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**Integration of point cloud data and hyperspectral imaging  
as a data gathering methodology for refurbishment projects  
using Building Information Modelling (BIM)**

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## Integration of point cloud data and hyperspectral imaging as a data gathering methodology for refurbishment projects using Building Information Modelling (BIM)

### Purpose

Building Information Modelling (BIM) is a digital representation of the physical and functional characteristics of a building. Its use offers a range of benefits in terms of achieving the efficient design, construction, operation and maintenance of buildings. Applying BIM at the outset of a new build project should be relatively easy. However, it is often problematic to apply BIM techniques to an existing building, for example as part of a refurbishment project or as a tool supporting the facilities management strategy, due to inadequacies in the previous management of the dataset that characterises the facility in question. These inadequacies may include information on as built geometry and materials of construction. By the application of automated retrospective data gathering for use in BIM, such problems should be largely overcome and significant benefits in terms of efficiency gains and cost savings should be achieved.

### Design/methodology/approach

Laser scanning can be used to collect geometrical and spatial information in the form of a 3D point cloud and this technique is already used. However, since a point cloud representation does not contain any semantic information or geometrical context, such point cloud data must refer to external sources of data, such as building specification, construction materials, in order to be used in BIM.

### Findings

Hyperspectral imaging techniques can be applied in order to provide both spectral and spatial information of scenes as a set of high-resolution images. Integrating a 3D point cloud into hyperspectral images would enable accurate identification and classification of surface materials and would also convert the 3D representation to BIM.

### Originality/value

This integrated approach has been applied in other areas, for example in crop management. The transfer of this approach to facilities management and construction would improve the efficiency and automation of the data transition from building pathology to BIM. In this study, the technological feasibility and advantages of the integration of laser scanning and hyperspectral imaging (the latter not having previously been used in the construction context in its own right) is discussed and an example of the use of a new integration technique is presented, applied for the first time in the context of buildings.

**Keywords:** BIM, hyperspectral imaging, laser scanning, point cloud, refurbishment, facilities management

## 1 Introduction

Building Information Modelling (BIM) is used to capture digitally a representation of the both functional and physical characteristics of a building or facility, thereby creating a knowledge sharing platform for all stakeholders involved in the life cycle of that building, from the clients and architects through to the team that carry out the final demolition, via the users of the building and its facilities management team. The use of BIM should therefore provide a far greater degree of integration between separate processes in the life cycle than was possible even in the recent past, for example, in the generation, review and management of CAD drawings, thermal performance simulation, regulatory compliance checking, drawing up of bills of quantities, materials procurement, project execution, maintenance and asset management. This integration should lead to the breaking down of the traditional partitions of the construction process, thereby delivering greater efficiency and hence time cost and savings.

The UK Government (HM Government, 2013) targeted as the key objectives of BIM implementation within the UK construction industry reduced costs, more rapid delivery of projects, reduced environmental impacts (including reductions in greenhouse gas emissions) and improvements in the competitiveness of exports to position the UK at the forefront of international construction. Within this document, the use of fully collaborative BIM was mandated for UK Government funded projects from 2016. Refurbishment is expected to constitute a large part of the workload in the global construction industry, driven to a great extent by the need to improve the energy performance of existing buildings to as close a point as possible to that of new build buildings. In the UK, some 25 million dwellings, (8.5 million of which are in excess of 60 years old), contributing up to 27% of the total UK CO<sub>2</sub> emissions and 1.8 million non-domestic properties (contributing 18%) will require some level of refurbishment by 2050 in order for the UK to be able to have any chance of meeting its treaty obligations to reduce CO<sub>2</sub> emissions (Edwards and Townsend, 2011). As with many other business sectors, the construction industry will be forced to adopt digital approaches in the future. BIM is the way forward for design and long-term facility management. Its use provides a capability to handle increasing amounts of raw data and information, and indeed the achievement of the full potential of BIM will necessitate further improvements of this capability. Such improvements will be facilitated by access to such technological advances as the use of so called big data approaches (Hilbert and Lopez, 2011), virtual reality (Olbrich et al., 2013) and cloud computing (Beach et al., 2015). A BIM framework for use in the operation, maintenance and sustainability monitoring of existing buildings has already been described by McArthur (2015).

The implementation of BIM in a refurbishment project can be a major challenge due to the limited availability of accurate data about the original project. Data may have been badly managed in the past; for example, any available documentation may have been lost or damaged over the passage of time and the process of change management during the construction, operation and maintenance of the building may have been poor or non-existent (Gorse and Highfield, 2009). Dealing with the unrecorded use of hazardous materials such as asbestos during refurbishment, which was commonly used in commercial buildings in the 1960s, would be a serious challenge that is often encountered in reality.

Availability of accurate spatial and geometric information of the facilities is critical for both the success of any refurbishment project and of maintenance strategies. Because of these needs, major benefits can be accrued by the application of modern technologies, such as laser scanning and digital imaging. Three-dimensional scanning of facilities has been implemented in BIM, for example by Goedert and Meadati (2008), and the demand for such scanning services has been increasingly growing. One of the key requirements for successful BIM is the automation of the information pipeline from data acquisition and its analysis, through to the storage of data. Accurate identification and classification of

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3 construction materials and fabrics is an essential requirement within in the automation  
4 process. It is in theory achievable through the use of several possible digital imaging  
5 techniques. In this paper, proposed methods of extracting semantic information from colour  
6 image and associated issues are discussed the integration of spectral information from the  
7 use of hyperspectral imaging with a 3D point cloud generated by laser scanning is  
8 considered. Bruno et al (2015) point out a number of knowledge gaps which are an  
9 impediment to the effective use of BIM in projects relating to historic buildings amongst  
10 which is the *“Low level of development of effective hybrid systems made up of digital*  
11 *cameras, thermal imaging cameras and laser scanners for survey of complex buildings,*  
12 *beyond the inner spaces”*. They point out that their findings are in agreement with those of  
13 Ilter and Erger (2015). In neither paper is the use of spectral imaging mentioned amongst the  
14 possible options discussed.

15  
16 In the present study, a novel approach for extracting semantic information is proposed for  
17 use in generating BIM data for existing facilities by integrating a 3D point cloud generated by  
18 laser scanning with hyperspectral imaging data, and the use of the approach is  
19 demonstrated for the first time.

## 22 **2 Functional requirements for BIM**

23  
24 In order for use BIM to give satisfactory outcomes, there are several functional requirements  
25 that need to be taken into account. Accurate visualisation provided by generation of a 3D  
26 point cloud with laser scanning and associated image processing will facilitate the  
27 understanding of the spatial structure of facilities. This is essential to manage facility  
28 refurbishment and maintenance or retrofitting with BIM. For the generation of spatial  
29 information, detailed spatial information is however insufficient to enable the identification  
30 and classification of construction materials. This can be achieved by integrating extra  
31 information to the point cloud for example, planning records and documentations, colour  
32 images from external devices such as digital cameras captured at the same time as the 3D  
33 laser scanning and information from independent databases. Such additional information will  
34 improve the efficiency of using the model as well as the quality of the modelling itself.

35  
36 In recent years, significant progress has been achieved towards automating detection and  
37 visualization of the as-built status of a project (Dimitrov and Golparvar-Fard, 2014; El-Omari  
38 and Moselhi, 2011; Han and Golparvar-Fard, 2015). The methods by these researchers  
39 include image-based sensing technologies and 3D remote sensing technologies. However,  
40 further improvements are still required in order to achieve more efficient and accurate  
41 measurement in practice. In the present study, the focus is set in visual information. Based  
42 on digital image information, the feasibility of efficient identification and classification of the  
43 building materials is addressed.

44  
45 BIM enables users to access facility information more efficiently, effectively, and easily to  
46 share, edit, and reuse (Arayci, 2008; Ballesty et al., 2007; Howard and Björk, 2008).  
47 Consequently, facility proposals can be rigorously analysed, simulations can be performed  
48 quickly. Building performance can also be benchmarked, enabling improved and innovative  
49 solutions. BIM should hold not only information about individual objects in a facility but also  
50 information about geographical context and semantic meaning so as to facilitate analysis,  
51 simulation, and assessment (Arayci, 2008). While it is possible to generate a BIM model  
52 from a CAD-based model of a facility (as-designed condition), this model cannot capture  
53 detailed depictions of the state of a facility as it was actually built (as-built condition) or as it  
54 exists currently (as-is condition) (Barlish and Sullivan, 2012; Volk et al., 2014) unless there  
55 has been careful scrutiny of the final as-built and as-is final product. Documenting the as-  
56 built condition is more complex because the original information may be inaccurate or out-  
57 dated if the management is poor. The information available through the as-built BIM

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3 depends largely on the state of the past documentation. Thus, the BIM protocol must  
4 consider usability of information and quality of the information management (Barlish and  
5 Sullivan, 2012; Solihin and Eastman, 2015).

6  
7 Accurate visualisation of the 3D point cloud with advanced image processing will facilitate  
8 the understanding of the spatial structure of facilities. This is essential to manage facility  
9 refurbishment or retrofitting with BIM. For spatial information, laser scanning can capture the  
10 geometrical information as a form of point cloud. However, having only the spatial  
11 information is not sufficient to identify and classify construction materials. This can be  
12 achieved by integrating extra information to the point cloud; for example, planning records  
13 and documentations, colour images by external devices taken at the same time as the 3D  
14 laser scanning, and the information from independent database. Such additional information  
15 will improve the efficiency of using the model as well as the quality of the modelling itself.

16  
17 In recent years, significant progress has been achieved towards automating detection and  
18 visualization of the as-built status of a project. These methods include image-based sensing  
19 technologies and 3D remote sensing technologies. However, further improvements are still  
20 required in order to achieve more efficient and accurate measurement in practice.

### 21 22 **3 Data acquisition**

#### 23 *3.1 Laser scanning*

24  
25 Laser scanning is the most commonly used 3D remote sensing technology in the built  
26 environment industry, as it is capable to address most requirements in generating a BIM for  
27 existing facility, such as accurate geometric data, its analysis, and monitoring the progress of  
28 the project (Zhu and Brilakis, 2009). Laser scanning assigns three dimensional spatial  
29 coordinates and intensity (reflectivity) at distinct points in a scene, which construct the data  
30 as a point cloud. This non-destructive data acquisition is useful in the imaging of existing  
31 facilities, in particular, historic and vulnerable buildings (Tang et al., 2010). The remote  
32 capture of cultural heritage buildings is valuable as an application of documentation and  
33 management of conservation (Mills et al., 2011). One of the unique features of point cloud is  
34 the ability to view the whole facility in a full degree of freedom, that is, from a variety of  
35 viewing angles. This feature enables users to interact flexibly the dense range point data in a  
36 virtual environment. Specially designed processing tools have been proposed to achieve  
37 automatic detection and identification of the building elements (Golparvar-Fard et al., 2009;  
38 Han and Golparvar-Fard, 2015; Tang et al., 2010). Laser scanning has been also used for  
39 construction quality control (Jaselskis, 2006), condition assessment, component tracking  
40 Teizer, 2015) and project progress monitoring (Golparvar-Fard et al., 2009).

41  
42 A laser scanner achieves accuracy in the order of a few millimetres and the range of the  
43 measurement extends up to a few hundred metres (Fröhlich et al., 2000), but there are some  
44 variations in performance between different mechanisms (Boehler and Marbs, 2003). The  
45 accuracy of measurement is dependent on object specific parameters such as location of the  
46 object, surface reflectivity, surface texture, specific surface materials, and imaging  
47 environment. The variable imaging condition encountered in in the natural environment, for  
48 example sunlight and reflections, also affects the quality of the scanning. There are various  
49 challenges involved in data acquisition by laser scanning and its implementation to BIM  
50 (Kiziltas et al., 2008). The range of the laser signal imposes physical limits. The power of the  
51 laser signal defines the effective distance of the objects (Boehler and Marbs, 2003). In  
52 addition, a facility with large glass windows cannot be accurately captured because the laser  
53 beams pass through the window glass (Zhang, 2000). Analogous to the other sensing  
54 devices, any objects occluded in line-of-sight, or any moving objects will not be captured.  
55 These problems can be solved by multiple scans at different locations. This requires careful  
56 planning of the scanning process in advance of data acquisition. In order to maintain the  
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3 accuracy of scanning, specific sensor calibration is necessary as a matter of routine (Boehler  
4 and Marbs, 2003). As described earlier, the point cloud data only contains Cartesian  
5 coordinates and intensity values at every point, and does not carry any semantic information.  
6 Working with such featureless data to explore geometric reasoning is generally tedious, time  
7 consuming, and often causes errors (Kiziltas et al., 2008). Implementations of the semantic  
8 information, context of the scene from external information sources (such as imaging  
9 devices) and information processing are suggested in order to overcome these  
10 disadvantages (Jung et al., 2014). Significant challenges in the process of processing 3D  
11 point cloud into a usable form for use in BIM are the extraction of reliable and meaningful  
12 data from the raw data, the integration of external information, and the automation of these  
13 processes.

### 14 3.2 Point cloud processing

15 The generation of the as-built BIM with the 3D point cloud can be divided into three main  
16 steps (Jung et al., 2009; Tang et al., 2010). Firstly is data acquisition, where dense point  
17 measurements at key locations throughout the facility are performed by the laser scanners;  
18 secondly comes data processing, where the sets of point measurements are filtered to  
19 remove noise and artefacts to form a set of point cloud data, and thirdly functional  
20 processing and implementation to BIM, where the low-level point cloud or surface  
21 representation is transformed into a semantically rich BIM, involving identification and  
22 classification of objects and components in the facility. The second and third processes may  
23 require a large amount of computationally intensive activity, depending on the project. The  
24 pipeline of the data processing can be illustrated as in Figure 1.  
25

26  
27 *Figure 1. Protocol of point cloud processing in a flow chart.*  
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29  
30 Raw point cloud data can include so called outlier data points caused by noise in ambient  
31 environment and sensors. Such outliers and noise can be removed computationally.  
32 Scanning can be often performed at multiple locations to overcome problems such as  
33 occlusion of objects. The set of multi sourced scan data need to be registered to compile to  
34 one dataset, where any duplications will be removed. Depending on the requirements and  
35 levels of details required, the point cloud could be subsampled to reduce the computational  
36 intensity of the data processing. These steps are fundamental to achieve better results in  
37 further processing, such as smoothing, normal estimation and (optionally) surface  
38 reconstruction. It is necessary to distinguish different states of a material, for example,  
39 concrete in formwork or finishes, and different materials, for example, masonry units or  
40 facade bricks, during the 3D modelling process (Dimitrov and Golparvar-Fard, 2014) and to  
41 reconstruct the surfaces so that its structure and scene contents can be identified and  
42 implemented to the BIM of the facility.  
43

### 44 3.3 Image registration

45 The main objective of the image registration is to align individual point clouds and fuse them  
46 to a single point cloud so that subsequent processing, such as segmentation and  
47 reconstruction of surfaces, can be performed efficiently. The registration finds the relative  
48 pose (position and orientation) between views in a global coordinate frame, such that the  
49 overlapping areas between the point clouds match as well as possible. The well-established  
50 open source library, for example, Point Cloud Library (Rusu and Cousins, 2011; Holz et al.,  
51 2015) or the software provided by the scanner manufacture in question can be used. The  
52 successful performance of registration relies on finding correspondences between the points  
53 and estimating a transformation matrix. When more information is available, for example,  
54 colour information or information about a local surface normal or curvature the points, the  
55 accuracy of the registration can be improved. A fundamental problem of registration is that  
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3 these correspondences are usually unknown and need to be determined by the registration  
4 algorithm itself.

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6 The registration of multiple point clouds can be split into the following steps (Rusinkiewicz  
7 and Levoy, 2001). Selection, the sampling of the input point clouds; matching, the  
8 estimation of the correspondences between the points in the subsampled point clouds;  
9 rejection, the filtering of the correspondences to reduce the number of outliers and finally  
10 alignment, assigning an error metric and minimizing it to find the optimal transformation. Two  
11 major classes of registration algorithms are the iterative registration algorithms (ICP) and  
12 feature-based registration algorithms. In the ICP algorithm (Besl and McKay, 1992), no  
13 feature descriptors are computed, but instead closest points in Cartesian space are  
14 considered to correspond to one another. A transformation is estimated that minimizes the  
15 Euclidean distances between found pairs of closest points in the least squares sense. The  
16 process of determining corresponding points in the two data sets and computing the  
17 transformation that aligns them is iteratively repeated until either convergence occurs or else  
18 until another termination criterion is reached.

19  
20 For the iterative approach, a basic method is to scan through the whole data, point by point.  
21 There are various data structures available for the performance of rapid searches, such as  
22 octrees and kd-trees. An alternative approach would be to use the projective nature of the  
23 depth images with a reasonable approximation. Each point in the cloud corresponds to a  
24 pixel in the depth image, allowing projections from source points in world coordinates  
25 (representation of a position in physical world) to the camera plane of the target frame. This  
26 method is faster and simpler than kd-trees, but camera calibration (Heikkila and Silven,  
27 1997; Zhang, 2000) has to be performed in order to obtain the intrinsic and extrinsic camera  
28 parameters. The point correspondences are examined by various algorithms such as  
29 RANdom SAMple Consensus (RANSAC) (Fischler and Bolles, 1981) and normal  
30 compatibility. Alignment is then performed with the rigid transformation composed of  
31 rotations and translations. The computation of solving the rigid transformation that minimizes  
32 the error of the point pairs is to be repeated (iterative registration) until the error becomes  
33 smaller than criteria.

34  
35 The feature-based registration approach can be fast and automatic, determining point-to-  
36 point correspondences between 3D key points extracted from both point clouds via matching  
37 the associated key point descriptors. Another possible algorithm is Normal Aligned Radial  
38 Feature (NARF) (Steder et al., 2010), a method specifically designed to extract salient key  
39 points in range images. It focuses on detecting points along object depth borders (Tombari  
40 et al., 2012). For each detected key point, a local descriptor (a compact representation of a  
41 point's local neighbourhood) is determined. In contrast to global descriptors that are  
42 computed to determine correspondences between the two point clouds and describe a  
43 complete object or point cloud, local descriptors try to resemble shape and appearance only  
44 in a local neighbourhood around a point, and are suitable for representing it in terms of  
45 matching. For example, the Fast Point Feature Histogram (FPFH) descriptor (Rusu et al.,  
46 2009) stores the relative orientation of normals and distances between point pairs falling  
47 within the spherical neighbourhood of a key point. Point pairs are formed by the key point  
48 and its nearest neighbours, as well as by the nearest neighbours of each key point's  
49 neighbour. The performance of the registration could be improved by inserting objects (to be  
50 the image features) physically and manually into the scene. These are usually either spheres  
51 covered with uniform white or else plates of a chequered pattern). The reference objects can  
52 be used for both geometrical and spectral calibration if their surfaces can be painted with a  
53 spectrally neutral pigment (for example, barium sulphate samples), it would be useful to  
54 perform spectral calibration. New laser scanners have a built-in RGB camera. The colour  
55 information is integrated into point cloud to assist object recognition or visual inspection. A  
56 number of the computational approaches to achieve the integration of point cloud with  
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external sensors and imaging devices have been proposed (Dimitrov and Golparvar-Fard, 2014; Fathi and Brilikas, 2011; Golparvar-Fard et al., 2011).

### 3.4 Advanced imaging: colour information for surface recognition

Three main research challenges in 3D point cloud processing are segmentation based on geometric appearance, object recognition in the scene, and inter-object relationships. Recently proposed methods are the visual appearance approach and the computational approach (Han and Golparvar-Fard, 2015). In order to identify and classify the objects in the facilities or scenes, it is necessary to attach additional semantic information to the point cloud (Golparvar-Fard et al., 2011; Yalcinkaya and Singh, 2015). Colour images produced by a conventional digital camera are a favoured documentation medium, because they contain rich information about geometry and appearance and in addition images can be collected quickly and inexpensively. Computer vision and image processing techniques on the image data provide useful information about the objects in the scene and facilities, so as to aid to infer scene context, object properties, and its semantic meaning. Site photographs, for example, allow capture of dynamic events on construction sites such as moving operatives and equipment at high update rates which can be complementary to the detailed and static range data on the fixed objects collected by laser scanners. The current status of remote sensing technology suggests that technologies should be combined to establish as-built models, because no single remote sensing method alone can solve all the requirements of industrial users (El-Omari and Moselhi, 2008). This logic is applicable to the extraction of the present proposition.

The semantic information or context of the scene can be extracted through independent imaging, pre-examined data, or post image processing (Jung et al., 2014; Jang et al., 2015). A number of computational algorithms have been reported to provide better solutions for this approach. For instance, Golparvar-Fard et al. (2011) presented a new algorithm based on Structure-from-Motion (SfM) coupled with Multi-View Stereo and Voxel Colouring for generating dense 3D point cloud models from unordered imagery. Several research projects such as Golparvar-Fard et al. (2011), Klein et al. (2012) and Zhu and Brilakis (2009) have also focused on evaluating the accuracy of as-built 3D modelling from photos and laser scanners. Fathi et al. (2011) generated 3D point cloud models of infrastructure using video streams. Recent works by Son and Kim (2012) proposed using colour to identify concrete elements and construction equipment. Dimitrov and Golparvar-Fard (2014) proposed the image-based material recognition algorithm based on a statistical distribution of filter (linear Gaussian derivative filter outputs) responses over the images, in form of primitives such as edges, spots, waves, and Hue-Saturation-Value (HSV) colour values. The statistical distribution of filter responses has been shown to be a good descriptor for texture recognition (Leung and Malik, 2001). They used a so-called "Bag of Words" pipeline (a technique used in natural language processing and information retrieval) for forming statistical distributions of both filter responses and HSV colour values, and a multiple binary SVM classifier that can robustly learn and infer construction material categories. They established a robust material classification method for semantically rich as-built 3D modelling and construction monitoring purposes. Using their own in-house Construction Materials Library, an average classification rate was over 97 %. Image synthesis techniques produced accurate results for images as small as  $30 \times 30$  pixels in size. Leung and Malik (2001) suggested that further testing various types of features and the classification algorithm would be needed in order to be sure of the robustness and high level of accuracy of the technique in uncontrolled construction environments. Han and Golparvar-Fard (2015) proposed a manual integration of 3D point cloud and 2D image patches to facilitate 4D BIM. The appearance-based material classification method was aimed to monitor construction progress deviations. The method leverages 4D BIM and 3D point cloud models generated from site photo-logs using SfM techniques. The algorithm uses the back projection of the 2D colour images so that the occluded objects and elements can be seen, the 2D patches are sampled per element and are convolved with texture and colour filters and their concatenated vector-quantized

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3 responses are compared with multiple discriminative material classification models that are  
4 relevant to the expected progress of that element. With an extended version of the  
5 Construction Material Library for training or testing the material classifiers achieve an  
6 average accuracy over 92%. This algorithm may be limited to the dependency on  
7 comprehensive construction materials library (Jang et al., 2015).

8  
9 Colour information is useful to identify and distinguish materials, but it has been noted that  
10 the accuracy of the images often suffer as a consequence of limitations in the conventional  
11 RGB camera (Son and Kim, 2012). The accuracy of colour rendering depends on the  
12 environmental condition where the image is being acquired and characteristics of the  
13 imaging sensors. The colour appearance of the surfaces, for example, varies with the scene  
14 illumination and this is an issue even if the RGB values are converted to the other colour  
15 spaces. Figure 2 shows an image of a piece of office furniture acquired by the built in RGB  
16 camera built into the laser scanner compared with an image of the same piece of furniture  
17 taken at the same time that has been acquired by a standalone RGB camera. Significant  
18 differences between the colour rendering appearance obtained by the two cameras can be  
19 seen. Differences are not confined to full colour applications. For example, Dimitrov and  
20 Golparvar-Fard (2014) report difficulties in assessing the state of concrete based only on  
21 colours, as concrete invariably appear as grey in images. More fundamentally, due to the  
22 limited number of the channels (sensors) over the colour spectrum in a RGB camera, these  
23 imaging devices cannot always produce correct colour appearance of surfaces with complex  
24 spectral properties, such as metameric surfaces (Foster et al., 2006). Characteristics of  
25 materials can be represented by the spectral profile of the surfaces. The estimated spectral  
26 reflectance values are physical properties of the material itself and are independent of scene  
27 illumination. It would be therefore useful if the spectral information could be integrated into  
28 the 3D geometrical information so that the point cloud can be meaningfully recorded in BIM.  
29 Spectral characteristics of urban construction materials, such as concrete and clay tiles  
30 exhibiting the effects of aging, have been examined in order to establish a spectral library so  
31 as to be implemented in BIM (Nasrudin and Shafri, 2011). The spectral characteristics of  
32 asphalt road aging and deterioration have been studied in detail by Herold and Roberts  
33 (2005).

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36 *Figure 2. Examples of misrepresentation of colour appearance by a RGB camera.*

### 37 38 **3 Spectral imaging**

#### 39 40 **3.1 Hyperspectral imaging**

41 The colour and reflectivity of surfaces, formally defined as spectral reflectance, provide more  
42 precise indications of the material composition of the object than colours themselves. This is  
43 not only because colour appearance in an image depends on how the image was acquired,  
44 but also because different materials absorb and reflect the impinging light in a wavelength-  
45 dependent manner. Thus, the examination of materials and their classification can not only  
46 be assessed visually but also spectrally.

47  
48 Hyperspectral imaging systems have evolved to include not just three colour channels  
49 covering the visible spectrum, but over many channels, perhaps as many as several  
50 hundreds, encompassing the visible the near-infrared (NIR) shortwave infrared (SWIR)  
51 components of the electromagnetic spectrum (Foster et al., 2006; Coffey, 2015). The thin  
52 slices of the images over spectral range gave more detailed information than the commercial  
53 RGB camera and human eye. This imaging method is aimed mainly to exploit the materials  
54 comprising the various objects in a scene where lights reflect, scatter, and being absorbed,  
55 depending on characteristic of material. If the radiation arriving at the imaging sensor is  
56 measured at a number of wavelengths, over a sufficiently broad spectral band, the resulting  
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spectral signature can be used to identify the materials in a scene and discriminate among different classes of material. Each pixel in the hyperspectral image holds spectral information. The demand for hyperspectral imaging has grown significantly in the recent years because of the expansion of research applications, for example, in agriculture (Behmann et al., 2015) and cultural heritage (Granero-Montagud et al., 2013). Hyperspectral imaging is currently limited to two dimensions and requires specific spectral calibration at each image acquisition. The reflected light, spectral radiance  $L_s(\lambda)$ , that a sensor records is the product of the impinging scene radiance  $L_i(\lambda)$  and the material reflectance spectrum  $R(\lambda)$ , as a function of wavelength  $\lambda$ :

$$L_s(\lambda) = R(\lambda) \times L_i(\lambda) \quad (1)$$

If the illumination spectrum is known, the material reflectance spectrum can be recovered from the observed spectral radiance over those regions of the spectrum and this information that allows materials to be identified. The more wavelengths at which the spectral radiation from surfaces can be determined, the more information about the materials in a scene can be provided. As with laser scanning, hyperspectral imaging is a non-destructive technique.

### 3.2 Hyperspectral imaging systems

The principle of hyperspectral imaging and the system structures can be represented by forming a three dimensional hyperspectral cube with the two dimensions of the horizontal and vertical axes on an image slice and wavelength, as shown in Figure 3(a). There are three different types of hyperspectral imaging systems available, each of which can be explained in terms of how the hyperspectral cube is divided: firstly, the spectral scan system Figure 3 (b) based on, a tuneable filter with monochromatic digital camera (Fichler and Bolles, 1981; Hardeberg et al., 2002); secondly, a line-scan system Figure 3(c) based on an optical slit or gratings which spread up the incoming light of a line into its spectral composition (Gilden Photonics, 2015); thirdly, a snap shot method based on, a set of spectral filters built-in on-chip (Imec, 2015) (not shown in Figure 3). The first system, the spectral scanning system, is the type used in production of the example image shown in Section 5 of this paper. Both spectral and spatial calibrations are required in the system (lens and sensors) to achieve the accuracy. Precision of the spectral profile contributes to performance in object and material recognition and classification. However, the quality of hyperspectral imaging data depends strongly on the measuring setup. The arrangement of the sensor to the object and the light source has to be considered most carefully, in particular, when outdoor imaging is being conducted. This is most important within the context of BIM, as an appreciable proportion of data acquisition will take place outdoors.

*Figure 3. Schematic diagram explaining the classification of hyperspectral imaging systems.*

### 3.3 Post image processing: estimation of surface reflectances

Data acquisition with hyperspectral imaging takes more time than conventional RGB cameras due to the number of the channels used and also due to differences in the mechanisms used for the production of images. The exposure time on each wavelength is pre-defined so that the intensity on pixels can reach certain threshold values defined by users. With the spectral scanning system, three different types of images are to be acquired: scene data, illumination data, and dark noise data of the imaging device. Scene data acquisition should be performed with the reference surfaces in a scene for the spectral calibration purpose. The spectral characteristics of these reference surfaces needed to be verified externally. The illumination data can be acquired by placing a neutral uniform field in front of the target scene in line of the camera so that the spatial distribution, uniformity, over the image plane can be captured. The dark noise is the response of the system without any input signal. Removing the spatial non-uniformity of the illumination and noise from the

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3 scene data, effective spectra on each pixel are computed, and then by normalising the  
4 reference spectrum by the intensity of the reference area, spectral reflectances are  
5 estimated.

### 6 7 *3.4 Limitations of hyperspectral imaging*

8 As with laser scanning, hyperspectral imaging does work well on the regions of specular  
9 reflection, strong mutual reflection, or of shadow in a scene. Hyperspectral imaging takes  
10 more acquisition time than conventional RGB camera and its use requires spatial and  
11 spectral calibrations in order to achieve sufficient accuracy. Information about scene  
12 illumination, intensity and direction at the light source should be recorded at the time of the  
13 image acquisition. The calibration is in general performed by inserting reference calibration  
14 markers in the scene, and the measurement with spectrometer would be associated.  
15 Hyperspectral imaging technique is adversely affected by changes in natural environment  
16 such as movement of objects in the scene and varying lighting conditions. The intensity of  
17 light may also vary as a function of the distance from the light source, viewing camera  
18 angles, aperture of the lens, and exposure time. These depend mostly on the system setup  
19 and imaging environment. The spectral range needs to be identified depending on the target  
20 materials in the scene or facilities, because the spectral characteristics of construction  
21 materials have large variation across the spectral range (Herold and Roberts, 2005;  
22 Nasarudin and Shafri, 2011).

## 23 24 **4 Image integration**

25  
26 Having gained the spectral identity, spectral characteristics can be correlated to the spatial  
27 or geographical correlation to the point cloud. That is, the integration of the spectral data to  
28 the point cloud can achieve accurate material identification and classification, and will be  
29 more robust than the conventional colour image in RGB. One of the unique features of using  
30 the 3D point cloud data is the ability to manipulate and view data in a full degree of freedom,  
31 from a variety of viewing angle, camera positions, and viewing distance. Users can interact  
32 and manipulate the dense range point data flexibly, allowing for construction of as-built  
33 conditions in a virtual environment (Jaselskis et al., 2003). With this flexible feature, it is  
34 possible to adjust the viewing angle to a certain degree so that the projection of the 3D  
35 image can closely correspond to the 2D image. Once the 3D point cloud is projected on to  
36 the same image dimension (2D), the integration will be performed by applying the image  
37 registration. The image registration is run by detecting correspondences of key features  
38 between the images, for example, intensity contrast, gradients, edge, and surface normal, as  
39 previously addressed in the section above. A range of the existing image processing  
40 algorithm in 2D digital images is applicable to each of the hyperspectral images (each  
41 wavelength). This will enable to extract further details of the material information, such as  
42 edge detection, across spectra. To improve the quality of high dimensional data, the  
43 measurement specifications have to be considered in detail, since spectral information of  
44 surface materials could be influenced by geometric configuration of sensor, illumination, and  
45 the scene geometry. For example, the analysis may be performed on the limited wavelength  
46 of the dominant spectra depending on the scene (for example, the middle of visible spectra  
47 for the scene of green foliage). Integration of the imaging data will provide more robust and  
48 collect essential information for BIM. The integrated data between the 3D point cloud and the  
49 2D hyperspectral image can deliver both geometric and spectral information. Such  
50 information improves the quality for tasks such as surface segmentation, classification,  
51 detection and assessment of the surface material conditions.

52  
53 The integration will be based on the image registration with image feature detection. There  
54 are several algorithms to detect the key features: Binary Robust Invariant Scalable  
55 Keypoints (BRISK) (Leutenegger et al., 2011); Speeded-Up Robust Features (SURF) (Bay  
56 et al., 2008); Maximally Stable Extremal Regions (MSER) (Matas et al., 2002) and Fast  
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3 Retina Keypoint (FREAK) (Alahi et al., 2012). The combination of these features is also  
4 applicable. Instead of the computational key features, it is possible to use reference objects  
5 or markers physically placed in the scenes. These image registration algorithms are applied  
6 to the registration of 3D point cloud as well as the 2D images (Rusu et al., 2008). The  
7 detection of any key features depends on the image contents. If the scene consists of, for  
8 example, a large uniform surface or walls, it is difficult to detect any key features. In such  
9 circumstances alternative registration algorithms could be considered (Behmann et al., 2015;  
10 Granero-Montagud et al., 2013; Tamas and Kato, 2013), or the users can physically provide  
11 specific reference objects at the time of data acquisition.

12  
13 The integration between 3D point cloud and spectral images have previously been reported  
14 in other research disciplines, for example, the characterisation of plants and plant disease  
15 detection (Behmann et al., 2015; Shultz et al., 2001) and examination of art objects and  
16 other cultural heritage items (Granero-Montagud et al., 2013) used an integrated imaging  
17 system consisting of two different optical techniques: a hyperspectral imaging with  
18 electronically tuneable filters and 3D scanning, using structured light projection. Together  
19 with multi-sensor data merging and information processing, estimates of artwork  
20 deterioration and degradation were made. The integration (fusion) of the 3D point cloud to  
21 colour RGB images has been reported by Tamas and Kato (2013) together with the use of a  
22 new camera calibration method. As Behmann et al. (2015) demonstrated in the field of plant  
23 disease detection, data fusion between 2D hyperspectral data and the 3D geometrical data  
24 is achieved by the use of a transformation matrix. First, the parameters of the corresponding  
25 3D ray for each pixel of the hyperspectral image are calculated. The observed surface point  
26 of the plant can then be determined. The calculation of the 3D rays is performed by a  
27 camera calibration procedure expressed by a semi homogenous projection matrix. For this  
28 method, the mathematical determination of the camera model and accurate calibration  
29 procedure are particularly important. The use of a reference object delivers automatically  
30 detectable surface points with known internal coordinates, which defines a unique coordinate  
31 reference frame, and achieves more accurate calculation.

### 32 33 **5 Demonstration of the new integration process**

34  
35 The principles of the proposed new integration process are best illustrated by the use of real  
36 scans. Figure 4 represents an example of the image registration by means of the integration  
37 of images produced by the use of two different 2D imaging systems, taken by the different  
38 imaging system from different viewing distance and angles, shown in Fig. 4 (a) and (b).  
39 Within each of the images (a) and (b), a small sub-region has been selected, where a  
40 common reference object of a relatively distinctive nature is located. (in this example, the  
41 three lamps on the lamp-post on the bottom of the image). Between the two sub-regions, the  
42 normalised cross-correlation has been used to find the transformation so that the two images  
43 are overlapped. Next, image registration using the SURF algorithm was performed to make  
44 further alignment between the two images, the transformed image (a) and (b). On Fig. 4(c),  
45 the transformed image (a) is overlapped on the image (b), coloured in blue and red,  
46 respectively, for visibility. The locations of the image key features on Fig.4 (a) (after  
47 transformation) and (b) are shown by crosses and open circles, respectively. The  
48 corresponding features were estimated and a transformation matrix generated. The final  
49 result after another image transformation based on the key features is shown on Fig. 4 (d),  
50 where the two images are sufficiently registered. The quality of the image registration is  
51 controlled by adjusting several optimisation parameters, such as the number of iterations,  
52 step size, and tolerance level. When the image integration is completed successfully, the  
53 spectral properties extracted at each pixel can be applied to the point cloud data.

54  
55 *Figure 4. An demonstration image registration.*

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## 6 Issues with integration

The proposed method of integration between 3D point cloud and hyperspectral imaging will improve the efficiency and accuracy of BIM for existing buildings. However, certain disadvantages would have to be addressed during the further development of the method. The projection to 2D loses the advantage of having 3D information, that is, depth and occlusion. Any of the image information on the invisible surfaces from a viewing angle will be no longer available. This will be problematic if any significance or damage may exist on those surfaces. The 3D information provides useful information about the effect of scene illumination on the facilities. For example, changes of shadow and shade in direction and area along with daylight changes by simulation will be useful information in designing and retrofitting buildings and facilities. Because spectral data is being processed, the effects of chromatic aberration have to be considered. The fact that each spectral channel has different focus points causes what is referred to as "colour fringe" at the edge of surfaces in the image. The correction of this chromatic aberration is important because edges of surfaces are essential clues in their identification and classification. The correction is performed during the post processing stage (for example, the image registration across the spectral channels) or using specially designed optical devices (for example, an achromatising lens) in front of the sensor.

Apart from the proposed image integration method, the direct image fusion between 3D point cloud and 2D colour image has been reported by Tamas and Kato (2013) who pointed out the particular importance of characterisation of the imaging devices, not only of the sensor's spectral and spatial sensitivities, but also optical calibration of the imaging systems is required in order to achieve high accuracy in image fusion. Zhang (2000) considered camera calibration is as a necessary step in 3D computer vision to extract metric information from 2D images. Without reliable knowledge of the optical properties of the lenses of the cameras used, errors will be hard to avoid. Camera calibration is the process of determining both intrinsic and extrinsic parameters. Intrinsic parameters relate to the camera's internal characteristics, such as its focal length, skew, distortion, and image centre, whilst extrinsic parameters describe its position and orientation in the world. Knowing these intrinsic parameters is an essential first step for 3D computer vision, as it allows users to estimate the scene's structure in Euclidean space and removes lens distortion, which improves accuracy (Darrodi et al., 2015). Such characterisation of the devices should be performed ahead of use of the system.

Hyperspectral 3D models provide a large amount of high-dimensional data that require advanced data analysis methods. The complexity and computational cost of the analysis is much higher than that in a single image property. It is possible to reduce such demands by subsampling the data. However, the requirements in the final results in terms of both spectral and spatial resolution should be considered in advance. Difference in spatial resolution of the imaging systems may cause inaccuracy in image registration. Spectral characteristics of urban materials, such as, concrete and clay tiles, have been used to establish a spectral library for BIM (Nasrudin and Shafri, 2011). These data should be taken into account to define the spectral range of hyperspectral imaging. A much bigger spectral database for use as library in BIM will be needed in order to underpin the widespread usage of hyperspectral imaging. This will involve the standardisation of data formats.

## 7 Conclusions

This paper has considered the implementation of the digital spatial and spectral data acquisitions into BIM. It proposes the development of a new method for the integration of a 3D point cloud the image and a 2D hyperspectral image. The proposed method has been demonstrated to work achieved under controlled conditions. In order to progress from this

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3 proof of concept position to a usable tool for use with BIM, a number of technical issues  
4 would have to be addressed. Some of these issues could be resolved by careful pre-  
5 planning of the imaging protocol for larger applications, but the reduction of computational  
6 costs for the integration of point cloud and hyperspectral data will require the development of  
7 better intelligent processing algorithms.

8 By achieving the ability to achieve high quality image integration, the identification and  
9 classification of construction materials will be more efficient. This will greatly improve the  
10 usability of BIM in refurbishment projects involving existing facilities, resulting in greater  
11 efficiency, reliability and reduced costs.

12 Refurbishment and retrofit projects aimed at the reduction of carbon emissions will form a  
13 greatly increased proportion of construction activity work in the UK. The achievement of  
14 agreed carbon emission targets is an imperative and is the single greatest environmental  
15 challenge of the day. This means that there are powerful environmental and commercial  
16 drivers for the increased use of BIM in refurbishment projects and also for continued  
17 development and improvement of data gathering and processing tools. The facilities  
18 management sector will accrue efficiency and cost benefits by having access to a technique  
19 which allows the integration of dimensional data collection with materials characterization  
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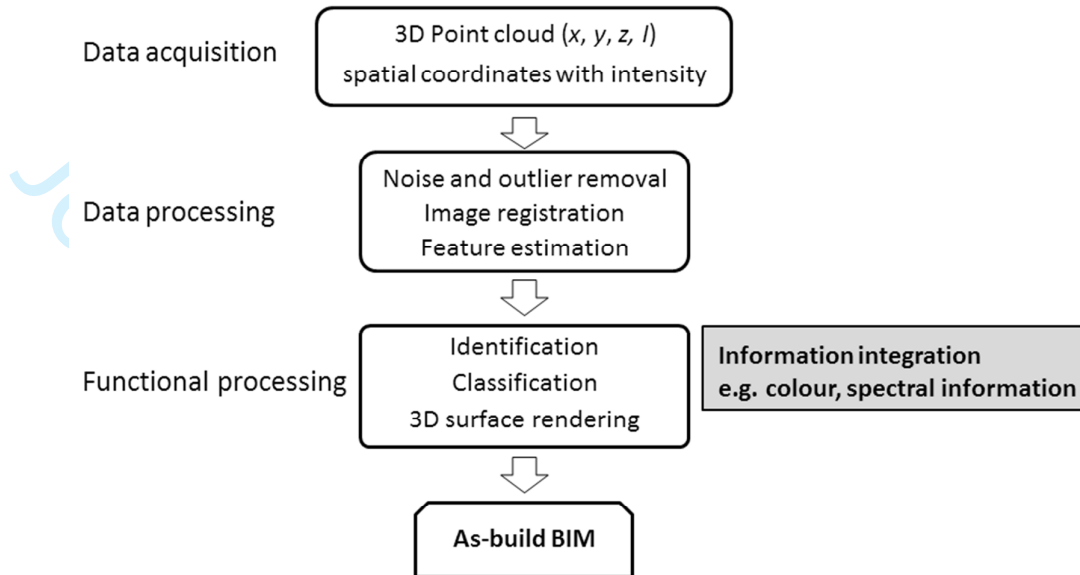
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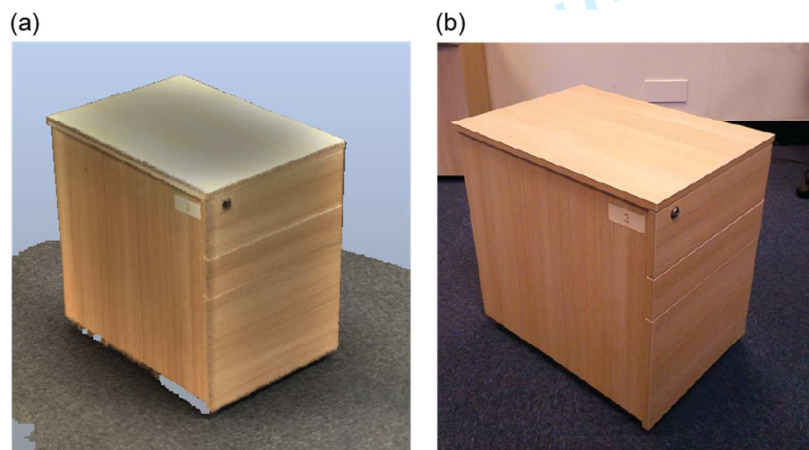


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22 Figure 1. Protocol of point cloud processing in a flow chart.



46 Figure 2. Examples of misrepresentation of colour appearance by a RGB camera.  
47 An office furniture unit was imaged by (a) the built-in RGB camera in the laser  
48 scanner and by (b) an external conventional RGB camera.

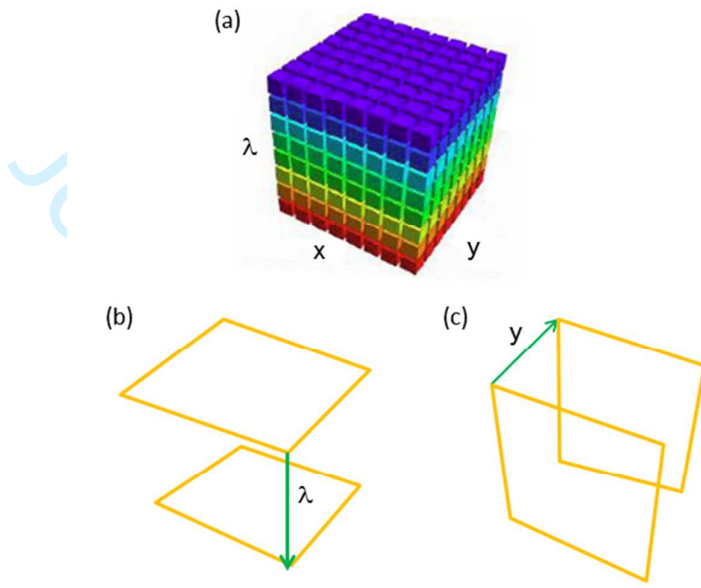


Figure 3. Schematic diagram explaining the classification of hyperspectral imaging systems. (a) the concept of the hyperspectral imaging can be explained by the hyperspectral-cube, (b) Spectral scan system with a tuneable spectral filter. (c) Line scan system

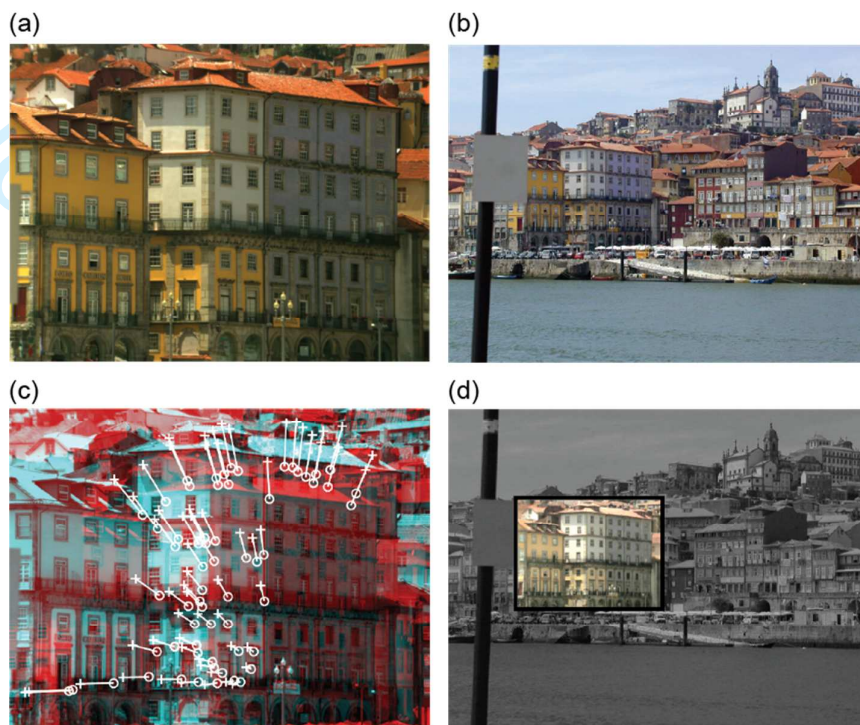


Figure 4. An demonstration image registration. A pair of 2D images acquired by (a) Colour image rendering of the hyperspectral data and (b) the same scene with a conventional RGB camera. (c) Detection of the SURF generated image key features. Circles and crosses are the features from each of the images in (a) and (b), coloured in blue (a) and red (b) for visibility. (d) shows the image registration. (For clarity a black frame has been inserted and the background has been converted to grey scale).