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# Associations between factors across life and one-legged balance performance in mid and later life: evidence from a British birth cohort study

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## 14 Abstract

**Introduction**: Despite its associations with falls, disability and mortality, balance is an underrecognised and frequently overlooked aspect of ageing. Studies investigating associations between factors across life and balance are limited. Understanding the factors related to balance performance could help identify protective factors and appropriate interventions across the life course. This study aimed to: i) identify socioeconomic, anthropometric, behavioural, health and cognitive factors that are associated with one-legged balance performance; and ii) explore how these associations change with age.

Methods: Data came from 3111 members of the MRC National Survey of Health and Development, a British birth cohort study. Multilevel models examined how one-legged standing balance times (assessed at ages 53, 60-64 and 69) were associated with fifteen factors across life: sex, maternal education (4y), paternal occupation (4y), own education (26y), own occupation (53y) and contemporaneous measures (53, 60-64, 69y) of height, BMI, physical activity, smoking, diabetes, respiratory symptoms, cardiovascular events, knee pain, depression and verbal memory. Age and sex interactions with each variable were assessed.

29 **Results**: Men had 18.8% (95%CI: 13.6, 23.9) longer balance times than women at age 53, although this difference decreased with age (11.8% at age 60-64 and 7.6% at age 69). Disadvantaged 30 socioeconomic position in childhood and adulthood, low educational attainment, less healthy 31 32 behaviours, poor health status, lower cognition, higher body mass index (BMI) and shorter height were associated with poorer balance at all three ages. For example, at age 53, those from the lowest paternal 33 34 occupational classes had 29.6% (22.2, 38.8%) worse balance than those from the highest classes. 35 Associations of balance with socioeconomic indicators, cognition and physical activity became smaller 36 with age, while associations with knee pain and depression became larger. There were no sex 37 differences in these associations. In a combined model, the majority of factors remained associated 38 with balance.

39 Discussion: This study identified numerous risk factors across life that are associated with one-legged 40 balance performance and highlighted diverse patterns of association with age, suggesting that there are 41 opportunities to intervene in early, mid and later life. A multifactorial approach to intervention, at both

42 societal and individual levels, may have more benefit than focusing on a single risk factor.

43

#### 44 1 Introduction

From getting out of bed in the morning to sitting, standing and walking throughout the day, balance is a crucial component of everyday life (1). Poor balance is linked with several adverse health outcomes, perhaps most notably increased falls risk (2), but also with increased risk of disability, fractures, hospitalisation and premature mortality (3-6). Despite the growing awareness of the importance of balance in ageing – as reflected in recent physical activity guidelines (7-9) – the life course epidemiology of balance performance has been under-investigated compared with other measures of physical capability such as grip strength and chair rise performance.

In the few studies that have examined factors across life in relation to balance performance, several associations have been found. Across a range of ages, males tend to have better balance performance than females (10-13). Low socioeconomic position (SEP) has been found to have a negative cumulative association with balance performance, with an additive effect of low SEP in childhood and adulthood on risk for poor balance in later life (14, 15). Smoking history (16), low cognitive ability in both childhood and adulthood (17, 18), higher levels of depression (19) and low levels of physical activity (20-22), have also been shown to be associated with poor balance.

59 These previous studies have primarily examined associations between a single risk factor and balance 60 ability at one time point. With the exception of our recent study of the association between childhood 61 cognitive ability and balance performance (17), to our knowledge, no study has examined whether 62 associations change with age. This is a limitation, given that balance is a complex process that relies on sensory input including visual cues, proprioception, vestibular processes as well as muscular 63 64 strength and cognitive processing (23), and so may be affected by age-related changes, such as increased levels of morbidity and decline in cognitive functioning. In addition, few studies have 65 66 investigated sex differences in the associations between risk factors and balance ability. This is despite 67 the fact that investigating sex differences in the relationships between different risk factors and balance 68 may help elucidate why men have better average balance performance than women, as the reasons for this are still not fully understood (10, 13, 24-26). 69

Using a British birth cohort study, previously used to study factors associated with balance at a single age (11, 14-18, 20, 21, 27-29), we aimed to investigate associations of socioeconomic, behavioural, health and cognitive risk factors across life with one-legged balance performance over sixteen years and assess if these associations change with age or sex. We hypothesised that positive factors such as high SEP, low BMI, participation in healthy behaviours, absence of poor physical and mental health as well as higher adult cognitive ability would be associated with better balance performance. As physical and mental comorbidities become more common with age, we hypothesised that the associations of health status with balance performance would get stronger with age. Conversely, as health status becomes more important, the relative contributions of SEP were hypothesised to decrease.

#### 79 2 Methods

#### 80 2.1 Sample

81 The MRC National Survey of Health and Development (NSHD) is an ongoing study of 5362 82 individuals born in England, Scotland or Wales within one week in March 1946. Since 1946, study 83 members have been followed up to 24 times in infancy, across childhood, adolescence, and adulthood, 84 most recently at ages 53 (n=2988), 60-64 (n=2229) and 69 (n=2149) using a combination of 85 questionnaires, interviews and clinical examinations (30). Details of loss to follow-up (e.g. death, 86 emigration, refusal, incapacity) in this sample have been previously described (17). Ethical approval 87 for the most recent data collection wave (2015) was obtained from Queen Square Research Ethics 88 Committee (14/ LO/1073) and Scotland A Research Ethics Committee (14/SS/1009).

## 89 2.2 Assessment of balance ability

90 One-legged balance performance was assessed by trained nurses during clinical assessments at ages 53, 60-64 and 69 using standardised protocols. Study members were asked to fold their arms and stand 91 92 on their preferred leg with their eyes closed for as long as possible up to a maximum of 30 seconds. If 93 individuals were unable to perform the test, the reason was recorded. In these analyses, individuals 94 who could not perform the test due to health reasons and those who attempted but could not maintain 95 the balance position were given a score of zero. The final analytical sample consisted of individuals 96 with a balance time at one or more ages (n=3111). The one-legged balance test is considered to be a 97 reliable and valid measure of static balance and has been shown to have high inter-rater and test-retest 98 reliability (31-36). Many studies have consistently demonstrated associations between poor one-99 legged balance performance and higher risk of falls, disability, poor gait speed, frailty and premature 100 mortality (4, 36-40).

## 101 2.3 Assessment of risk factors

We selected a set of risk factors *a priori* that had previously been shown to be associated with balance
or other measures of physical capability at a single time point in NSHD and other studies (11, 14-16,
18, 20, 21, 27, 41-43)(44).

#### 105 2.3.1 Socioeconomic indicators

- 106 Paternal occupational class (at age 4) and own occupational class (reported at age 53 years) were
- 107 grouped into three categories as distinguished by the Registrar General's Social Classification (45): 1)
- 108 I Professional and II Intermediate; 2) III Skilled (non-manual) and III Skilled (manual); and 3) IV
- 109 Partly skilled and V Unskilled manual. *Maternal education* was classified into four categories: 1)
- 110 Primary only; 2) Primary and further education; 3) Secondary only; 4) Secondary and further
- 111 education. Participants reported their highest level of educational attainment by age 26, which was
- 112 categorised as degree or higher, advanced secondary qualifications generally attained at 18 years (GCE
- 113 A level or Burnham B), ordinary secondary qualifications generally attained at 16 years, (e.g. GCE O
- 114 level or Burnham C), below ordinary secondary qualifications, or none.
- 115 2.3.2 Anthropometric indicators (ages 53, 60-64, 69)
- 116 Height (m) and BMI (kg/m2), derived from height and weight measurements ascertained by nurses
- 117 using standardised protocols, were used (46).
- 118 2.3.3 Behavioural risk factors (ages 53, 60-64, 69)
- 119 Individuals self-reported their leisure time physical activity participation (never, 1-4 times/month, 5+
- 120 times/month) and their *smoking status* (never, past smoker, current smoker) (16, 47). Current and past
- 121 smokers were defined as those who smoked at least one cigarette a day for 12 months or more.
- 122 2.3.4 Health status (ages 53, 60-64, 69)
- 123 Current health conditions (yes/no for each) were ascertained using a series of self-reported questions
- 124 on history of diabetes, cardiovascular events, respiratory symptoms and knee pain (4, 48). Symptoms
- 125 of depression and anxiety were assessed using the 28-item self-reported General Health Questionnaire;
- 126 each item was scored from 1 to 4 and summed together (range: 0-84) (49).
- 127 2.3.5 Cognitive ability (ages 53, 60-64, 69)
- Verbal memory was assessed using a 15-item word list. Each word was presented for two seconds before individuals were instructed to write down as many words as they could remember. This was repeated over three identical trials and the number of words recalled during each trial were summed (range: 0-45). To minimise any practice effects, two word lists were rotated between follow-up
- 132 assessments (50).

## 133 2.4 Statistical analyses

Sex differences in each risk factor were assessed using t-tests or chi-square tests, as appropriate, and described by the mean ( $\pm$ SD) or proportion (n). Separate multilevel models were used to examine the

136 associations between each risk factor (independent variable) and log transformed balance performance 137 (dependent variable) in the maximal available sample size. Cross-sectional associations were assessed 138 for time-varying covariates (e.g. anthropometric, behavioural, health, cognitive factors), whereas SEP 139 measures were based on reports from one age. Balance times at each age (level 1) were nested within 140 individuals (level 2) and both the intercept and slope were modelled as random effects. As the sample 141 was age-homogenous, age was employed as a linear time metric and was centred at age 53 (intercept); 142 age 63 was utilised as the time integer for age 60-64 (17, 51). Balance times were log-transformed due 143 to the skewed distribution of balance. Non-linearity of the association between each risk factor and 144 balance was assessed using likelihood ratio tests.

145 A variable-by-sex interaction term was estimated, with subsequent models stratified by sex if there was 146 evidence of an interaction. An interaction between age and each risk factor was added to the model to 147 test whether the association between each risk factor and balance changes with age. Age interactions were considered if p<0.05; an alpha of 0.05 was used for both age and sex interactions in order to 148 149 parsimoniously build each model. Finally, all risk factors and significant interaction terms were 150 included in a combined model. To account for the non-random events of mortality and attrition (not 151 due to death), the model was adjusted for separate binary indicators of both death and attrition (not due 152 to death) between ages 53 and 69. This approach minimises the correlation between non-random loss 153 to follow up and poorer performance on the balance test, thus reducing bias in the other estimates (52, 154 53). All estimates are presented as sympercents (i.e. as % change) to aid interpretation (54). Stata 14 155 was used for all statistical analyses.

#### 156 **3** Results

157 Characteristics of the sample are described in Table 1. Men were taller than women, had higher adult 158 SEP, higher educational attainment, lower verbal memory and were more likely to have a history of 159 smoking. Men also had a higher prevalence of diabetes and CVD events, although women reported 160 higher prevalence of knee pain and symptoms of anxiety and depression.

#### 161 **3.1** Sex, age and balance

- 162 Women had 18.8% (95%CI: 13.6, 23.9%; Table 2, Figure 1) worse balance performance than men at
- age 53. The interaction between age and sex indicated that for every additional year increase in age,
- 164 the sex difference in balance decreased by 0.7% (0.3, 1.2%). Thus, at ages 63 and 69 respectively,
- 165 women had 11.4% (7.6, 15.2%) and 7.0% (2.1, 11.9%) lower balance times than men. Despite the sex
- 166 differences in balance performance across time, there were no interactions between sex and any of the
- 167 risk factors investigated.

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#### 168 **3.2** Socioeconomic indicators and balance

169 The results of the likelihood ratio tests for deviations from linearity suggested that all four socioeconomic indicators could be modelled as continuous variables. More disadvantaged SEP for all 170 171 four indicators - paternal occupation, maternal education, own education, own occupation - was 172 associated with worse balance times (Table 2, Figure 2). For example, more disadvantaged paternal 173 occupational class was associated with 14.8% (11.1, 18.4%; Table 2, Figure 2A) poorer balance time 174 for each subsequent level. The associations with paternal occupational class, maternal education and 175 own educational attainment all became smaller with age (all p<0.001, Table 2, Figure 2), however there 176 was no interaction between own occupational class and age (p=0.1).

## 177 **3.3** Anthropometric indicators and balance

Height had a quadratic association with balance such that taller individuals had better balance times than shorter individuals although this association plateaued at the tallest heights (see Table 2, Figure 3). BMI had an inverse linear association with balance, where every additional kg/m<sup>2</sup> was associated with 2.8% (2.5, 3.1%) poorer balance time (Table 2, Figure 4). There was no evidence of an interaction with age for either height (p=0.1) or BMI (p=0.6) suggesting that the association stayed constant over time (Table 3).

## 184 **3.4 Health behaviours and balance**

185 Those who participated in leisure time physical activity 1-4 times (23.9% (17.3, 30.5%)) or 5+ times 186 (23.3% (18.0, 28.7%)) per month had better balance times than those who did not participate at age 53 187 (Table 2, Figure 5A). There was no difference in balance between those who participated in leisure 188 time physical activity 1-4 times/month and those who participated 5+ times/month. There was evidence that the association got smaller with age, as shown by the age-interaction for those who participated 1-189 190 4 times/month.. Individuals who had a past history of smoking or who were current smokers had worse 191 balance ability than those who had never smoked (6.1% (3.3, 8.9%); Table 2, Figure 5B); there was no 192 evidence that this association changed with age.

## 193 **3.5** Current health status and balance

Individuals who had a history of diabetes, a history of CVD events or current respiratory symptoms had worse balance performance; these associations remained constant with age (Table 2, Figure 6A-C). Those who reported knee pain had 10.8% (4.6, 17.0%) lower balance times at age 53; this association got larger with age (0.7% per year (0.1, 1.2)) such that those with knee pain at age 69 had 21.6% (15.4, 27.8%) poorer balance than those with no knee pain (Table 2, Figure 6D). A one standard

- 199 deviation increase in depression and anxiety symptoms on the GHQ-28 questionnaire was associated
- with a 5.2% (2.8, 7.7%, Table 2, Figure 6E) decrease in balance times. This association also increased
- with age by 0.3% per year (0.04, 0.5%); by age 69, 1 SD increase in GHQ-28 score was associated
- with a 9.5% (7.0, 11.9%) decrease in balance time.

### 203 **3.6** Cognitive ability and balance

204 One standard deviation increase in verbal memory was associated with a 13.4% (11.0, 15.9%; Table

205 2, Figure 7) increase in balance time at age 53. This association got smaller with age (0.5% per year,

206 (0.3, 0.7%), but remained associated with balance at age 69 (5.1%, (2.6, 7.5%)).

#### 207 **3.7** Combined model of all covariates and their association with balance

Table 4 provides the estimates for the combined model of all covariates and the relevant age interaction terms. Notably, being female, having higher BMI, lower maternal education, lower educational attainment, lower own occupational class, not participating in leisure time physical activity, reporting a history of CVD events, higher levels of anxiety and depression and lower verbal memory remained associated with lower balance time. Nearly all age interaction terms weakened and were no longer statistically significant (at the 5% level) in this model, although there remained evidence that the associations with sex and verbal memory decreased with age.

#### 215 4 Discussion

#### 216 4.1 Main findings

217 We quantified associations between a range of risk factors across life and balance performance at ages 218 53, 60-64 and 69. Individuals with better balance were more likely to be male, have higher SEP in 219 both childhood and adulthood, be taller, have lower BMI, partake in leisure time physical activity and 220 were less likely to smoke. Individuals with better balance were also more likely to be healthier (no 221 history of diabetes or CVD, not currently experiencing respiratory symptoms or knee pain), less likely 222 to be experiencing symptoms of depression and anxiety, and more likely to have higher verbal memory. 223 In a combined model, the majority of risk factors remained independently associated with balance, 224 indicating that the factors across life that are associated with one-legged balance are multifaceted and 225 complex.

226 Sex differences in balance performance were not explained by adjustment for other risk factors. 227 Furthermore, there was no evidence to suggest that the associations between these risk factors and 228 balance differed by sex, although several associations did change with age. Associations of balance performance with sex, socioeconomic indicators, physical activity and verbal memory became smaller with increasing age, while associations with anthropometric indicators, smoking and physical health status stayed constant. Two associations became larger with age; associations of both knee pain and symptoms of anxiety and depression with balance doubled from age 53 to 69.

## 233 4.2 Comparison with other studies and explanation of findings

## 234 4.2.1 Socioeconomic indicators

235 A systematic review and meta-analysis of over 22 000 individuals from 11 separate studies reported 236 that lower childhood SEP (as indicated by parental occupation and education) was associated with 237 inability to balance with eyes open for  $\geq 5$  seconds (14); adjustment for adult SEP fully attenuated the 238 effect of childhood SEP (paternal occupation used if available). However, maternal education and both 239 indicators of adulthood SEP remained independently associated with balance time in our fully-adjusted 240 model. In addition to differing operationalisations of balance performance (continuous vs binary; eyes 241 closed vs eyes open), a possible explanation for these differing results is that 9 of the 11 studies 242 included in the meta-analysis relied upon retrospective reports of childhood SEP (55). A strength of 243 NSHD is that data on SEP and other risk factors were prospectively ascertained and so not prone to 244 recall bias. As previously shown in relation to cognitive outcomes (56, 57), paternal occupational class 245 and maternal education may have distinctive associations with balance performance; further 246 exploration of these differences are required.

247 While childhood SEP is hypothesised to be associated with balance ability via a complex pathway of 248 health behaviours, education, adult SEP, cognitive ability and/or health conditions, the association 249 remained when these factors were included in the model. Thus, childhood may also represent a 250 sensitive period of development for balance ability, as previously hypothesised when testing 251 associations of childhood cognition and midlife balance performance in NSHD (17). Adult SEP may 252 also be associated with balance through a pathway of current physical and cognitive health or health 253 behaviours. That both childhood and adult SEP indicators remained independently associated with 254 balance suggests that accumulation of low SEP across the life course may be a greater risk factor than 255 low SEP at any one particular life stage.

Notably, the relationship between most SEP indicators and balance time weakened with increasing age. This suggests that SEP may be more strongly associated with balance in midlife than at older ages when substantial age-related decline begins and chronic diseases manifest. Nevertheless, the association between the most recent measure of SEP (occupational class at age 53) and balance did not change with age.

#### 261 *4.2.2 Anthropometric indicators*

Higher body mass may influence the stability of an individual and the motor mechanisms involved in the balance process. For example, individuals with higher BMI often require more movement in order to maintain their balance, thus frequently demonstrate high levels of postural sway and reduced balance performance (41, 58). Studies have suggested that body stability is inversely related to the height of the centre of gravity (41) and that shorter individuals are better able to maintain their balance. However, we found that taller individuals had better balance than shorter individuals though this effect appeared to plateau above a certain height.

Previous evidence has suggested that sex differences in balance performance disappear when scores are normalised to height (24-26, 59), while other studies have shown that anthropometric factors are major determinants of balance performance in women only (12). However, we found no sex differences in the association of either height or BMI with balance and adjustment for these measures did not explain sex differences (as seen in the combined model). Given that men have higher average strength and mobility compared to women (60-62), further investigation into whether more detailed assessment of body composition (e.g. lean mass, fat mass) explains sex differences is warranted

## 276 *4.2.3 Health behaviours*

277 It is well recognised that low levels of physical activity (63-66) and current or past smoking (64, 67) 278 have negative consequences for an individual's physical capability, including their balance 279 performance. Some studies have shown increasing levels of physical activity are associated with better 280 balance (21, 68), while others have shown that there is no difference in health benefit between 281 moderately active and maximally active groups (64). In this study, participation in leisure time physical 282 activity was associated with better balance performance. Although there was little additional benefit 283 for balance beyond 1-4 times per month at age 53, a graded association between increasing levels of 284 physical activity and balance performance emerged by age 69 (see Figure 5A).

Individuals who currently smoked had worse balance performance compared with those who were exsmokers; ex-smokers also had worse balance compared with those who had never smoked. Although not previously examined, this is consistent with increasing severity of poor physical capability seen amongst categories of smoking history (64, 69), suggesting that quitting smoking may have a positive association with balance performance.

#### 290 4.2.4 Current health status

- 291 The presence of each physical and mental health condition (diabetes, CVD, respiratory symptoms, knee
- 292 pain, symptoms of anxiety and depression) was associated with poorer balance performance. This is

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293 consistent with the literature on how current health impacts an individual's physical capability or 294 functional decline (42, 70, 71). Each health condition likely has a direct biological pathway impacting 295 balance. For example, diabetes is related to both peripheral neuropathy (72) and age-related visual 296 impairment (73, 74) while knee pain can have a direct impact on proprioception and musculoskeletal 297 function (75). Individuals with a history of CVD events or respiratory symptoms often demonstrate 298 shared pathophysiological features common in those with balance impairment including increased 299 postural sway due to physical displacement of breathing (76), decreased blood flow in specific 300 functional areas (77) and decreased musculoskeletal capacity (78). Finally, increased inflammatory 301 markers that are common in arthritis, such as C-reactive protein or nitric oxide (79) are also more 302 common in individuals with depression than those without. In addition to this inflammation pathway, 303 individuals with depression also tend to restrict their physical activity, have reduced motivation to 304 perform well and exhibit psychomotor impedance such as a slowing in musculoskeletal components 305 (80); all of these factors can influence balance performance.

The associations of diabetes, CVD and respiratory symptoms with balance performance were constant. However, the associations of knee pain and symptoms of anxiety and depression with balance got stronger at older ages. The constant or increasing associations between health conditions and balance ability with age suggests that overall health becomes relatively more important for balance ability in later life; this could in part explain why the strength of associations with many other risk factors decreased with increasing age.

## 312 *4.2.5 Cognitive ability*

313 As expected given previous findings in NSHD (17, 18), higher verbal memory was associated with 314 higher levels of balance performance. Cognitive processing of sensory and motor input is an important 315 component of the balancing process (81). Previous evidence in NSHD has shown that childhood 316 cognitive ability is associated with adult balance performance; this is primarily via an adult cognition 317 and education pathway that is independent of most of the other risk factors examined here (17). As 318 suggested above, the decreasing strength of association with age suggests that cognitive ability 319 becomes less important with age, as other factors in the ageing process, in particular health conditions, 320 become more important.

321

## 322 **4.3** Methodological considerations

323 A major strength of this paper is the assessment of one-legged balance performance at three ages, 324 which facilitated our novel investigation into whether associations between risk factors and balance change over sixteen years, from mid to later life. A second strength is the comprehensive investigation, 325 326 in separate and combined models, of the associations between fourteen different factors across life and 327 balance ability. These risk factors were all prospectively ascertained which increases reliability of 328 response and limits recall bias. A third strength was the methods used to include those individuals who 329 had missing balance scores for health reasons or because of death or loss to follow up between ages 53 330 and 69. These combined strengths provided novel evidence on how the associations between these 331 risk factors and balance performance change with age. Finally, the age homogeneity of the sample 332 eliminated any confounding by age that is common when examining physical capability in mid and 333 later life (82, 83).

334 One potential limitation of our study is that we were unable to include participants in analyses if they had been lost to follow up before age 53 (i.e. the age at which balance was first assessed). 335 336 Characteristics of study members who were lost to follow up before the first clinical assessment at age 337 53 were more likely to be male (84), have lower childhood and adulthood occupational class (30, 84), 338 demonstrate unhealthy behaviours (smoking, physical inactivity) (84), have lower verbal memory (84) 339 and have poorer overall health (30). Participants who were followed up to age 53 but could not be 340 included in analyses due to missing data on risk factors had similar characteristics to those lost to follow-up before age 53. Many of these characteristics (i.e. low SEP, unhealthy behaviours, lower 341 342 cognition and poorer health) were negatively associated with balance ability, thus it is hypothesized 343 that those with lost to follow up before age 53 or with missing risk factor data may have had poorer 344 balance. This likely resulted in an underestimation of the size and strength of associations.

345 Two further limitations are the assumptions of the model: that the change in balance over time is linear 346 and that all individuals follow the same mean trajectory of decline. Individuals are likely to exhibit 347 heterogonous ageing trajectories as they demonstrate different patterns of change in balance 348 performance with age (e.g. steeper decline, delayed decline, maintenance of balance ability). As there 349 were only three time points for balance, it was not appropriate to test for non-linearity in balance 350 trajectories. Identifying polynomial time terms can help identify the age at which decline begins or 351 accelerates. Further research, with at least four measures of balance performance, should address these 352 differences across time and between individuals. We also need to consider the possibility that other 353 factors not considered in our analyses such as alcohol consumption and medication use may also be 354 important and need to be considered in future research.

Although there were multiple comparisons, the risk of Type 2 error remains low as all of the primary associations in Table 2 were significant at p<0.001 and an alpha of 0.05 was intentionally used for interaction terms to ensure a parsimonious model. Finally, the one-legged balance test measures a specific aspect of static balance that does not directly represent the dynamic balance relied upon in everyday situations (85-87). Further research should consider if associations between the risk factors identified in this study are consistent for tests of dynamic balance or for more sensitive measures of postural sway, as assessed with a force plate.

#### 362 4.4 Implications and conclusions

363 We investigated fourteen different factors across life that are associated with balance performance. 364 These findings are important in considering appropriate interventions to minimise balance decline or 365 when identifying high-risk individuals. That multiple risk factors were identified suggests that a 366 multifactorial approach including behavioural, health and cognitive factors (amongst others) may have 367 more benefit than a focus on a single risk factor. As several of these risk factors have different 368 associations with balance at different ages, there may be benefit in targeting different factors at 369 different ages. Knee pain and symptoms of depression and anxiety both appear to become more 370 important with age and may represent important targets for intervention in midlife before their potential 371 association with balance performance increases. While not all of the factors identified (e.g. 372 socioeconomic, height, smoking history) may be easily modified, they are likely to have utility in 373 helping to identify individuals at high risk of future balance difficulties who may require more support 374 than others to maintain balance as they age.

In summary, this study identified many anthropometric, behavioural, socioeconomic, health and cognitive risk factors across life that are associated with balance performance. The majority of variables remained independently associated with balance suggesting that the range of risk factors associated with poor balance is diverse and complex. This highlights the importance of considering both type (i.e. multifactorial approach) and timing (i.e. early, mid and later life) of interventions that target balance ability in adulthood.

#### 381 Conflict of Interest

382 The authors declare that the research was conducted in the absence of any commercial or financial 383 relationships that could be construed as a potential conflict of interest.

#### **384 Author Contributions**

- 385 All authors contributed to the conception and design of the study. JB performed statistical analyses
- and wrote the first draft of the manuscript. All authors contributed to manuscript revision, read and
- 387 approved the submitted version.
- 388
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- 399

## 400 Figure titles:

- 401 **Figure 1.** Differences in balance time at ages 53, 60-64 and 69 years by sex
- 402 Figure 2. Differences in balance time at ages 53, 60-64 and 69 years by A. paternal occupational
- 403 class, B. maternal education, C. own education and D. own occupational class
- 404 **Figure 3.** Differences in balance time at ages 53, 60-64 and 69 years by height (cm)
- 405 Figure 4. Differences in balance time at ages 53, 60-64 and 69 years by BMI (kg/m<sup>2</sup>)
- 406 **Figure 5.** Differences in balance time at ages 53, 60-64 and 69 years by A. leisure time physical activity
- 407 and B. smoking status
- 408 Figure 6. Differences in balance time at ages 53, 60-64 and 69 years by A. diabetes, B. CVD events,
- 409 C. respiratory symptoms, D. knee pain and E. symptoms of depression and anxiety
- 410 Figure 7. Differences in balance time at ages 53, 60-64 and 69 years by verbal memory
- 411
- 412

		<b>Men</b> (n=1550)	<b>Women</b> (n=1561)	Tests of sex differences (p-value)	
ONE-LEGGED	BALANCE TIME (s	), median (Q1, Q3), n		(p-value)	
Age		5(3, 10), n=1421	4 (3, 7), n=1476	< 0.001	
Age 60-64		3.57 (2.35, 5.53), n=1055	3.16 (2.16, 4.72), n=1148	< 0.001	
Age 69		2.94 (1.84, 4.78), n=1037	2.72 (1.69, 4.15), n=1079	< 0.001	
Ŭ	MIC INDICATORS,				
Paternal occupat					
	II Intermediate	407 (27.6)	383 (26.0)	0.56	
III Skilled (not	n-manual or manual)	692 (46.9) 716 (48.7)			
IV Partly skill	ed/V Unskilled	377 (25.5)	372 (25.3)		
Maternal educati	ion				
Secondary and	further education	162 (11.74) 169 (12.2)		0.49	
Secondary onl	у	167 (12.1)	153 (11.0))		
Primary and fu	urther education	213 (15.4)	193 (13.90)		
Primary only		838 (60.7)	873 (62.9)		
	d occupational class				
I Professional/II Intermediate		788 (51.6) 559 (36.1)		< 0.001	
III Skilled (non-manual or manual)		578 (37.8)	659 (42.6)		
	ed/V Unskilled	162 (10.6)	329 (21.3)		
Educational attai				< 0.001	
Degree or higher		212 (14.5)			
GCE A level o		408 (27.9)	343 (23.3)		
GCE O level o	or Burnham C	211 (14.4)	377 (25.6)		
Sub GCE		92 (6.3)	134 (9.1)		
None attempte		540 (36.9)	537 (36.5)		
	CTRY, mean (SD)				
Height (m)				0.004	
Age 53 Age 60-64		1.75 (0.07), n=1436	1.62 (0.06), n=1498	< 0.001	
		1.75 (0.09), n=1062	1.62 (0.06), n=1159	< 0.001	
Age	69	1.73 (0.09), n=1023	1.61 (0.06), n=1077	< 0.001	
BMI (kg/m <sup>2</sup> )	52	27.4(4.0) = -1.425	27.4(5.5) $-1.486$	0.90	
Age		27.4 (4.0), n=1435 27.0 (4.1), n=10(1)	27.4 (5.5), n=1486	0.89	
	e 60-64	27.9 (4.1), n=1061 28.2 (4.6) $n=1040$	27.9(5.5), n=1158 28.2(5.7) $n=1081$	0.92 0.91	
Age		28.2 (4.6), n=1040	28.2 (5.7), n=1081	0.91	
	L RISK FACTORS, 1	11 (70)			
Leisure time phy Age 53	None	602 (47.0)	761(50.4)	0.19	
Age 33	1-4 times/month	693 (47.9) 270 (18 7)	761 (50.4)	0.18	
	5+ times/month	270 (18.7) 485 (33.5)	245 (16.2) 503 (33.3)		
Age 60-64	None	485 (55.5) 681 (65.2)	716 (62.9)	0.52	
Age 00-04	1-4 times/month	137 (13.1)	162 (14.2)	0.52	
	5+ times/month	227 (21.7)	261 (22.9)		
Age 69	None	711 (59.9)	777 (61.33)	0.08	
Age 09	1-4 times/month	135 (11.4)	170 (13.42)	0.00	
	5+ times/month	341 (28.7)	320 (25.3)		
Smoking status	5 - times/ month	JTI (20.7)	520 (25.5)		
Age 53	Current	343 (23.6)	339 (22.5)	< 0.001	
1150 33	Previous smoker	737 (50.8)	671 (44.5)	~0.001	
	Never smoker	371 (25.6)	499 (33.1)		
Age 60-64	Current	137 (12.3)	142 (11.8)	< 0.001	
1160 00 04	Previous smoker	663 (59.5)	629 (52.1)	-0.001	
	Never smoker	314 (28.2)	436 (36.1)		
Age 69	Current	123 (10.3)	111 (8.8)	< 0.001	
1.50 07	Previous smoker	756 (63.5)	723 (57.2)	-0.001	

## **Table 1.** Characteristics of analytical sample (n=3111), MRC National Survey of Health and Development

Never	smoker	311 (26.1)	430 (34.0)	
EALTH STATUS, n(%)				
Diabetes	Age 53	57 (3.1)	43 (2.4)	0.18
	Age 60-64	129 (10.1)	99 (7.2)	< 0.01
	Age 69	175 (13.7)	136 (10.0)	< 0.005
CVD events	Age 53	85 (5.8)	48 (3.2)	< 0.01
	Age 60-64	131 (11.5)	62 (5.1)	< 0.001
	Age 69	193 (17.6)	114 (10.0)	< 0.001
Respiratory symptoms	Age 53	292 (19.9)	276 (18.2)	0.22
	Age 60-64	233 (20.1)	224 (18.2)	0.15
	Age 69	264 (24.5)	266 (22.4)	0.23
Knee pain	Age 53	226 (15.5)	310 (20.6)	< 0.001
-	Age 60-64	216 (20.3)	288 (24.6)	0.01
	Age 69	190 (18.1)	241 (22.1)	0.02
Depression/anxiety	Age 53	15.2 (7.3), n=1051	17.8 (8.9), n=1137	< 0.001
	Age 60-64	15.7 (8.6), n=1407	18.9 (10.3), n=1470	< 0.001
	Age 69	14.1 (7.5), n=1025	16.2 (8.2), n=1068	< 0.001
Verbal memory scores,	mean (SD)			
-	Age 53	23.0 (6.2), n=1397	24.9 (6.2), n=1473	< 0.001
	Age 60-64	23.0 (5.9), n=1023	25.4 (6.1), n=1127	< 0.001
	Age 69	21.2 (6.0), n=1005	23.1 (6.0), n=1057	< 0.001



## **Table 2.** Associations between risk factors and balance performance in multilevel models

		Mean difference in % balance time at age 53 (intercept)		Age (yr)*covariate interaction	
Risk factors <sup>a</sup>	n participants (n observations)	Coefficient (%) (95% CI)	p-value	Coefficient (%) (95% CI)	p-value
1: Sex (female vs male (ref))	3111 (obs=7216)	-18.8 (-23.9, -13.6)	< 0.001	0.7 (0.3, 1.2)	< 0.001
2: Paternal occupational class <sup>b</sup> (per 1 level change)	2947 (obs=6838)	-14.8 (-18.4, -11.1)	< 0.001	0.5 (0.2, 0.8)	< 0.001
<b>3:</b> Maternal education <sup>c</sup> (per 1 level change)	2768 (obs=6424)	-11.3 (-23.8, -8.8)	< 0.001	0.4 (0.2, 0.6)	< 0.001
4: Education at age 26 <sup>d</sup> (per 1 level change)	2935 (obs=6830)	-11.1 (-12.9, -9.3)	< 0.001	0.3 (0.2, 0.5)	< 0.001
5: Own occupational class <sup>b</sup> (per 1 level change)	3075 (obs=7167)	-15.2 (-17.9, -12.6)	< 0.001	-	-
6: Height (cm) linear term	3090 (obs=7144)	12.5 (6.4, 18.7)	< 0.001		
quadratic term		-0.04 (-0.05, -0.02)	< 0.001	-	-
<b>7:</b> BMI (kg/m <sup>2</sup> )	3083 (obs=7150)	-2.8 (-3.1, -2.5)	< 0.001	-	-
8: Leisure time physical activity <sup>e</sup>	3094 (obs=6960)				
1-4 times		23.9 (17.3, 30.5)	< 0.001	-0.7 (-1.3, 0.02)	0.04
5+ times		23.3 (18.0, 28.7)	< 0.001	-0.4 (-0.9, 0.1)	0.12
9: Smoking <sup>f</sup> (per 1 level change)	3092 (obs=6996)	6.1 (3.3, 8.9)	< 0.001	-	-
<b>10:</b> Diabetes <sup>g</sup>	3111 (obs=7214)	18.0 (11.6, 24.4)	< 0.001	-	-
11: CVD events <sup>g</sup>	3072 (obs=6895)	19.1 (12.9, 25.4)	< 0.001	-	-
<b>12:</b> Respiratory symptoms <sup>g</sup>	3062 (obs=6634)	8.6 (4.5, 12.7)	< 0.001	-	-
<b>13:</b> Knee pain <sup>g</sup>	3108 (obs=7173)	10.8 (4.6, 17.0)	< 0.001	-0.7 (-1.3, -0.01)	0.02
14: Symptoms of depression/anxiety <sup>h</sup> (per 1 SD)	3071 (obs=7032)	5.3 (2.8, 7.7)	< 0.001	0.3 (0.04, 0.5)	< 0.02
<b>15:</b> Verbal memory <sup>h</sup> (per 1SD)	3035 (obs=6979)	13.4 (11.0, 15.9)	< 0.001	-0.4 (-0.6, 0.2)	< 0.05

<sup>a</sup> all models adjusted for sex as no evidence of sex interactions (see Table 3) <sup>b</sup> ref: I Professional or II Intermediate

<sup>c</sup> ref: Secondary and further education

<sup>d</sup> ref: Degree or higher <sup>e</sup> ref: none in last 4 weeks

<sup>f</sup> ref: current smoker

<sup>g</sup> ref: individuals with no health condition

<sup>h</sup> SD estimates at each age are provided in Table 1

**Table 3**. Summary of tests of non-linearity, sex interactions and age interactions of all covariates with balance ability

	Description of	Sex interaction	Age interaction	
	how variable is modelled	p-value	effect on size of association	
Sex (female)	n/a <sup>a</sup>	n/a	$\downarrow$ with age	
Socioeconomic indicators			¥	
Paternal occupational class	Continuously	0.9	Effect ↓ with age	
Maternal education	Continuously	0.7	Effect $\downarrow$ with age	
Education	Continuously	0.5	Effect $\downarrow$ with age	
Own occupational class	Continuously	0.4	Constant with age	
Anthropometry				
Height	Quadratic term	0.9	Constant with age	
BMI	Linear term only	0.1	Constant with age	
Health behaviours				
Leisure time physical activity	Categorically	0.7	Effect $\downarrow$ with age	
Smoking	Continuously	0.1	Constant with age	
Current health status				
History of diabetes	n/a <sup>a</sup>	0.5	Constant with age	
History of cardiovascular events	n/a <sup>a</sup>	0.2	Constant with age	
Respiratory symptoms	n/a <sup>a</sup>	0.6	Constant with age	
Knee pain	n/aª	0.8	Effect $\uparrow$ with age	
Symptoms of anxiety & depression	Linear term only	0.4	Effect $\uparrow$ with age	
Other				
Verbal memory	Linear term only	0.2	Effect $\downarrow$ with age	

420 <sup>a</sup> Unable to test non-linearity in dichotomous indicators.



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**Table 4.** Combined model of all risk factors and all significant age interactions from individual models additionally adjusting  $\frac{422}{100}$  for death and attrition, n=2465 (obs=5150)

	Mean difference in time at age 53 (in		Age (yr)*covariate interaction	
Risk factors <sup>a</sup>	Coefficient (%) (95% CI)	p-value	Coefficient (%) (95% CI)	p-value
Sex (female)	-21.7 (-28.7, -14.7)	< 0.001	0.9 (0.4, 1.3)	< 0.001
Paternal occupational class <sup>b</sup> (per 1 level change)	-2.7 (-6.8, 1.5)	0.21	0.3 (-0.1, 0.7)	0.11
Maternal education <sup>c</sup> (per 1 level change)	-3.9 (-6.7, -1.0)	< 0.01	0.1 (-0.2, 0.3)	0.51
Education at age 26 <sup>d</sup> (per 1 level change)	-3.8 (-6.2, -1.3)	< 0.005	-0.2 (-0.4, 0.02)	0.08
Own occupational class <sup>b</sup> (per 1 level change)	-4.9 (-8.2, -1.7)	< 0.005	-	-
Height (m) linear term	5.0 (-1.6, 11.6)	0.13		
quadratic term	-0.02 (-0.04, 0.004)	0.12	-	-
BMI (kg/m <sup>2</sup> )	-2.1 (-2.5, -1.7)	< 0.001	-	-
Leisure time physical activity <sup>e</sup>				
1-4 times 5+ times	9.1 (1.9, 16.3) 5.8 (-0.2, 11.8)	< 0.001	0.1 (-0.6, 0.8) 0.3 (-0.3, 0.9)	0.28
Smoking <sup>f</sup> (per 1 level change	) 1.4 (-1.6, 4.4)	0.36	-	-
Diabetes <sup>g</sup>	-6.9 (-14.1, 0.5)	0.07	_	-
CVD events <sup>g</sup>	-7.1 (-14.0, -0.3)	0.04	-	-
Respiratory symptoms <sup>g</sup>	-2.5 (-7.0, 2.0)	0.28	-	-
Knee pain <sup>g</sup>	-4.5 (-11.2, 2.1)	0.18	-0.2 (-0.8, 0.4)	0.55
Symptoms of depression/anxiety <sup>h</sup> (per 1 SD)	-3.1 (-5.8, -0.4)	0.02	-0.1 (-0.4, 0.2)	0.46
Verbal memory <sup>h</sup> (per 1SD)	5.9 (2.8, 8.9)	< 0.001	-0.4 (-0.7, -0.1)	< 0.01

<sup>a</sup> all models adjusted for sex as no evidence of sex interactions (see Table 3)

<sup>b</sup> ref: I Professional or II Intermediate

<sup>c</sup> ref: Secondary and further education

<sup>d</sup> ref: Degree or higher

<sup>e</sup> ref: none in last 4 weeks

<sup>f</sup> ref: current smoker

<sup>g</sup> ref: individuals with no health condition

<sup>h</sup> SD estimates at each age are provided in Table 1



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