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#### 2

# Ecological challenges for the buffer zone management of a West African national park

## 3 RUNNING TITLE: FAZAO MALFAKASSA ECOLOGY

4 Abstract In sub-Saharan Africa, the management of buffer zones around protected areas do not often take into 5 serious account the needs of resource exploitation by the local populations or the conservation needs of these 6 areas. We described the ecological characteristics and management issues affecting the buffer zone around the 7 Fazao-Malfakassa National Park (FMNP); a 192,000-ha protected area in central-western Togo of utmost 8 conservation importance within the Dahomey Gap region. We focussed on the 10-km radius buffer zone around 9 the park. Using 2015 sentinel-2 images we analysed land cover patterns and described existing ecological zones. 10 We complemented these with field surveys and interviews with 300 persons living in 22 villages within the 11 buffer zone to describe the conditions affecting the resident human population. Although over 80% of the total 12 buffer zone area is altered, we identified four areas of high conservation value (total area = 65,594 ha). 13 Interviewees recognized that slash-and-burn was the most common form of land use, followed by agroforestry 14 practices. Agriculture, charcoal and firewood production were the main drivers affecting habitats, and land 15 conflicts were recurrent due to the rise in human population. The decline in agriculture, reported by interviewees 16 in some sectors, was attributable to ravages of crops by elephants. Three independent diversity indices showed 17 that in well-preserved zones, a greater diversity of animals (with similar utilization frequencies) were hunted 18 than in altered sites (where grasscutters were the dominant hunted species). There were also significant 19 differences between altered and well-preserved zones in terms of plants used for charcoal production and for 20 non-timber forest products. We advocate the development of community-controlled hunting areas to enhance the 21 conservation value of the four well-preserved zones. Instead, promoting sustainable agricultural production 22 systems in the degraded areas can help to further stabilize the agricultural front and reduce land pressure on the 23 park. 24

Keywords Buffer zones management; Human Pressure; Biodiversity; Standardized questionnaires; Fazao Malfakassa National Park; Togo

27

## 28 INTRODUCTION

29 Protected areas are an essential component of conservation strategies (Aubertin 2013; Gross 30 et al. 2015). To play their roles fully and sustainably, protected areas should be managed in a 31 way that considers the needs and concerns of local populations, not only within the core 32 zones, but also in the buffer (=peripheral) zones (e.g. Dudley 2008; Aubertin 2013). Buffer 33 zones (sensu Sayer, 1991; Binot et al. 2007; Mathevet et al. 2010) are used for activities that 34 are compatible with ecologically sustainable practices that support directly or indirectly 35 conservation and research, and importantly serve ecological buffering functions (Shafer 1999; 36 Martino 2001; Andersson et al. 2017). Thus, inside buffer zones, some restrictions are placed 37 on resource exploitation and land use in support of the protection of the protected area itself 38 (Newmann 1997). For instance, whereas hunting and/or fishing may be seasonally forbidden 39 and anyway monitored, several benefits go directly to local communities including those 40 related to wildlife (wages, income, meat), social services and infrastructure (clinics, schools, 41 roads), and political empowerment through institutional development and legal strengthening 42 of local land tenure (Newmann 1997). Additionally, in the buffer zones of African protected 43 areas there has often been an applied effort at assuring the cultural survival and to incorporate 44 indigenous knowledge and practices in conservation management (e.g., Newmann 1997)

Although some management activities are undertaken to enhance the conservation values of
the area (Sayer, 1991; Wells and Brandon 1993) and to provide benefits to neighboring rural
communities (Wells and Brandon 1992, 1993), the main goal of buffer zones is still to protect
biodiversity, but this protection has to be harmonized with the derivation of benefits to local
people (Martino 2001).

Although few studies have investigated the effectiveness of buffer zones in terms of their ecological buffering functions, a number have focused on the socioeconomic aspects (see Heinen and Mehta 2000; Whitelaw *et al.* 2014; Gross-Camp *et al.* 2015). Ecological functions of buffer zones include: (i) the enhanced conservation of species with high mobility

(Barzetti 1993) or of ecological relevance (i.e. ecosystem engineers or "landscape species" 54 55 sensu Alexandre et al. 2010), (ii) their functioning as physical barriers to human 56 encroachment (Martino 2001; Andersson et al. 2017), (iii) reduction of the edge effects 57 (Shafer 1999), and (iv) enhancement of the environmental services provided by the reserve 58 (e.g. Martino 2001; Andersson et al. 2017). However, several studies noticed that local people 59 do not receive economic benefits from the establishment of buffer zones; for instance, establishment of ecological corridors for wildlife may involve relocation of communities with 60 61 economic compensations, but these were normally irrelevant compared to the social, cultural 62 and economic damages due to the translocation (Mwalyosi 1991; Heinen and Mehta 2000; 63 Martino 2001; UICN/PACO 2011, 2012). Thus, the establishment and management of buffer 64 zones is often a very complicated task for the governmental and non-governmental agencies 65 devoted to it.

66 In sub-Saharan Africa, the management of the buffer zones does not usually consider 67 the needs of resource exploitation by the resident populations (e.g., traditional hunting or 68 fishing, collecting fallen timber, harvesting fruit (Mwalyosi 1991; Brandon 1997; Gami 2000; 69 Ministere de l'Environnement et des Ressources Forestieres 2008)), or the conservation needs 70 and values of their natural resources (Hanon et al. 2008). The operative definition of buffer 71 zones also varies across countries in terms of their extension and zone of influence. For 72 instance, concerning the trans-country W Regional Park, the buffer zone was 3 km radius in 73 Benin and 1 km in Burkina Faso (Lungren and Bouché 2008). However, it was 10 km in 74 Central African Republic (Gami 2000), with no specification in Togo (UICN/PACO 2012). 75 These different buffer widths are also driven by the size and shape of the protected area in 76 question and obviously by the various socio-ecological roles that are also very relevant in defining a buffer (Hanon et al. 2008). Thus, defining a buffer zone is much more than just 77 78 deciding a consistent width around a given protected area by the respective governmental

agencies (e.g., Andersson *et al.* 2017). The operative definition of buffer zones also varied in
terms of the rights of the resident human populations (village dynamics, rights or prohibitions
of use) (UICN/PACO 2012). Therefore, many buffer zones are seen by local populations as a
mere geographical expansion of state authority beyond the boundaries of protected areas
(Martino 2001). Buffer zones should be perceived as areas in which sustainable use of natural
resources is promoted to benefit both local communities and wildlife (Wild and Mutebi 1997).

85 Although much scientific literature is currently available on the functions and problems affecting buffer zones in African protected areas since the 1990s (e.g., Vujakovic 86 87 1987; Mwalyosi 1991; Newmann 1997; Wild and Mutebi 1997), almost nothing has been 88 published to date on buffer zones of parks and natural reserves in Togo (UICN/PACO 2008). Despite being one of the smallest African countries with a population of about 7.6 million 89 90 (DGSCN 2014), this country has an increasingly successful economy (annual GDP growth 91 has averages 5.5% in the last 10 years, higher than most Sub-Saharan economies (World Bank 92 2017). Being heavily based on agricultural development (accounting for about 40% of GDP; 93 World Bank 2017), the Togolese economy also generates serious problems for the 94 conservation of natural areas and wildlife (UICN/PACO 2008). This means that 95 understanding the functionality and problems affecting buffer zones in the country can be 96 crucial in heightening the management of protected areas (UICN/PACO 2008).

In this paper, we explore the ecological challenges affecting the management of the buffer zones in one of the country's most important protected areas, the Fazao Malfakassa National Park (hereby FMNP). By employing satellite image analysis and an interview-based approach with local communities we investigate ongoing landscape patterns and uncover the most pressing issues. More specifically, we aim to answer the following key question: what are the locally-perceived drivers affecting the buffer zone? In order to answer to this major question, we specifically investigated the following questions too: (i) Are there any areas of remarkable conservation value for both landscape characteristics and wildlifethat should be considered in the management of the FMNP buffer zone? (ii) What drivers affect these areas? (iii) What are the best options for enhancing the ecological filter value of the buffer zones for the management objectives of FMNP? To answer these questions, we (i) identify areas with high conservation value, (ii) undertake an inventory and analysis resource exploitation practices and (iii) identify the determinants of the agriculture and landscape dynamics in the area.

111 MATERIALS AND METHODS

#### 112 Study area

113 Located in the central part of the Atakora mountains, and extending between the 114 longitudes East 0 ° 36 'and 1 ° 2' and the latitudes North 8 ° 21 'and 9 ° 10' at the boundary 115 between Sudanese and Guinean savannah vegetastion zones (Figure 1), The Fazao-116 Malfakassa National Park (PNFM) has an area of 192,000 hectares, or 3.4% of the Togolese 117 territory. This protected area was created in 1975 as a result of the merger of the protected 118 areas of Fazao (162 000 hectares) and Malfakassa (30 000 hectares) in a Wildlife Reserve by 119 Decree No. 372 / EF of 15 May 1954 (IUCN / PACO, 2008). FMNP was managed by the 120 Ministry for the Environment and Forestry Resources (MERF in French) up to 1990, by Franz 121 Weber Foundation between 1990 and 2015, and by MERF afterwards (Atsri et al. 2018). 122 Surveillance patrols of the park are mainly conducted by ecoguards recruited from the riparian 123 villages. Populations are informed about management decisions but they do not participate in 124 decision-making mechanisms and are rarely consulted formally. However, since 2013 they 125 have been organized informally by village associations of participative management of 126 protected areas (AVGAP) in each village legally recognized by the national territorial 127 administration. These associations aroused by the park manager do not have operating

budgets. There are no formal agreements on the sharing of responsibilities and powers
between the manager and these organizations of local populations on management actions.
The park is drained by the rivers Mô, Anié, Koui and Kpawa, and is characterized by an
annual rainfall varying between 1200 and 1500 mm.

In 2010, human population inhabiting the buffer zone of FMNP was estimated at 60,216 (DGSCN 2014), with a density that has increased from 21 inhabitants / km<sup>2</sup> in 1981 to 47 inhabitants / km<sup>2</sup> in 2010 (growth rate = 2.81%, DGSCN 2014). There are many villages around the park. These villages are populated by various ethnic groups including Kotokoli, Agnanga, Bassar and Kabyè. Most of the landscape consists of agricultural fields, with a patchy mosaic of closed-canopy forests (semi-deciduous, dry deciduous and riparian forests) and open forests, as well as wooded savannahs.

139

### 140 **Protocol**

141 Three "altered" and three well preserved zones were surveyed during the present study 142 (see below for details). These areas were selected after being identified using the land use 143 map of the buffer zone (within a 10 km radius around the FMNP), with a visual interpretation 144 of colored images and supervised classification of the 2015 Sentinel-2A MSI of December 145 21st image (10m resolution) for discriminating different types of land cover using the 146 maximum likelihood algorithm. This method is based on Bayes' theorem, which makes it 147 possible to describe the classes contained in the image based on the probability density 148 concept (Robin 2007). These are two MSI images not covered by dry season clouds that have 149 been mosaicked to cover the entire study area. This method of land cover analysis has yielded 150 excellent results in the study of FMNP habitat dynamics (Atsri et al. 2018). The classified

151 image of the peripheries was thus validated according to the approaches used by Atsri et al.152 (2018).

In order to keep a "standard" size of the buffer around the whole protected area, for 153 154 this paper we used an area of 10 km beyond the park's boundary as 'buffer zone' (Figure 1). 155 Thus, we interviewed (by questionnaire) only people living permanently in villages situated 156 within the buffer zone area. The questionnaire was administered to 300 persons (150 from 157 well-preserved and 150 from altered areas) from 22 out of 75 villages situated around the park 158 (Appendix 1). These 22 villages were randomly selected among those available within the 159 buffer zone area. Twelve of the villages were in three degraded areas and 10 villages in three 160 well preserved areas on the outskirts of the FMNP. This sample represented 0.5% of the total 161 population of the riparian villages. Interviewees were selected on a voluntary basis; they were 162 not paid for participating in the study and they were firstly informed of the aim of the study. 163 In the villages, we firstly explained the aim of the study to the village chief, and the number 164 and type of participants we needed. He/she then asked some residents to participate. The 165 interviews were facilitated and translated by a person of the same ethnicity of the village we 166 were working on. In order to ensure the independence of the answers, all the interviewees 167 were approached individually, taking into account the state of conservation of the buffer zone. 168 We focused our interviews on farmers (other than chiefs and hunters) because, in the area, 169 almost all farmers are both carbonizers and firewood collectors. These farmers are involved in 170 the production of wood during periods of low agricultural activity (after harvests between 171 November and February). Wood carvers, local mat and and basket weavers, and nut peakers 172 do not occur in the study area.

An area was considered to be "degraded" if it was characterized by a predominance (≥
65%) of agricultural fields, agroforests, human settlements and important tree cutting areas
(exploitation for charcoal or firewood). On the other side, it was considered "preserved" if it

176	was characterized by a predominance of natural ecosystems (forests and savannahs), and by					
177	the absence of agricultural fields, agroforests and woodcutting. This questionnaire focused on					
178	land use practices, forestry and wildlife resources in the buffer zones, as well as on the					
179	different types of	of land-use conflicts and different agricultural practices. More specifically,				
180	each questionna	aire consisted of the following questions for each interviewee:				
181	(i)	what is the most common form of land use in the surroundings of your				
182		village (three pre-selected options available for choice: slash-and-burn,				
183		fallow, agroforestry);				
184	(ii)	what are the most important resource exploitation practices in the				
185		surroundings of your village (for instance, agriculture, hunting, etc.)?				
186		Interviewees were allowed to freely describe the various practices without				
187		any pre-selected option made by the interviewers.				
188	(iii)	what are the different types of conflicts related to the use of resources?				
189		(three pre-selected options available for choice: human / wildlife conflicts,				
190		land conflicts, ranger / farmer conflicts);				
191	(iv)	what is the evolution of the agricultural front in the last five years? (three				
192		options : growing, stable, decrising);				
193	(v)	what are the reasons for the observed agricultural front dynamics?				
194		Interviewees were allowed to freely describe the various reasons without				
195		any pre-selected option made by the interviewers.				
196	(vi)	what are the most hunted animals?;				
197	(vii)	what are the most exploited forest species for charcoal, firewood and non-				
198		timber forest products?				
100						

199 The study areas were selected after being identified using the land use map of the 200 buffer zone (within a 10 km radius around the FMNP), with a visual interpretation of colored

images and supervised classification of the 2015 Sentinel-2A MSI of December 21st image 201 202 (10m resolution) for discriminating different types of land cover using the maximum 203 likelihood algorithm. The main landuse characteristics are presented in Appendix 2. This 204 method is based on Bayes' theorem, which makes it possible to describe the classes contained 205 in the image based on the probability density concept (Robin 2007). Each area was considered 206 'altered' if it was characterized by a predominant presence of agricultural fields, agroforestry 207 zones, houses, and areas of clear-cutting of trees (exploitation for charcoal or firewood), 208 whereas it was considered as 'well preserved' if it was characterized by a predominant 209 presence of natural ecosystems (forests and savannahs), and by the absence of agricultural fields, agroforestry zones, and areas exploited for wood. 210

211 Field surveys were conducted also through line transects to observe faunal species of 212 conservation value (primates, elephants, ungulates, reptiles), and possibly to determine their 213 apparent status in the different surveyed areas.. Details of the field methodology utilized 214 during these surveys are presented elsewhere (e.g., Ségniagbeto et al. 2017, 2018), but 215 included random visual encounter surveys in suitable sites, heard calls, and examination of 216 hunted specimens in local bushmeat markets (Ségniagbeto 2009; Ségniagbeto et al., 2017). 217 These species were selected on the basis of their easy detectability in the field, thus allowing 218 the experimenters to make sound comparisons of their kilometric abundances between altered 219 and well preserved areas.

#### 220 Data analysis

Kilometer abundance indices (KIA) of several target vertebrates were calculated
according to the status of the area (degraded and preserved). KIA was the ratio of the number
of individuals observed to the distance traveled in kilometers. This index makes it possible to
appreciate the apparent abundance of species in an area:

225	$KIA = \frac{Number of observed individuals}{total distance walked in km}$
226	Frequencies of different types of answers by interviewees were analyzed by $\chi^2$ test. In
227	order to analyze the differences between altered and well-preserved zones in terms of variety
228	of frequently hunted animals, three distinct measures of community diversity were calculated
229	for each village (Magurran 1988; Hammer 2012):
230	(a) Dominance index = 1-Simpson index, and ranges from 0 (all taxa are equally
231	present) to 1 (one taxon dominates completely the community of hunted animals);
232	(b) Simpson's diversity index. This index measures the 'species diversity' of the
233	community of hunted animals, and ranges from 0 to 1.
234	(c) Evenness, calculated by Pielou's formula:
225	$e = H/\log S$
235	
235 236	with H representing Shannon's index, and S the total number of taxa recorded in in
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236 237	with H representing Shannon's index, and S the total number of taxa recorded in in each study area (Magurran 1988).
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236 237 238 239 240 241	with H representing Shannon's index, and S the total number of taxa recorded in in each study area (Magurran 1988). Overall differences of KIA mean estimates of target animal species between altered and preserved areas were assessed by Mann-Whitney U-test. Species-specific differences in KIA estimates between altered and preserved areas were assessed by Mann-Whitney U-test on the independent sampling surveys for each species. In order to differentiate the two zone
<ul> <li>236</li> <li>237</li> <li>238</li> <li>239</li> <li>240</li> <li>241</li> <li>242</li> </ul>	with H representing Shannon's index, and S the total number of taxa recorded in in each study area (Magurran 1988). Overall differences of KIA mean estimates of target animal species between altered and preserved areas were assessed by Mann-Whitney U-test. Species-specific differences in KIA estimates between altered and preserved areas were assessed by Mann-Whitney U-test on the independent sampling surveys for each species. In order to differentiate the two zone types (altered versus well-preserved) in terms of their quantitative hunted animals community
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<ul> <li>236</li> <li>237</li> <li>238</li> <li>239</li> <li>240</li> <li>241</li> <li>242</li> <li>243</li> <li>244</li> </ul>	with H representing Shannon's index, and S the total number of taxa recorded in in each study area (Magurran 1988). Overall differences of KIA mean estimates of target animal species between altered and preserved areas were assessed by Mann-Whitney U-test. Species-specific differences in KIA estimates between altered and preserved areas were assessed by Mann-Whitney U-test on the independent sampling surveys for each species. In order to differentiate the two zone types (altered versus well-preserved) in terms of their quantitative hunted animals community composition (as emerged from interviewees' responses), we used a One-Way Analysis of Similarities (ANOSIM). ANOSIM is roughly analogous to an ANOVA in which the

was performed in R-software, using Vegan package (Oksanen et al. 2010), whereas, for all the
other statistical tests, the software PAST 3.0 version (Hammer 2012) was used, with alpha set
at 5%.

251 **RESULTS** 

#### **Biodiversity characteristics of the well-preserved and altered buffer zones**

253 Despite strong anthropogenic pressures on the buffer zone of the FMNP (identified 254 through Sentinel as mentioned above), four clearly defined well-preserved areas were 255 identified (zones 1 to 4, see Figure 1), with a total area being estimated at 65,594 hectares. In 256 three of these well preserved areas, we also conducted our interviews. The main ecological 257 characteristics of these areas are summarized in Table 1, whereas the abundance estimates for 258 the target animal species (KIA estimates) are presented in Table 2. Overall, the mean KIA 259 abundances of the target species (lumped together) did not vary significantly among protected 260 area and buffer zones (Mann-Whitney U-test: z = -0.161, U = 94, P = 0.872). However, when 261 analyzing the various species separately, it resulted that Kobus kob, Tragelaphus scriptus and 262 Philantomba walteri were significantly more abundant in the protected area, and Thryonomys 263 *swinderianus* in the buffer zone (in all cases, P < 0.05 at Mann-Whitney U test).

Zone 1 is dominated by woodland savannah with scattered islands of dense semideciduous forests. We directly observed several species of conservation concern, including
elephants (*Loxodonta africana*), that use these areas as a refuge during periods of heavy rains.
Other frequently observed species were baboons (*Papio anubis*), Spot-nosed Monkey
(*Cercopithecus petaurista petaurista*), mona monkeys (*Cercopithecus mona*), Buffon's kobs
(*Kobus kob*), West African crocodiles (*Crocodylus suchus*), pythons (*Python sebae* and *Python regius*) and tortoises (*Kinixys nogueyi*).

Zone 2 is characterized by tree and woodland savannah on hydromorphic soils
scattered by small open forest fragments dominated by *Isoberlinia* trees (Fabaceae). We
observed large herds of Buffon's kob, waterbuck (*Kobus ellipsyprimnus*), pata monkeys
(*Erythrocebus patas*) and baboons in the open forest patches and in the wooded savannahs.
Elephants were regularly observed in this zone, and indeed they make incursions into the
cultivated fields (particularly of yam) especially in this zone.

277 Zone 3 is characterized by a mosaic of hills and plains dominated by woodland 278 savannah, with scattered patches of open forests and dry dense forests. In this zone, non-279 timber forest products cited by the respondents are widely sold in the local markets surveyed. 280 There was an abundance of Detarium senegalense, Pentadesma butyracea, Parkia biglobosa 281 and Vitellaria paradoxa fruits and their derivatives in local markets. These observations 282 confirm the strong exploitation of these non-timber forest products cited by respondents both 283 inside and outside the park (peripheral areas). Our study did not take into account fungi. 284 Nevertheless, studies already conducted in and around the park have identified, through 285 ethnomycological surveys, 23 taxa commonly used by people for food, two taxa for medicinal 286 and food purposes, while a taxon is used exclusively for medicinal purposes (Kamou et al. 287 2015). On the other hand, insects are not exploited in the area for trade or for food (our 288 unpublished data). Some primates (Colobus vellerosus and Cercopithecus mona) were 289 observed during our surveys, while also consuming these fruits.

Zone 4 is also a mosaic of woodland savannah and open forests with large patches of
dense forest. There are permanent ponds in this area, where elephants were regularly
observed. These areas were also frequented by forest buffalo (*Syncerus caffer nanus*) and
hartebeest (*Alcelaphus buselaphus*), but also baboons, pata monkeys, tortoises (*Kinixys nogueyi*) and turtles (*Pelomedusa subrufa* and *Pelusios castaneus*) were regularly observed.

In the altered areas, where the agricultural lanscape is dominant (>80% of the total landscape area), the fauna appeared highly depleted, with virtually no species of conservation value. Mammal fauna is dominated by such habitat generalists as *Thryonomys swinderianus*, *Cricetomys gambianus*, and *Hystrix cristata*. Large ungulates were not observed, whereas small duikers (*Philantomba walteri*) were extremely rare. The reptilian fauna of altered areas was dominated by lizards and snakes. Spitting cobras (*Naja nigricollis*) and African puff adder (*Bitis arieens*) were relatively common, and represented a main threat to local farmers.

## 302 Exploitation of buffer zone resources: interview-based approach

## 303 What is the most common form of land use?

Since there were no statistical differences between answers by interviewees in the altered versus well-preserved zones ( $\chi^2$ = 5.28, df = 3, P = 0.152), we pooled the data from the two zone types. Overall, slash-and-burn was considered the most common form of land use by 38.5% of the interviewees, agroforestry by 35.2%, fallow by 21.1%, whereas 5.2% did not have any opinion.

#### 309 What are the most important resource exploitation practices?

310 Interviewees' answers on the resource exploitation practices, in relation to the state of 311 conservation of the buffer zones, are given in Figure 2. Although the exploited resource types 312 were identical in altered and well-preserved areas, there were significant differences between the two categories of area ( $\chi^2$ = 38.15, df = 7, P < 0.0001). Hunting, honey harvest and non-313 314 timber forestry products extraction were significantly more frequent in well-preserved areas, 315 whereas bush fires in altered areas are identical regardless of the state of conservation of the 316 buffer zones (Figure 2). More specifically, in degraded areas agriculture (85%) was the 317 dominant activity followed by choarcal production (60%). Nevertheless, in intact areas,

hunting is the second most important activity behind agriculture, according to 55% ofrespondents.

#### 320 What are the different types of conflicts related to the use of resources?

Human / wildlife conflicts were identified by 50% of the respondents, land conflicts by 25%, and ranger / farmer conflicts by 10%. 8% of the respondents did not have any opinion, and 1% answered that there is no land-use conflict in the area. Human / wildlife conflicts are linked to ravages or destruction of crops by elephants (yams) and primates (maize). Elephant incursions into yam fields have increased in recent years with remarkable economic losses for farmers.

## 327 What is the evolution of the agricultural front in the last five years?

About 78% of 150 respondents interviewed in the altered areas suggested that, during the last five years, the agricultural front has decreased in the altered buffer zones. Conversely, according to 37% of the 150 respondents interviewed in the well-preserved areas, the dynamics of the agricultural front are stable, whereas another 35% of the 150 interviewees considered it to be progressing in the well-preserved areas.

## 333 What are the reasons for the observed agricultural front dynamics?

Based on interviewees' opinion, the drivers of the evolution of the agricultural front differed significantly ( $\chi^2$ = 43.23, df = 3, P < 0.0001) according to the state of conservation of the buffer zones (Figure 3). Low agricultural yields were behind the origin of the advancement of the agricultural front according to most interviewees in altered areas (58% of respondents). On the other hand, soil fertility (33%) and demographic increase (33%) explained the progress of the agricultural front in well-preserved areas according to our interviewees (Figure 3). About 20% of people did not have any opinion on this issue (Figure 341 3). According to the interviewees, the main crops grown are maize (26%), cowpea (20%) and
342 soybean (15%). The cultivation of yam (10%) and cotton (0.4%), which are well known to be
343 devastating for forests and savannahs, was reported to be declining in recent years by the
344 majority of respondents.

345 According to the interviewees, the explanatory factors of the regressive dynamics of 346 the agricultural front are manifold (Table 3), and differed significantly between altered and well-preserved areas ( $\chi^2 = 26.41$ , df = 5, P < 0.0001). The presence of the mountains has 347 348 stabilized the agricultural front in well-preserved areas. Thus, in the western part of the park, 349 which is nevertheless highly anthropized, any progress on the agricultural front is naturally 350 limited by the cliffs. On the other hand, the ravages of crops by elephants and primates have 351 pushed the front back into altered areas (Table 3). In addition, the lack of adequate land 352 development facilities (8%) and the availability of cultivable land (possibility of fallowing) 353 (3%) are other factors contributing to the stability of the agricultural front in well-preserved 354 areas. Interestingly, the activity of rangers was not viewed as a main reason for the decline 355 and/or stability of the agricultural front in the buffer zones of the park (Table 3). The 356 percentage of respondents without opinion was much higher in altered areas than in well-357 preserved areas (Table 3).

358

## What are the most hunted animals?

Overall, 15 groups of animals (mostly mammals, and especially ungulates) were mentioned by the interviewees (Table 4). The most hunted species differed significantly between altered and well-preserved zones ( $\chi^2$ = 58.71, df = 14, P < 0.0001). This difference is not surprising, as the very different environmental conditions between altered and wellpreserved zones certainly support considerably different animal communities. In particular, grasscutters (*Thryonomys swinderianus*) and hares (*Lepus* spp.) were the dominant prey for 365 hunters in altered zones whereas several animal groups were similarly hunted in well-366 preserved areas (Table 4). Interestingly, the Simpson's diversity index (0.864 in altered zones 367 versus 0.907 in well-preserved zones), the dominance index (0.136 versus 0.093), and the 368 evenness index (0.728 versus 0.818) were significantly different between the two zone types 369 (one-way ANOSIM: mean rank within zone types = 101.4; mean rank between zone types = 370 136.6; R = 0.252, P = 0.0066), thus supporting the notion that, in well-preserved zones, 371 hunters utilize a higher variety of animal preys with similar utilization frequencies. This 372 pattern is consistent with the expected higher diversity and evenness, and lower dominance, of 373 the communities of animals in pristine versus degraded areas (e.g., Magurran 1988).

What are the most exploited forest species for charcoal, firewood and non-timber forestproducts?

The list of the most used plant species for charcoal, firewood and non-timber forest product exploitation, according to the interviewees' responses in both altered and wellpreserved zones, is given in Table 5. The differences were statistically significant between zone types both in terms of plants used for charcoal production ( $\chi^2$ = 40.24, df = 8, P < 0.0001), and for non-timber forest products ( $\chi^2$ = 44.22, df = 3, P < 0.0001) but not for firewood ( $\chi^2$ = 8.1, df = 6, P = 0.231).

## 382 **DISCUSSION**

#### 383 General patterns of the FMNP buffer zone dynamics

Our study identified a remarkable heterogeneity in the quality of the FMNP buffer zones for conservation value, with more than 80% of the territory being largely altered (made almost exclusively of agricultura fields) and of very low conservation value (Figure 2). This is not surprising, given that most of the savannah habitat within the Dahomey Gap is now cultivations, plantations and human settlements (e.g., UICN/PACO 2008, 2012). Nonetheless,

389 because of the presence of four zones of high conservation value inside the FMNP buffer 390 zone, adopting a clear management strategy for the whole buffer zone area, without taking 391 into consideration whether the area is altered or well-preserved, is certainly wrong. Instead, it 392 is important to adopt different management strategies in the different areas of the buffer 393 zones, on the basis of the habitat types, the available resources and the local development 394 dynamics. Therefore, understanding the local environmental development dynamics still 395 stands as the necessary prerequisite for producing a well-working management plan for the 396 FMNP buffer zones. In this regard, our interview data can be valuable for a better 397 understanding of the local environmental development dynamics.

398 Agriculture and charcoal production are identified by local residents as being the main 399 drivers of the anthropization of the altered buffer zones. These results confirm the 400 predominant role of agriculture and woodfuel production in the transformation of natural 401 areas in Africa (Hosonuma et al., 2012). Nevertheless, transhumance is becoming a major 402 constraint for the effective management of many protected areas in West Africa, such as the 403 W transboundary park between Benin, Burkina Faso and Niger (Manceron 2011). Indeed, the 404 availability of fodder resources and livestock watering points in protected areas attracts 405 transhumant pastoralists who settle there during their stay. This installation of livestock in 406 protected areas causes severe habitat degradation through the pruning of fodder trees such as 407 Afzelia africana and Pterocarpus erinaceus. This habitat degradation is accompanied by the 408 rapid depletion of water points already reduced by drought. This coexistence leads to 409 recurrent conflicts between protected area ecoguards and transhumant pastoralists. 410 Unregulated traditional hunting is instead the main driver of habitat alteration in the well-411 preserved areas of the FMNP buffer zones. This unregulated hunting may induce the gradual 412 depletion of wildlife in protected areas, especially antelopes (Ly 2001; Grande-Vega et al. 413 2016; Hema et al. 2017). Thus, it is necessary that the authorities governing the FMNP

414 should carefully monitor and control the hunting pressure, at least in the four well-preserved 415 areas where remarkable faunal species can still be regularly encountered. In the well-416 preserved areas, also the extraction of timber and non-timber products were considered to be 417 rampant by our interviewees, and thus may represent considerable threats that should be 418 carefully considered in implementing management plans at the local scale. Previous studies 419 also observed similar issues in other West African protected areas (e.g., UICN/PACO 2008).

420 Land conflicts have become very recurrent in the region, given the scarcity of land 421 availability and the rampant growth of the human population density. Prior to the 1990s, land 422 acquisition was inherited or donated according to customary rules. Between 1992 and 1994, 423 the massive settlement of landless populations in certain areas of the FMNP as a result of the 424 socio-political unrest increased pressure on land, and caused the introduction of other ways of 425 accessing land, including land purchase and tenant farming. As a result, there are many open 426 and latent conflicts between the legal holders of land rights and the current land users that are 427 heavily affecting the management strategies in the FMNP buffer zones.

428 Our interviewees also pointed out that, in the altered areas of the buffer zone, the 429 agricultural front decreased substantially in recent years, particularly in the lowland, and less 430 so in the hills. This decline in the agricultural front is largely attributable, according to them, 431 to the ravages of crops caused by the incessant incursions of elephants and primates into the 432 cultivated fields. Although it cannot be excluded that this perception is exaggerated, 433 nonetheless it indicates that the presence of human/wildlife conflict is considered a very 434 serious theme for the people inhabiting the FMNP buffer zones. Thus, the FMNP governing 435 authorities should put strong effort in trying to minimize the negative interactions occurring 436 between local communities and elephants. The human/elephant conflict is locally enhanced 437 by the growing "insularization" process (sensu Hausser 2013) of the FMNP, with the 438 increasingly degraded buffer zones that offer scarce habitat quality but abundant food (yams

439 and cassava) to the elephants. In fact, elephants whose population increases in the FMNP, tear 440 tuber plants (yams and cassava), graze and trample on cereals (maize and sorghum). 441 Interestingly, yam plantations were shown to be the main target of elephant raids also in 442 Nazinga Game Reserve, Burkina Faso (Hema et al. 2018). This situation has resulted in a 443 remarkable reduction of the areas of yam cultivation in both the studied areas in FMNP buffer 444 zones and in Burkina Faso. This damage peaks at the phenological stages of heading and 445 fruiting of crops (Danquah and Oppong, 2014). In response to the numerous looting of crops 446 by these animals, populations are intensifying poaching (Binot et al., 2007). In addition, these 447 human-elephant conflicts forced some peasants to desert the area and abandon the yam crop, 448 resulting in a progressive de-population of the southeastern plains of the park. A similar 449 situation was observed on the outskirts of the Forest Management Unit of Kabo in Congo 450 (Nsonsi, 2017). Managing the elephant-wildlife conflict is not easy, as elephants are really 451 clever and can be easily habituated (Hema et al. 2018): changing the crops currently preferred 452 by both locals and elephants implies an opportunity cost to local communities. In addition, 453 elephants may learn to also raid the new crops. New modern methods to control elephants 454 should be devised and used, using examples from other countries (Hema et al. 2018).

Concerning the factors of the regression or stabilization of the agricultural front in the buffer zones, our study revealed that a much higher percentage of respondents (about 60%) did not have any opinion in the altered areas, whereas almost all the interviewees (about 80%) had a clear opinion of the ongoing processes in the well-preserved areas. We suggest that this difference is due to the highly dynamic and fluid environmental condition in the altered areas, where a rapid succession of bushlands, agricultural lands and human settlements may occur in almost the whole territory within a very short timespan.

#### 462 Management options

The current state of the FMNP buffer zones offers several management alternatives
that are compatible with the conservation of protected area resources. We think that these
management alternatives should be very different between altered and well-preserved zones.

466

## Management options in well-preserved buffer zones

467 Management options in the four well-preserved zones include the development of 468 hunting areas that should be self-managed by the distinct villages, following the model that 469 has already been applied for the Pendjari National Park (Benin) or Arly National Park 470 (Burkina Faso). In fact, the Pendjari National Park is surrounded by three hunting areas 471 (Porga, Batia and Konkombri) with a total area of 176,000 hectares (Brugière et al., 2015) and 472 by self-managed village hunting areas. This model of development and management of the 473 buffer zones has strengthened the protection of the core area and promoted the conservation 474 of resources for the benefit of local populations (Bouché et al., 2011). Promoting the creation 475 of carefully managed hunting zones is a real mechanism for involving local populations in 476 management because they generate substantial benefits (Grazia, 1997). However, the 477 Government still remains the main beneficiary of revenues from the exploitation of these 478 hunting areas through concession fees, management and slaughter fees, guide licenses, 479 management licenses and permits, in addition to taxes and value-added taxes (Bouché et al., 480 2011). For example, Bouché et al. (2011) showed that the Government of Benin received 37% 481 (i.e. 433,000 Euro) of the financial flow in 12 years against approximately 220,000 Euro for 482 the populations (zone rental fee and guide fees) within the framework of the management of 483 the Konkombri hunting area adjacent to Pendjari Park. Nevertheless, 30% of hunting revenues 484 from hunting areas in the Pendjari have been allocated to local development apart from the 485 direct benefits derived from tourism activities related to guiding, hospitality and catering 486 (UICN/PACO 2011).

In addition, the four zones of high conservation value, being core sites for wide groups
of large mammals including elephants and buffalos, could be used profitably for enhancing
ecotourism (Tchamie, 1994; Hausser, 2013) and eventually also 'scientific tourism', for
instance by creating a field research station that can attract scientists from outside Togo.
Effective and participatory implementation of these management options would significantly
reduce pressures on park resources (Binot and Joiris 2007, Manceron 2011).

## 493 Management options in altered buffer zones

494 Promoting sustainable agricultural production systems in the degraded areas can help 495 to further stabilize the agricultural front and reduce land pressure on the FMNP. In fact, the 496 promotion of agroforestry associated with composting techniques can improve soil fertility 497 and increase the agricultural yields of local residents (Hubert et al., 2008). Some local species 498 with high economic value for local populations such as Shea (Vitellaria paradoxa), Tallow 499 tree (Detarium senegalense), Butter tree (Pentadesma butyracea), African locuste bean tree 500 (Parkia biglobosa) and Negro pepper tree (Xylopia aethiopica) are to be promoted primarily 501 in reforestation and agroforestry activities.

502 The reduction of human-elephant conflict is also mandatory in these altered zones. 503 This reduction can be achieved by the exclusion of certain crops such as yams and maize in 504 the buffer zones regularly frequented by elephants (Hema et al., 2018) and the promotion of 505 alternative crops such as chili and ginger. This strategy to combat crop damage has already 506 been successfully tested in the fields near Kakum National Park in Ghana (Danquah and 507 Oppong, 2014). On the other hand, the decommissioning of these areas could increase the 508 human-wildlife conflict and the resentment of the owners of land rights who were 509 dispossessed of their lands when the protected area was classified. The appropriate solution 510 would be to assign the status of areas of sustainable agriculture to these areas as part of a

zoning plan to allow the Government to maintain control over the use of these lands (for thecase of Pendjari National Park, see Sabi, 2015).

513 In order to apply a well-working management plan for the FMNP buffer zones, it 514 should be considered that in the buffer zones the land tenure system is complex, as are the 515 outlying areas of Pendjari in Benin and Arly in Burkina Faso (Zomahoun, 2002). Indeed, the 516 lands belong to the local populations and their property is inherited mainly through 517 inheritance within the descent of each family in the cultivated areas despite the introduction of 518 other modes of access to land such as the purchase land and rent. Nevertheless, traditional 519 chieftaincies and local administrative institutions play an important role in the allocation and 520 allocation of unexploited land. This traditional chieftaincy can affect uses of general interest 521 in consultation with the population on undeveloped lands such as well-preserved peripheral 522 areas of the FMNP. The erection of the four preserved areas of the FMNP in hunting zones 523 can be facilitated by these provisions by relying on the national legislation on the creation and 524 management of community forests in force in Togo. The problem of land availability is thus 525 real for the populations, but remains relative because of an inappropriate management of the 526 exploitations, the waste of the land capital and the non-exploitation of the agricultural 527 resources for lack of investment capital (Lompo, 2010). Land issues related to buffer zone 528 management can be solved through consultation and negotiation processes that lead to shared 529 responsibility and benefit contracts. The implementation of these management arrangements 530 can be achieved within the framework of the UNESCO MAB zoning of FMNP as it was the 531 case in the national parks of Pendjari and Arly as part of a management plan participatory 532 park and its buffer zones. The local populations of the FMNP are organized in different 533 groups around activities related to cotton, corn and soybean cultivation, similar to those of the 534 national parks of Pendjari and Arly. There are also similarities between these three parks in

terms of socio-economic activities dominated by agriculture, hunting and woodfuel
exploitation (Green and Szaniawski 1981, Zomahoun 2002).

537 Given the dynamics of the buffer zones of the FMNP and related socio-economic and 538 ecological issues, the implementation of the management and planning provisions of the park 539 could be done effectively through participatory processes, involving land rights holders, land 540 resource users, and local hunters in the decision-making process for development and the 541 definition of resource use rules (Poisson, 2009). This type of participated management should 542 be implemented in four phases: (1) the preparation of the partnership marked by awareness 543 campaigns and the identification of the relevant actors; (2) consultation and capacity building; 544 (3) negotiation of the management plan and specific agreements; and (4) implementation and 545 monitoring of management arrangements (Poisson, 2009).

#### 546 **CONCLUSIONS**

547 This study identified four areas of ecological interest, covering an area of 65,594 hectares 548 around the park. These were areas of preferential movement, refuge and grazing mammals. 549 The availability of natural resource potential determined the predominance of socio-economic 550 activities. Thus, agriculture and woodfuel production dominated the degraded areas; hunting 551 and honey harvesting were instead more important in the preserved areas. The main conflicts 552 related to the use of resources were: human / wildlife conflicts, land conflicts and ecoguard 553 conflicts / farmers.

The populations have estimated that the decline of the agricultural front, in recent years in degraded areas including the plains, is mainly related to the ravages of crops caused by incessant incursions of elephants and primates into the fields. The promotion of the four areas with high conservation value could catalyze the emergence of an alternative valuation of the fauna of the protected area. Promoting sustainable agricultural production systems in

- 559 degraded areas can also help stabilize the agricultural front and reduce land pressure on the
- 560 MFNP. It is advised that the data of this study should be supplemented by the in-depth and
- 561 mapped analysis of the environmental and conflict risks of the buffer zones.

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  Siedlungszone des Pendjari-Nationalparks (Benin) 2002 pp.266 pp. CABI, Cotonou,
  Benin.
- 736

- 737 **Table 1** Zones of ecological interest that were identified in the buffer area of Fazao
- 738 Malfakassa National Park. In this table, dense forest would mean a forest patch with the trees
- crowd together forming a predominantly 70-90% canopy, whereas an open forest patch would
- have a predominantly 40-60% canopy.

Zone	Area (ha)	Vegetation type	Potentiality of development
Zone 1	5 860	Woody savannah with dense forest islets	Elephants and primates (ecotourism)
Zone 2	20 034	Woody savannah with open forests	Elephants, Buffon's Kob, salt pans, permanent ponds and marshlands
Zone 3	19 400	Woody savannah with both open and dry dense forests	Forest patches with high potential for the production o non-timber forestry products and ecotourism for primate observations
Zone 4	20 300	Wooded savannah with open forest and with islands of dense forest	Elephants, Buffon's Kob, sal pans, permanent ponds and marshlands

- **Table 2** Abundance of selected animal species across transects in the well-preserved versus
- 744 (16.5 km) altered (19.5 km) buffer zones of Fazao Malfakassa National Park. For the
- 745 statistical details, see text

Species	KIA in altered area	KIA in well-preserved area
	Mammals	
Kobus kob	0.41	1.09
Tragelaphus scriptus	0.05	0.30
Syncerus caffer nanus	0.00	0.06
Philantomba walteri	0.20	0.73
<i>Lepus</i> sp.	1.85	0.67
Thryonomys swinderianus	3.18	1.94
Squirrels	1.49	0.48
Phacochoerus africanus	0.00	0.18
Mongooses	0.36	0.55
Genetta spp.	0.31	1.03
Primates	1.33	1.21
	Birds	
Francolins	1.28	0.85
Guinea fowls	1.13	0.97
	Reptiles	
Varanus niloticus	1.28	0.73

- 748 **Table 3** Factors of the regression or stabilization of the agricultural front in the buffer zones
- 749 of Fazao Malfakassa National Park, according to the local population answers. Numbers
- 750 would indicate the percentage of respondents

	altered area	well-preserved area
Presence of mountains	35	49
culture destruction	33	30
repression by rangers	20	6
without opinion	12	3
lack of equipment	0	8
land availability	0	3



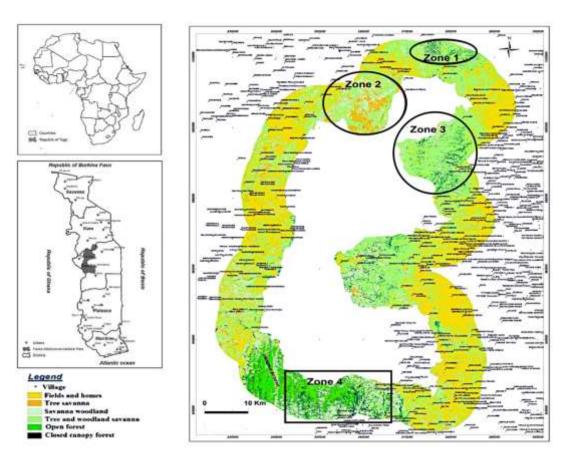
- 753 **Table 4** List of the most hunted animals according to the interviewees' responses in both
- altered and well-preserved zones of the Fazao Malfakassa National Park buffer zones.
- 755 Numbers would indicate the number of times that each species was mentioned by independent
- 756 interviewees.

		Well- preserved
Species	Altered zone	zone
Kobus kob	8	18
Tragelaphus scriptus	1	5
Syncerus caffer nanus	0	2
Philantomba walteri	4	12
Phacochoerus africanus	0	3
Mongooses	7	9
Genetta spp.	6	17
Phacochoerus africanus	0	3
Primates	26	20
Thryonomys swinderianus	62	32
Squirrels	29	8
<i>Lepus</i> spp	36	11
Francolins	25	14
Guinea fowls	22	16
Varanus niloticus	25	12

- 759 **Table 5** List of the most used plant species for charcoal, firewood and non-timber forest
- 760 product exploitation, according to the interviewees' responses in both altered and well-
- 761 preserved zones of the Fazao Malfakassa National Park buffer zones. Numbers would indicate
- the number of times each species was mentioned by independent interviewees.

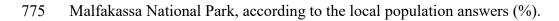
Species	Altered zone	Well-preserved zone
Charcoal		
Burkea africana	96	102
Lophira lanceolata	83	65
Detarium microcarpum	66	34
Erythrophleum suaveolens	26	53
Prosopis africana	25	38
Pterocarpus erinaceus	26	53
Vitellaria paradoxa	28	46
Terminalia spp	55	42
Without opinion	25	36
firewood		
Lophira lanceolata	67	59
Detarium microcarpum	52	37
Pterocarpus erinaceus	27	38
Terminalia spp	39	42
Combretum spp	29	27
Crossopteryx febrifuga	29	36
Without opinion	13	22
Non-timber forest products		
Parkia biglobossa	77	29
Vitellaria paradoxa	88	34
Pentadesma butyracea	4	28
Detarium senegalense	36	24

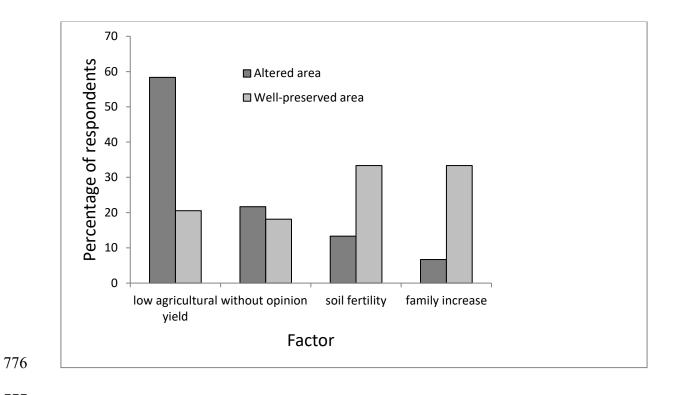
- Figure 1 Map of the study area, the buffer zone of the Fazao-Malfakassa National Park
- 765 (Togo, West Africa)



- 768 Figure 2 Resource exploitation practices, in relation to the state of conservation of the buffer
- 769 zones of Fazao Malfakassa National Park, according to the local population answers (%).
- 770 Symbols : NTFP = non-timber forestry products
- 90 ■ Altered area ■ Well-preserved area 0 Agriculture Hunting charcoal Bush fires honey harvest timber NTFP Pasture Type of exploited resource practice 772 773
- 771

Figure 3 Factors of the evolution of the agricultural dynamics of the peripheral areas of Fazao





- 778 Appendix 1 List of the villages where the questionnaire surveys were carried out, including
- details of their geographic coordinates, their zone type (altered or well-preserved), and
- 780 number of interviewed persons in each village

				No. of
Village name	Longitude	Latitude	Zone type	interviewees
Agbamassomou	0°36'34,3''E	8°37'53,86''N	Altered	12
Tassi	0°38'24,5''E	8°41'0,34''N	Altered	12
Gnabana	0°54'53,97''E	8°44'50,38''N	Altered	14
Melamboua	0°54'19,34''E	8°41'20,93''N	Altered	12
Fazao	0°46'14,05''E	8°41'37,88''N	Altered	22
Kagningbara	0°38'47,5''E	8°52'21,21''N	Altered	8
Kpawa	0°49'29,47''E	8°16'55,05''N	Altered	10
Tchatchakou	0°36'8,26''E	8°34'11,34''N	Altered	10
Mewedè	0°54'3,00''E	8°24'33,71''N	Altered	15
Hèzoudè	0°53'36,51''E	8°26'12,1''N	Altered	10
Kpeyi Solingo	0°52'12,95''E	8°32'10,55''N	Altered	10
Boulohou	0°40'13,03''E	8°46'30,94''N	Altered	15
Tchawari	0°59'7,07''E	8°49'15,58''N	Well-preserved	20
Folo	0°39'59,71''E	8°56'17,65''N	Well-preserved	12
Baghan	0°41'42,64''E	9°4'13,56''N	Well-preserved	22
Koui	0°43'24,36''E	8°15'38,16''N	Well-preserved	28
Elavagnon_todji	0°45'58,62''E	8°16'26,36''N	Well-preserved	10
Kpalou	0°44'40,65''E	9°10'2,32''N	Well-preserved	14
M'poti	0°46'39,33''E	8°14'17,02''N	Well-preserved	12
kalaré	1°2'43,26''E	8°52'1,53''N	Well-preserved	12
Lama Tessi	1°4'12,87''E	8°50'5,89''N	Well-preserved	12
Sakalaoudè	1°0'30,05''E	8°50'50,09''N	Well-preserved	8

- 783 Appendix 2 Main landuse characteristics of the study area on the basis of the of the 2015
- 784 Sentinel-2A MSI of December 21<sup>st</sup> image (10m resolution)

Vegetation type	Superficie (ha)	Percent area occupied
Fields and homes	191.609	57
Tree savannah	55.820	17
Savannah woodland	20.822	6
Tree and woodland savannah	43.778	13
Open forest	13.824	4
Closed canopy forest	8.947	3