

# High purity FAU-type zeolite catalysts from shale rock for biodiesel production

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## Abstract

FAU-type zeolite was prepared using Irish shale rock and tested as a catalyst in the liquid-phase esterification of oleic acid (a model test reaction for biodiesel production). A systematic study was conducted (over the stated preparation ranges) by varying the water:shale ratio (4:1 to 15:1 by mass), and mixing (1-24 h), aging (6-48 h) and hydrothermal treatment times (6-72 h) to determine the optimal parameters. XRD confirmed that the product purity was highly dependent on the experimental conditions used. The BET surface area of the calcined FAU-type zeolite was  $571 \text{ m}^2\text{g}^{-1}$  and its crystal purity was comparable to that of a commercial zeolite Y. The prepared zeolite was catalytically active in the esterification of oleic acid with ethanol reaching a maximum of 78% conversion after 90 mins, which is practically identical to that recorded for commercial zeolite Y.

**Keywords;** Biodiesel, esterification, Y zeolite, FAU, shale

## 1. Introduction

The need for a reliable supply of fuel is increasing due to growing human population and expanding economies in both developing and developed countries. One innovation that addresses this requirement is fracking, which allows previously unattainable oil and gas

35 reserves to be extracted from deep beneath the Earth's surface [1]. This typically occurs in  
36 shale rock, which is a sedimentary rock composed of a mixture of clay minerals formed by  
37 deposition of particulates and organic matter. Shale can be removed at shallower levels by open  
38 pit mining and, thereafter, the oil is separated from the shale by pyrolysis at high temperature.  
39 The vast majority of what remains is oil shale ash, which may be used for soil treatment and  
40 cement production, but millions of tonnes are added to landfill annually with associated costs.  
41 Efforts have been made, therefore, to use shale as a cheap and widely available starting material  
42 to generate value-added products. Shale is also found in areas without containing fossil fuels.  
43 Collectively, these regions contain huge reserves of shale rock that lie at/immediately below  
44 the surface and are, therefore, easily accessible and an (almost) limitless supply of starting  
45 materials for further synthesis.

46

47 Zeolite are high surface area materials that have been widely used in areas such as water  
48 treatment and purification, humidity control, and heterogeneous catalysis [2]. To date, the  
49 majority of established syntheses have used artificial reagents by heating a solution of some  
50 form of silica, alumina and alkylammounium salts in water, which over time forms a solid  
51 precipitated aluminosilicate zeolite [3]. Zeolites may also be prepared from naturally occurring  
52 reagents. Clays, rocks and ash residues from combustion of solid fuels contain large amounts  
53 of oxygen, silicon and aluminium that have similar chemical compositions to those of some  
54 aluminosilicate zeolites. Fly-ash, for example, is produced in coal-fired power plants and has  
55 been used to prepare zeolites, albeit initially with low crystal purity [4-10]; the purity was  
56 improved by employing the alkali fusion method [11-14]. Kaolin, a naturally occurring  
57 mineral, has been well studied as a starting material for zeolite synthesis and, to date, a wide  
58 range of different zeolite types have been prepared [15-25]. [In a recent paper, fly ash-kaolinite  
59 mixtures were used to synthesise zeolites at low temperature \[26\].](#) We recently reported the  
60 successful preparation of zeolite Y from kaolin; the prepared material demonstrated structural  
61 characteristics comparable to those of a commercial zeolite and was a highly active catalyst in  
62 the esterification of oleic acid [27]. The (trans)esterification of natural oils to produce biodiesel  
63 is well known, and a number of reviews have been published on the use of zeolite catalysts in  
64 biodiesel production [28-33 and references therein]. Oil shale ash has been used to prepare  
65 zeolite types in impure form; A, which was used to remove heavy metals from aqueous solution  
66 [34,35], PI [36,37], hydroxysodalite [38] and a composite structure containing types A, X and  
67 hydroxysodalite [39].

68

69 Here, we show that surface shale rock from Ireland is an excellent reagent to prepare faujasite  
70 (FAU-type zeolite). The zeolite characteristics are found to be very dependent on the choice of  
71 experimental conditions. The method developed here gives FAU-type zeolite in pure form,  
72 which is an improvement on the mixed phase materials and high quantities of quartz impurity  
73 previously reported [34-39]. The prepared zeolite is an active catalyst in the esterification of  
74 oleic acid, an important model reaction for biodiesel production, with near identical catalytic  
75 properties to those of a commercial zeolite Y.

76

## 77 **2. Experimental**

### 78 **2.1 Materials**

79 The following is a list of the materials' source/supplier and purity; shale rock was collected  
80 from the surface of a recently tilled field on a working farm in county Wexford, Ireland, washed  
81 with water to remove all soil residue and dried at 120 °C for three hours; sodium hydroxide  
82 (NaOH) pellets, extra pure, Scharlau; sodium silicate (Na<sub>4</sub>SiO<sub>4</sub>), 99% purity, BDH Chemicals  
83 Ltd.; ammonium chloride (NH<sub>4</sub>Cl), Sigma Aldrich; [absolute ethanol \(C<sub>2</sub>H<sub>5</sub>OH\) Sigma Aldrich](#);  
84 phenolphthalein, 2% in ethanol, Sigma-Aldrich. Commercial zeolite Y was purchased from  
85 Qingdao Wish Chemicals Co. Ltd.

86

### 87 **2.2 Zeolite preparation and optimisation**

88 The clean shale was crushed in a ball mill apparatus, sieved to <90 μm and calcined in air at  
89 800 °C for 4 h to remove organic matter. 10 g of calcined shale was then refluxed with 40 cm<sup>3</sup>  
90 of 5 M HCl at 85 °C for 4 h (to remove Fe) and the product recovered by filtration. 1 part (by  
91 mass) of calcined shale was mixed with 1.5 parts (by mass) of 40 wt % aqueous NaOH solution  
92 and the mixture was heated at 850 °C in air for 3 h in a furnace to get fused shale. The fused  
93 shale was then crushed to powder form. Thereafter, varying amounts of fused shale and sodium  
94 silicate were added to distilled water in polypropylene bottles, mixed (stirred) at room  
95 temperature for 1 h, aged under static conditions at room temperature for 24 h, hydrothermally  
96 treated at 100 °C for 24 h and the product recovered by filtration. Unless where stated  
97 otherwise, this procedure was used to conduct a study to determine the influences of (in the  
98 following order): (a) *water content*: 2 g fused shale and 1 g sodium silicate were added to 8,  
99 12, 16, 20 and 30 g distilled water to give water:fused shale ratios of 4:1, 6:1, 8:1, 10:1, 12:1  
100 and 15:1; (b) *mixing time*: 1 g fused shale and 0.5 g sodium silicate were added to 8 cm<sup>3</sup> of  
101 distilled water and mixed for 1, 3, 6, 12, 18 and 24 hrs; (c) *aging time (under static conditions)*:

102 1 g fused shale and 0.5 g sodium silicate were added to 8 cm<sup>3</sup> of distilled water, mixed for 3  
103 hrs and aged for 6, 12, 18, 24 and 48 hrs; (d) *hydrothermal treatment time*: 1 g fused shale and  
104 0.5 g sodium silicate were added to 8 cm<sup>3</sup> of distilled water, mixed for 3 h, aged for 18 h and  
105 hydrothermally treated at 100 °C for 6, 12, 18, 24, 48 and 72 h.

106

107 To convert the prepared zeolite from Na<sup>+</sup> to NH<sub>4</sub><sup>+</sup> form, 90 g of zeolite were added to 250 cm<sup>3</sup>  
108 of 2 N ammonium chloride and stirred in a round bottom flask at room temperature for 2 h.  
109 The solid was recovered by filtration, washed with distilled water and the ion-exchange  
110 procedure was repeated a further two times using 60 g and 30 g, respectively. The solid was  
111 again recovered by filtration, washed with distilled water, dried for 12 hrs at 120 °C and  
112 calcined in air at 500 °C for 4 h.

113

### 114 **2.3 Characterization**

115 X-Ray diffraction (XRD) was conducted in ambient conditions using a Panalytical X'Pert  
116 Powder diffractometer with Cu K $\alpha$  radiation ( $\lambda = 1.5406 \text{ \AA}$ ). All powder diffraction patterns  
117 were recorded from 4 to 50° 2 $\Theta$  with step size 0.026 and step time 50 s, using an X-ray tube  
118 operated at 40 kV and 30 mA with fixed 1/4° anti-scatter slit. Nitrogen adsorption/desorption  
119 measurements were carried out using a Micromeritics ASAP 2020 Surface Analyser at -196  
120 °C. Samples were degassed under vacuum ( $p < 10^{-5}$  mbar) for 12 h at 350 °C prior to analysis.  
121 BET-surface areas of the samples were calculated in the relative pressure range 0.05-0.30.  
122 Microscopic images were recorded using a JEOL JSM-5600LV scanning electron microscope  
123 (SEM). Semi-quantitative chemical analysis was performed by energy-dispersive X-ray  
124 spectroscopy (EDAX) using a detector from Oxford Instruments.

125

### 126 **2.4 Catalyst testing**

127 The esterification reaction of oleic acid with ethanol was performed by reflux in a 500 ml batch  
128 reactor placed in a thermostatic oil bath under stirring. The desired amount of catalyst was  
129 dried before reaction at 130 °C for 2 h. The reactor was loaded with 50 ml (44.75 g) of oleic  
130 acid and the desired amount of pre-heated ethanol was then added to give an ethanol to oleic  
131 acid molar ratio of 6. Esterification was carried out at reaction temperatures 40, 50, 60 and 70  
132 °C. 5 ml samples were withdrawn from the reaction mixture at 15 minute intervals, and  
133 centrifuged for 10 min at 3000 rpm to separate the solid zeolite from the liquid phase. The  
134 supernatant layer was analysed by titration with 0.1 N KOH, using phenolphthalein indicator,  
135 to evaluate the acid value (AV) as shown in the following equation;

136 
$$AV = \frac{\text{ml of KOH} \times N \times 56}{\text{Weight of Sample}} \quad (1)$$

137 From the acid value, the conversion of oleic acid can be calculated for each amount of the  
138 catalyst as shown in the following equation;

139 
$$\text{conversion\%} = \frac{AV_{t_0} - AV_t}{AV_{t_0}} \times 100\% \quad (2)$$

140 where:

141  $AV_{t_0}$  (acid value of the reaction product at time 0)

142  $AV_t$  (acid value of the reaction product at time t)

143

### 144 **3. Results and Discussion**

#### 145 **3.1 Removal of Fe and alkaline fusion**

146 The XRD patterns showing the effects of calcination, acid leaching and alkaline fusion are  
147 shown in Fig. 1. The untreated shale (dried at 120 °C) is composed primarily of chlorite,  
148 laumontite and quartz [34-39]. Calcination in air at 800 °C removes chlorite completely and  
149 causes partial decomposition of laumontite. Quartz remained after calcination and its  
150 diffraction peaks actually increased in intensity. Acid leaching was done to eliminate Fe from  
151 the shale as it competes with Al in the zeolite framework. The reduction in the orange-brown  
152 colour and Fe signal in EDAX, Fig. 2, confirm the removal of iron. Acid leaching also removed  
153 the remaining laumontite and caused a reduction in the peak intensity for quartz. The XRD  
154 pattern for NaOH fused shale confirms that quartz was removed completely by alkaline fusion  
155 and that sodium silicate and sodium aluminosilicate were the predominant phases remaining.  
156 These species are soluble in alkaline solution and provide the nutrients for the formation and  
157 eventual growth of zeolite crystals [3]. It is not possible to compare our findings directly with  
158 previous reports on the phases formed with shale (as opposed to kaolinite or fly-ash) after  
159 alkaline fusion, as no such results are reported [34-39]. However, it is well known that sodium  
160 silicate and sodium aluminosilicate are formed by the alkaline fusion of kaolinite, e.g. Belviso  
161 and Fiore have published widely on such preparations [15]. This finding, therefore, supports  
162 the formation of sodium silicate and sodium aluminosilicate in the present study, considering  
163 that kaolinite and shale have somewhat similar chemical compositions.

164

#### 165 **3.2 Optimisation of zeolite preparation**

166 The next part of the study was to vary the experimental conditions in a systematic manner to  
167 find the parameters that give the highest quality zeolite (as measured by most intense XRD  
168 signal) from each experiment. The influence of water content was first determined, and its  
169 optimal value was then used in all further preparation methods. Next the stirring time was  
170 varied and its optimal value determined and used thereafter, and so on for aging time and  
171 hydrothermal treatment times at 100 °C in that order. The XRD patterns in Fig 3 show that the  
172 content of water has a profound effect on the degree of crystallinity. The zeolite was  
173 progressively favoured up to water:fused shale ratios of 8:1 and thereafter decreased sharply  
174 with only quartz present using a 15:1 ratio. For the sample prepared using ratio 8:1, the most  
175 intense diffraction peaks confirm the presence of faujasite (FAU) zeolite according to the  
176 International Zeolite Association (IZA) [40,41]. It makes sense that there is an optimum water  
177 to shale ratio, as the water content alters both the amount and alkalinity of solution that can  
178 dissolve reagents for nuclei formation and zeolite growth. To our knowledge, there are no  
179 reports showing the influence of the water to shale ratio in the preparation of zeolite. Hu et al.  
180 found that the ratio of water to sodium hydroxide had a profound effect on the crystals phases  
181 prepared from shale; the framework type of the product changed from cancrinite to  
182 hydroxysodalite sodalite when the water to Na<sub>2</sub>O ratio was decreased from 25:1 to 5:1 [38]. In  
183 the preparation of FAU-type zeolite from fly ash, the quantities of a dense sodium aluminium  
184 silicate phase and quartz were reduced when the water to alkaline fused fly ash ratio was  
185 increased from 4:1 to 10:1 [14].

186

187 Both the mixing and aging time (Figs. 4 and 5) experiments showed FAU zeolite was formed  
188 over the full range of chosen conditions showing that FAU- zeolite forms readily from shale  
189 but with large variations in crystal quality; the best conditions were 3 h mixing and 18 h aging  
190 time. Mixing/aging the suspension at RT allows the formation of nuclei that increase the  
191 eventual yield of zeolite. Fig 6 shows XRD patterns as a function of hydrothermal time; again  
192 there was variation over the chosen range, with the highest FAU purity formed after 24 hours.  
193 This finding matches well with Hu et al. who reported that the purity of hydroxysodalite  
194 prepared from shale increased with hydrothermal treatment time with the best zeolite formed  
195 after 24 hours (although longer times were not reported) [38]. Our findings also agree with that  
196 reported by Fernandes Machado et al. which showed that zeolite X peaked over the range 12-  
197 48 h at 100 °C and decreased substantially at longer times [39]. In the same synthesis, zeolites  
198 A and hydroxysodalite peaked after 72 h and 96 h, respectively. The results suggest that there is  
199 an evolution of crystal phases over time as follows: zeolite X → zeolite A → hydroxysodalite.

200 Similar phase changes to progressively more stable structures were observed for mesoporous  
201 materials [42].

202

203 The results of water:shale ratio and mixing, aging and hydrothermal treatment times are  
204 quantified in Fig. 7 by plotting the  $d_{111}$  peak intensities. All four variables have a major  
205 influence on the FAU purity evidenced by the variation of peak intensity with changes in any  
206 given variable. The maximum peak intensities for each experiment confirm that the FAU  
207 zeolite with the highest intensity prepared by us (after optimising water:shale ratio and mixing,  
208 aging and hydrothermal treatment times) has comparable intensity to that of a commercial HY  
209 zeolite (dashed line). Overall, the results show that FAU zeolite can be prepared in pure form  
210 from shale rock using the method described here. This is a significant improvement on the  
211 procedure used by Fernandes Machado et al., which results in composite materials containing  
212 zeolites A, X and hydroxysodalite, all of which are contaminated by quartz [39]. Because of  
213 the large number of experimental variables (time, temperature, reagents) it is difficult to assign  
214 with certainty the exact cause(s) of the different results observed. While the general conditions  
215 of both syntheses are somewhat similar, the procedure used by Fernandes Machado et al.  
216 incorporated alumina and conducted alkaline fusion at 350 °C, while our method uses sodium  
217 silicate with alkaline fusion at 850 °C [39]. Unfortunately, no XRD results were provided to  
218 show the effect of alkaline fusion on the crystal phases present in shale, so based on the  
219 presence of quartz in all the final materials it is possible that quartz was not completely removed  
220 during the alkaline fusion step [39]. The variation may arise from the different minerals present:  
221 the shale contained illite, labradorite, quartz and chlorite, while our shale contains laumontite,  
222 quartz and chlorite. It is also interesting to note that the XRD patterns for the shale ash used in  
223 the syntheses of zeolites A [34,35] PI[37] and hydroxysodalite [38] showed that the relative  
224 amounts of quartz differed; for example the shale used to produce PI was reported to contain  
225 mainly feldspar while quartz was predominantly found in the shales used to prepare zeolite A  
226 and hydroxysodalite.

227

228 The total pore volume of the shale zeolite is  $0.73 \text{ cm}^3 \text{ g}^{-1}$  and BET surface area is  $571 \text{ m}^2 \text{ g}^{-1}$ ,  
229 which is 8.6% lower than that for commercial zeolite Y,  $625 \text{ m}^2 \text{ g}^{-1}$ . This high surface area  
230 provides further proof that the FAU zeolite prepared here is of high purity. SEM images, Fig  
231 8, confirm the characteristic particle shape of FAU and agglomeration between particles in a  
232 similar manner to that of commercial zeolite Y. Fig. 8 also shows that the particle size for both

233 samples was approximately 2  $\mu\text{m}$ . The Si/Al ratio of the shale zeolite was estimated to be 1.98  
234 based on EDAX, Fig. 8, which is in the range for zeolite Y.

235

### 236 **3.3 Esterification reactions**

237 The esterification of oleic acid with ethanol is used as a test reaction to assess the catalytic  
238 activity of the shale zeolite. This is a reversible reaction so an excess quantity of ethanol, 6:1  
239 ethanol/oleic acid molar ratio, is used to enhance conversion. The fractional conversions of  
240 oleic acid, Fig 9, increase, as expected, with reaction time at all temperatures but decreases  
241 slightly after 90 mins at 70 °C. The conversion of oleic acid is highly dependent on reaction  
242 temperature where the maximum conversion increases from 45% at 40 °C to 78% at 70 °C.  
243 This conversion compares well to that found in our previous study, which showed maximum  
244 oleic acid conversion of 85% for identical reaction conditions at 70 °C [27]. Fig. 10 shows that  
245 zeolite prepared from shale has almost identical conversions as that for the commercially  
246 sourced zeolite Y, which strongly suggests that the shale zeolite has Bronsted acidity and  
247 catalytic properties practically identical to that of commercial zeolite Y.

248 As with any esterification reaction, the formation of water prevents complete conversion of  
249 oleic acid by promoting the reverse reaction (hydrolysis) and driving the equilibrium to the left.  
250 Oleic acid conversions between 60 and >99% were achieved for different montmorillonite-  
251 based clay catalysts by running the reaction at 150 °C for six hours, which removed the water  
252 produced during reaction by evaporation [43]. In another report, >99% oleic acid conversion  
253 was found after 100 mins at 110 °C using sulfuric acid as catalyst whereby the water was  
254 removed using a zeolite A adsorption column [44]. However, the temperatures used in these  
255 methods also removes the ethanol required for esterification so is not ideal for real applications.  
256 There are relatively few reports showing zeolite catalysed oleic acid esterification reactions,  
257 and the majority use methanol where the following maximum conversion rates (of oleic acid)  
258 were recorded; 86% for zeolite beta [45,46]; 81% for mordenite, 80% for ZSM-5, and 78% for  
259 FAU-type zeolite [47]; and 83% for ZSM-5 modified with citric acid [48]. For oleic acid  
260 esterification using ethanol, the maximum conversion over zeolite NaY was 27% [49]; the high  
261 purity FAU-type zeolite prepared in this paper using shale shows significantly greater activity  
262 (78%).

263

### 264 **4. Conclusions**

265 FAU-type zeolite was prepared from shale rock using a combination of acid leaching, alkaline  
266 fusion and hydrothermal treatment. The quality of the FAU was progressively improved

267 through rigorous testing of the effects of preparation conditions on crystal purity. The FAU-  
268 type zeolite with the highest purity was active in the catalysed esterification of oleic acid, a  
269 model test reaction for biodiesel production, with conversions comparable to those of a  
270 commercially sourced zeolite Y.

271

## 272 **Acknowledgments**

273

274 ZTA is grateful to the Iraqi Ministry of Higher Education and Scientific Research for financial  
275 support to carry out this work at Manchester Metropolitan University, UK, as a part of the  
276 requirements for the degree of Doctor of Philosophy in Chemical Engineering at the University  
277 of Baghdad.

278

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