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Assessing the impact of dams on riparian and deltaic vegetation using remotely-sensed vegetation indices and Random Forests modelling

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Abstract: Riparian and deltaic areas exhibit a high biodiversity and offer a number of ecosystem 12 services but are often degraded by human activities. Dams, for example, alter the hydrologic and 13 sediment regimes of rivers and can negatively affect riparian areas and deltas. In order to sustainably 14 manage these ecosystems, it is, therefore, essential to assess and monitor the impacts of dams. To this 15 end, site-assessments and in-situ measurements have commonly been used in the past, but these can 16 be laborious, resource demanding and time consuming. Here, we investigated the impact of three 17 dams on the riparian forest of the Nestos River Delta in Greece by employing multi-temporal satellite 18 data. We assessed the evolution in the values of eight vegetation indices over 27 years, derived from 19 14 dates of Landsat data. We also employed a modelling approach, using a machine learning Random 20 21 Forests model, to investigate potential linkages between the observed changes in the indices and a host of climatic and topoedaphic parameters. Our results show that low density vegetation (0-25%) 22 is more affected by the construction of the dams due to its proximity to anthropogenic influences and 23 the effects of hydrologic regime alteration. In contrast, higher density vegetation cover (50-75%) 24 appears to be largely unaffected, or even improving, due to its proximity to the river, while vegetation 25 with intermediate coverage (25-49%) exhibits no clear trend in the Landsat-derived indices. The 26 Random Forests model found that the most important parameters for the riparian vegetation (based 27 on the Mean Decrease Gini and the Mean Decrease Accuracy) were the distance to the dams, the sea 28

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and the river. Our results suggest that management plans of riparian and deltaic areas need to
incorporate and take into consideration new innovative management practices and monitoring studies
that employ multi-temporal satellite data archives.

Keywords: remote sensing, vegetation alterations, Landsat images, anthropogenic impacts, riparian
 forest

34 **1. Introduction**

Riparian and deltaic areas are unique in that they are both semi-aquatic and ecotones: transition zones between terrestrial and aquatic ecosystems (Naiman et al., 2005). Both ecosystems are disturbance-driven, with frequent flood and drought cycles, a greater soil water availability and higher water table year around, which leads to the presence of tall and dense hydrophyllic vegetation and represent a nexus of high biodiversity and increased ecosystem services (Sabo et al., 2005; Zaimes et al., 2011a).

Approximately 25% of the world's population lives on deltaic coastlines and wetlands with this percentage expected to increase in the future (Syvitski et al., 2005), which means that anthropogenic activities will continue to alter them (Corbacho et al., 2003). The numerous threats they face, along with the many ecosystem services they offer, have led to their protection status by the Ramsar Convention (Ramsar, 2009) and the Natura 2000 Network (European Commission, 2007); their conservation or re-establishment, especially in human-modified environments, has become a worldwide priority (National Research Council, 2002).

48 The riparian and deltaic ecosystems are created, structured, maintained or destroyed by stream water and the solutes and sediments (Naiman et al., 2005). Attempts to regulate the natural flow 49 regimes have direct effects on this equilibrium and consequently on the stream, river, adjacent 50 riparian areas and deltas. Dams regulate natural flow regimes and trap sediment, thus changing the 51 historical channel dynamics, fluvial geomorphology and vegetation disturbances downstream (Dunne 52 53 and Leopold, 1978; Simons and Li, 1980; Williams and Wolman, 1984; Chien, 1995; Brandt, 2000; Shields et al., 2000). In most cases, these changes have major consequences on riparian vegetation 54 55 species, spatial and temporal structures and distributions (Williams and Wolman, 1984; Merritt and Cooper, 2000; Shafroth et al., 2002; Zahar et al., 2008). In the Mediterranean region, rivers have 56 57 suffered a significant reduction in freshwater discharge with dam constructions one of the main 58 reasons (Ludwig et al., 2009). Consequently, the significant changes in the riparian vegetation 59 recorded in Mijares River, Spain and Avia, Homem and Lima Rivers in Portugal (Garófano-Gómez

et al., 2013; Aguiar et al., 2016) and the coastal erosion and delta degradation in the Po Delta in Italy
and Nile Delta in Egypt (Simeoni and Corbau, 2009; Stanley and Clemente, 2017) are to be expected.

The increases in extreme weather events due to climate change, particularly increased rainfall intensity and extended drought periods compared to past conditions, should lead to higher surface runoff and streamflows, higher sediment transport capacity and increased soil erosion (Giupponi and Shechter, 2003). These changes in the hydrologic regimes should also impacts the process and functionality of the riparian and deltaic ecosystems. In addition, the coastal areas where almost all major deltas are located, face the adverse consequences of climate change such as coastal erosion and sea-level rise that are expected to further degrade them (Blum et al., 2000; Nicholls et al., 2007).

To evaluate and monitor the impacts of anthropogenic activities on vegetation condition, site-69 assessments and in-situ measurements have traditionally been undertaken (Parkes et al., 2003; 70 71 Gibbons and Freudenberger, 2006). These types of approaches can be laborious, resource demanding and time consuming, especially when examining large areas (Garófano-Gómez et al., 2011). 72 73 Moreover, traditional approaches relying on field measurements are limited by topographic and climatic conditions, as well as accessibility to remote areas. The technological advancements in the 74 75 field of Earth Observation (EO), has contributed to the widespread use of satellite remote sensing 76 approaches in the monitoring of the Earth's surface (Coppin et al., 2004; Rozenstein and Karnieli, 77 2011) including mapping and monitoring indicators of vegetation condition (Lausch et al. 2017; Newell et al., 2006; Sheffield, 2006; Wallace et al., 2006). The increase is due to the more readily 78 available satellite data (Belward and Skøien, 2014) that can facilitate the growing demand for multi-79 spectral and multi-temporal information over a wide range of spatial and temporal scales and data 80 types (e.g. Hansen et al., 2013; Zeng et al., 2008; Bellone et al., 2009; Zhu and Woodcock, 2013). 81

With the Landsat program running for more than four decades now, medium spatial resolution 82 83 satellite images have been widely used for monitoring land cover and associated changes (Hansen and Loveland, 2012). These data have several advantages with the most prominent being the opening 84 85 of the Landsat archive in 2008, offering readily available data at no cost and making it the most costeffective option for studies that span decades and cover large extents (Wulder et al., 2012). The use 86 of remote sensing for assessing ecological properties of vegetation has been reviewed 87 comprehensively (Nagendra, 2001; Kerr and Ostrovsky, 2003; Turner et al., 2003; Gillespie et al., 88 89 2008; Asner and Martin, 2009; Ustin and Gamon, 2010; Schimel et al., 2013). Commonly, vegetation indices are used as proxies in the analysis of temporal trends in vegetation condition (Kerr and 90 Ostrovsky, 2003; Pettorelli et al. 2005; Higginbottom and Symeonakis, 2014). Vegetation indices 91

have been also used for riparian areas (Alphan, 2013; Carle and Sasser 2016; Wilson et al., 2016;
Yang et al., 2018) and could be important tools for their sustainable management.

Prediction models can be used to explore the correlation between changes in vegetation 94 condition and other environmental variables. Random Forests (Breiman, 2001) is a modelling 95 framework that has been used, in combination with several environmental and climatic variables, for 96 coastal and riparian vegetation studies to assess candidate bioindicators for ecological quality (Cortes 97 et al., 2013), to assess and quantify riparian quality (Fernández et al., 2014) and species dynamics 98 (Harper et al. 2011), to classify riparian vegetation (Chignell et al. 2017; Hayes et al., 2014; Nguyen 99 et al. 2019; Tulbure et al. 2016; Woodward et al. 2018), to determine the wetland plant indices of 100 biological integrity (Jones et al., 2016), and to assess the condition of freshwater wetlands (Miller et 101 al., 2016). 102

103 The riparian vegetation of deltaic areas is the result of fluvio-deltaic and marine sedimentation processes (Trincardi et al., 2005), and therefore, climatic, sedimentary and tectonic processes 104 (Overeem, 2005), along with natural and human-driven changes (Syvitski and Saito, 2007), are 105 important for their formation conservation and functionality. Within this context, this study aims to 106 107 investigate the impact of the dam constructions on riparian and deltaic vegetation along the Nestos Delta in Greece. It is hypothesized that since riparian and deltaic areas are dynamic, disturbance-108 109 driven ecosystems (e.g. affected by floods and droughts), any change from regulating or altering the natural flow and sediment regime would have a direct effect on the riparian and deltaic vegetation 110 dynamics. A multi-temporal analysis is undertaken with data of eight Landsat-derived vegetation 111 indices that span 27 years in order to capture the evolution of the spectral indices before and after the 112 construction of the dams. The effect of the dams construction on the vegetation is also assessed with 113 a modelling exercise (Random Forests) that was carried out to explore any associations between the 114 changes observed in the vegetation indices and a suite of climatic and spatial variables. Models are 115 tools that abstractly replicate complex interactions and nonlinear relationships, which are prevalent 116 in heterogenous ecosystems, such as riparian. These tools are able to characterize parts of the 117 complexity and delineate the factors of differing importance. 118

119 **2. Material and methods**

120 2.1 Study site

121 The riparian forest of the Nestos Delta (Figure 1) was one of the biggest in the Mediterranean 122 (Sylaios and Kamidis, 2018). In the last century it has experienced significant Land-use Land-Change 123 (LULC) transitions (Mallinis et al., 2011; Zaimes et al., 2011b). In the 1920s, it covered about 12,000

ha and was reduced to 7,000 ha in the 1940s, while today it covers only 800 ha. The forested area 124 was gradually converted to farmland after the 1930s while the river channels were straightened, and 125 dykes were constructed. In the 1970s, a significant policy change occurred, and legislation was passed 126 to protect the Delta and so its degradation was halted. Despite its significantly reduced area, the 127 Nestos Delta still hosts one of the most unique and highly ecologically significant riparian forests in 128 the Mediterranean region (Sylaios and Kamidis, 2018). It hosts four natural riparian forest habitat 129 types, as described in Annex I of Directive 92/43/EEC: a) a residual alluvial forest (Alnionglutinoso-130 incanae); b) a mixed oak-elm-ash forest of great rivers; c) Salix alba and Populus alba gallery, and 131 d) a thermo-Mediterranean riparian gallery (Nerio-Tamariceteae) and south-west Iberian Peninsula 132 riparian gallery (Securinegiontinctoriae). The complexity and rare vegetation of the riparian forests 133 provides an excellent habitat for rare aquatic birds to breed and for migrating species to rest. The 134 Delta hosts 307 different bird species (34 of which are endangered based on the IUCN Red book), as 135 well as many species of mammals, reptiles and insects (Mallinis et al., 2011). This is why the Nestos 136 137 Delta is protected at the national, EU and international level¹.

While the entire Delta is in Greece the Nestos/Mesta River is transboundary. Specifically, the river's 138 length is 234 km (130 km in Greece) as it starts in the Rila Mountain of Bulgaria and ends in the 139 140 Aegean Sea on Greece (Ganoulis et al., 2008; Samaras and Koutitas, 2008). An international treaty on the water use between Greece and Bulgaria in 1995 has entitled Greece with 29% of Nestos waters 141 142 (Mylopoulos et al., 2004). The main river and many of the tributaries of the Nestos River are used for hydroelectricity, irrigation and eco-tourism. The Nestos Basin is mostly mountainous, while its 143 alluvial plain represents 18.2% of the total basin area and is cultivated by arable crops and includes 144 the Nestos Delta. The main crops grown are soft wheat (Triticum aestivum), durum wheat (Triticum 145 durum), sugar beet (Beta vulgaris), cotton (Gossypium herbaceum), rice (Oryza sativa), barley 146 (Hordeum vulgare), maize (Zea mays), asparagus (Asparagus officinalis), alfalfa (Medicago sativa) 147 and tobacco (Nicotiana spp). The Delta also has some of the most productive fish farms in Greece. 148 The largest urban area is the city of Chrisoupoli with approximately 8,000 people (Papachristou et 149 al., 2000). During periods of high streamflow, the channel width in the plain areas can reach up to 20 150 m, while the sandy bed of the river changes constantly. Finally, the minimum flow arriving to the 151 Delta was established legally at $6 \text{ m}^3/\text{s}$. 152

¹ The Delta is protected as: a) "Nestos Delta and adjacent lagoons" - Wetland of International Importance (Ramsar Convention); b) "Nestos Delta, Keramoti lagoons and island Thasopoula" - Special Protection Area (GR 1150001, Natura 2000 Network); c) "Nestos Delta, Keramoti Lagoons - surrounding region and coastal area" - Special Areas for Conservation (GR 1150010, Natura 2000 Network), d) Nestos Forest "Kotza Orman" - Wildlife Refuge, and e) Nestos Delta wetlands, Lake Vistonida with lagoonal and lacustrine features, Lake Ismarida and the wider region - National Park with Regional zone (JMD 44549/2008, Official Gazette 497/A/ 17-10-2008).

Of major importance for the functionality of the Delta, are two major hydropower dams, and a minor irrigation dam, located 30 km upstream from its mouth. The Platanovryssi hydropower dam that has been operating since 1997 has a height of 95 m and its reservoir capacity is 57,000,000 m³. Upstream is the Thissavros dam that has been operating since 1999, has a height of 172 m and a reservoir capacity of 705,000,000 m³. Finally, the Toxotes dam serves the irrigation network of the region and consists of two channels which distribute water to the east (11 m³/s) and west (9 m³/s) sections of the plain (Kamidis, 2011) (Figure 1).



160

Figure 1: Location of the study area, a) within Greece, b) the Nestos river and location of the three dams andc) the sampling locations.

164 2.2 Data processing

165 The sequence of methodologies followed are depicted in detail in Figure 2.





Figure 2: Flowchart of the methodological steps followed throughout the study.

168 *2.2.1 Evolution of vegetation indices*

A total of 14 Landsat images (SM Table 1) spanning 27 years from 1989 to 2016 were acquired for the time series analysis. The acquisition strategy was designed in a way that met certain quality standards, namely, to have no cloud cover during the summer months (June, July, August), to avoid, as much as possible, phenological variation, and to exclude imagery with the scan line corrector malfunctioning of Landsat 7 ETM+ after 2003. The criteria led us to end up with images from three different sensors of Landsat with a spatial resolution of 30m.

Since our study involved spectral indices, the images needed to be corrected radiometrically and normalized atmospherically in order to avoid any discrepancies due to the multi-temporal and double-sensor type of analysis (Song et al. 2001). Following the approach implemented by Gounaridis et al. (2014), the first step was to convert the DN numbers into top-of-atmosphere reflectance using the dark-object subtraction method (Chavez, 1988). To obtain surface reflectance and achieve data normalization, we applied the 6S model originally introduced by Vermote et al. (1997). Topographic correction was not performed since the study area is a plain (elevation differences less than 20 m).

Eight spectral indices were selected to represent a range of spectral responses of vegetation 182 condition over the study period (Table 1). This included the two-band version of the enhanced 183 Vegetation Index (EVI2), which is a broadly used index for vegetation monitoring. This two-band 184 version does not take into account the reflectance in the blue band and, in general, is preferred when 185 the data are atmospherically corrected (Jiang et al., 2007). Complementary to EVI2, we also include 186 the Normalized Difference Vegetation Index (NDVI), the modified Normalized Difference Water 187 Index (NDWI), the Green Atmospherically Resistant Index (GARI) and the Normalized Difference 188 Burning Ratio (NDBR), which are often used for monitoring forest disturbance (Hermosilla et al., 189 2015; Jarron et al., 2016). These indices take into account the shortwave infrared (SWIR) part of the 190 191 wavelength, which is sensitive to moisture conditions that are important for the riparian vegetation. Similar to the modified NDWI, is the Land Surface Water Index (LSWI), which involves a band 192 193 combination based on the SWIR part of the wavelength and appears to be useful in extracting the vegetation water status in the canopies (Chandrasekar et al. 2010). In addition, we included the 194 Vegetation Condition Index (VCI), which is derived from an equation involving the minimum and 195 maximum values of the NDVI. This index is often used to reflect relative changes in the moisture 196 197 condition of vegetation and the values correspond to vegetation health and levels of stress (Pei et al., 2018). Finally, we also included the Perpendicular Vegetation Index (PVI), which is mainly used for 198 the assessment of surface vegetation parameters, such as chlorophyll content, reducing the 199

200 disturbance of the soil background when extracting vegetation signals from multispectral bands201 (Richardson and Wiegand, 1987).

The evolution of the eight vegetation indices over the study period were captured at 573 202 random samples dispersed across the riparian forest of the Delta. We opted to split the initial sampling 203 locations into three intervals according to the percentage of tree coverage (Figure 1). The three 204 intervals were chosen based on the Global Forest Change Product (Hansen et al., 2013). Therefore, 205 we allocated 299 random samples to areas with tree coverage between 0% and 24%, 150 random 206 samples to areas with tree coverage between 25% and 49% and 124 samples to areas with tree 207 coverage between 50% and 75% (which is the maximum tree coverage found in the area). The values 208 of the eight vegetation indices for each of the 14 dates (SM Table 1) were sampled on the location of 209 every training point. 210

Abbreviation	Index name	Formula	Range	Reference	
EVI 2	2 band Enhanced Vegetation Index (EVI)	EVI2 = 2.5 * (NIR - RED) / (NIR + 2.4 * RED + 1.0)	-1 to 1	Jiang et al. 2007	
GARI	Green Atmospherically Resistant vegetation Index	GARI = (NIR - (GREEN - (BLUE - RED))) / (NIR + (GREEN - (BLUE - RED)))	-1 to 1	Gitelson et al. 1996	
LSWI	Land Surface Water Index	LSWI = (NNIR - SWIR) / (NIR + SWIR)	-1 to 1	Chandrasekar et al. 2010	
NDBR	Normalized Difference Burning Ratio	NDBR = (NIR - SWIR) / (NIR + SWIR)	-1 to 1	Key et al. 2002	
NDVI	Normalized Difference Vegetation Index	MDVI = (NIR - RED) / (NIR + RED)	-1 to 1	Tucker, 1979	
NDWI	Normalized Difference Water Index	NDWI = (GREEN - NIR) / (GREEN + NIR)	-1 to 1	Gao, 1996	
PVI	Perpendicular Vegetation Index	$PVI = (NIR - \alpha RED - b)/(1 + \alpha^{2})$ where NIR = $\alpha RED + b$	>0	Richardson and Wiegand, 1977	
VCI	Vegetation Condition Index	(NDVI - NDVImin) / (NDVImax - NDVImin)	0-100	Liu and Kogan, 1996	

211 Table 1. The eight Landsat-based vegetation indices used in this study

212 *2.2.2 Modelling of vegetation change*

We explored any associations between the changes observed in the vegetation indices in the 27-year study period and a number of external variables. To do so, we processed a suite of 26 climatic and other distance-based spatial parameters (Table 2) that could potentially have an effect on the spatial configuration of changes in the values of the vegetation indices.

218 Table 2. List of data used as predictors in the Random Forest modelling (¹ Global Land Survey Digital Elevation

219 Model http://glcf.umd.edu/data/glsdem/ ;² Gounaridis et al. (2016), Journal of Maps, 12, 1055-1062;³

220 <u>http://worldclim.org/version2</u> Fick, S.E., Hijmans, R.J. (2017). *International Journal of Climatology*, 37, 4302-

221 4315)

Acronym	Variable	Discription	Source	Time interval					
Territorial variables									
dem slope	Elevation Slope	Elevation in m Slope in degrees	GLSDEM ¹ ″	(-) (-)					
dist_crops	Distance from croplands	Euclidean distance from croplands in m	Gounaridis et al. 2016 ²	2010					
dist_sea	Distance from sea	Euclidean distance from the shoreline in m	(-)	(-)					
dist_river	Distance from river	Euclidean distance from the Nestos river in m	(-)	(-)					
dist_dams	Distance from dams	Euclidean distance from Thisavros and Platanovrissi dams in m	(-)	(-)					
dist_resid	Distance from residential areas	Euclidean distance from residential areas in m	Gounaridis et al. 2016	2010					
Climatic vari	ables								
bioclim 01	Annual Mean Temperature	Annual Mean Temperature 1970 - 2000	WorldClim ³	1970-2000					
bioclim 02	Mean Diurnal Range	(Mean of monthly (max temp - min temp))	"	"					
bioclim 03	Isothermality	(bioclim 02 / bioclim 07)(*100)	"	"					
bioclim 04	l'emperature Seasonality	(standard deviation *100)	"	"					
bioclim 05	Max Temperature of Warmest Month	Max Temperature of Warmest Month	"	"					
bioclim 06	Min Temperature of Coldest Month	Min Temperature of Coldest Month	"	"					
bioclim 07	Temperature Annual Range	(bioclim 05 - bioclim 06)	"	"					
bioclim 08	Mean Temperature of Wettest Quarter	Mean Temperature of Wettest period of three months	"	"					
bioclim 09	Mean Temperature of Driest Quarter	Mean Temperature of Driest period of	"	"					
bioclim 10	Mean Temperature of Warmest Quarter	Mean Temperature of Warmest period of	"	"					
bioclim 11	Mean Temperature of	Mean Temperature of Coldest period of	"	"					
bioclim 12	Annual Precipitation	Annual Precipitation	"	"					
bioclim 13	Precipitation of Wettest Month	Precipitation of Wettest Month	"	"					
bioclim 14	Precipitation of Driest Month	Precipitation of Driest Month	"	"					
bioclim 15	Precipitation Seasonality	(Coefficient of Variation)	"	"					
bioclim 16	Precipitation of Wettest	Precipitation of Wettest period of three months	"	"					
bioclim 17	Precipitation of Driest	Precipitation of Driest period of three months	"	"					
bioclim 18	Precipitation of Warmest Quarter	Precipitation of Warmest period of three months	"	"					
bioclim 19	Precipitation of Coldest Quarter	Precipitation of Coldest period of three months	"	"					

Two parameters related with the relief were considered: elevation and slope that were based on the Global Land Survey Digital Elevation Model (GLSDEM). Apart from the distance to the three

dams, the distance to cropland and residential areas were also chosen as factors that might affect the 224 vegetation health and condition. The distance to the river was included as a potential spatial 225 determinant of the soil moisture availability to the riparian vegetation. Finally, the distance to the sea 226 was also included in the model to capture the geomorphological changes as we get closer to the 227 coastline. All distances were computed using the Euclidean distance function. For the climatic 228 parameters, the latest version of the WorldClim database (Fick et al. 2017) was employed. WorldClim 229 v.2 is a set of 19 gridded climate layers at the global level, with a spatial resolution of $\sim 1 \text{ km}^2$. The 230 dataset includes a wide range of temperature and precipitation data reported annually and quarterly 231 232 spanning three decades (Table 2).

233 2.3 Model implementation

We opted to use Random Forests (RF) (Breiman, 2001), which is a robust non-parametric, 234 235 machine learning algorithm. RF has several advantages that make it suitable for our approach. First, RF can efficiently handle heterogenous inputs with different nature (categorical, continuous) and 236 scaling and from multiple sources (Gounaridis and Koukoulas 2016; Gounaridis et al. 2018; Wang et 237 al. 2018). Second, the algorithm randomly selects a part of the training samples as well as a part of 238 predictor variables, resulting in a number of independent to each other and identically distributed, 239 regression trees. The randomness on the one hand and the independency of the regression trees on 240 241 the other, makes RF insensitive to overfitting, to collinearity issues as well as to outliers and noise (Chan and Paelinckx, 2008). Based on these two advantages of the RF, we were able to incorporate 242 in the model several predictors that were deemed to have an effect on the changes in vegetation 243 condition. Another important advantage of the RF model is that it offers meaningful metrics that 244 reflect the importance of each predictor variable (Gounaridis et al., 2019). This set of metrics was 245 critical in our case in order to reveal any possible association between the external factors and the 246 247 changes in vegetation indices.

The regression version of the Random Forests (RF) model (Breiman 2001) was implemented in R using the *RandomForest* package (Liaw and Wiener 2002). As a response variable, Δ was computed for each index, which is the difference between two subsequent dates. In our case, it was reasonable to compute Δ for each index between 1989 and 2016, in order to obtain the difference that occurred throughout the study period. These 26 territorial and climatic variables served as predictors to the RF models. To fine tune these models, five predictor variables (equal to the square root of the total number of predictor variables) were used for each tree split and 1000 trees for each run.

To quantify the actual importance and contribution for each of the 26 predictor variables, the analysis included two metrics: a) the Mean Decrease Accuracy and b) the Mean Decrease Gini. The Mean Decrease Accuracy is a measure of how much the accuracy decreases if a variable is excluded from the model. Therefore, this metric can be considered as a proxy for the importance of a variable. The Mean Decrease Gini is a measure of each variable's contribution to the impurity of the resulting trees in the RF model: variables with a high value in Mean Decrease Gini contribute more to the model's homogeneity (Gounaridis et al., 2019).

262 **3. Results**

263 3.1 Evolution of vegetation indices

Our first step was to capture the evolution of the spectral indices before and after the construction of the dams. Eight complementary vegetation indices allowed for the comparison of vegetation trends during the 27-year period of the study. The fluctuations over the study period were captured at 573 random samples dispersed across the riparian forest of the Nestos Delta. Regarding the overall trend throughout the study period, it appears to be steady for the LSWI, NDWI and VCI, increasing for the PVI and NDVI and decreasing for the NDBR, EVI2 and GARI.

These comparisons were also carried out separately for the three classes depicting varying 270 densities of tree coverage: 0-24%, 25-49% and 50-75% (Figure 3, 4 and 5 respectively). Specifically, 271 for the first class (Figure 3) we see an increasing trend from 1989 to 1999 and a decreasing trend from 272 1999 to 2013 followed by an increase from 2013 to 2014 in all eight indices. The decreasing trend 273 was more pronounced for the EVI2, NDVI and PVI. After 2014, the indices have different trends. 274 Specifically from 2015 to 2016, the LSWI and NDBR decreased markedly while the NDWI only 275 276 slightly. The other five indices decreased in 2015 but increased in 2016. The increase was more pronounced in 2016 for the GARI and VCI. However, the overall trend is a decrease for all eight 277 indices, indicating slight degradation in the riparian vegetation of the delta. For the 25-49% class 278 (Figure 4), the trends seem to differ more among them. Specifically, the EVI2, LSWI, NDVI and PVI 279 showed a decreasing trend from 1989 to 1995 and only NDWI increased from 1989 to 2000. In 280 general, most indices show an initial decreasing trend followed by a stabilizing or increasing trend in 281 the later years. Only NDBR shows a general decreasing trend, especially in the last two years, while 282 the NDWI has a stable trend after 2003 until the end of the study period. VI and PVI showed a 283 decreasing trend from 1989 to 1995 and only NDWI increased from 1989 to 2000. In general, most 284 indices show an initial decreasing trend followed by a stabilizing or increasing trend in the later years. 285 Only NDBR shows a general decreasing trend, especially in the last two years, while the NDWI has 286 a stable trend after 2003 until the end of the study period. 287



288 289

Fig. 3: Boxplots of the eight vegetation indices for the first percent tree cover interval (0-24%).





Fig. 4: Fig.2: Boxplots of the eight vegetation indices for the second percent tree cover interval (25-49%).

Regarding the 50-75% vegetation coverage class (Figure 5), the EVI2, GARI, NDVI, PVI and VCI had a rather unstable pattern (i.e. increase followed by a decrease in their values in every subsequent year) from 1989 till 2003. Following that, all five indices have an increasing trend: the EVI2 and GARI from 2003 to 2014, the NDVI from 2003 to 2013, and the PVI from 2003 to 2007. In the last years of the study, the pattern for four of the indices was again unstable: for the EVI2 and GARI from 2014 to 2016, and for NDVI and PVI from 2013 to 2016. The remaining three indices
(NDWI, NDBR and LSWI), followed their own, individual patterns during the entire period.





Fig. 5: Boxplots of the eight vegetation indices for the third percent tree cover interval (50-75%).

301

3.2 Modelling of vegetation change

302 The modelling exercise was undertaken for the three different densities of tree coverage and 303 the results were expressed with the Mean Decrease Accuracy and the Mean Decrease Gini. We focused on the top five descriptors based on the RF modelling results. For the Mean Decrease 304 Accuracy of the 0-24% vegetation coverage (Figure 1-SM), the distance from the dams and the 305 distance from the sea variables were in the top five for all vegetation indices, indicating that these 306 factors were the most influential for the models. The mean temperature of the coldest quarter (in the 307 top five of five indices), the distance from the river (in the top five of four indices) and the 308 precipitation seasonality (in the top five of four indices) were also important (Figure 1-SM). When 309 looking at the Mean Decrease Gini, distance from the croplands, distance from the sea, distance from 310 the river, distance from the dams and distance from the residential areas were in the top 5 for all 311 indices. Out of these four, the most important was the distance from the sea (always ranked first) 312 313 followed by distance from the dams (mostly ranked second). Finally, the precipitation seasonality was also important since it was ranked in the top five in six occasions (Figure 1 SM). 314

For the 25-49% vegetation coverage, distance from the sea and distance from the dams were in the top five for all indices according to the Mean Decrease Accuracy (Figure 2 SM). The distance from the sea was ranked first in most indices. The distance from the residential areas and the annual precipitation were in the top five for five of the indices. Finally, the distance from the river was also important since it was in the top five for four of the indices. In regard to the Mean Decrease Gini, distance from the sea, distance from the river, and distance from the dams were in the top five for five of the indices. The distance from the sea was ranked mostly first. The distance from the residential areas (in the top five of 7 indices) and the distance from the croplands (in the top five of 6 indices) were also important descriptors.

The distance from the sea, distance from the river and distance from the dams were in the top 324 five for all indices for the Mean Decrease Accuracy for the vegetation coverage of 50-75% (Figure 3 325 SM). The distance from the sea was ranked first in all indices and the distance from the dams was 326 ranked second in most cases. Finally, the mean diurnal range (in the top five of 7 indices) and the 327 isothermality (in the top five of 6 indices) were also important. In regard to the Mean Decrease Gini, 328 distance from the croplands, distance from the sea, distance from the river, distance from the dams 329 and distance from the residential areas were in the top five for all indices. Most important descriptor 330 331 appears to be the distance from the river (ranked first in most cases), followed by the distance from the sea (ranked second in most cases). 332

333 **4. Discussion**

4.1 Effect of dams on riparian and deltaic vegetation

335 The construction of dams on rivers can have major effects on riparian vegetation in deltas. The 336 bio-geomorphological dynamics of these ecosystems, which are not completely understood, are the results of the interactions among river flows, sediment transport and the hydrophyllic vegetation 337 (Gurnell and Petts, 2002; Naiman et al., 2005; Magdaleno and Fernández, 2011). Several field studies 338 have shown how river regulation due to the artificial reservoirs of the dams can induce: a) vegetation 339 shifts with corresponding channel narrowing or widening (Williams and Wolman, 1984; Friedman et 340 al., 1996; Merritt and Cooper, 2000; Shafroth et al., 2002); b) declines in native species and the spread 341 of exotic ones (Merritt and Cooper, 2000; Shafroth et al., 2002); c) decrease in the overall habitat 342 heterogeneity (Naiman et al., 2005; Petts and Gurnell, 2005; New and Xie, 2008), and d) the 343 establishment of vegetation on islands (Gurnell and Petts, 2002). The random fluctuation of water 344 discharge that occurs in unregulated rivers (e.g. with no dams), leads to alternating periods of peak 345 flooding and very low flows (droughts) in the riparian areas. During floods, riparian areas are 346 submerged and vegetation takes advantage of the supply of nutrients, moisture and seeds (Naiman et 347 al., 2005), but vegetation can also be damaged (Yanosky, 1982) by: a) burial (Hupp, 1988; Friedman 348 and Auble, 1999), b) uprooting (Osterkamp and Costa, 1987), and c) anoxia (Kozlowski, 1984; 349

Naumburg et al., 2005). During droughts, vegetation grows and expands in new areas according to the soil moisture content and the phreatic water table depth, as long as disturbances (e.g. floods) do not occur (Liu and Ashton, 1995; Gurnell et al., 2001).

The regulation of stream flow, due to the presence of a dam(s), eliminates extreme events in 353 the hydrologic regimes that would have occurred otherwise. Specifically, discharges are significantly 354 reduced during the flood peak and in the wet-to-dry transition periods (Guo et al. 2018). In contrast, 355 discharges can slightly increase in the dry season (Guo et al. 2018), reducing drought occurrences. 356 The historical natural flows of the Nestos River, before the construction of the dams, ranged from 10 357 m^3/s during the summer months, to 1.000 m^3/s during the flood peaks. After the construction of the 358 dams, the downstream flow regime has changed and the suggested minimum environmental flow is 359 6 m³/s (Sylaios and Kamidis, 2018). These changes have different spatial impacts on the riparian 360 vegetation depending on its location in relation to the river channels. The samples selected in this 361 study, depending on their percentage tree coverage, represent a spatial gradient with respect to their 362 distance to the river channel. Typically, the samples with vegetation coverage of 50-75% were the 363 closest to the main river channel, the samples with 25-48% an intermediate distance and the samples 364 with 0-24% coverage the furthest from the main river channel. This spatial pattern determines the 365 differences in the trends for the samples based on the eight indices. Specifically for the 0-24% 366 vegetation coverage, we have an increasing trend from 1989 to 1999 for all indices. Both hydropower 367 dams were fully functional in 1999 so, potentially, their impacts were not fully experienced before 368 this period. After 1999, the riparian vegetation seems to be performing slightly worse, based on the 369 indices. This is something that should be expected, since these areas are the furthest away and the 370 alteration in the hydrologic regime (e.g. lack of floods) and the consequent increase of the water table 371 depth, should affect them first. The riparian vegetation furthest away from the channel, may no longer 372 be able to have access to ground water year round (a characteristic required for the health of the 373 riparian vegetation), since the water table depth increases. The reduction of the groundwater level in 374 riparian areas hinders water intake by vegetation, which is especially necessary during the summer 375 season. This causes a decline in the reproduction of pioneers, followed by a successive dieback of 376 mature individuals (Stromberg et al., 1996). In addition, this vegetation is also closest to the 377 anthropogenic pressures that could also have led to the degradation of the vegetation (Naiman et al., 378 2005; National Research Council, 2002; Zaimes and Emmanouloudis, 2012; Zaimes et al. 2011a). 379

The trend is very different for the vegetation cover of 50-75%. Specifically, it appears that the vegetation trend is steady and might even be increasing. This might be due to the fact that, while the water depth might have increased, this particular class of riparian vegetation is close to the river channel and might, therefore, still have access to the water table year-round, i.e. it might not have been negatively impacted by it. Moreover, with the stream flow being regulated by the dams, the number of floods and their magnitude has decreased, thus reducing this type of disturbance which can remove old vegetation and open new spaces for re-vegetation. The lack of peak floods can lead to the re-vegetation of the banks, islands and even of the river channel (Hupp, 1990; Hupp and Osterkamp, 1994; Friedman et al., 1998; Magilligan et al., 2003). The vegetation in this category is probably also getting older and denser, due to the lack of floods, as the trend in most indices indicates (i.e. increasing or steady).

Finally, the samples with vegetation coverage 25-49% have no clear trends. This is attributed to the fact that these areas are experiencing all the impacts described previously (increased water table depth, no floods) to a varying degree, leading to impacts experienced in both the 0-24% and 50-75% and consequently exhibiting no clear trend.

Overall, our results from the trends of the vegetation indices during the 27 years of the study period, support the idea that the current suggested environmental flows and water management plan for the Nestos Basin and Delta, need to be revised in order to be able maintain the high ecological standards of the Natura 2000 and Ramsar riparian sites, as suggested by other studies about the Delta (Koutrakis et al., 2018).

400 **4.2.** Understanding changes in riparian vegetation caused by dams

Looking at the variables that impact riparian vegetation, based on the Mean Decrease Accuracy 401 the territorial variables seem to be more important than the climatic ones. This should be expected 402 403 since riparian areas are ecosystems and not biomes (that are the result of climatic conditions) and 404 called azonal because they can be in found in most climatic regions. Specifically, the most important ones are the distance to the dams and to the sea. The distance to the dams should be expected to be 405 an important descriptor since, as mentioned previously, dams can have detrimental effects on riparian 406 407 vegetation. Moving further downstream from the dams, the impacts are likely to be less significant. Concerning the distance to the sea, this is related to the fact that deltas are ecotones, transition zones 408 between fluvial and maritime ecosystems. Therefore, moving away from the coastline, fluvio-409 geomorphological processes and water composition changes are expected. In addition, the 410 construction of dams leads to less water and sediment reaching the delta and, along with climate 411 change impacts, that lead to sea water intrusion, sea level rise and the erosion and recession of deltas; 412 all major threats to these ecotones that can cause serious problems to the riparian vegetation (Bergillos 413 and Ortega-Sánchez 2017; Syvitski et al., 2009; Tessler et al., 2015; Wang et al. 2017). This is 414 especially true for Mediterranean deltas (Jeffic et al., 1996; Bergillos and Ortega-Sánchez 2017), 415 where dam construction has reduced the sediment supply by approximately 50% since the middle of 416

the 20th century (Poulos & Collins, 2002). This should be of concern to the authorities responsible 417 for the management of the Nestos Delta and its riparian vegetation. The importance of the distance to 418 the river as a descriptor is related to the increase in the water table depth (Naiman et al., 2005; National 419 Research Council, 2002). The importance of the latter was also evident with the analysis of the 420 421 vegetation indices, especially for the samples that were the furthest away from the channel with vegetation there not having access to ground water year round. For the intermediate samples (25-49% 422 vegetation coverage), the presence of residential structures was also strongly correlated with 423 vegetation. This land-use intensification in, and adjacent to, riparian areas with agricultural and/or 424 urban areas in the Mediterranean region, has reduced habitat size and flora diversity (Corbacho et al., 425 2003; Luther et al., 2008; Magdaleno and Fernández-Yuste 2013). Finally, some of the climatic 426 factors were also found to be important, with some related to temperature (namely, temperature of 427 the coldest quarter, diurnal range and isothermality) and others to precipitation (precipitation 428 429 seasonality and annual precipitation). These climatic factors are known to impact the growth of the 430 riparian vegetation (Naiman et al., 2005; National Research Council, 2002).

Regarding the Mean Decrease Gini, the dominance of the territorial variables was even greater. 431 Again, as anticipated, the distance to the sea and the distance to dams were identified as the most 432 important parameters. The distance to the river and to residential areas were also found to be 433 important. Finally, in agreement with other studies (Naiman et al., 2005; Schultz et al., 2009), the 434 distance to crops was also identified as an important parameter. In Greece, especially in the lowland 435 areas, agricultural activities are prevalent compared to other land-use practices and have led to the 436 fragmentation of riparian forests and the degradation of deltas (Zaimes et al., 2011b). The only 437 climatic factor that appears to be important is precipitation seasonality, which was expected to a 438 certain extent, as it affects the vegetation growth. As previously mentioned, riparian areas can be 439 440 found in all biomes, are azonal and are the result of primarily local conditions (adjacent to a water body). 441

442 **5.** Conclusions

Our results from the analysis of multi-temporal remotely sensed vegetation indices and a 443 modelling exercise involving the evolution of these indices and other environmental and topographic 444 parameters, corroborate the findings in other studies that both natural and human-induced changes 445 influence the evolution of deltaic and riparian ecosystems (Syvitski and Saito, 2007; Simeoni and 446 447 Corbau, 2009; El Banna and Frihy, 2009; Sabatier et al.2009). With one third of the Mediterranean coastline having already been built and attracting numerous economic activities (Bergillos and 448 Ortega-Sánchez 2017), the importance of these ecosystems in the sustainable development of the 449 region is undeniable. These areas have been intensely exploited and settled by humans for millennia 450

(Masselink & Hughes, 2003), and therefore their protection, conservation and re-establishment 451 should be a priority for addressing environmental sustainability and land degradation neutrality. 452 Utilizing the vegetation indices and modelling exercise land and water managers can identify and 453 monitor potential changes in the riparian vegetation and what the key factors are for their recovery. 454 455 This can help mitigate the main anthropogenic pressures and along with the utilization of new innovative practices, such as ecosystem-based approaches at the watershed scale could lead to their 456 sustainable and cost-effective management (Colls et al., 2009; Iakovoglou and Zaimes, 2017). For 457 example, following ecosystem-based approaches the current environmental flows need to be changed 458 and incorporate high flow (floods) and low flow (droughts) events every year based on the historical 459 hydrologic flows of the Delta before the dam constructions. This will allow the riparian vegetation of 460 the Delta to recover and remain healthy. 461

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790 Supplementary Material

791 Table SM1. Characteristics of the Landsat satellite images

Date	Satelite	Sensor	Path	Row	Resolution
23/6/1989	Landsat 4	Thematic Mapper (TM)	182	32	30m
11/7/1995	Landsat 5	Thematic Mapper (TM)	182	32	30m
14/7/1999	Landsat 7	Enhanced Thematic Mapper + (ETM+)	182	32	30m
17/8/2000	Landsat 7	Enhanced Thematic Mapper + (ETM+)	182	32	30m
20/8/2001	Landsat 7	Enhanced Thematic Mapper + (ETM+)	182	32	30m
18/8/2003	Landsat 5	Thematic Mapper (TM)	182	32	30m
26/8/2006	Landsat 5	Thematic Mapper (TM)	182	32	30m
29/8/2007	Landsat 5	Thematic Mapper (TM)	182	32	30m
18/8/2009	Landsat 5	Thematic Mapper (TM)	182	32	30m
24/8/2011	Landsat 5	Thematic Mapper (TM)	182	32	30m
29/8/2013	Landsat 8	Operational Land Imager (OLI)	182	32	30m
16/8/2014	Landsat 8	Operational Land Imager (OLI)	182	32	30m
19/8/2015	Landsat 8	Operational Land Imager (OLI)	182	32	30m
21/8/2016	Landsat 8	Operational Land Imager (OLI)	182	32	30m



793

Fig. SM1: Variables importance per vegetation index graph derived from RF modelling. First interval (Percent
 tree cover 0 – 24). %IncMSE: Mean Decrease Accuracy (%); IncNodePurity: Mean Decrease in Gini impurity

796 index.



Fig. SM1: (continued) Variables importance per vegetation index graph derived from RF modelling. First
 interval (Percent tree cover 0 – 24). %IncMSE: Mean Decrease Accuracy (%); IncNodePurity: Mean Decrease
 in Gini impurity index.



Fig. SM2: Variables importance per vegetation index graph derived from RF modelling. Second interval
(Percent tree cover 25 – 49). %IncMSE: Mean Decrease Accuracy (%); IncNodePurity: Mean Decrease in Gini
impurity index.



806 Fig. SM2: (continued) Variables importance per vegetation index graph derived from RF modelling. Second

interval (Percent tree cover 25 – 49). %IncMSE: Mean Decrease Accuracy (%); IncNodePurity: Mean Decrease
 in Gini impurity index.



810 Fig. SM3: Variables importance per vegetation index graph derived from RF modelling. Third interval (Percent

811 tree cover 50 – 75). %IncMSE: Mean Decrease Accuracy (%); IncNodePurity: Mean Decrease in Gini impurity

812 index.



- Fig. 3: (continued) Variables importance per vegetation index graph derived from RF modelling. Third interval
- 815 (Percent tree cover 50 75). %IncMSE: Mean Decrease Accuracy (%); IncNodePurity: Mean Decrease in Gini
- 816 impurity index.