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Restraint minimisation in mental health care: legitimate or illegitimate force? An ethnographic study

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Abstract:	Coercive practices, such as physical restraint, are used globally to respond to violent, aggressive and other behaviours displayed by mental health service users ¹ . A number of approaches have been designed to aid staff working within services to minimise the use of restraint and other restrictive practices. One such approach, the 'REsTRAIN Yourself' (RYS) initiative, has been evaluated in the UK. Rapid ethnography was used to explore aspects of organisational culture and staff behaviour exhibited by teams of staff working within 14 acute admission mental health wards in the North West region of the English NHS. Findings comprise four core themes of space and place; legitimation; meaningful activity; and, therapeutic engagement that represents characteristics of daily life on the wards before and after implementation of the RYS intervention. Tensions between staff commitments to therapeutic relations and constraining factors were revealed in demarcations of ward space and limitations on availability of meaningful activities. The physical, relational and discursive means by which ward spaces are segregated prompts attention to the observed materialities of routine care. Legitimation was identified as a crucial discursive practice in the context of staff reliance upon coercion. Trauma informed care represents a potentially alternative legitimacy.

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8 **ethnographic study**
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14 **Abstract**
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16
17 Coercive practices, such as physical restraint, are used globally to respond to violent,
18 aggressive and other behaviours displayed by mental health service users¹. A number of
19 approaches have been designed to aid staff working within services to minimise the use of
20 restraint and other restrictive practices. One such approach, the 'REsTRAIN Yourself' (RYS)
21 initiative, has been evaluated in the UK. Rapid ethnography was used to explore aspects of
22 organisational culture and staff behaviour exhibited by teams of staff working within 14 acute
23 admission mental health wards in the North West region of the English NHS. Findings
24 comprise four core themes of space and place; legitimisation; meaningful activity; and,
25 therapeutic engagement that represents characteristics of daily life on the wards before and
26 after implementation of the RYS intervention. Tensions between staff commitments to
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29 means by which ward spaces are segregated prompts attention to the observed materialities
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32 legitimacy.
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Introduction

There is international interest in minimising restrictive practices within mental healthcare (Duxbury 2015). This paper reports on a rapid ethnography situated within a larger evaluation of the ReSTRAIN Yourself (RYS) initiative within mental health inpatient wards (AUTHORS 2019a). The ethnographic account provides a contextual overview of the range of environments in included mental health wards; focused attention to specific cultural aspects that could theoretically have been influenced by the RYS approach; and general description of changes occurring on the wards over the time of the study. We explore the legitimacy of restrictive practices in psychiatric services through a sociological lens.

Sociologists have long held an interest in the study of mental illness as a form of social deviance and its relationship to wider society (Rogers and Pilgrim 2014). Classically, Goffman (1961) studied the micro-relational interactions of psychiatric institutions, highlighting how various practices resulted in forms of moral degradation and a mortification of self for detained inmates. Then and now, restrictive practices are deployed within psychiatric services enmeshed with broader systems of governance and control (Foucault 2003, Rose 1996). Notions of epistemic injustice and violence (Fricker 2007) have been taken up in critique of mental health services, whereby psychiatric knowledge stands accused of implicit violence and legitimisation of explicitly violent acts such as physical restraint or forced medication (Liegghio 2013, Russo and Beresford 2015). Hence, for Holmes and colleagues (2013: 9) the focus should be on the extent to which violence is 'bred in the practices' of organisations. Furthermore, recent austerity policies have diluted resources, particularly ward staffing levels (Baker et al. 2016), exacerbating restrictive ward cultures (Docherty and Thornicroft 2015).

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3 Increasingly, inpatient mental health services have become characterised by compulsion
4 and coercion (Szmukler 2015). This has been criticised by progressive organisations, staff
5 and service users (Rose et al. 2015, 2017), prompting initiatives to minimise restrictive
6 practices (Duxbury 2015, Moran et al. 2009, Muir-Cochrane and Gerace 2016, Paterson and
7 Duxbury 2007, Watson et al 2014). Systematic programmes of change including the US Six
8 Core Strategies (6CS) (LeBel et al. 2014), from which RYS is adapted for the UK context,
9 and Safewards (Bowers 2014) have been evaluated internationally (Ashcraft and Anthony
10 2008, Barton et al 2009, Borckardt et al 2011, Bowers et al. 2015, Lewis et al. 2009,
11 Putkonen et al 2013). These initiatives share a prevention-oriented focus on improving
12 relational care, de-escalating aggression and violence and debriefing teams following use of
13 restraint. RYS specifically emphasises trauma-informed care, acknowledging the harm that
14 restraint can cause. The ethnography aimed to explore ward cultures in relation to
15 experiences of the use of restraint and the impact of the RYS intervention.
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34 **Methodology**

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37 This study took place on 14 acute mental health wards within 7 NHS Trusts across the North
38 West of England. Half of the wards, one in each Trust, received the RYS intervention. The
39 impact of this was evaluated using a range of measures within a non-randomised trial. Data
40 collection took place between July 2014-February 2016. A qualitative dimension of the study,
41 comprising rapid ethnography observations and semi-structured interviews with purposive
42 samples of staff and service users, undertaken by researchers attending each ward at two
43 intervals, before and after the intervention phase within each Trust.
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56 Rapid ethnography is an intensive, shortened form of ethnographic data collection designed
57 for researching a slice of organisational life and workplace cultures (Baines and Cunningham
58 2011, Handwerker, 2001). It has been utilised in health care research where time,
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3 budgetary, or other pragmatic considerations militate against more extensive anthropological
4 study (Bentley et al. 1988, Johnson and Vindrola-Padros 2017). The approach aims to
5 maximise depth of observation and analysis, even though the period of data collection is
6 relatively short compared with classic ethnographies. Despite various criticisms, robustly
7 conducted rapid ethnographies can usefully inform policy and practice (Vindrola-Padros and
8 Vindrola-Padros 2018).

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19 Two researchers undertook the majority of the ethnography: research assistants from a
20 psychology background (initials) and with lived experience of being detained on mental
21 health wards (initials). Senior researchers (initials) were involved for at least one day of each
22 observation period, and provided regular support and supervision for the research
23 assistants. Having a mixture of service user and professional inputs represented in our team
24 allowed for a richly reflexive relationship to the data (Hammersley & Atkinson 2007). Prior to
25 the study all members of the research team were committed to the possibilities for restraint
26 reduction and were to a varying degree, critical of coercive practices.

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40 The researchers were present on the wards at each data collection interval for approximately
41 a week, mirroring full staff-shifts and observing key practices such as staff team handover,
42 medication rounds, and lunchtime activity. Ethnographic field notes, structured using
43 Spradley's (1980) framework, were written away from the ward at break-times and end of
44 shift each day. In total, across all the wards and both time intervals, 162 interviews were
45 undertaken; 130 with staff, 32 with service users. The staff sample included different levels
46 of work experience, gender and ages, grades and professional roles. The majority of the
47 staff comprised registered mental health nurses and health care assistants (HCAs), all of
48 whom were involved in restraint practices. Service user participants included a mix of age,
49 gender and diagnosis. Interviews aimed for a conversational, open style, exploring aspects
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3 of the participants' experiences of the ward environment and restraint practices. They also
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5 sought to reveal attitudes towards coercive care, its context and personal impact. In the
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7 interviews undertaken after the intervention, experiences of RYS and attempts to change
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9 practice were explored. Interviews varied in length from approximately 20 to 90 minutes,
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11 were audio-recorded and transcribed in full. Transcripts and emergent analysis were not
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13 shared with participants for pragmatic reasons of limited resources.
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19 Field notes relating to 1260 hours of person-observation and interview data were analysed
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21 thematically (Braun and Clark 2005). Analysis was undertaken by the four researchers
22
23 involved in the ethnographic data collection. Initially, two researchers (initials) independently
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25 coded the data via iterative reading and re-reading. A coding framework was defined and
26
27 refined. Codes were then merged and synthesised into key themes that represented the
28
29 entire data set. Identified themes were agreed with the other two team members involved in
30
31 data collection (initials), and finally with the whole team. Findings are presented and
32
33 illustrated with field note excerpts and quotes from interviews. Additional analysis of
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35 interview data is reported elsewhere (AUTHORS 2019b). Analysis was supported using
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37 MAXQDA software (version 11).
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44 As with any ethnography, transferability of findings is limited due to the interpretive emphasis
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46 on people and relations within a particular context. The relative brevity of data collection
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48 under rapid ethnography has been criticised in some quarters, including the potential to
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50 constrain reflexivity, but commentators within the health field believe this need not
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52 compromise quality (Vindrola-Padros and Vindrola-Padros 2018). Our study addresses
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54 these concerns, with the volume of interview data counterbalancing relative brevity of
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56 observations.
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3 The study was funded by the Health Foundation and received ethical approval via the Health
4 Research Authority (ref. 14/YH/0164).
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10 **Results**

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14 The accounts described here represent characteristics of life across the wards, before and
15 after implementation of the RYS intervention. On the intervention wards a number of positive
16 changes were observable. However, the extent of these varied across the different wards
17 and certain negative characteristics of culture and practice persisted beyond the
18 intervention. The findings are presented in four core themes: space and place; legitimisation;
19 meaningful activity; and, therapeutic engagement; each with pre and post implementation
20 observations.
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33 **Space and Place**

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35 All of the wards differed in design. Tellingly, all were initially informally demarcated into
36 service user and staff space, often comprising quite different worlds. Staff spent most time in
37 office areas with service users congregating in areas proximal to the office. Smaller quiet
38 rooms or TV rooms were under-utilised. Typically, offices had large windows allowing
39 observation of nearby areas, but also constituting a physical barrier between service users
40 and staff. On a few of the wards, a large day room was adjacent or near to the office.
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42 Interaction between staff and service users was often constrained by locked office doors,
43 and occupation of space by staff that operated to exclude service users. HCAs were more
44 likely to be visible within main ward spaces but, even when senior and other staff were out of
45 the office, they regularly kept to their own 'world' with minimal relational contact; present but
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3 *The pattern on this ward appears to be that 'regular' staff (especially nurses) are in*
4 *the office/clinic/reviews (behind closed doors), and agency staff are the only visible*
5 *members on the ward. Their visibility is purely for instrumental tasks such as*
6 *facilitating smoking, doing observations, and they rarely engage with service users,*
7 *unless the service user initiates.* Observation: Site 7_intervention_T1
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17 *Whilst in living room with service users for a minimum of 1.5 hours we saw a staff*
18 *member fleetingly twice conducting observations, again not interacting with service*
19 *users.* Observation: Site 6_intervention_T2
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28 Staff use of space was bound up with simultaneous super-valuation of, and irritation with,
29 record-keeping. This and other work pressures were often related in tandem with bemoaning
30 perceived inadequate staffing levels and associated management support. Positive
31 managerial support for their work, and specifically involvement in change practices, was
32 appreciated but not uncommonly ward staff felt that managers were spatially and relationally
33 distant from practice, or even that they were an active impediment to change. Nursing staff
34 claimed that they were under great pressure to complete care planning and other records
35 associated with (they believed) oppressive risk aversion. They often declared that insufficient
36 data entries on the computerised record would be viewed as a disciplinary offence, justifying
37 lengthy periods spent in the office. Alternately, a different sort of defensive practice involved
38 staff protecting themselves from threat or possibilities for emotionally laborious work by
39 taking refuge in their offices (often justified by the need to complete the paperwork they
40 professed to dislike):
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3 *Staff although friendly (mostly) appear to hide in their [offices]. I feel this environment*
4 *is too comfortable, that staff are somewhat reluctant to leave this area, particularly as*
5 *the atmosphere on the ward is tense.* Observation: Site 6_intervention_T1
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13 The office door was pivotal in the demarcation of ward space, offering a largely impermeable
14 shield from service users. This portal was defended consistently and vigorously, adding to
15 an observed 'us and them' dynamic. At times we observed what we considered to be
16 disrespectful denial of entry or refusal of requests. In the context of a study evaluating
17 approaches to minimise aggression, this appeared provocative and self-defeating:
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27 *I witnessed several times, service users being ignored by staff. This is done in a few*
28 *ways. Service users knock on the office door and get no reply. If a staff then comes*
29 *out of the office, they make no eye contact with the person and ignore what they're*
30 *saying. This is rude and horrible to watch. It clearly is a source of great irritation*
31 *amongst service users and is talked about amongst themselves.* Observation: Site
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38 6_intervention_T1
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44 A subsequent, recurring behavioural refrain on more than one ward involved increasingly
45 frustrated individuals resorting to shouting outside or kicking the office door.
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52 After implementation of the intervention, there was little observed change in the overall
53 demarcation of space on most of the wards observed. The two 'worlds' were still clearly
54 evident. In some cases, change may have been difficult due to factors outside of staff
55 control:
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6 *In terms of the occupation of space on the ward, nurses remain behind closed doors*
7 *either in reviews, in the clinic or behind a computer. They have little to no interaction*
8 *with service users. However, today I have been made aware that the ward has been*
9 *placed on a risk register due to the low level of qualified staff (only 3 full-time qualified*
10 *nurses on the rota). Observation: Site 6_intervention_T2*
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20 There were, however, some minor, though perhaps culturally profound changes in some
21 wards, rendering the boundaries between staff and service user space slightly more
22 permeable:
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30 *Most staff are still in the office but the door is now open. Observation: Site*
31 *4_intervention_T2*
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Leaving the door open is a powerful symbol of invitation, indicative of an awareness of the importance of design and utilisation of space.

Legitimation: justifying restraint

It was rare to hear unprompted staff talk about restraint. When it did happen, it was usually observed in office spaces in periods immediately following a restraint episode. *Justification* was the primary focus of this talk, informed by particular views or judgements on individuals or specific groups of service users. Before implementation, some staff claimed more legitimacy in restraining service users who came from certain populations, such as individuals diagnosed with personality disorder who self-harmed. Furthermore, such

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3 individuals were frequently typified as manipulative' or 'attention-seeking' (within handovers
4 for instance), especially following restraint incidents. They were not seen as 'deserving', and
5 their behaviour was seen as selfish and disruptive to the smooth running of the ward.
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9 Restraint was therefore believed to be the only reasonable option for control and restoration
10 of order:
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17 *Conversation with staff engaged in art activity on the ward. A service user who*
18 *refused to take part was spoken about after she walked away. Staff said this was*
19 *typical of her because she was a 'self-centred PD patient' who also abused drugs*
20 *and alcohol and was the sort of person who would inevitably become aggressive if*
21 *she didn't get what she wanted. And then the use of physical restraint would be*
22 *equally inevitable.* Observation: Site 4_intervention_T1
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33 Other justifications involved protecting staff and other service users from distress at the
34 visible signs of self-harm. On one unit, a young woman was restrained and marched down
35 the corridor to her bedroom:
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42 *X explained to me that it is documented in Y's care plan that she is not allowed in the*
43 *dining room at meal times, and that if she is in the communal areas she is to cover*
44 *her arms. This is due to her severe self-harm wounds that expose muscle tissue, and*
45 *that Y regularly opens these old wounds. She commented that some service users*
46 *(and staff) get very distressed at the sight of her wounds. X explained that Y was on*
47 *1-1 observations with a new HCA [Health Care Assistant] to the ward, who wasn't*
48 *aware Y wasn't allowed to eat in the dining room at meal times, nor enter communal*
49 *areas without covering her arms. Staff then intervened when Y was in the dining*
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3 *room during the meal time, which she reflected led to the incident of restraint.*

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5 Observation: Site 2_Intervention_T1
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11 This is not to say that all staff viewed all restraint as legitimate at all times. Instead, certain
12 staff had misgivings about the use of restraint, and did not view the experience of restraining
13 another person positively. Some acknowledged the weakness of legitimisation appeals that
14 justified restraint usage as a last resort:
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23 *... and we always used to use restraint as a last resort but I think we always used to*
24 *think we did, rather than actually do it.* Interview: Site 1_Intervention_Staff 3_T2
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31 Rationalising restraint as a legitimate, last resort intervention both vindicated staff actions,
32 and consoled those who would rather not administer coercive practices. Addressing these
33 concerns was a central part of the RYS intervention. Such justifications were reinforced by
34 some service users, stating that restraint may have been the correct response on occasion
35 to maintain safety and a sense of security:
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45 *Conversation with service user following completion of questionnaire exploring views*
46 *on experiences of different forms of coercion. This person intensely disliked being*
47 *subject to physical restraint but spoke of circumstances when they felt it was*
48 *warranted. This would be either when they had personally been aggressive or out of*
49 *control, or when applied to other service users they were fearful of or who were seen*
50 *as disruptive for everyone.* Observation: Site 3_intervention_T1
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3 In this sense, service users' views on legitimacy of restraint reflected hindsight awareness
4 about personal behaviour, as well as surfacing concerns about collective social relations. It
5 could equally result from uncritical adoption of staff beliefs about legitimacy. Individuals
6 certainly did not appreciate being subject to coercion or restraint at the time it occurred,
7 particularly if this was deemed oppressive, or undertaken in public view.
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17 The RYS intervention included education about the sort of complex needs represented by a
18 diagnosis of personality disorder. Interestingly, many staff engaged positively in this, keen to
19 develop new knowledge and skills within an external learning environment. Indeed, staff
20 were observed to behave and communicate differently away from ward settings, being much
21 more likely to offer positive, empathic reflections upon service users under their care. This
22 included compassionate insights into the possible traumatic antecedents of self-harm or
23 aggression and violence, and reflective intimations that management of aggression could
24 have been done differently on occasion. Post-implementation, there were some shifts in
25 attitude about self-harm and restraint across the wards, reflected in both talk and action.
26 Post implementation, staff communication appeared to be less derogatory, and a 'hands off'
27 approach was observed more often:
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44 *Staff have tried to de-escalate (to no effect), and tried to spend time de-escalating in*
45 *the courtyard (again to no-effect). Some conversation in the office occurred around*
46 *potentially administering intra-muscular medication, and the doctor has written this*
47 *up. However, this wasn't acted on. The person was allowed to vent, and be quite*
48 *severely hostile to staff without being reprimanded or challenged. The Ward Manager*
49 *has reflected learning 'when not to do anything' has been a positive aspect of the*
50 *project. Observation: Site 1_intervention_T2*
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3 Staff also began to implement more formal aspects of the RYS approach including ward
4 community meetings designed to attend to service users' concerns, and imaginative
5 approaches to address and record aspects of ward atmosphere and safety. In conversation,
6 many staff appreciated the trauma-informed aspects of the project and better understanding
7 of reasons why people may harm themselves:
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17 *I think there's less anxiety around patients being admitted that self-harm. I think it's*
18 *given people a different way of thinking about it.* Interview: Site 4_Intervention_Staff
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28 For some, this had led to 'epiphanies' about their own practice. Concomitantly, staff grew in
29 confidence to challenge decisions made about care and attempt to prevent further
30 psychological and physical harm, although potential for positive risk-taking was not always
31 accepted within teams and on occasion was countermanded by psychiatrists.
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40 **Meaningful Activity**

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42 One of the ways in which relatively settled and unsettled wards could be distinguished was
43 the availability of organised and meaningful activities. Better quality activities were observed
44 if Occupational Therapy (OT), psychology, or Activities Co-ordinators were attached to the
45 ward teams. Staff associated with the provision of meaningful activities were notable for their
46 motivation. They experienced job fulfilment and exuded enthusiasm in ways mainstream
47 staff did not:
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3 *The activities coordinator spoke with us for quite a while about the various activities*
4 *and complexities of involving as many service users as possible in activities. Her*
5 *energy is contagious, and she is clearly passionate about the welfare of service*
6 *users. Observation: Site 4_intervention_T1*
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15 Activities included both 'therapies', such as Cognitive Behavioural Therapy (CBT) and
16 opportunities for creativity or practical occupation, such as gardening. Facilitating staff made
17 explicit connections between activities and a calming effect on ward atmosphere:
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25 *X also commented that he likes activities that are multisensory, as he feels these are*
26 *more therapeutic. He reflected that something as simple as mask-making can be*
27 *particularly therapeutic ... the mod-rock and clay is very messy and tactile [the*
28 *activity] distracts service users for a few moments from their complex issues.*
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34 Observation: Site 2_intervention_T1
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40 Some units provided specialist activities with invited guests such as professional musicians:
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45 *The two musicians invited questions from service users, called everyone by name,*
46 *and made an effort to engage with every person who attended the activity. For the*
47 *first time in many days, the focus of conversation was not on emotions, feelings,*
48 *mental health status, medication etc. but about a topic completely interesting to*
49 *everyone in the room. Observation: Site 3_intervention_T2*
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In contrast, there were substantial gaps on many of the wards:

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6 *This is the 7th ward I have visited with this project, and this is the first time I have met*
7 *a full-time Clinical Psychologist on the ward, who runs therapy related sessions x3 a*
8 *week. This is the first time I have observed and seen available CBT principles. Only*
9 *one or 2 other wards in other Trust's, mention mindfulness briefly as a way to*
10 *manage psychological distress. Observation: Site 4_intervention_T1*
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20 Ward resources for activities were fairly limited. Intersecting with concerns regarding use of
21 space, a lack of things to do led to people isolating themselves in bedrooms with iPads or
22 mobile phones. Activity rooms were often locked, restricting access to activities at service
23 users' own behest. Moreover, activity rooms were often poorly stocked. We were regularly
24 told that service users produced artwork for the walls, but such work was rarely displayed.
25 Accessible space outside the wards was usually an unkempt area, often used for smoking.
26 One unit had no outside space whatsoever. On another, the staff were fearful of occupying
27 the garden space because the trees were identified as ligature points – confirming
28 tendencies for risk aversion.
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43 Some advertised schedules of activities were subject to substantial cancellations or
44 postponements because of staffing or other resource pressures. Not all service users
45 appreciated what was on offer, felt patronised, or covered up their abilities in order to avoid
46 engaging in activities they considered meaningless. Boredom was reported frequently.
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55 Despite being highly relevant to the RYS intervention, the quality and extent of meaningful
56 activities on the implementation wards did not always improve. If anything, on some of the
57 wards, activity levels reduced due to key staff absences. We observed very few attempts
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3 made by staff who were not activity specialists to facilitate the activity timetables.

4
5 Conversely, where activities were better supported, there were attempts to play to staff
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7 interests and talents:
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13 *X's [staff member] been assigned to do the gardening, and the garden's great. [...] Y*
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15 *[staff member] he's really into artwork, he's got an Art Degree so he's been doing [art*
16
17 *classes]. Interview: Site 4_Intervention_Staff 8_T2*
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22 On the two wards with high observed levels of positive activity pre and post intervention, this
23
24 seemed almost entirely due to particular staff, rather than a team commitment. This
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26 suggests that responsibility for meaningful activity vested in specific individuals or
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28 departments may paradoxically lead to reductions in activities if nursing staff do not see this
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30 as their professional concern.
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37 **Therapeutic Engagement**

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39 Even before the implementation of the RYS intervention there was evidence of particular
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41 aspects of ward culture reinforcing therapeutic relations between staff and service users.
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43 The quality of therapeutic relations was most obvious when staff spent more time engaged in
44
45 face to face contact with service users. This theme of therapeutic engagement therefore
46
47 interacts with our observations of use of ward space and opportunities for meaningful
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49 activity.
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56 Staff who spent most time with service users tended to be the least qualified team members,
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58 such as HCAs and, in one Trust, apprentices. Conversations started usually with banter,
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3 discussing TV or non-clinical matters, and service users valued this 'ordinary' talk. Positive
4 social and therapeutic relations were seen to be undermined by resource issues affecting
5 staffing levels, including difficulties associated with deployment of temporary staff. Service
6 users on most wards pre-intervention regularly bemoaned lack of time with staff, or that
7 interactions were clinical and impersonal. Many felt staff did not know them personally, or did
8 not attempt to get to know them, leading to frustrations, and an 'us and them' mentality:
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20 *X commented that there was a severe lack of contact time between staff and service*
21 *users. He felt that before asking clinical questions (e.g. do you think the television is*
22 *talking to you as a first line of questioning) staff should get to know the person.*
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26 Observation: Site 2_intervention_T1
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32 The traumatic impact of restraint had individual and collective impacts upon therapeutic
33 relations and ward atmosphere. There were numerous examples of people being distressed
34 by being restrained or subject to coercion, with staff also upset by these incidents. Feelings
35 of powerlessness or not being listened to often preceded expressions of aggression and
36 violence and were then compounded by the experience of being restrained and forcibly
37 medicated. Traumatic impact also extended to witnesses:
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48 *X reported that she had seen the individual 'dragged, kicking and screaming' to the*
49 *clinic and that it was very traumatic to her. She stated that every time she hears the*
50 *alarm now, she gets a 'funny feeling' in her chest.* Observation: Site
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54 3_intervention_T1
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3 The application of staff-initiated rules, such as frequency of access to smoking space or
4 egress from ward, often precipitated conflict and aggressive responses. On many wards the
5 flexibility or rigidity with which rules were applied could depend upon which staff were on
6 duty or who was in charge. Service user frustrations were compounded if the notional arbiter
7 of the rule was typically not present to enforce or explain it.
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17 Post intervention, problems with understaffing and with the weight of administrative work
18 persisted on most wards. Congruent with core relational aspects of RYS, there was,
19 however, evidence of improvement in therapeutic engagement. On some wards staff were
20 spending substantially more time with service users. However, it was still mostly HCA's and
21 Activity Co-ordinators who provided most direct contact:
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HCA's on this ward are an obvious invaluable asset to the ward, and have fantastic rapport with service users. They can always be seen in service user areas, and are very diligent on their 1-to-1 observations, in terms of not restricting the movement of service users to suit themselves (which I have observed on other wards), and despite being on 1-to-1 observations for a prolonged period of time (an entire shift), they appear alert. HCA's can be seen actioning requests, nipping in the bud people knocking on the office door for things such as: chargers, money, access to their bedroom. This is something that is definitely different [from before implementation].

Observation: Site 3_intervention_T2

Discussion

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57 This study took place in a context of constraining structural and resource factors, including
58 managerial reorganisations and service relocations for some. However, in all the study
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3 wards, both before and after the RYS implementation, culture and practices shared a
4
5 number of characteristics. We focus here on the staff legitimization practices, first locating
6
7 these within consideration of the spaces of psychiatric care within which the study took place
8
9 and the mundane materialities of these ward settings, the objects and built environment, and
10
11 the ordinary, everyday interactions therein.
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17 *Psychiatric inpatient spaces*

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20 The findings indicate constraints on time staff spend in direct encounters with service users,
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22 limiting supportive or therapeutic relationships, confirming previous research into mental
23
24 health wards (Gilburt et al 2008, Quirk and Lelliott 2001). The noted temporal rhythms and
25
26 spatial demarcations of ward life connect with key dimensions of Spradley's (1980)
27
28 framework and previous commentary on space and place in relation to mental health in
29
30 society and psychiatric services (Parr 2011, Wolch and Philo 2000), and inpatient services
31
32 particularly (Curtis et al. 2007, Spandler 2009).
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39 Within a general delineation of health landscapes, Curtis (2004) distinguishes between
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41 therapeutic 'landscapes' (Gesler and Kearns 2002) and landscapes of power. The former
42
43 are informed by understandings of sense of place or identity, and emotional response to
44
45 settings, while the latter are concerned with socio-political control within socially defined
46
47 spaces. Both analyses of space are relevant to our observations of the ward spaces in our
48
49 study, with a demarcation of staff and service user space on all of the wards. This indicates
50
51 tensions between therapeutic and coercive potentialities.
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57 The typical staff space was ward offices that service users seldom entered and other areas
58
59 mainly occupied by service users that staff inhabited infrequently. These two-worlds recall
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2
3 Goffman's (1961) classic observations of institutional care, where distinctions between staff
4 and patient identity were realised through symbolic and ritual interactions and maintained
5 within the spatial and cultural demarcations of asylum life. The offices in our study were sites
6 of intensive commentary on behaviour and relations between care team and service users,
7 collated within computerised care records. Commentators have ascertained a 'fictional'
8 dimension to such narration activities, given that they involve writing about people or making
9 assessments without them, and recording aspects of ward life without spending substantial
10 time present to witness actual behaviour (Coffey et al. 2017). In this sense, whole systems of
11 bureaucracy act to limit actual engagement, with attention to the perceived importance of
12 'paperwork' justifying time spent away from service users.
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28 Staff reported oppressive institutional expectations of servicing the record keeping process
29 (see also Brooks et al. 2018), believing (with some justification) that not to make sufficient
30 data entries would attract managerial disapproval. It was seldom felt that lack of real
31 interpersonal engagement would be similarly subject to supervisory discipline. Weber's
32 (1905/1958) iron cage of bureaucracy offers an interesting means for making sense of the
33 observation that bureaucratized care was a seductive diversion from more relational
34 alternatives. For Weber such developments reflect a more general increasing rationalisation
35 of social life under western capitalism. In the contemporary ward systems, this tendency
36 towards rationalisation is framed by governance systems of risk management within a wider
37 frame of risk society (Beck 1992, Lupton 1993); wherein the desire or necessity to be spared
38 from risk has become a defining feature of modernity, and the mental health system is
39 prominent within this.
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57 In this context, there is also a prevailing intolerance of uncertainty despite the actual
58 existence of maximal uncertainty (Bauman 2000). Drawing upon Bauman's work, critical
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3 healthcare commentators have noted the extent to which the organisation and resourcing of
4 modern services has resulted in a destabilised workforce, with colleagues and services
5 users never sure of who they will be working with from one day to the next (Randall &
6 McKeown 2014). Bureaucratic systems offer a phantasy of certainty, and failings are
7 catastrophized rather than viewed as a fact of life. This state of affairs stokes staff anxieties,
8 predicating and justifying a perceived and actual blame culture, further perpetuating staff's
9 commitment to servicing the bureaucracy and legitimising such efforts with the concomitant
10 retreat into particular spaces.
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23 *Everyday materialities*

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26 Early theorising of a sociology of space notes that various notable sociologists, such as
27 Goffman, have worked with a saliency of space, spatial arrangements, and the interactions
28 therein, ordered by hospital architecture, for making sense of human behaviour and resource
29 mobilisation (Prior 1988). The physical, relational and discursive means by which ward
30 spaces are segregated prompts attention to the observed materialities of routine care.
31
32 Recent writing on the materialities of care has considered a number of different healthcare
33 settings, highlighting the importance of the seemingly mundane, such as objects and taken
34 for granted elements of the built environment, for making sense of the practices,
35 relationships, spatiality and cultures of care (Buse et al. 2018, Maller 2015, Nettleton et al.
36 2018). Brownlie and Spandler (2018: 267) have extended this concern with the mundane
37 materialities of care to the informal mental health context, and their conclusions regarding
38 the value of shared space appear to also be relevant to professionalised spaces, with 'the
39 possibility for small acts of care to emerge in and through sharing the most ordinary of
40 spaces and things'. Seen from this perspective, initiatives such as RYS have been
41 conceived in reaction to aspects of discipline, control and bodily regulation that have been
42 quite literally built in to the psychiatric hospital space of the ward settings studied here (Prior
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3 1988). In this sense, RYS represents a counter-discourse to the prevailing discursive
4 practices that legitimate use of physical restraint.
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11 Thus, our observations of the changes in practice resulting in the seemingly small,
12 inconsequential act of leaving of the office door open, and how this allowed for less
13 demarcated ward space and freer contact between staff and service users, represents just
14 such a scenario where a simple material change can have a profound impact. More broadly
15 speaking, the observed commonplace demarcation of ward space is enabled by building
16 design features, offices, day areas and corridors that, whilst representing modern
17 incarnations, resonate with older spatial configurations harking back to asylum times.
18 Similarly, the social relations of inpatient ward care also continue to have characteristics of
19 the rituals of mortification of self that Goffman observed in those latter-day institutions.
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34 *Exculpatory legitimation*

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37 Ours and other studies show that staff in mental health services collectively and individually
38 engage in legitimation narratives regarding restrictive practices, in particular, and psychiatry
39 more generally (Gadsby 2018, Perkins et al. 2012). Burstow (2016) offers a scathing critique
40 of the wider influence of legitimation narratives within the mental health system as part of a
41 broader re-emergence of anti-psychiatry scholarship and critical mad studies (Le Francois et
42 al. 2013). These justifications for how things are done are often linked to 'otherness'
43 archetypes; though the 'othered' are not a homogenous group (Parr 2000). In this way, self-
44 other distinctions and the stories staff tell bolster a sense of having acted correctly, and
45 minimise dissonance between personal ideals, professional practice and associated
46 negative emotions; such as revulsion at the violent or oppressive aspects of restraint.
47
48 Similarly, these narratives operate to induct and socialise staff into prevailing cultures of
49 coercion and control (Chapman 2014, McKeown et al. 2019).
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6 Generally speaking, violence is viewed as legitimate if principles of fairness and procedural
7 justice prevail, and this sustains cooperation with, and deference to, the exercise of power
8 (Tyler 2006). In the mental health context, when belief in the just administration of power
9 diminishes, trust is highly likely to break down between individuals or communities and
10 services and the practitioners within them. This, in and of itself, may explain crises of
11 legitimacy in a context of service-sanctioned restrictive practices, and, perhaps, escalations
12 of violence in ward settings. Debriefing after violent incidents is a key aspect of RYS, aimed
13 at learning from events. However, debriefing risks defaulting to the telling and retelling of
14 legitimisation narratives, becoming more exculpatory than explanatory (Chapman 2014).
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29 *Recognition of trauma: an alternate legitimisation narrative?*

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32 Recognising and responding effectively to trauma is central to RYS and congruent with a
33 contemporary movement towards trauma informed care (Sweeney et al. 2018). Arguably, a
34 turn to more compassionate, relational care should have profound implications for staff and
35 service users alike. This was powerfully noted in Johnston's (2014: 170) auto-ethnographic
36 account of an epiphany in realising the violent trauma of restraint:
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46 *It was only when I witnessed the tears and felt emotion pouring down the man's*
47 *cheek that I realized the performative act and fear behind all of our gestures,*
48 *commands, and established authority.*
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56 A triumph of empathy over otherness indicates an enduring attachment amongst the nursing
57 teams (inclusive of registered nurses and health care assistants) for relational approaches to
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3 care over the instrumental value of coercion. Improved understandings of self-harming
4 behaviour, for example, open up possibilities to ascribe newly legitimating social meanings
5 to such acts, involving coping with or soothing trauma, rather than invoking revulsion or
6 demonization (Adler and Adler 2011).
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15 The pull to coercion, however, is powerful and subject to social forces arguably beyond the
16 influence of individual nurses and teams. Different use of ward space ought to have been
17 within the remit of RYS to influence, but this appears to have been relatively impervious to
18 change. The staff had to gain faith in the instrumental value of alternative approaches
19 towards volatile and disturbed individuals. In effect, they had to believe that acting differently
20 would actually work in managing or calming the situation. Quite often, they also had to be
21 persuaded that doing different things as a team would be managerially supported and,
22 indeed, legitimated.
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36 **Conclusions**

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39 RYS had mixed success in impact upon observed culture and practices at ward level. This is
40 unsurprising given some of the powerful and historically contingent social forces that frame
41 psychiatric services. Our findings support the view that a pervasive psychiatric knowledge
42 system underpins the controlling and coercive nature of everyday practices within services
43 (Foucault 2003) that can be both implicitly and explicitly violent (Liegghio 2013). Social
44 acceptance of the obviously oxymoronic notion of 'coercive care' reflects the fact that legal
45 systems and public opinion approve and legitimate force in psychiatric settings in certain
46 circumstances. Procedural justice concerns regarding proportionality and fairness drive
47 some of this legitimation. However, other factors also play into the legitimated use of
48 violence against service users. Not least of these may be a wider societal concern with risk
49 and danger, exacerbated by austerity driven cuts to staffing and other resources that might
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3 have enabled alternate, more permissive, less restrictive environments (AUTHORS 2019c).
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5 These factors clash with policy and professional rhetoric favouring therapeutic alliance, co-
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7 production and democratised relations of care, along with programmes of change
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9 management seeking to reduce restrictive practices, such as RYS.
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15 The pressures upon staff teams were substantial, and there is evidence of an inter-
16
17 relationship between diminished resources and deployment of coercive practices (Quirk and
18
19 Lelliott 2001). Empathic engagement made a difference, particularly in spaces where
20
21 particular groups of people are 'othered', but various factors transpired to privilege
22
23 bureaucratic, non person-centred use of staff time. That staff working within such constraints
24
25 are able to make some positive change is commendable.
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31 Ideally, reducing use of physical restraint and other coercive practices requires epistemic
32
33 and resource shifts, potentially requiring a rejection of the legitimacy of use of force against
34
35 psychiatrised individuals. This needs less emphasis upon perceived dangerousness, and a
36
37 move towards recognition of vulnerabilities and trauma as triggers for mental distress, as
38
39 well as a shared recognition of humanity rather than otherness. Implicit anxieties about the
40
41 assumed unpredictability and lack of control of those with mental health problems can help
42
43 to explain slow progress towards lessening coercive practices. Influential factors are at once
44
45 mundane, structural, discursive and inter-personal, and thus pose a substantial challenge to
46
47 progressive change.
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54 1. The terminology of service user has largely supplanted 'patient' in contemporary
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56 mental health policy. This is to some extent a reaction to perceived notions of
57
58 paternalism and biomedical power (Cutcliffe and Happell 2009) within a wider crisis
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3 of legitimacy, urging a democratisation of care (Prior 2003). An additional concern for
4
5 critics of psychiatry is the association of such paternalism with medical hegemony
6
7 and notions of epistemic injustice and violence (Fricker 2007, Liegghio 2013).
8
9

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22
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25

26 27 28 29 **Contributors**

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34 the collection, analysis and interpretation of data; (3) drafting the article and (4) revising the
35
36 article. All authors have given final approval of the version submitted for publication.
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