type of imaging		
X-ray	24 (55.8)	36 (46.8)
Ultrasound	31 (72.1)	57 (74.0)
MRI	33 (76.7)	56 (72.7)
Injection	24 (55.8)	51 (66.2)
Type of injection		
Steroid	21 (48.8)	45 (58.4)
Platelet rich plasma	1 (2.3)	2 (2.6)
Hydrodilitation	9 (20.9)	12 (15.6)
Other	0 (0.0)	2 (2.6)

153

154 Shoulder rehabilitation exercise duration and reasons for stopping

155 Among the respondents who had tried exercise treatment (82.5%, 99/120), one in

three people (30.3%, 30/99) had stopped exercises at the time of the survey.

157 Participants who had stopped exercise had persevered for a median of 11 weeks (IQR:

158 6 to 16). Reasons for stopping exercise or not doing the prescribed amount (59

159 participants volunteered answers) included; worsening pain (35.6%, 21/59, 'my

shoulder hurts too much to do the exercises' [P 106]); lack of improvement (28.8%,

161 17/59, 'it wasn't improving', [P 71]), lifestyle and personal barriers (20.3%, 12/59,

162 'forgetful, lazy and a lack of time', [P 96]), recovery of symptoms (13.6%, 8/59, 'I

stopped when the pain went away' [P 48]), told to stop by a clinician (8.5%, 5/59,

164 'sports physician told me to stop as the tendon was damaged' [P 108]) and beliefs

about the outcome (5.1%, 3/59, 'didn't think exercise would work' [P 28]).

166

167 Education

168	Two-thirds of people reported receiving activity modification advice (65.8%, 79/120)
169	and three quarters reported receiving education regarding the cause and treatment
170	for their shoulder pain (75.0%, 90/120). Activity modification advice commonly
171	included avoiding overhead tasks (36.7%, 29/79, 'limiting using hands above my head'
172	[P 59]), cessation of specific activity (21.5%, 17/79) including recreational activities
173	('told to stop playing golf' [P 3]) and work ('retire and stop working' [P 77]). Some
174	people described advice to do more exercise (11.4%, 9/79, 'physio gave me exercise to
175	strengthen my shoulder blade' [P 7]), make other activity or life modifications (15.2%,
176	12/79, 'modify my workplace setup' [P 103]), or to 'avoid painful movements' 15.2%
177	(12/79). Regarding education about acceptable pain during exercise, similar
178	proportions were told to avoid any pain (39.4%, 39/99) and that some pain during
179	exercise was acceptable (46.5%, 46/99).
180	
181	Common sources of health information were internet searches (52.5%, 63/120),
182	consulting their general practitioner (91.7%, 110/120) or physiotherapist (49.2%,
183	59/120). Less common responses included consulting a surgeon (24.2%, 29/120) or
184	family and friends (14.2%, 17/120). Some selected 'other' (10%, 12/120) which
185	included information from sports physicians, pharmacists, and chiropractors. Preferred
186	formats for accessing health information included verbal information (73.3%, 88/120),

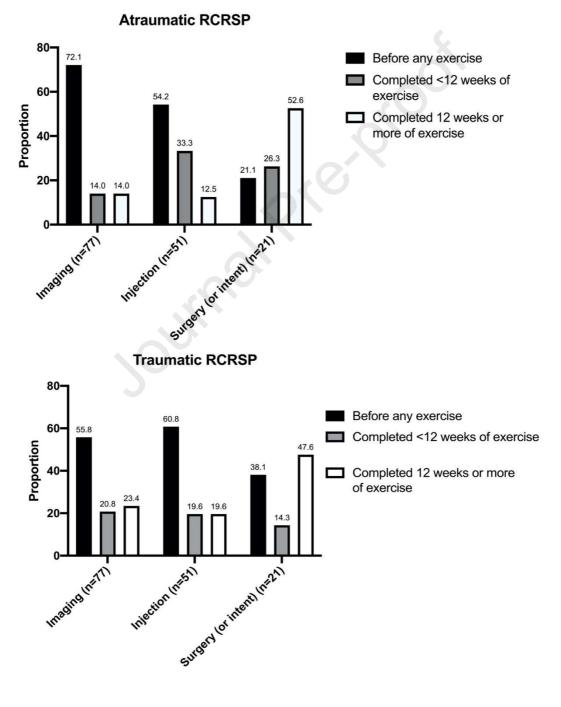
187 followed by online written (55%, 66/120), printed information (52.5%, 63/120),

188 infographics (31.7%, 38/120) and online videos (31.7%, 38/120).

189

190 Interventional management

- 191 Imaging
- 192 Table 2 provides imaging data. Most common imaging modalities were ultrasound and
- 193 MRI. Between 55.8% (43/77, atraumatic onset) to 72.1% (31/43, atraumatic onset) had
- imaging prior to any exercise (Figure 2).



- 197 Figure 2. Timing of imaging, injections and surgery in relation to exercise for people
- 198 who did and did not have a traumatic onset
- 199

200 Injection

201 Table 2 provides injection data for each cohort. Between 55.8% (traumatic onset) and

202 66.2% (atraumatic onset) of people had had an injection, most commonly steroid or

203 hydrodilitation. More than half of respondents (54.2%, 13/24, atraumatic onset;

- 204 60.0%, 31/51, traumatic onset) had an injection prior to any exercise (Figure 2).
- 205
- 206 Surgery
- A third of respondents (33.3%, 40/120) had undergone or were scheduled to have
- surgery (subacromial decompression [SAD] or rotator cuff repairs +/- SAD). Age (Mann
- 209 Whitney U=1463, p=0.447) and duration of symptoms (Mann Whitney U = 1289, p =
- 210 0.283) were not different between people in the imaging cohort who did or did not

211 have surgery. Among people who already had surgery, the median duration since their

- 212 operation was 15 weeks (IQR 7 to 39). More people reporting a traumatic onset had
- surgery (48.8%, 21/43) compared with people with an atraumatic onset (24.7%,
- 214 19/77). Between 21.1% (4/19, traumatic onset) and 38.1% (8/21, atraumatic onset) of
- 215 people had surgery prior to any exercise treatment (Figure 2).
- 216

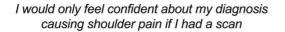
### 217 Participant beliefs related to RCRSP

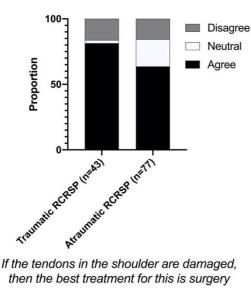
218 Responses to questions about imaging and treatment beliefs are shown in Figure 3.

219 The cohorts were more likely to agree that imaging was necessary for diagnosis (63.6%

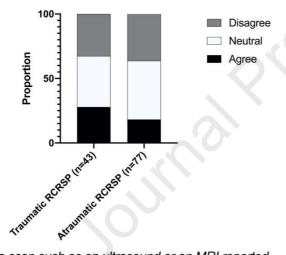
- to 81.4% highest in the traumatic cohort), surgery was the best treatment for
- damaged tendons (16.4% to 27.9% highest in the traumatic cohort), and agree to
- surgery even if they had no symptoms (27.9% to 35.1% highest in the traumatic
- 223 cohort).

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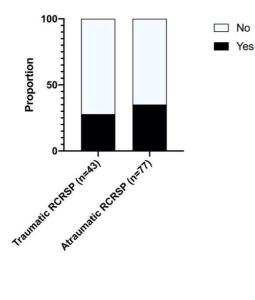




If the tendons in the shoulder are damaged, then the best treatment for this is surgery



If a scan such as an ultrasound or an MRI reported abnormalities in the shoulder tissues, would you be willing to undergo surgery even if you had NO symptoms (pain, stiffness etc.)





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- 225 Figure 3. Beliefs about imaging, treatment for damaged tendons and indication for
- 226 surgery
- 227
- 228 Helpful treatments
- 229 Most of the cohort (94.2%, 113/120) responded to the open question about helpful
- 230 treatments. Treatments that reduced pain were generally perceived as helpful. Many
- respondents reported injections were helpful (30.1%, 34/113, 'cortisone because it
- helped get rid of the pain' [P 60]), followed by exercise (20.4%, 23/113,'exercises were
- the best [P 51]) and adjunctive therapy (16.8%, 19/113, 'massage because I can feel
- the pain diminishing' [P 59]). Only 7.1% (8/113) of respondents felt no treatment they
- had received was helpful ('nothing has been helpful' [P 97]).
- 236

### 237 Unhelpful treatments

- About two-thirds of participants (67.5%, 81/120) responded to the open question
- about unhelpful treatments. Many (42.0%, 34/81) reported they found no treatments
- 240 unhelpful. Some reported injections (13.6%, 11/81, 'cortisone injection in the bursa
- 241 didn't reduce the pain', [P 45]), exercise (12.3%, 10/81) or physiotherapy (11.1%, 9/81)
- to be unhelpful because of 'no improvement' (P 102) or 'more pain after the
- 243 treatment' (P 100).

- 245 Harmful treatments
- Only 14.2% (17/120) responded to the open question about harmful treatments.
- 247 Respondents reported that exercise (52.9%, 9/17), physiotherapy (35.3%, 6/17),

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248	adjunctive therapy (17.7%, 3/17) and osteopathy (5.9%, 1/17) were harmful. This
249	negative experience was based on worsening pain and/or condition. For example, 'the
250	exercises are aggravating my shoulder making it worse' (P 102) and 'I had reduced
251	movement afterwards' (P 28).
252	
253	Discussion
254	The aim of this study was to investigate self-reported management among people with
255	rotator cuff related shoulder pain (RCRSP). We found that most people with RCRSP
256	recruited had tried exercise to manage their shoulder pain but very few reported that
257	exercise was beneficial, and some reported exercise was not helpful or harmful. Advice
258	on activity modification, recommended as part of first line management, was
259	reportedly not received by over a third of participants. There was also a substantial
260	proportion of people who reported having imaging, injections and surgery prior to
261	trying any exercise, even people who did not have a traumatic onset to their RCRSP,
262	contrary to guideline recommended management.
263	
264	First-line care
265	Guidelines recommend that people with RCRSP trial several weeks (6 to 12) of exercise
266	and advice (including activity modification) prior to interventionalist care <sup>7, 8, 20, 21</sup> .
267	Consistent with these recommendations, most people surveyed (82.5%) had tried
268	exercise for their RCRSP. Those who had stopped at the time of the survey had
269	persevered with exercise for a median of 11 weeks. However, only one in five people
270	reported exercise was helpful, and one in six reported exercise was unhelpful or

271	harmful. Among the people who had stopped exercise, common reasons were lack of
272	improvement or worsening pain. Recent evidence <sup>22, 23</sup> suggests that specific
273	parameters (i.e. progressive and resisted exercise) may confer greater benefits for
274	people with RCRSP but robust evidence is required to refute or confirm this.
275	
276	Further, there is debate even among shoulder management 'experts' regarding the
277	optimal exercise parameters for RCRSP <sup>20, 21</sup> . This debate includes whether exercise
278	should be painful or painfree <sup>20</sup> , and this was reflected in the advice about pain during
279	exercise reported by people in our cohort. Variability in exercise approaches and
280	ideology was also reflected in a recent survey of physiotherapist practice in Australia
281	<sup>10</sup> . Clearly there is no accepted exercise approach for RCRSP and this may impact on
282	exercise outcomes.
283	
284	Contrary to guideline recommendations, a third of people reported that they did not

285 receive advice about activity modification. People who did receive activity modification 286 advice reported diverse recommendations that ranged from cessation of specific 287 activities to (e.g. golf or work) to modification based on pain. Further, about 50% of people (see Table 2) were advised to 'completely rest' which is generally not 288 recommended <sup>7, 8, 20, 21</sup>. A recent qualitative study among expert shoulder clinicians 289 290 highlighted the importance of education (including activity modification) to facilitate exercise and self-management for people with RCRSP<sup>24</sup>. In contrast, people with 291 292 RCRSP in this survey appeared to receive inconsistent messages about activity 293 modification and advice that may not be recommended.

### 295 Timing of interventions

296	Guidelines for RCRSP recommend imaging if serious pathology is suspected, or after
297	failure of up to 12 weeks of first-line care that includes exercise <sup>4, 7, 8</sup> . Similarly,
298	interventions such as surgery but also injections are generally recommended only after
299	first-line care has failed <sup>4, 7, 8</sup> . Consistent with these recommendations, 72.1% of people
300	who had trauma had a scan prior to any exercise. However, when removing people
301	with a traumatic onset, 55.8% had imaging prior to exercise care. It is possible that in
302	some instances imaging was indicated by the clinical presentation (e.g. severe
303	unremitting severe pain). We did not assess disease severity because many were
304	surveyed months after they sought treatments. Alternatively, some of the imaging
305	observed in this study may not have been guideline recommended. Prior database and
306	National surveys in Australia have found that between 43.5% and 82% of general
307	practitioners recommend imaging for RCRSP when it is not recommended by
308	guidelines, often on first presentation <sup>13, 14</sup> .
309	
310	Some people also had injections (54.2% to 60.0%) prior to any exercise. This is not

Some people also had injections (54.2% to 60.0%) prior to any exercise. This is not surprising for steroid injection given some guidelines are unclear on their timing (e.g. <sup>4</sup>) whereas others recommend after failure of first-line care (e.g. <sup>7</sup>). This is different to surgical management that is consistently recommended by guidelines only after failure of first-line care for atraumatic RCRSP. In contrast to this recommendation, 38.1% of people with an atraumatic onset had surgery prior to any exercise care. This is potentially concerning given equivalent efficacy of exercise compared to surgery for

317	RCRSP <sup>16</sup> , recent evidence that subacromial decompression (a common surgery for
318	RCRSP) may be no better than placebo <sup>25</sup> , and the risks involved with surgery <sup>25</sup> .
319	Further, there has been doubling in population-adjusted rates of RCRSP related
320	surgeries (subacromial decompression and rotator cuff repair) between 2001 and 2013
321	respectively in Western Australia <sup>26</sup> , and there are similar trends of increasing surgical
322	rates for RCRSP in the UK, US and Denmark <sup>26</sup> .
323	
324	Beliefs about imaging and surgery
325	A majority of people agreed that imaging was necessary for diagnosis (63.6% to
326	81.4%), that surgery was the best treatment for damaged tendons (16.4% to 27.9%),
327	and would agree to surgery if tendons were damaged even if they had no symptoms
328	(27.9% to 35.1%). Among people with musculoskeletal pain, greater disease severity is
329	associated with greater fear-avoidance and catastrophizing beliefs <sup>27</sup> that may also be

related to beliefs about imaging and surgery. Kromer et al. <sup>28</sup> reported a positive

association between fear avoidance belief and disability severity among people with

332 subacromial impingement (another term for RCRSP). An alternative explanation is that

333 beliefs that these interventions are necessary may result in healthcare seeking

behavior <sup>29</sup>. People with RCRSP believe that their pain has a biomedical cause, such as

damage to the tissues <sup>17, 18</sup> and some have expressed this has motivated surgery <sup>17, 30</sup>.

336 It is also possible that because our cohort was recruited from an imaging centre, they

337 were more likely than other people with RCRSP to believe that imaging is necessary for

diagnosis of this condition.

16

#### 340 Strengths and limitations

341 This survey provides a novel patient perspective in relation to self-reported 342 management and beliefs among people with RCRSP. There are several limitations that 343 should be highlighted. First, our data may not be generalisable beyond people being 344 referred to imaging at the imaging center in Melbourne. Although it is one of the 345 largest specialist musculoskeletal imaging facilities in Australia and the 120 people 346 surveyed from this centre were referred by 64 separate multidisciplinary clinicians, this 347 data may be specific to this context. Second, some of the responses may be at risk of 348 recall bias, but this is only likely when participants were asked to think about events in 349 the past such as duration of symptoms. Third, given response rate was less than 25% 350 this may introduce selection bias if respondents are different in some unknown way to 351 the population. Fourth, we acknowledge that the addition of imaging to confirm the 352 diagnosis is not necessary for RCRSP. This was added because of the potential 353 limitations of our online screening for RCRSP and involved excluding cases of obvious 354 participant self-reporting inaccuracies <sup>5</sup>. Fifth, although a very small proportion, we 355 acknowledge that some people (7.5%, 9/120) in our cohort had rheumatoid or 356 psoriatic arthritis which may present an indication for imaging prior to first-line care, 357 and may explain some cases where exercise management was not effective. 358

220

359 Future directions

Future work should seek to confirm the findings from this survey, particularly the apparent poor response to exercise care and high rates of earlier than recommended interventions such as imaging, injections, and surgery. These interventions are costly,

- 363 and some may be avoided with recommended first-line care. Exploration of the
- 364 reasons that drive poor response to exercise care and potential alternative treatments
- 365 (including different exercise approaches) is also warranted.
- 366
- 367

# <u>Conclusion</u>

- 368 In our cohort most people with RCRSP had tried exercise and there were
- 369 heterogeneous opinions about efficacy . Some receive no activity modification advice
- and advice provided is inconsistent. Regardless of traumatic onset, some people have
- 371 interventions such as imaging, injections, and surgery prior to trying exercise.
- 372
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Highlights:

- Patient opinions on exercise treatment are heterogenous •
- Many patients have imaging, injection or surgery earlier than recommended •
- Education received is inconsistent with recommended care •
- Patient beliefs regarding intervention and diagnosis are varied •

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