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# Clinical Rehabilitation

## Effectiveness of Progressive and Resisted and Non-Progressive or Non-Resisted Exercise in Rotator Cuff Related Shoulder Pain: A Systematic Review and Meta-analysis of Randomised Controlled Trials

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Keywords:	Rotator Cuff Related Pain, Tendinopathy, Sub-acromial impingement, Resistance Exercise, Shoulder pain

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3 **Objective:** Synthesise evidence regarding effectiveness of progressive and resisted or non-  
4 progressive and non-resisted exercise compared with placebo or no treatment, in rotator cuff  
5 related pain.  
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9  
10 **Data sources:** English articles, searched in Cochrane CENTRAL, MEDLINE, EMBASE and  
11 CINAHL databases up until May 19, 2020.  
12  
13

14 **Methods:** Randomised controlled trials in people with rotator cuff related pain comparing  
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16 placebo or no treatment were included. Data extracted independently by two authors. Risk of  
17 bias appraised with the Cochrane Collaboration tool.  
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23 **Results:** Seven trials (468 participants) were included, four trials (271 participants) included  
24 progressive and resisted exercise and three trials (197 participants) included non-progressive  
25 or non-resisted exercise. There was uncertain clinical benefit for composite pain and function  
26 (15 point difference, 95% CI 9 to 21, 100 point scale) and pain outcomes at >6 weeks to 6  
27 months with progressive and resisted exercise compared to placebo or no treatment  
28 (comparison 1). For non-progressive or non-resisted exercise there was no significant benefit  
29 for composite pain and function (4 point difference, 95% CI -2 to 9, 100 point scale) and pain  
30 outcomes at >6 weeks to 6 months compared to placebo or no treatment (comparison 2).  
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42 Adverse events were seldom reported and mild.  
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44 **Conclusions:** There is uncertain clinical benefit for all outcomes with progressive and  
45 resisted exercise and no significant benefit with non-progressive and non-resisted exercise,  
46 versus no treatment or placebo at >6 weeks to 6 months. Findings are low certainty and  
47 should be interpreted with caution.  
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**Effectiveness of Progressive and Resisted and Non-Progressive or Non-Resisted Exercise in Rotator Cuff Related Shoulder Pain: A Systematic Review and Meta-analysis of Randomised Controlled Trials**

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**Conclusions:** There is uncertain clinical benefit for all outcomes with progressive and  
resisted exercise and no significant benefit with non-progressive and non-resisted exercise,  
versus no treatment or placebo at >6 weeks to 6 months. Findings are low certainty and  
should be interpreted with caution.

**Key Words:** *Rotator cuff related pain, rotator cuff tendinopathy, sub-acromial impingement,  
resistance exercise, progressive exercise, resistance training, shoulder pain*

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2  
3 1 Shoulder pain affects 15-30% of the population and is the third most common  
4  
5 2 musculoskeletal condition presenting to primary care.<sup>1,2</sup> Rotator cuff related pain is the most  
6  
7 3 common cause of shoulder pain, accounting for up to 80% of all cases.<sup>3</sup> Up to 50% of people  
8  
9 4 affected experience pain and disability beyond 12 months despite conservative treatment.<sup>3</sup>  
10  
11 5 Clinical guidelines recommend clinician-guided exercise for rotator cuff related pain.<sup>4,5</sup>  
12  
13 6 However, an updated Cochrane review found only one high quality randomised controlled  
14  
15 7 trial (120 participants) out of 60 (3,620 participants) that compared exercise and manual  
16  
17 8 therapy for rotator cuff related shoulder pain to placebo, with no difference in clinical  
18  
19 9 outcomes at 22 weeks.<sup>6,7</sup> Two trials (89 participants) of very low quality found similar results  
20  
21 10 in comparison to no treatment.<sup>8,9</sup> Other systematic reviews that compare exercise with or  
22  
23 11 without manual therapy to all no-exercise controls found very low quality evidence that  
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25 12 exercise was beneficial for pain.<sup>10-12</sup>  
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33 14 Resistance exercise has previously been shown to be of benefit for knee osteoarthritis,<sup>13</sup> back  
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35 15 pain<sup>14</sup> and is a widely used and recommended treatment modality.<sup>15,16</sup> Resistance exercise  
36  
37 16 includes movement against body weight, gravity or by adding load with weight or elastic  
38  
39 17 resistance band (Theraband). Exercise is considered progressive and resisted when the  
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41 18 amount of load applied is increased over time as the body adapts to the demand that it is  
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43 19 placed under.  
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47 20  
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49 21 Prior reviews of rotator cuff related pain, including Page et al.<sup>7</sup> have considered all exercise  
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51 22 interventions as equal, without consideration of how the exercise was prescribed (i.e. if there  
52  
53 23 was added resistance that was progressed over time or if resistance was not applied or not  
54  
55 24 progressed).<sup>7,17-22</sup> Therefore, it remains unclear whether exercise that is resisted and  
56  
57 25 progressed is more beneficial than placebo or control in treating rotator cuff related pain.  
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3 26 Likewise, it is not clear if exercise that is not resisted or not progressed is more effective than  
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5 27 placebo or control in managing rotator cuff related pain. This remains an unanswered  
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7  
8 28 important clinical question in determining the most effective type of exercise intervention for  
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10 29 rotator cuff related pain. In a previous narrative review, studies that included progressively  
11  
12 30 loaded exercise and greater dose appeared to report superior outcomes compared to various  
13  
14 31 interventions including no treatment, shockwave therapy and therapeutic ultrasound.<sup>23</sup> No  
15  
16 32 systematic reviews have distinguished between type of exercise for rotator cuff related pain.  
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21 34 This systematic review aims to investigate the effectiveness of progressive and resisted  
22  
23 35 exercise and the effectiveness of non-progressive and non-resisted exercise; compared to  
24  
25 36 placebo or no treatment in the management of rotator cuff related pain.  
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## 30 38 **Methods**

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33 39 The methods in this review were similar to methods in the recently updated Cochrane review  
34  
35 40 of manual therapy and exercise interventions for rotator cuff related pain.<sup>7</sup> This review was  
36  
37 41 submitted May 30<sup>th</sup> 2019 to the International Prospective Register of Systematic Reviews  
38  
39 42 (PROSPERO; reference CRD42019136513) and registered on August 2<sup>nd</sup> 2019.  
40  
41  
42 43

43  
44 44 Randomised controlled trials written in any language were included regardless of type.

45  
46 45 Participants over 16 years old with a primary complaint of rotator cuff related pain of any  
47  
48 46 duration were included. Diagnostic criteria included anterolateral shoulder pain (with or  
49  
50 47 without referral into the arm), preserved passive range of shoulder movement, shoulder pain  
51  
52 48 with movement or resisted shoulder muscle contraction (e.g. empty/full can tests).  
53  
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56 49 Randomised controlled trials using synonyms for rotator cuff related pain (e.g. subacromial  
57  
58 50 impingement syndrome, rotator cuff tendinopathy, rotator cuff tendinitis) were included.  
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5 52 Exclusion criteria included participants with a full thickness tear involving more than one  
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7  
8 53 rotator cuff tendon (based on clinical presentation or imaging findings, recognizing that some  
9  
10 54 included participants may have undetected rotator cuff tears), gross shoulder instability,  
11  
12 55 significant shoulder trauma, previous shoulder surgery, shoulder osteoarthritis, hemiplegic  
13  
14 56 shoulders, a complex myofascial neck/shoulder/arm pain condition, suspected cervical spine  
15  
16  
17 57 referred pain, or a systemic inflammatory condition (e.g. rheumatoid arthritis), unless data  
18  
19 58 were presented separately for our population of interest.  
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23  
24 60 In contrast to the review by Page et al. where all exercise was considered equal,<sup>7</sup> we  
25  
26 61 considered the type of exercise intervention. We included randomised trials with the  
27  
28 62 following comparisons: 1) Progressive and resisted exercise versus placebo or no treatment;  
29  
30 63 2) Non-progressive or non-resisted exercise versus placebo or no treatment. Trials using  
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32 64 progressive and resisted exercise were eligible if they explicitly stated within the intervention  
33  
34 65 description how resistance was applied (e.g. theraband, weight), and that there was  
35  
36 66 progression of the volume or the load, or both, over time. Trials using non-progressive or  
37  
38 67 non-resisted exercise were eligible if they explicitly stated that load was not applied or not  
39  
40 68 progressed, or both. Non-progressive or non-resisted exercise could include active movement  
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42 69 exercise against gravity or with gravity removed, and trials that progressed range of motion  
43  
44 70 or the type of exercise (e.g. basic static to through range) were excluded if resistance within  
45  
46 71 each exercise was progressed. The comparator group could include placebo interventions  
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48 72 (e.g. detuned laser provided as an alternative to ‘physical therapy’) and no treatment. We did  
49  
50 73 not exclude randomised trials that included cointerventions (e.g. manual therapy, advice) as  
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52 74 part of the intervention or comparator group, but we planned secondary analyses to determine  
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54 75 the effect of these interventions.  
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77 An a priori decision was made to include composite pain and function shoulder outcomes  
78 and/or pain outcomes given these are patient-important and considered a core outcome domain  
79 by shoulder experts.<sup>24</sup> Composite pain and function based on standardised questionnaire was  
80 the primary outcome of interest. When multiple scales were reported, data were extracted  
81 according to the following hierarchy;<sup>7</sup> 1) Shoulder Pain and Disability Index (SPADI);<sup>25</sup> 2)  
82 Croft Shoulder Disability Questionnaire;<sup>26</sup> 3) Constant-Murley Score;<sup>27</sup> 4) any other shoulder-  
83 specific function scale. Secondary outcomes of interest included overall pain, pain with  
84 activity, and pain at rest (measured on VAS, numerical or categorical rating scale). If overall  
85 pain was not reported, we substituted another pain measure for that analysis in the following  
86 hierarchy, unspecified, rest pain or other pain. Number of participants experiencing an adverse  
87 event (as defined by the authors) were also extracted.

88

89 All outcomes times were extracted and grouped to identify short (up to 6 weeks), medium  
90 (longer than 6 weeks and up to 6 months) and long-term (longer than 6 months) effects of the  
91 exercise interventions. The primary time range was longer than 6 weeks and up to 6 months  
92 given this is sufficient time for exercise interventions to have an effect.<sup>28</sup> The longest time  
93 point was extracted when multiple time points were reported within the above defined  
94 periods.

95

96 Randomised controlled trials published up to March 2015 were identified from the updated  
97 Cochrane review of manual therapy and exercise interventions for rotator cuff related pain.<sup>7</sup>  
98 The search from the Page et al<sup>7</sup> 2016 review was repeated excluding search terms for  
99 adhesive capsulitis and manual therapy given these were not relevant for our review  
100 (Appendix 1).

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5 102 The search included the following databases: Cochrane Central Register of Controlled Trials  
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7 103 (CENTRAL; *The Cochrane Library* May 2020, Issue 5), Ovid MEDLINE (March 2015 to  
8  
9 104 May 2020), Ovid EMBASE (March 2015 to May 2020), and CINAHL Plus (EBSCO, March  
10  
11 105 2015 to May 2020). Gray literature was searched via OpenGray and ongoing trials via the  
12  
13 106 National Institute of Health (clinicaltrials.gov) and the World Health Organisation  
14  
15 107 (<http://www.who.int/ictrp>) International Clinical Trials Registries.  
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21 109 Titles and abstracts were screened independently by two authors (PM, GS), and the full text  
22  
23 110 was reviewed by the same author independently if required to determine eligibility.  
24

25  
26 111 Consensus on discrepancies was reached via discussion, otherwise a third author (CL or JN)  
27  
28 112 was available to assist if consensus was not reached.  
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33 114 Data were extracted independently by two authors (PM, GS) to a standard data extraction  
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35 115 form, and discrepancies were resolved via discussion, or a third author (CL) was consulted to  
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37 116 adjudicate when required. Authors were emailed twice over four weeks to retrieve missing  
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39 117 data. All data extraction was checked by a third author (JN). Missing SDs were calculated  
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41 118 from standard errors (SEs), 95% CIs or P values, otherwise we planned to impute SDs from  
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43 119 other trials in the meta-analyses (median of available SDs) if no measures of variation were  
44  
45 120 reported.<sup>29</sup> For the primary outcome of function and pain we calculated the median of  
46  
47 121 available SDs in three studies following the process described above.<sup>8, 30, 31</sup> For activity pain  
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49 122 and rest pain we calculated SDs as above for two studies.<sup>30, 31</sup> For Giombini et al,<sup>32</sup> the  
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51 123 reported measure of variability was much lower (by a factor of 4) than all other studies and  
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53 124 we assumed it was a standard error (this could not be confirmed by the authors at the time of  
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55 125 publication).  
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127 The data extracted from each randomised trial are shown below:

- 128 • Trial characteristics (author name, year published, trial type [e.g. parallel, crossover],  
129 country, funding source, trial registration [with number]).
- 130 • Participant characteristics (age, gender, duration of symptoms, inclusion/exclusion  
131 criteria).
- 132 • Exercise intervention characteristics (exercises, sets, repetitions, frequency, duration,  
133 how exercises was loaded and progressed, co-interventions, adherence measures,  
134 advice about pain).
- 135 • Comparator intervention characteristics (details of placebo or no treatment).
- 136 • Outcome instrument used and timing.
- 137 • Outcome data were extracted according to the following a priori decision rules to  
138 minimise bias: 1) preference to data that was adjusted for baseline values (e.g.  
139 ANCOVA) and intention-to-treat; 2) follow-up rather than change scores extracted  
140 where possible; 3) and data extracted for only the first period of cross-over trials.

141

142 The Cochrane Collaboration's tool was used to assess risk of bias.<sup>33</sup> The results of the risk of  
143 bias assessment for all included trials were extracted from Page et al<sup>7</sup> as no new studies were  
144 identified in our updated search.

145

146 Dichotomous (relative risk [RR] and 95% confidence intervals [CI]) and continuous  
147 measures (mean difference [MD] and 95% CI) of treatment effect were calculated using  
148 Review Manager 5.3 (RevMan). For continuous outcomes, MD was used after scores for the  
149 Shoulder Rating Questionnaire (17-100) and the Neer Shoulder Score (10-100) were  
150 transformed to a 0-100 scale (0 is best).<sup>34</sup> We reversed the direction of the Constant-Murley,

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3 151 Neer and Shoulder Rating Questionnaire scores so that zero was best in all scales (to match  
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5 152 the SPADI, the highest outcome in our hierarchy).<sup>34</sup> Minimal clinically important difference  
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7 153 was assumed to be 10 on a 100-point scale for composite pain and function outcome,<sup>35-37</sup> and  
8  
9 154 15 points on a 100-point scale for pain outcome.<sup>38</sup>

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14 156 Data were pooled in meta-analyses using Review Manager 5.3<sup>39</sup> if participants, interventions  
15  
16 157 and outcome measures were similar. A random effects models was chosen a priori given  
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18 158 heterogeneity is likely. Where data could not be pooled, we summarized findings  
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20 159 descriptively and reported effect estimates and 95% confidence intervals.  
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26 162 Assessment of statistical heterogeneity was based on Chi-square statistic and the  $I^2$  statistic.<sup>40</sup>  
27  
28 163 For the  $I^2$  statistic, we interpreted statistical heterogeneity as not important (<50%), moderate  
29  
30 164 (50-75%) and high (>75%).<sup>40</sup>  
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35  
36 166 A sensitivity analysis was planned to investigate the influence of high risk of bias studies on  
37  
38 167 treatment outcomes. Subgroup analysis was planned a priori to investigate 1) the effect of  
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40 168 exercise interventions alone versus exercise interventions including co-interventions, and 2)  
41  
42 169 the effects of exercise setting (e.g. clinician-supervised or home exercise).  
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48 171 We prepared summary of findings tables for both comparisons and graded the certainty of  
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50 172 evidence using a GRADE approach [Grades of Recommendation, Assessment, Development  
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52 173 and Evaluation Working Group]<sup>41</sup>. Level of evidence was downgraded (to moderate, low or  
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54 174 very low) for each of the following: risk of bias, inconsistency of results, indirectness,  
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56 175 imprecision, and publication bias.  
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3 176 For dichotomous outcomes (e.g. adverse events), absolute risk difference was expressed as a  
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5 177 percentage and relative percent change was the risk ratio – 1 expressed as a percentage. The  
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7 178 NNT<sub>H</sub> was calculated using the event rate in the control group and risk ratio.<sup>42</sup> For  
9  
10 179 continuous outcomes (e.g. composite pain and function), absolute risk difference was the  
11  
12 180 mean difference in outcome between the intervention and comparator group expressed as a  
13  
14 181 percentage. The relative percent change was the mean intervention group difference (absolute  
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16 182 change) divided by the mean at baseline in the control group, expressed as a percentage.  
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## 21 184 **Results**

### 23 185 *Study selection*

24 186 Nine eligible trials were identified from the Page et al<sup>7</sup> 2016 systematic review. One trial was  
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26 187 excluded because the control group received a standard exercise instruction pamphlet in  
27  
28 188 addition to education and therefore is not a true comparison to no treatment or placebo.<sup>9</sup> The  
29  
30 189 other excluded trial included physiotherapy treatments as control (heat packs, transcutaneous  
31  
32 190 electrical nerve stimulation and ultrasound).<sup>43</sup> No eligible trials were identified after the  
33  
34 191 updated search (Figure 1), and screening reference lists of included studies, gray literature  
35  
36 192 and clinical trials registries. We obtained data from the authors (July 2017) of two trials<sup>6, 31</sup>  
37  
38 193 that allowed us to confirm eligibility (Appendix 2). We acknowledge that within the trial  
39  
40 194 protocol for the randomised trial by Bennell et al.<sup>44</sup> there was progression of exercise through  
41  
42 195 range (e.g. external rotation in side lying, to standing in neutral, to elbow supported at 90°  
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44 196 abduction, to unsupported elbow at 45° abduction). However, there was not progression of  
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46 197 load or volume as specified in our eligibility criteria.  
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54 199 **Figure 1: Preferred Reporting Items for Systematic Reviews and Meta-Analyses 2009**  
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58 200 **flow diagram for literature search results.**  
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60

201

202 *Trial characteristics*

203 Trial and participant characteristics are shown in Table 1. Seven parallel group randomised  
204 trials (468 participants) were included. Multiple diagnostic labels were used for rotator cuff  
205 related pain but there was overlapping and consistent diagnostic criteria between trials (Table  
206 1). Mean age was between 47 and 61 years, but lower in Giombini et al<sup>32</sup> (26 and 29 years).  
207 Men were more prevalent (54-100%) aside from Lombardi et al<sup>45</sup> (24% men). Baseline  
208 composite pain and function was comparable (33 to 50, 0-100 point scale where 0 is best).

209

210 Description of the interventions and comparators are shown in Table 2. Three trials compared  
211 progressive and resisted exercise with no treatment.<sup>8, 45, 46</sup> One trial compared progressive and  
212 resisted exercise with placebo (detuned laser).<sup>30</sup> All progressive and resisted exercise  
213 interventions included scapular and rotator cuff strengthening and progressed the load  
214 (intensity) with theraband or weights.<sup>8, 30, 45, 46</sup> Prescribed sets and repetitions varied, and only  
215 one study specified exercise intensity (50%-70% of the 6RM).<sup>45</sup> Three studies included co-  
216 interventions. Brox et al<sup>30</sup> included education about pathology, pain and ergonomics, Dickens  
217 et al<sup>8</sup> included manual therapy, postural advice, taping with or without electrotherapy and  
218 Ludwig et al<sup>46</sup> included shoulder stretching.

219

220 All three trials (four comparisons) of the non-progressive and non-resisted interventions were  
221 compared with placebo (two ultrasound<sup>6, 32</sup> and one brace<sup>31</sup>). One non-progressive and non-  
222 resisted exercise trial<sup>6</sup> targeted scapular and rotator cuff strengthening similar to progressive  
223 and resisted trials. Whereas, Walther et al<sup>31</sup> assessed static exercise and neck stretching (all  
224 other trials evaluate dynamic exercise) and Giombini et al<sup>32</sup> assessed pendular exercise and  
225 shoulder stretching. Load was applied without progression with theraband or 1kg weight in

226 two trials<sup>6, 31</sup> and no load applied in the remaining trial.<sup>32</sup> There were only co-interventions in  
 227 Bennell et al<sup>6</sup> including manual therapy and behavioural strategies (e.g. goal setting, positive  
 228 reinforcement).

229

230 **Table 1: Recruitment and retention, participant characteristics and eligibility criteria**

231 **Table 2: Exercise characteristics and outcome**

232

233 *Risk of bias in included trials*

234 Risk of bias assessment was extracted from Page et al<sup>7</sup> (summarised in Figure 2) as all our  
 235 studies were also in this Cochrane review from 2016. Among trials comparing progressive  
 236 and resisted exercise or non-progressive and non resisted exercise to placebo or no treatment,  
 237 six (86%) were rated high risk of performance and detection bias.<sup>8, 30-32, 45, 46</sup> Further, two  
 238 trials (29%) were at high risk of reporting bias<sup>31, 32</sup> (uncertain risk in a further four [57%]),<sup>8,</sup>  
 239 <sup>30, 45, 46</sup> one trial (14%) was at high risk of attrition bias,<sup>30</sup> and there was uncertain risk of  
 240 selection bias in five (71%) trials.<sup>8, 30-32, 46</sup>

241

242 **Figure 2: Risk of bias summary: judgements about each risk of bias item for each**  
 243 **included study.**

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245 **Effects of interventions**

246 **Comparison 1: Progressive and resisted exercise versus placebo or no treatment**

247 There were four trials with 271 participants that reported composite pain and function,<sup>8, 30, 45,</sup>  
 248 <sup>46</sup> three trials<sup>30, 45, 46</sup> (197 participants) reported overall pain and two trials<sup>30, 45</sup> (135  
 249 participants) reported activity pain and rest pain at >6 weeks to 6 months. No trials reported  
 250 adverse events. All outcomes were downgraded twice (low certainty) for risk of bias

251 (performance, detection, reporting and selection).<sup>8, 30, 46</sup>

252

253 There was uncertain clinical benefit (low certainty evidence) in all outcomes with progressive

254 and resisted exercise. For composite pain and function there was a 15.0 point difference (95%

255 CI 8.6 to 21.4; 4 trials, 271 participants, Figure 3, Table 3).<sup>8, 30, 45, 46</sup> For overall pain there

256 was a 10.7 point difference (95% CI 5.6 to 15.7; 3 trials, 197 participants, Figure 3, Table

257 3).<sup>30, 45, 46</sup> For pain with activity there was a 24.7 point difference (95% CI 13.9 to 35.5; 2

258 trials, 135 participants, Figure 3, Table 3).<sup>30, 45</sup> For pain at rest there was a 22.8 point

259 difference (95% CI 14.0 to 31.6; 2 trials, 135 participants, Figure 3, Table 3).<sup>30, 45</sup>

260

261 *Adverse events*

262 Unclear as no trials of progressive and resisted exercise reported whether adverse events

263 occurred.

264

265 **Comparison 2: Non-progressive or non-resisted exercise versus placebo and no**

266 **treatment**

267 Three trials (197 participants) reported composite pain and function, overall pain and pain

268 with activity at >6 weeks to 6 months.<sup>6, 31, 32</sup> Two trials (174 participants) reported pain at rest

269 at >6 weeks to 6 months.<sup>6, 31</sup> Two trials (83 participants) reported composite pain and

270 function up to 6 weeks. One trial reported adverse events.<sup>6</sup> Overall evidence was low

271 certainty for all outcomes (downgraded twice for risk of bias [performance, detection,

272 reporting and selection]).

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274 There was low certainty evidence of no benefit in all outcomes with non-progressive or non-

275 resisted exercise. For function there was a 3.6 point difference (95% CI -2.2 to 9.4; 3 trials, 4



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3 276 comparisons, 197 participants, Figure 4, Table 4).<sup>6, 31, 32</sup> For overall pain there was a 3.3 point  
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5 277 difference (95% CI -1.5 to 8.1; 3 trials, 4 comparisons, 197 participants, Figure 4, Table 4).<sup>6,</sup>  
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8 278 <sup>31, 32</sup> For pain with activity there was a 3.4 point difference (95% CI -5.0 to 11.8; 3 trials, 4  
9  
10 279 comparisons, 197 participants, Figure 4, Table 4). <sup>6, 31, 32</sup> For pain at rest there was a 1.8 point  
11  
12 280 difference (95% CI -6.6 to 10.2; 2 trials, 3 comparisons, 174 participants, Figure 4, Table 4).<sup>6,</sup>

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15 281 <sup>31</sup>

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### 18 19 283 *Adverse events*

20  
21 284 One trial reported a short term increase in pain that was greater following exercise  
22  
23 285 intervention (17/55) compared with placebo (5/61) (RR 4.02, 95% CI 1.56 to 10.37).<sup>6</sup>

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### 27 28 287 **Secondary analysis**

29  
30 288 Subgroup analysis for co-interventions were similar to the overall effect for all outcomes  
31  
32 289 (composite pain and function, overall pain, activity pain and rest pain) in both comparisons.  
33  
34 290 One exception was composite pain and function in comparison 1, where there was benefit of  
35  
36 291 uncertain clinical importance among the two trials that did not include co-interventions<sup>25,26</sup>  
37  
38 292 and clinically important improvement for the two trials<sup>8, 30</sup> that did. When subgrouping for  
39  
40 293 supervised versus unsupervised exercise, comparison 1 pain and function outcome showed  
41  
42 294 clinically important benefit in three trials<sup>10,28,42</sup> that utilised supervised exercise but uncertain  
43  
44 295 clinical benefit in one trial<sup>46</sup> that utilised unsupervised exercise. All other findings were  
45  
46 296 identical to the overall effect for all outcomes (composite pain and function and overall pain).  
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48  
49 297 There was insufficient data to perform other planned secondary analyses.

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### 53 54 299 **Discussion**

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3 300 This review identified seven randomised trials (eight comparisons, 468 participants) that  
4  
5 301 compared exercise (progressive and resisted or not) to placebo or no treatment among people  
6  
7 302 with rotator cuff related shoulder pain. Four trials<sup>8, 30, 45, 46</sup> compared progressive and resisted  
8  
9 303 exercise to no treatment or placebo (comparison 1) and three trials<sup>6, 31, 32</sup> compared non-  
10  
11 304 progressive or non-resisted exercise to placebo (comparison 2). For progressive and resisted  
12  
13 305 exercise, low certainty evidence indicates benefit of uncertain clinical importance in  
14  
15 306 composite pain and function, overall pain outcomes, pain with activity and pain at rest at >6  
16  
17 307 weeks to 6 months compared to placebo or no treatment. For non-progressive or non-resisted  
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19 308 exercise, low certainty evidence indicates no benefit for composite pain and function, overall  
20  
21 309 pain, pain with activity and pain at rest at >6 weeks to 6 months compared to placebo or no  
22  
23 310 treatment (comparison 2). Adverse events were reported in only one study and included only  
24  
25 311 mild differences in short term pain after exercise. The trials were heterogenous (e.g. whether  
26  
27 312 exercise was supervised, co-interventions used, comparators) so these findings should be  
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29 313 viewed as preliminary and hypothesis generating.  
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315 Three (75%)<sup>8, 30, 45</sup> of the progressive and resisted trials but only one (25%)<sup>31</sup> of the non-  
316 progressive and non-resisted trials utilised supervised exercise interventions. Three out of  
317 four (75%) progressive and resisted interventions included co-interventions in the exercise  
318 arm (e.g. manual therapy, advice) whereas only one non-progressive and non-resisted  
319 intervention (25%) utilized co-interventions. Further, three trials (75%)<sup>8, 45, 46</sup> comparing  
320 progressive and resisted exercise were compared to no treatment, whereas all non-progressive  
321 or non-resisted exercise trials were compared with placebo. Therefore, we can only conclude  
322 that progressive and resisted studies, most of which are supervised, may offer benefit of  
323 uncertain clinical importance compared with primarily no treatment comparators.  
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5 326 All progressive and resisted exercise programs increased load (intensity), only two  
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7 327 progressed range of motion, volume or speed. Load progression was based on either  
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9 328 achieving a pain response within defined limits (e.g. pain of no more than 4/10 on a 0-10  
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11 329 scale) or based on ability (e.g. when the prescribed sets were no longer achieving muscle  
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13 330 fatigue). There were important differences in the exercise approaches between the  
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15 331 progressive and resisted and non-progressive and non-resisted trials that may have influenced  
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17 332 our findings. Two trials that utilized non-progressive and non-resisted exercise prescribed  
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19 333 either pendular exercises or isometric (static hold) exercises.<sup>31, 32</sup> This is in contrast to the  
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21 334 dynamic scapular and rotator cuff exercises prescribed in the progressive and resisted trials.  
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28 336 It is possible that mechanisms other than the exercise undertaken explain the findings. For  
29  
30 337 example, giving a patient permission to perform progressive exercise, or do more exercise,  
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32 338 may reduce fear of movement and lead to greater general shoulder use in some patients.

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34 339 Adherence and exercise dose parameters were also poorly reported, so we are unable to  
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36 340 determine the dose response and actual volume of exercise completed for each intervention.  
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38 341 We urge caution in interpreting these findings given the certainty of evidence supporting the  
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40 342 findings are generally low using a GRADE approach.  
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45 343  
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47 344 There have been multiple systematic reviews of exercise interventions for rotator cuff related  
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49 345 pain.<sup>7, 10-12, 47</sup> A recent Cochrane review concluded no benefit of exercise over placebo for  
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51 346 rotator cuff related pain,<sup>7</sup> which contrasts with other systematic reviews.<sup>10, 12</sup> The difference  
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53 347 is the Cochrane review was based on a single (judged by the authors of this review) low risk  
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55 348 of bias study. Our findings are broadly consistent with this Cochrane review as most studies  
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57 349 using a placebo comparison did not find benefit for exercise (albeit 75% utilized non-  
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3 350 progressive and non-resisted exercise). Future high quality studies investigating whether  
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5 351 progressive and resisted exercise is more beneficial than placebo are warranted.  
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10 353 This is the first systematic review with meta-analysis to focus on progressive and resisted  
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12 354 exercise or not versus no treatment or placebo. Further, in this review we followed as closely  
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14 355 as possible best practice guidelines as outlined by the Cochrane collaboration and PRISMA  
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16 356 to minimize potential sources of bias in this review. Inclusion and exclusion criteria were  
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19 357 carefully decided a priori and were clearly defined to minimize selection bias.  
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24 359 The main limitation of our review is that there were only 7 trials and 8 comparisons that met  
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26 360 our inclusion and exclusion criteria. Potential bias and the limited number of trials identified  
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28 361 reduced confidence in our findings, however the findings are consistent with evidence in  
29  
30 362 other tendinopathies around the body and worthy of further investigation.<sup>48</sup>  
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35 364 There are several limitations of the literature we included. There is low certainty evidence for  
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37 365 both comparison one and two, only one trial<sup>6</sup> in this review has a low risk of bias (86% had a  
38  
39 366 high risk of bias, therefore certainty was downgraded two levels, we did not downgrade for  
40  
41 367 inconsistency, indirectness [all interventions reflected clinical practice] or imprecision). This  
42  
43 368 precluded sensitivity analysis including only low risk of bias trials. Further, as discussed,  
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45 369 there were more progressive and resisted trials that utilized supervised exercise and co-  
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47 370 interventions, and used non-placebo controls, so these factors may have influenced the  
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49 371 positive findings reported for this exercise type.  
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55 373 Exercise programs were not described fully. This included characteristics such as pain during  
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57 374 loading, exercise adherence, rest between exercise sets and exercise tempo. This limitation is  
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3 375 important because exercise dose may contribute to the positive findings and clinicians are  
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5 376 unable to implement an exercise program if exercise characteristics are incompletely  
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8 377 reported. Limited reporting on exercise programs may also have influenced our decision to  
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10 378 classify studies as progressive and resisted or non-progressive and non-resisted. Future trials  
11  
12 379 should consider reporting guidelines (e.g. Consensus on Exercise Reporting Template)<sup>49</sup> to  
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14 380 ensure findings are translatable to practice.  
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17 381

### 19 382 **Implications for practice**

21 383 Progressive resistance exercise may improve function and pain outcomes in rotator cuff  
22  
23 384 related cuff related pain in comparison to placebo or no treatment comparators. The benefit  
24  
25 385 was of uncertain clinical importance and placebo effects were not controlled in 75% of  
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27  
28 386 studies. Three quarters of progressive and resisted exercise interventions were supervised and  
29  
30 387 included co-interventions such as manual therapy or advice or shoulder stretching. Clinicians  
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32 388 can consider adopting similar progressive and resisted exercise interventions for rotator cuff  
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34 389 related pain but the low certainty findings in this review indicate that our findings may  
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36  
37 390 change in the future (if there are larger and adequately powered studies addressing the same  
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39 391 question). Non-progressive and non-resisted exercise did not demonstrate benefit over  
40  
41 392 primary (75%) placebo comparisons. Our results question the use of non-resisted or non-  
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43 393 progressive exercise for rotator cuff related pain.  
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46  
47 394 Future high quality, adequately powered randomised trials should consider the type of  
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49 395 exercise prescribed for the intervention, specifically how resistance is added and if it is  
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51 396 progressed appropriately throughout the treatment (increasing the intensity of the resistance  
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53 397 and also increasing the range at which the exercise is performed).  
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3 398 **Clinical Messages**

4 399 • Progressive and resisted exercise may provide uncertain clinical benefit in pain and  
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7 400 function compared with primarily no treatment comparators at >6 weeks to 6 months  
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9 401 among people with rotator cuff related pain

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11 402 • Non-progressive and non-resisted exercise did not demonstrate benefit over placebo  
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13 403 at >6 weeks to 6 months among people with rotator cuff related pain

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For Peer Review

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### **Author Contributions**

**Conceptualisation:** PM, GS and JN

**Data curation:** PM, GS, CL, JN

**Formal analysis:** JN, PM

**Methodology:** PM, GS

**Writing - original draft preparation:** JN

**Writing - reviewing and editing:** JN, GS, CL, PM

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There are no known competing interests to declare.

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433

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For Peer Review

**Table 1.** Recruitment and retention, participant characteristics and eligibility criteria

Author, year, diagnostic label	Participants Number screened, number randomised total, per group, number available at follow-up	Mean age, function/pain, symptoms duration	Duration of pain	Pain on active movement	+ve resisted or orthopaedic tests	Dx imaging	Dx injection	Exclusion criteria
<b>Progressive and resisted exercise versus placebo or no treatment</b>								
Brox et al. 1993, rotator cuff disease	195 screened, 125 randomised, 30 placebo laser, 50 supervised exercises, 45 arthroscopic surgery not included in this review, follow up 79	<b>Supervised exercise group:</b> 47 years, 44% men, 66 (10-100, 100 best), overall pain 15 (0-100, 0 best), 24 months  <b>Placebo Laser group:</b> 48 years, 50% men, 65 (10-100, 100 best), overall pain 14.8 (0-100, 0 best), 20 months	>3 months	Abduction	Abduction (0, 30 degrees), external rotation, positive impingement test	Not reported	Yes (LA)	Restricted passive range of motion, arthritis acromioclavicular joint, cervical syndrome, rotator cuff rupture, glenohumeral instability, bilateral pain and tenderness/decreased ability to relax shoulder, neck and temporomandibular joints
Dickens et al. 2005, subacromial impingement syndrome	Number screened not reported, 85 randomised, 40 no treatment, 45 non-progressive physiotherapy exercises, follow up 73	<b>No treatment group:</b> 54 years, 55% men, 56 (0-100, 100 best), overall pain not reported, duration of symptoms not reported  <b>Non-progressive physiotherapy exercise group:</b> 55 years, 58% men, 52 (0-100, 100 best), overall pain not reported, duration of symptoms not reported	Not reported	Dx based on clinical exam (not described)	Dx based on clinical exam (not described)	Not reported	Yes (3 steroid in 6 weeks)	Cervical radiculopathy, adhesive capsulitis, 'clinically obvious' rotator cuff tear, grade III subacromial spur on x-ray, previous physiotherapy treatment
Lombardi et al. 2008, shoulder impingement syndrome	Number screened not reported, 60 randomised, 30 no treatment (physiotherapy waiting list), 30 progressive resistance exercise, follow up 56	<b>No treatment group:</b> 55 years, 17% men, 47 (0-100, 0 best), overall pain 44 (0-100, 100 best), 14 months  <b>Progressive resistance exercise group:</b> 56 years, 30% men, 50 (0-100, 0 best), overall pain 43 (0-100, 100 best), 14 months	>2 months	Arc of movement that produces the greatest shoulder pain	Neer, Hawkins-Kennedy	Not reported	Not reported	Shoulder fractures or dislocation history; cervical radiculopathy; degenerative glenohumeral joint disease; shoulder, back, or thorax surgery; inflammatory arthropathy; shoulder injection in previous 3 months; people undergoing any physical interventions for the shoulder
Ludwig et al. 2003, shoulder impingement syndrome	110 screened, 92 randomised, 33 no treatment, 34 progressive resistance exercise, 25 asymptomatic subjects not included in this review, follow up 62	<b>No treatment group:</b> 49 years, 100% male, 73 (17-100, 100 best), overall pain 5 (0-10, 0 best), duration of symptoms not reported  <b>Progressive resistance exercise group:</b> 48 years, 100% male, 66 (17-100, 100 best), overall pain 5 (0-10, 0 best), duration of symptoms not reported	Not reported	Abduction painful arc	Neer, Hawkins-Kennedy, Yocum, Jobe, and Speeds tests ( $\geq 2$ positive). Resisted abduction, flexion, internal or external rotation.	Not reported	Not reported	Less than 130 degrees shoulder elevation; cervical spine or periscapular pain; shoulder symptoms reproduced by cervical spine assessment; previous rotator cuff surgery or glenohumeral dislocation or other traumatic injury

								Tenderness on palpation of biceps or rotator cuff tendons
Non-progressive or non-resisted exercise versus placebo or no treatment								
Bennell et al. 2010, rotator cuff disease	438 screened, 120 randomised, 59 active intervention non-progressive exercise group, 61 placebo sham ultrasound group, follow up 114	<p><b>Active intervention non-progressive exercise group:</b> 59 years, 58% men, 43 (0-100, 0 best), overall pain 48 (0-100, 0 best), 24 months</p> <p><b>Placebo sham ultrasound group:</b> 61 years, 49% men, 44 (0-100, 0 best), overall pain 48 (0-100, 0 best), 14 months</p>	>3 months	Abduction or external rotation >3/10 pain	Quick test for shoulder impingement	Not reported	Not reported	Shoulder pain severity >7/10 at rest, suspected complete rotator cuff tear (+ve drop arm test, substantial shoulder weakness, high riding humeral head on xray), prior surgery or fracture, inflammatory arthritis, osteoarthritis or calcification on xray, neoplastic disorder, >50% reduction range of motion in 2 or more planes, pain referred from vertebral structures, complex regional pain syndrome, active interventions last 3 months (e.g. injection, physiotherapy), anti-inflammatories previous 2 weeks
Giombini et al. 2006, supraspinatus tendinopathy	159 screened, 37 randomised, 12 ultrasound control group, 11 non-progressive exercise, 14 hyperthermia group not included in this review, follow up 23	<p><b>Ultrasound control group:</b> 29 years, 67% men, 59 (0-100, 100 best), overall pain 6.3 (0-10, 0 best), 5 months (mean both groups)</p> <p><b>Non-progressive exercise group:</b> 26 years, 82% male, 59 (0-100, 100 best), overall pain 6.1 (0-10, 0 best), 5 months (mean both groups)</p>	3-6 months	Not reported	Hawkin's sign or impingement in 90 degrees forward flexion & +ve empty can test	Non-homogeneous signal intensity without a tear	Not reported	Restricted passive range of motion, traumatic onset, severe neck pain, frozen shoulder, calcific tendinopathy, degenerative joint disease of the acromioclavicular or glenohumeral joint; prior intra-articular or subacromial injection of corticosteroids; clinical or ultrasonographic diagnosis of a rotator cuff tear; previous shoulder surgery on the affected or contralateral shoulder
Walther et al. 2004, subacromial impingement syndrome	Number screened not reported, 60 randomised, 20 functional brace (placebo), 20 self-training non-progressive exercise group, 20 physiotherapy non-progressive exercise group, follow up	<p><b>Functional brace (placebo) group:</b> 49 years, 70% men, 63 (0-100, 100 best), overall pain 50 (0-100, 0 best), 27 months</p> <p><b>Self training non-progressive exercise group:</b> 52 years, 45% male, 58 (0-100, 100 best), overall pain 47 (0-100, 0 best), 23 months</p> <p><b>Physio non-progressive exercise grouping:</b> 52 years, 55% male, 60 (0-100, 100 best), overall pain 54 (0-100, 0 best), 32 months</p>	Not reported	Dx based on clinical exam (not described)	Neer test	X-ray and ultrasound (measures not described)	Yes (LA)	Cervical radiculopathy, frozen shoulder, full-thickness tear of the rotator cuff, acromioclavicular pathology; glenohumeral joint arthritis; calcifying tendinitis, shoulder instability, posttraumatic disorders, pending workers' compensation claim



**Table 2.** Exercise characteristics and outcomes

Author, year, trial type, country, funding, trial registration	No treatment or placebo group description, frequency, duration	Exercise group intervention description, exercise type, additional interventions	Home or supervised exercise, follow up sessions	Sets x repetitions or time, frequency, duration, total sessions, time under tension, rest time, repetitions per week	How load was applied, progression criteria	Advice about pain during exercise	Adherence	Outcomes, extracted outcomes
<b>Progressive and resisted exercise versus placebo or no treatment</b>								
Brox et al. 1993, RCT, Norway, Norwegian Research Council, no trial registration	Advice about pathology, pain, ergonomics, detuned laser 12 sessions in 6 weeks	Advice about pathology, pain, ergonomics, shoulder rotation, then flexion-extension, then abduction-adduction	Supervised twice weekly and daily home exercise on other days, 12-26 weeks	?, daily for one hour, 12-26 weeks, ?, ?, ?, incalculable	Load 'added gradually', did not specify how, did not specify criteria	Not reported	Not reported	Outcomes: Composite pain and function with Neer shoulder score (10-100, 100 is best), activity, rest and night pain with NRS (1-9, 9 worst possible pain)  Outcomes extracted: composite pain and function, overall pain, activity pain, rest pain  Note: Overall pain assumed from Neer pain item. We reversed the direction of the function score and converted to a 0-100 scale for consistency with other studies. We estimated SD as a median of the available SDs
Dickens et al. 2005, RCT, UK, Physiotherapy Research Council, no trial registration	Surgical waiting list, maintain normal ADLs	Manual therapy, postural advice, strapping +/- electrotherapy and exercises (not specified) for scapularthoracic muscles including trapezius and serratus anterior and rotator cuff muscles	Supervised 1-2 x per week and home, progressed 'regularly'	Sets/ reps not specified, twice daily, 26 weeks, ?, ?, ?, incalculable  Isometric, then inner range, through range, outer range, functional positions. Resistance and speed of exercises progressed	Range, load (theraband), and speed were progressed 'regularly' based on ability to perform exercise	Not reported	Not reported	Outcomes: Composite pain and function with Constant score (0-100, 100 is best) Outcomes extracted: composite pain and function  Note: We reversed the direction of the function score for consistency with other studies. We estimated SD as a median of the available SDs
Lombardi et al. 2008, RCT, Brazil, no funding reported, no trial registration	Physiotherapy waitlist	Flexion, extension, medial and lateral rotation	Supervised, 4 sessions in 8 weeks (fortnightly)	2x8 (50% [1 <sup>st</sup> set] to 70% [2 <sup>nd</sup> set] of 6 repetition maximum load), twice weekly, 8 weeks, 4 sec, 2 minutes, 128/wk	Pulley system progressed, based on 6 repetition maximum reassessment	Painfree	Not reported	Outcomes: Composite pain and function with disability of arm and shoulder score (laborious function component and activities of daily living component) (0-100, 0 better), quality of life short form SF-36, activity and rest pain with VAS (0-10, 10 worse pain)  Outcomes extracted: composite pain and function (laborious function), overall, activity and rest pain

Note: Overall pain assumed from the SF-36 pain item. We reversed the direction of the SF-36 pain score for consistency with other studies.

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3	Ludwig et al. 2003, RCT, USA, Centre to protect worker' rights, the public health service and the University of Iowa, no trial registration	No treatment	Anterior and posterior shoulder stretches, abduction active movement, and external rotation in neutral and in abduction progressive resisted exercise	Home, 1 in person and 1 phone or in person (if required) over 10 weeks Initial, at 1 week, phone/option al at 4 weeks	Stretches 30secx5/day & active movement 5x/day, progressive exercise 3x10 – 20 (by 3 <sup>rd</sup> week), 3x/week, 10 weeks, ?, ?, 540/wk	Theraband, based on ability to perform exercise	'No increased shoulder pain' (not clear if increased their baseline or no pain)	Exercise log (27% completed 75% or more of prescribed exercise	Outcomes: Composite pain and function with shoulder rating questionnaire (17-100, 100 is better), work related shoulder pain, work related disability  Outcomes extracted: composite pain and function, overall pain  Note: Overall pain assumed from work related pain item. We reversed the direction of the function score and converted to a 0-100 scale for consistency with other studies. SE reported and used to calculate SD.
14	<b>Non-progressive or non-resisted exercise versus placebo or no treatment</b>								
15									
16	Bennell et al. 2010, RCT, Australia, National Health and Medical Research Council, no NCT00415441	Sham ultrasound, no instruction to do any home exercises, no instruction in exercise technique  10 sessions in 10 weeks	Education, goal setting, manual therapy and home exercise program including dynamic scapular control, strengthening scapular stabiliser and rotator cuff muscles, improving shoulder and thoracic posture and increasing range of motion of thoracic extension	Home, 10 sessions over 10 weeks. Then instructed to continue daily exercises for further 12 weeks.	Variable sets/reps (2x10 repetitions or 5 sec x 5 or 1-3 minute hold), twice daily for first week, daily after that to 10 weeks, ?, ?, incalculable	Theraband, not progressed	Not reported	Exercise log (participant s completed 82% of prescribed exercise at 11 weeks, 70% at 22 weeks)	Outcomes reported: Composite pain and function, and overall pain with SPADI (both 0-100, 0 is best), activity and rest pain with NRS (0-10, 10 worse), quality of life using SF-36  Outcomes extracted: composite pain and function, overall, activity and rest pain
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31	Giombini et al. 2006, RCT, Italy, no funding reported, no trial registration	Therapeutic ultrasound	Pendular flexion and extension in prone and passive glenohumeral stretching	Home, weekly, 4 weeks	Sets/reps not specified (5 minutes), twice daily, 4 weeks, ?, ?, incalculable	No load applied	'To tolerance'	Not reported	Outcomes reported: Composite pain and function with Constant-Murley score (0-100, 100 is best), mean pain using a 10cm VAS, pain on resisted movement (4 point scale, 0 is best), Pain on active abduction 40-120 (4 point scale, 0 is best)  Outcomes extracted: composite pain and function, overall pain, pain during movement
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2	Walther et al.	Shoulder brace	<b>Group a)</b>	Group a	Isometric 10x10sec,	Theraband	Not	Not	Outcomes reported; Composite pain and
3	2004, RCT,		<b>Physiotherapy:</b>	supervised,	stretch 2x15sec,	or 1kg	reported	reported	function and with Constant-Murley (0-100,
4	Germany, ?, no		Isometric shoulder	30 sessions in	pendular 3-5 mins,	weight, no			100 is best), activity, night and rest pain (0-
5	trial		retraction,	12 weeks	adduction & distraction	progression			100, 100 maximum pain)
6	registration		abduction, external	Group b	3x15sec, group a				Outcomes extracted: composite pain and
7			rotation, and	home, 4	5x/wk; group b 2-				function, overall pain, activity and rest pain
8			rowing with elbow	sessions in 12	3x/week, 12 weeks, ?,				
9			bent and straight,	weeks	?, in calculable				
10			cervical lateral						Note: Overall pain assumed from night pain.
11			flexion stretch,		Group b 5xper week				We reversed the direction of the function score
12			pendular exercises,		for 10-15 mins.				for consistency with other studies. We
13			isometric						estimated SD as a median of the available SDs.
14			adduction with self						
15			protraction						
16			mobilisation						
17			<b>Group b) Self-</b>						
18			<b>training:</b> as above						

Note: ?=data missing; rep=repetitions, repetitions/week is the average over intervention period if weekly repetitions vary



**Table 3. Summary of Findings: Progressive and resisted exercise compared to placebo for rotator cuff related pain****Patient or population:** rotator cuff related pain**Setting:** Primary care patients (Norway), patients on surgery waiting list (UK), physiotherapy waiting list University hospital (Brazil), construction workers (USA)**Intervention:** 8-26 weeks of progressive resisted exercise**Comparison:** placebo (detuned laser) or no treatment

Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	No. of participants (studies)	Certainty of the evidence (GRADE)	Comments
	Assumed risk Placebo	Corresponding risk Progressive and resisted exercise				
<b>Function</b> Assessed with Constant-Murley (0-100, 100 is best), Neer (10-100, 100 is best) or SRQ (17-100, 100 is best) or the DASH (0-100, 0 is best) Follow-up: 8 to 26 weeks	The mean function in the control group was <b>44.2</b> <sup>1</sup>	The mean function in the intervention group was <b>15.0 points better</b> (8.6 to 21.4 better)	-	271 (4 RCTs)	⊕⊕○○ LOW <sup>3</sup>	Statistically significant but uncertain clinical benefit <sup>2</sup> Absolute change 15% better (9% better to 21% better); relative change 32% better (18% better to 45% better) <sup>4</sup>
<b>Overall pain</b> Assessed with SF36 (0-100, 0 is best), Neer (10-100, 0 is best) or VAS (0-100, 0 is best) Follow-up: 8 to 26 weeks	The mean overall pain in the control group was <b>53.3</b> <sup>1</sup>	The mean overall pain in the intervention group was <b>10.7 points better</b> (5.6 to 15.7 better)	-	197 (3 RCTs)	⊕⊕○○ LOW <sup>3</sup>	Statistically significant but uncertain clinical benefit <sup>2</sup> Absolute change 11% better (6% better to 16% better); relative change 19% better (10% better to 28% better) <sup>4</sup>
<b>Pain with activity</b> Assessed with VAS (0-100; 0 is best) Follow-up: 8 to 26 weeks	The mean pain with activity in the control group was <b>71.0</b> <sup>1</sup>	The mean pain with activity in the intervention group was <b>24.7 points better</b> (13.9 to 35.5 better)	-	135 (2 RCTs)	⊕⊕○○ LOW <sup>3</sup>	Statistically significant but uncertain clinical benefit <sup>2</sup> Absolute change 25% better (14% better to 36% better); relative change 35% better (20% better to 50% better) <sup>4</sup>
<b>Pain at rest</b> Assessed with VAS (0-100; 0 is best) Follow-up: 8 to 26 weeks	The mean pain at rest in the control group was <b>43.0</b> <sup>1</sup>	The mean overall pain in the intervention group was <b>22.8 points better</b> (14.0 to 31.6 better)	-	135 (2 RCTs)	⊕⊕○○ LOW <sup>3</sup>	Statistically significant but uncertain clinical benefit <sup>2</sup> Absolute change 23% better (14% better to 32% better); relative change 58% better (36% better to 81% better) <sup>4</sup>
<b>Adverse events</b>	-	-	-	-	-	-

\*The basis for the **assumed risk** (e.g. the median control group risk across studies) is provided in footnotes. The **corresponding risk** (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95%CI).

CI: Confidence interval; SRQ: shoulder rating questionnaire; DASH: disability of the arm, shoulder and hand; VAS: visual analogue scale; NRS: numerical rating scale

**GRADE Working Group grades of evidence****High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect**Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different**Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect**Very low certainty:** We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

This table summarises data from the Brox 1993, Dickens 2005, Lombardi 2008, Ludwig 2003 trials.

<sup>1</sup>Lombardi was used as the control group risk

<sup>2</sup>We assumed a clinically important improvement in function of 10 points on a 100-point scale (or 10%) and a clinically important improvement in pain of 15 points on a 100-point scale (or 15%)

<sup>3</sup>Downgraded (-2) for risk of bias. Participants and outcome assessors were not blinded (risk of performance, detection and selection bias). Not all measured outcomes were reported

<sup>4</sup>Relative changes calculated as absolute change divided by mean at baseline in the control group from Lombardi: Mean SD values were 47.4 (24.7) for function on a 0-100 point DASH scale; 56.1 (19.2) for overall pain on 0-100 point SF36 scale; 7.1 (1.5) for activity pain on 0-10 point VAS; 3.9 (2.6) for rest pain on 0-10 point VAS

**Table 4. Summary of Findings: Non-progressive and non-resisted exercise compared to placebo for rotator cuff related pain****Patient or population:** rotator cuff related pain**Setting:** Primary care patients (Australia), University hospital (Germany) and athletes in University setting (Italy)**Intervention:** 4 to 12 weeks of non-progressive and non-resisted exercise**Comparison:** placebo (detuned laser, ultrasound, brace)

Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	No of participants (studies)	Certainty of the evidence (GRADE)	Comments
	Assumed risk	Corresponding risk				
	Placebo	Non-progressive and non-resisted exercise				
<b>Function</b> Assessed with the Constant-Murley (0 to 100, 100 is best) or SPADI total score scales (0 to 100, 0 is best) Follow-up: 10 to 22 weeks	The mean function in the control group was <b>28.3</b> <sup>1</sup>	The mean function in the intervention group was <b>3.6 points better</b> (2.2 worse to 9.4 better)	-	197 (3 RCTs)	⊕⊕○○ LOW <sup>2</sup>	No significant benefit <sup>3</sup> Absolute risk difference 4% better (2% worse to 9% better); relative change 8% better (5% worse to 21% better) <sup>4</sup>
<b>Overall pain</b> Assessed with the SPADI pain (0-100, 0 is best), mean pain VAS (0-100, 0 is best), night pain (0-100, 0 is best) Follow-up: 10 to 22 weeks	The mean overall pain in the control group was <b>31</b> <sup>1</sup>	The mean overall pain in the intervention group was <b>3.3 points better</b> (1.5 worse to 8.1 better)	-	197 (3 RCTs)	⊕⊕○○ LOW <sup>2</sup>	No significant benefit <sup>3</sup> Absolute risk difference 3% better (1% worse to 8% better); relative change 7% better (3% worse to 17% better) <sup>4</sup>
<b>Pain with activity</b> Assessed with VAS (0-100, 0 is best) or NRS (0-100, 0 is best) Follow-up: 10 to 22 weeks	The mean pain with activity in the control group was <b>33</b> <sup>1</sup>	The mean pain with activity in the intervention group was <b>3.4 points better</b> (5.0 worse to 11.8 better)	-	197 (3 RCTs)	⊕⊕○○ LOW <sup>2</sup>	No significant benefit <sup>3</sup> Absolute risk difference 3% better (5% worse to 12% better); relative change 7% better (10% worse to 24% better) <sup>4</sup>
<b>Pain at rest</b> Assessed with VAS (0-100, 0 is best) or NRS (0-100, 0 is best) Follow-up: 12 to 22 weeks	The mean pain at rest in the control group was <b>16</b> <sup>1</sup>	The mean pain at rest in the intervention group was <b>1.8 points better</b> (6.6 worse to 10.2 better)	-	174 (2 RCTs)	⊕⊕○○ LOW <sup>2</sup>	No significant benefit <sup>3</sup> Absolute risk difference 0.2% better (0.7% worse to 1% better); relative change 9% better (31% worse to 49% better) <sup>4</sup>
<b>Adverse events</b> Follow-up: 10-11 weeks	<b>Study population</b>  <b>82 per 1000</b>	<b>309 per 1000</b> (122 to 782)	<b>RR 3.77</b> (1.49 to 9.54)	116 (1 RCT)	⊕⊕⊕⊕ HIGH	Absolute risk difference 23% (9% to 37% more); relative percentage change 277% (49% to 854% more) NNTH 5 (26 to 2). Adverse events were mild and included short-term pain after exercises

\*The basis for the **assumed risk** (e.g. the median control group risk across studies) is provided in footnotes. The **corresponding risk** (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95%CI).

CI: Confidence interval; VAS: visual analogue scale; NRS: numerical rating scale; RR: Relative Risk; SPADI: Shoulder Pain and Disability Index

**GRADE Working Group grades of evidence****High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect**Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different**Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect**Very low certainty:** We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect

This table summarises data from the Bennell 2010, Walthers 2004 and Giombini 2006 trials.

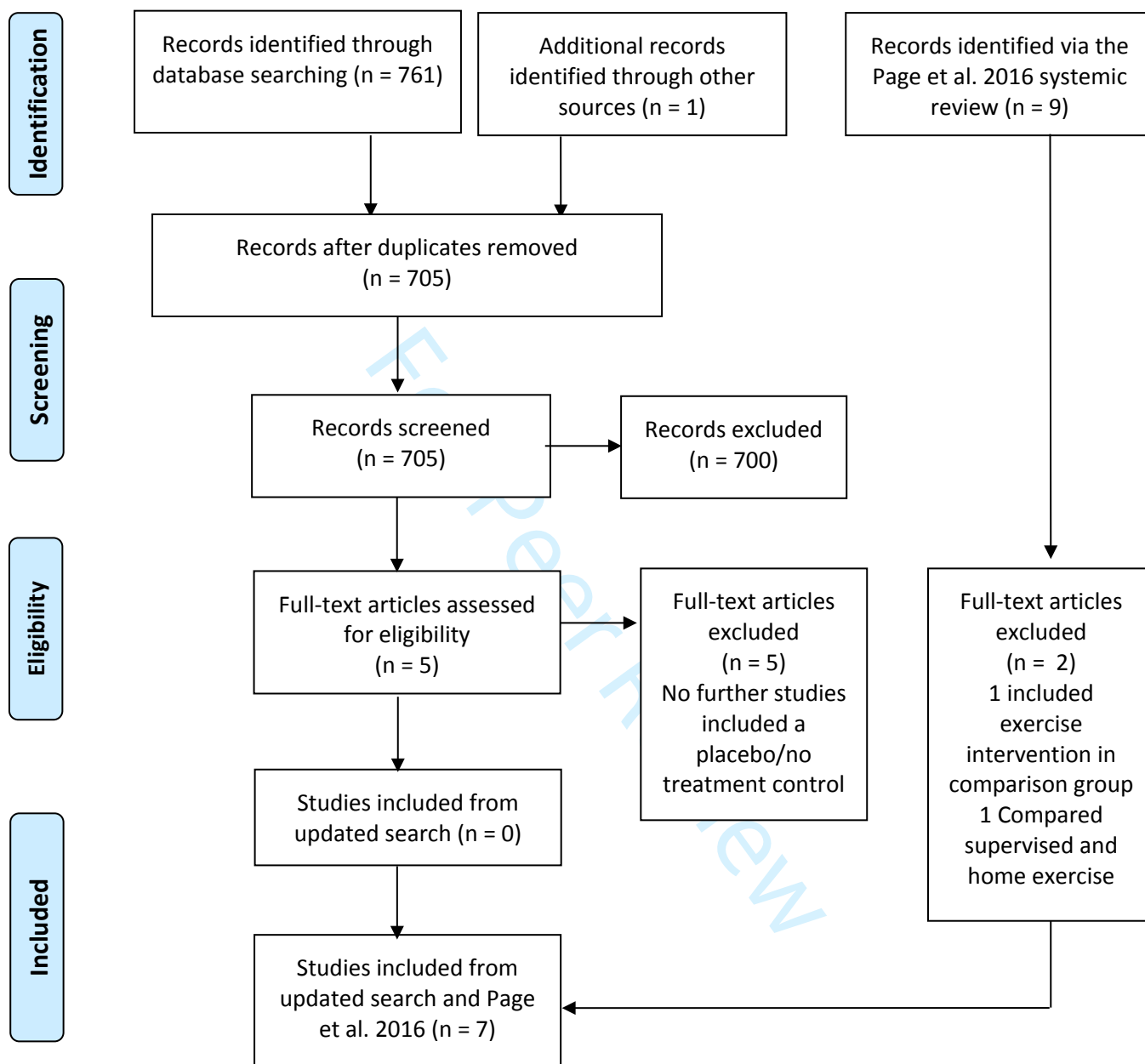
<sup>1</sup>Placebo group score in Bennell 2010 was used as assumed control group risk

<sup>2</sup>Downgraded (-2) for risk of bias. Participants and outcome assessors not blinded (risk of performance, detection and selection bias). Not all measured outcomes were reported in two studies with the lowest weighting

<sup>3</sup>We assumed a clinically important improvement in function of 10 points on a 100-point scale (or 10%) and a clinically important improvement in pain of 15 points on a 100-point scale (or 15%)

<sup>4</sup>Relative changes calculated as absolute change divided by mean at baseline in the control group from Bennell: Mean SD values were 43.9 (17.5) for function on a 0-100 point SPADI scale; 48.4 (17.5) for overall pain 0-100 point scale SPADI pain; 49 (18) for activity pain on 0-100 VAS, 21 (18) for rest pain on 0-100 point VAS

**Figure 1.** Preferred Reporting Items for Systematic Reviews and Meta-Analyses 2009 flow diagram for literature search results.



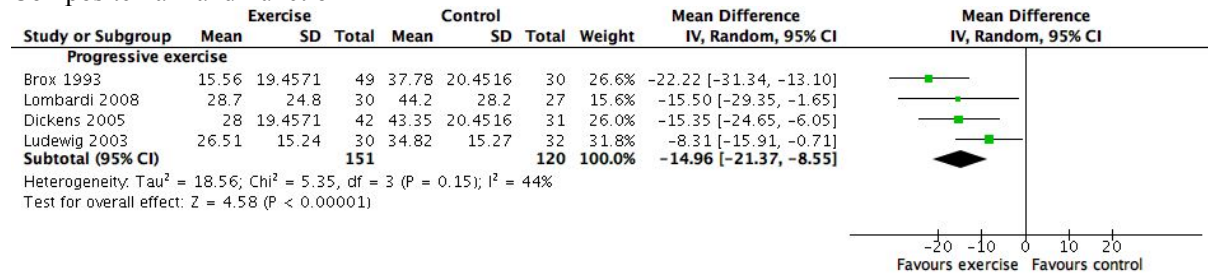
From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(7): e1000097. doi:10.1371/journal.pmed1000097

**Figure 2.** Risk of bias summary: judgements about each risk of bias item for each included study (from Page et al).

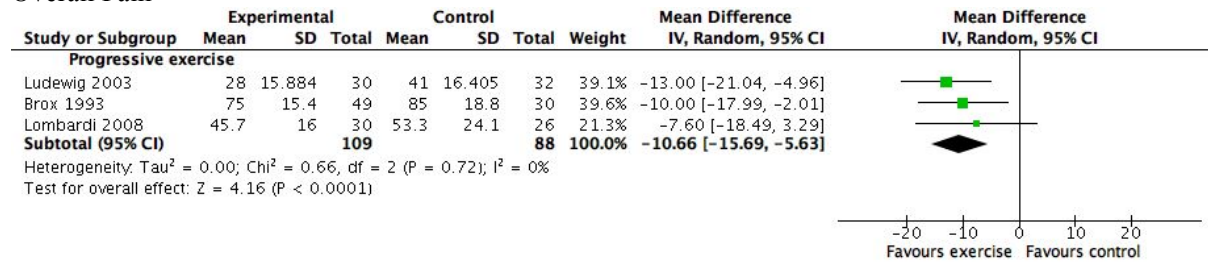
	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants and personnel (performance bias)	Blinding of outcome assessment (detection bias)	Incomplete outcome data (attrition bias)	Selective reporting (reporting bias)	Other bias
Bennell 2010	+	+	+	+	+	+	+
Brox 1993	+	?	-	-	-	?	+
Dickens 2005	?	?	-	-	+	?	+
Giombini 2006	+	?	-	-	+	-	+
Lombardi 2008	+	+	-	-	+	?	+
Ludewig 2003	+	?	-	-	+	?	+
Walther 2004	?	?	-	-	+	-	+

**Figure 3.** Comparison One - Effects of progressive and resisted exercise versus placebo or no treatment on composite pain and function, overall pain, activity pain and rest pain

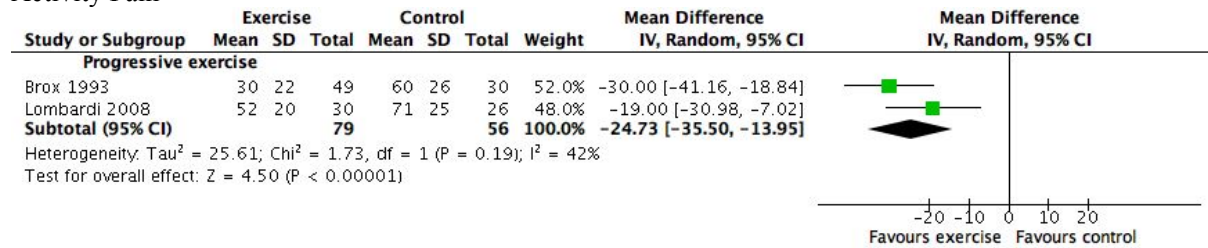
**Composite Pain and Function**



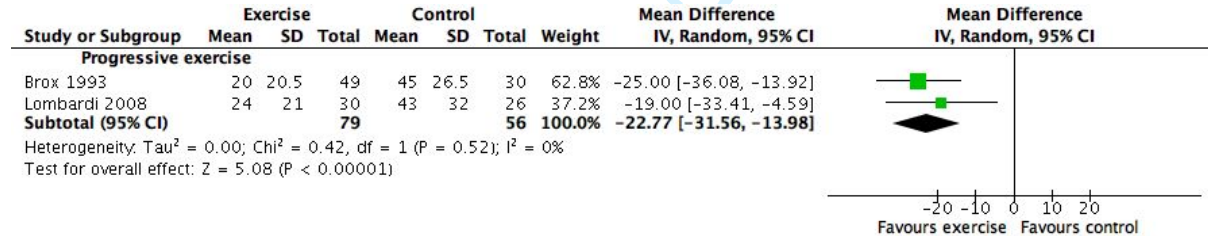
**Overall Pain**



**Activity Pain**

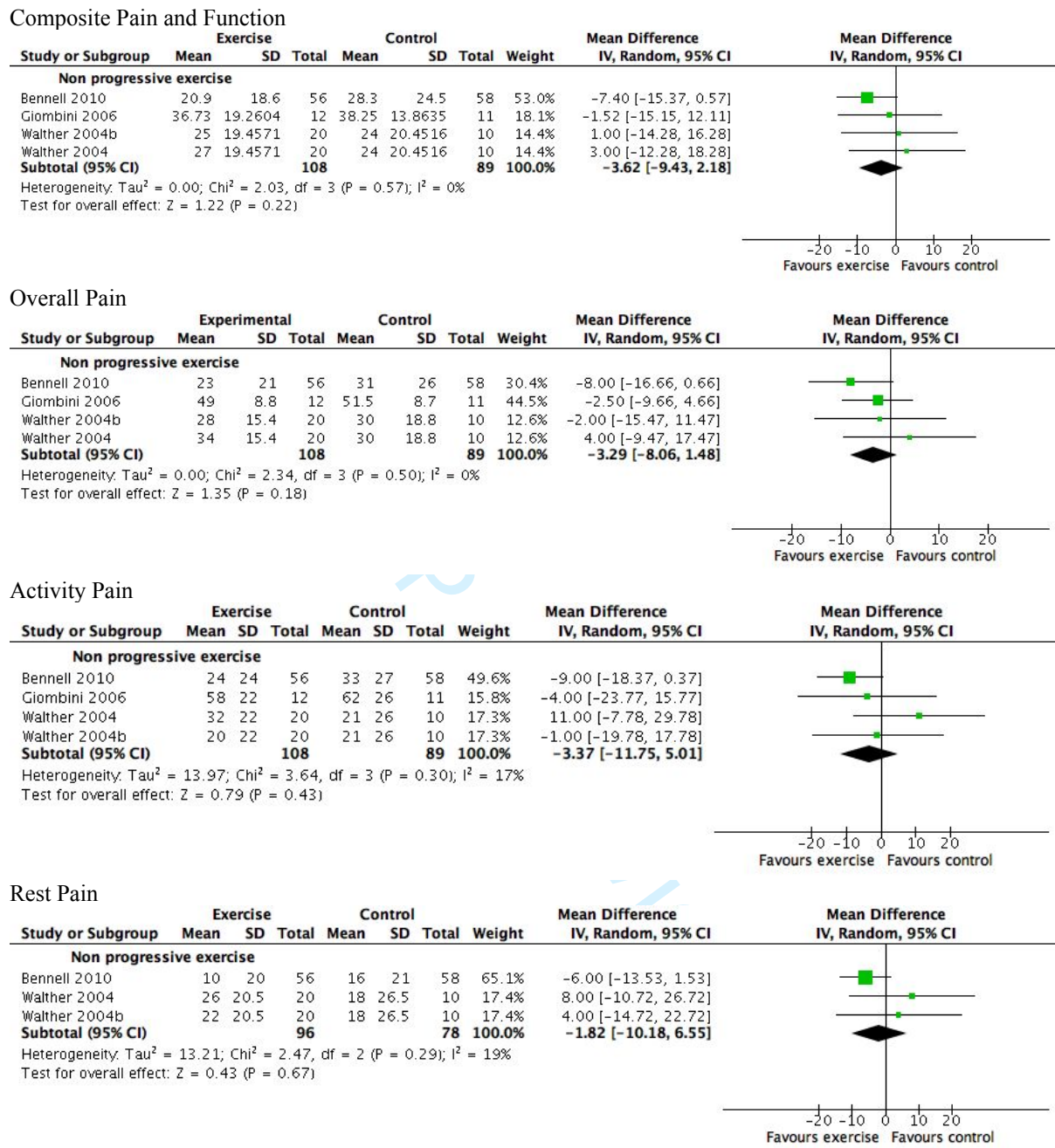


**Rest Pain**





**Figure 4.** Comparison Two - Effects of non-progressive or non-resisted exercise versus placebo or no treatment on composite pain and function, overall pain, activity pain and rest pain



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**Appendix 1****594 Search strategy for CENTRAL:**

- 595 1. MeSH descriptor: [Shoulder Pain] explode all trees
- 596 2. MeSH descriptor: [Shoulder Impingement Syndrome] explode all trees
- 597 3. MeSH descriptor: [Rotator Cuff] explode all trees
- 598 4. MeSH descriptor: [Bursitis] explode all trees
- 599 5. ((shoulder\* in AllText or rotator\* in AllText) and (bursitis in AllText or impinge\* in  
600 AllText or tendonitis in All Text or tendonitis in All Text or tendinopathy in AllText or  
601 pain\* in All Text))
- 602 6. "rotator cuff" in AllText
- 603 7. #1 or #2 or #3 or #4 or #5 or #6
- 604 8. MeSH descriptor: [Rehabilitation] explode all trees
- 605 9. MeSH descriptor: [Physical Therapy Modalities] explode all trees
- 606 10. MeSH descriptor: [Exercise Movement Techniques] explode all trees
- 607 11. MeSH descriptor: [Ultrasonography, Interventional] explode all trees
- 608 12. rehabilitat\* in All Text or physiotherapy\* in AllText or "physical therap\*" in AllText  
609 or "manual therap\*" in All Text or exercis\* in All Text
- 610 13. (ultrasound in All Text or ultrasonograph\* in All Text or tns in AllText or tens in All  
611 Text or shockwave in All Text or electrotherap\*in All Text or mobili\* in AllText)
- 612 14. #9 or #10 or #11 or #12 or #13
- 613 15. #8 and #15

**614 Search strategy for MEDLINE (Ovid):**

- 615 1. shoulder pain/
- 616 2. shoulder impingement syndrome/
- 617 3. rotator cuff/
- 618 4. exp bursitis/
- 619 5. ((shoulder\$ or rotator cuff) adj5 (bursitis or impinge\$ or tendinitis or tendonitis or  
620 tendinopathy or pain\$)).mp.
- 621 6. rotator cuff.mp.
- 622 7. or/1-7
- 623 8. exp rehabilitation/
- 624 9. exp physical therapy techniques/
- 625 10. exp musculoskeletal manipulations/
- 626 11. exp exercise movement techniques/
- 627 12. exp ultrasonography, interventional/
- 628 13. (rehabilitat\$ or physiotherap\$ or physical therap\$ or manual therap\$ or exercis\$ or  
629 ultrasound or ultrasonograph\$ or TNS or TENS or shockwave or electrotherap\$ or  
630 mobili\$). mp.
- 631 14. or/9-13
- 632 15. clinical trial.pt
- 633 16. random\$.mp.
- 634 17. ((single or double) adj (blind\$ or mask\$)).mp.
- 635 18. placebo\$.mp.
- 636 19. or/16-18
- 637 20. 7 and 14 and 19

**638 Search strategy for EMBASE (Ovid):**

- 639 1. 'shoulder pain'/exp
- 640 2. 'shoulder impingement syndrome'/exp
- 641 3. 'rotator cuff'/exp

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3 642 4. 'bursitis'/exp  
4 643 5. ((shoulder\* OR rotator\*) AND('bursitis'/de OR impinge\* OR 'tendonitis'/de OR  
5 644 'tendinitis'/de OR 'tendinopathy'/ de OR pain\*))  
6 645 6. 'rotator cuff'  
7 646 7. #1 OR #2 OR #3 OR #4 OR #5 OR #6  
8 647 8. 'rehabilitation'/exp  
9 648 9. 'physiotherapy'/exp  
10 649 10. 'kinesiotherapy'/exp  
11 650 11. 'endoscopic echography'/exp  
12 651 12. rehabilitat\* OR physiotherapy\* OR 'physical therapy'OR 'manual therapy'OR  
13 652 kinesiotherap\* OR exercis\*  
14 653 13. 'ultrasound'/de OR ultrasonograph\* OR 'transcutaneous nerve stimulation' OR  
15 654 'transcutaneous electricalnerve stimulation' OR shockwave OR electrotherap\*OR mobili\*  
16 655 14. #9 OR #10 OR #11 OR #12 OR #13 OR #13  
17 656 15. 'randomized controlled trial'/exp  
18 657 16. #7 AND #14 AND #15

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21  
22 **Search strategy for CINAHL Plus (EBSCO):**

- 23 659 • S1 MH "shoulder pain"  
24 660 • S2 MH "shoulder impingement syndrome"  
25 661 • S3 MH "rotator cuff"  
26 662 • S4 MH bursitis+  
27 663 • S5 TX (shoulder\* N5 bursitis) or TX(shoulder\* N5 impinge\*) or TX(shoulder\* N5  
28 664 tend?nitis) or TX(shoulder\* N5 tendinopathy) or TX(shoulder\* N5 pain\*)  
29 665 • S6 TX (rotator cuff N5 bursitis) or TX(rotator cuff N5 impinge\*) or TX(rotator cuff N5  
30 666 tend? nitis) or TX(rotator cuff N5 tendinopathy) or TX(rotator cuff N5 pain\*)  
31 667 • S7 TX rotator cuff  
32 668 • S8 S1 or S2 or S3 or S4 or S5 or S6 or S7  
33 669 • S9 MH Rehabilitation+  
34 670 • S10 MH physical therapy+  
35 671 • S11 MH Manual Therapy+  
36 672 • S12 MH Therapeutic Exercise+  
37 673 • S13 MH Ultrasonography+  
38 674 • S14 TX rehabilitat\* or physiotherapy\* or physical therap\*or manual therap\* or exercise\*  
39 675 or ultrasound or ultrasonograph\* or TNS or TENS or shockwave or electrotherapy\*or  
40 676 mobili\*  
41 677 • S15 S10 or S11 or S12 or S13 or S14 or S15  
42 678 • S16 PT clinical trial  
43 679 • S17 TX random\*  
44 680 • S18 TX(single blind\*) or TX(single mask\*)  
45 681 • S19 TX(double blind\*) or TX(double mask\*)  
46 682 • S20 placebo\*  
47 683 • S21 S17 or S18 or S19 or S20 or S21  
48 684 • S22 S8 and S15 and S21  
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**Appendix 2**

686 **Email correspondence from Markus Walther clarifying if there was progression of**  
687 **resistance within each exercise.**

688 Hi,

689 All did the same exercises.

690 The Theraband stayed the same - we did not change to a harder one.

691 Regards,

692 Markus Walther

693

694

695 **Email correspondence from Kim Bennell clarifying if there was progression of**  
696 **resistance within each exercise.**

697 Hi Peter,

698 Sounds like an interesting project.

699 No the resistance band wasn't changed in each exercise ... the program itself was progressive  
700 so the exercises were changed along the way to make them increasingly harder.

701 The exercises were checked by the physio for form particularly around correct posture.

702 However, if the physio felt that they weren't able to progress to the more difficult exercise or  
703 they were having pain etc, they could stay at the easier exercise level. I did manage to find

704 the therapist handbook

705 Hope that helps – it was a long time ago!

706 Regards,

707 Kim

708

709 *Note: Our eligibility and exclusion criteria states progressive and resisted trials needed to state how load was*  
710 *applied (e.g. Theraband or weight) AND that there was progression of volume or load or both. Non-progressive*  
711 *or non-resisted trials could include progression of range or from static to through range. We specifically*  
712 *required that resistance or load was progressed within each exercise to be classified as progressive and*  
713 *resisted.*

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3 717**Appendix 3**4  
5 718 **Included Studies**

- 6  
7 719 1. Brox JI, Staff PH, Ljunggren AE, et al. Arthroscopic surgery compared with  
8 720 supervised exercises in patients with rotator cuff disease (stage II impingement syndrome).  
9 721 *BMJ (Clinical research ed)* 1993; 307: 899-903.
- 10 722 2. Bennell K, Wee E, Coburn S, et al. Efficacy of standardised manual therapy and  
11 723 home exercise programme for chronic rotator cuff disease: randomised placebo controlled  
12 724 trial. *BMJ (Clinical research ed)* 2010; 340. DOI: 10.1136/bmj.c2756.
- 13 725 3. Dickens VA, Williams JL and Bhamra MS. Role of physiotherapy in the treatment of  
14 726 subacromial impingement syndrome: a prospective study. *Physiotherapy* 2005; 91: 159-164.  
15 727 DOI: <https://doi.org/10.1016/j.physio.2004.10.008>.
- 16 728 4. Giombini A, Di Cesare A, Safran MR, et al. Short-term effectiveness of hyperthermia  
17 729 for supraspinatus tendinopathy in athletes: a short-term randomized controlled study. *Am J*  
18 730 *Sports Med* 2006; 34: 1247-1253. 2006/04/26. DOI: 10.1177/0363546506287827.
- 19 731 5. Lombardi I, Jr., Magri AG, Fleury AM, et al. Progressive resistance training in  
20 732 patients with shoulder impingement syndrome: a randomized controlled trial. *Arthritis and*  
21 733 *rheumatism* 2008; 59: 615-622. 2008/04/29. DOI: 10.1002/art.23576.
- 22 734 6. Ludewig PM and Borstad JD. Effects of a home exercise programme on shoulder pain  
23 735 and functional status in construction workers. *Occupational and environmental medicine*  
24 736 2003; 60: 841-849. 2003/10/24.
- 25 737 7. Walther M, Werner A, Stahlschmidt T, et al. The subacromial impingement syndrome  
26 738 of the shoulder treated by conventional physiotherapy, self-training, and a shoulder brace:  
27 739 results of a prospective, randomized study. *Journal of shoulder and elbow surgery /*  
28 740 *American Shoulder and Elbow Surgeons [et al]* 2004; 13: 417-423. 2004/06/29. DOI:  
29 741 10.1016/s1058274604000485.

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