

1 The assessment of the impact of aviation
2 NO_x on ozone and other radiative forcing
3 responses – the importance of representing
4 cruise altitudes accurately
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11 **Abstract:** Aviation emissions of NO_x result in the formation of tropospheric
12 ozone (warming) and destruction of a small amount of methane (cooling),
13 positive and negative radiative forcing effects. In addition, the reduction of
14 methane results in a small long-term reduction in tropospheric ozone (cooling)
15 and, in addition, a long-term reduction in water vapour in the stratosphere
16 (cooling) from reduced oxidation of methane, both negative radiative forcing
17 impacts. Taking all these radiative effects together, aircraft NO_x is still thought to
18 result in a positive (warming) radiative effect under constant emissions
19 assumptions. Previously, comparative modelling studies have focussed on the
20 variability between models, using the same emissions database. In this study, we
21 rather quantify the variability and uncertainty arising from different estimations
22 of present-day aircraft NO_x emissions. Six different aircraft NO_x emissions
23 inventories were used in the global chemical transport model, MOZART v3. The
24 inventories were normalized to give the same global emission of NO_x in order to
25 remove one element of uncertainty. Emissions differed in the normalized cases
26 by 23% at cruise altitudes (283 hPa – 200 hPa, where the bulk of emission
27 occurs, globally). However, the resultant short-term ozone chemical
28 perturbation varied by 15% between the different inventories. Once all the
29 effects that give rise to positive and negative radiative impacts were accounted
30 for, the variability of net radiative forcing impacts was 94%. Using these
31 radiative effects to formulate a net aviation NO_x Global Warming Potential (GWP)

32 for a 100-year time horizon resulted in GWPs ranging from 60 to 4, over an order
33 of magnitude. It is concluded that the detailed placement of emissions at
34 chemically sensitive cruise altitudes strongly affects the assessment of the total
35 radiative impact, introducing a hitherto previously unidentified large fraction of
36 the uncertainty of impacts between different modelling assessments. It is
37 recommended that future formulations of aircraft NO_x emissions focus efforts on
38 the detailed and accurate placement of emissions at cruise altitudes to reduce
39 the uncertainty in future assessments of aviation NO_x impacts.

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41

42 *Keywords:* Aviation, radiative forcing, emissions, nitrogen oxides, ozone response

43 **1 Introduction**

44

45 The impact of aviation NO_x emissions on the production of tropospheric ozone
46 (O₃) has been investigated since the early 1970s (Hidalgo and Crutzen, 1977).
47 Several thematic research programmes in the US and Europe investigated
48 aircraft NO_x effects on tropospheric chemistry in the 1980s and 1990s (see Lee
49 et al., 2010 for a summary).

50

51 Despite the length of time over which this effect has been investigated, it still
52 represents an active research area. One particular milestone was the IPCC
53 (1999) Special Report on 'Aviation and the Global Atmosphere', which
54 summarized results of a number of 3D global chemical transport models (CTMs),
55 which were relatively newly developed over 2D models. The IPCC (1999)
56 highlighted the finding that whilst NO_x emissions from the existing fleet of
57 subsonic aircraft resulted in a small increase in tropospheric O₃, there was also a
58 small but significant reduction in ambient CH₄ (for an equilibrium calculation of
59 constant emissions), since CH₄ has a lifetime of approximately 8–12 years and
60 takes some time to respond to an additional NO_x increase. The IPCC thus
61 identified a positive ozone radiative forcing (RF) and a negative RF associated
62 with a NO_x increase from aircraft.

63

64 Wild et al. (2001) also identified that with this long-term CH₄ decrease, a small
65 decrease in O₃ also resulted (again, for equilibrium conditions). However, it has
66 taken some time to realise that this O₃ decrease could be significant over the
67 longer time-period and that the overall RF response from aircraft NO_x arises
68 from one positive and two negative RF responses. More recently, Myhre et al.
69 (2011) summarized a number of model responses to an aircraft NO_x increase and
70 also highlighted a fourth RF response in that a decrease in CH₄ also ultimately
71 resulted in a small negative RF response from water vapour in the stratosphere.
72 Any CH₄ response takes decades to come to an equilibrium response and its
73 mixing time means that it can enter the stratosphere where it can be oxidised to
74 water vapour. The water vapour results in a positive forcing in the stratosphere,

75 so that any reduction in CH₄ will result in a reduction in water vapour forcing in
76 the stratosphere and can therefore be viewed as a negative RF from aviation NO_x.
77

78 The complexity of NO_x-O₃-CH₄ system is intensified not only through different
79 timescales of responses of its components (positive forcing is short-term,
80 negative responses are long-term), but also by differences of their spatial
81 extents: while short-term O₃ enhancement is regional, the CH₄, CH₄-induced O₃
82 and stratospheric water vapour (SWV) act on a global scale.

83

84 Many studies have been published over the past 20 years assessing the impact of
85 aviation NO_x emissions on tropospheric chemistry and RF (see Lee et al., 2010
86 for a recent review, along with Myhre et al., 2011). Among them, the
87 investigations regarding the impact of aircraft NO_x from modified cruise altitudes
88 also exist (Gauss et al., 2006, Frömming et al., 2012). However, these studies are
89 not always straightforward to compare, since the models have had varying
90 degrees of complexity in terms of completeness of representation of
91 tropospheric and stratospheric chemistry, horizontal and vertical resolution.

92

93 There are numbers of tools which are utilized in order to place on a common
94 scale different climate impacts. The most traditional are radiative forcing (RF)
95 and global warming potential (GWP). Radiative forcing (RF) is an accepted
96 measure of the strength of the perturbation of Earth-atmosphere system caused
97 by natural agents and human activity. The global warming potential (GWP) is a
98 ratio of the RF from the emission of a species relative to that of CO₂ for a nominal
99 kg release of both gases, integrated over given time horizon. While radiative
100 forcing is a backward looking measure, the global warming potential through its
101 relativity is one step further in the cause-effect chain.

102

103 In this paper, we revisit the NO_x impact on chemical composition of the
104 troposphere using a 3D CTM, MOZART v3 (Kinnison et al., 2007) and quantify
105 the individual RF responses from short-term O₃ increases, long-term CH₄ and O₃
106 decreases, and CH₄ feedback effects on stratospheric water vapour. Most
107 importantly, the impact of using different emission inventories is investigated in

108 this work, since all previous comparative studies have utilised different models.
109 Here, we take a novel approach of using a single model with different
110 (normalized) emissions that have slightly different assumptions and therefore
111 vertical distributions of emissions in order to better understand the impact of
112 height distribution of aircraft NO_x emissions and their representation in
113 emission inventories.

114

115 **2 Methodology and experimental design**

116

117 *2.1 Aircraft emission inventories*

118

119 The aircraft inventory datasets are usually generated from an aircraft movement
120 database, the characteristic of a global fleet in terms of type of aircraft and
121 engines, fuel-flow model, calculation of emissions at vertical scale from fuel flow,
122 landing and take-off emissions (LTO). The aircraft movement databases are a
123 mixture of a flight plan data, flight operation data, radar data, Official Aviation
124 Guide (OAG) data (www.oag.com) and idealized great circle routes analysis. A
125 comprehensive comparison of global aviation inventories was recently
126 presented by Olsen et al. (2013).

127 Here, six different aircraft inventories were investigated:

128

129 1. AEDT (Aviation Environmental Tool) for the year 2006 (Wilkerson et al.,
130 2010). The global aircraft data was provided by Volpe National Transportation
131 Systems Centre. The aircraft fuel burn and emissions were estimated based on
132 an individual flight by flight analysis. This inventory is based on radar data for
133 Europe and North America, which account for 70-80% of global aircraft
134 movements, and for the remaining flight movements the OAG data were used.

135

136 2. AEM (Advanced Emission Model) for the year 2006
137 (www.eurocontrol.int/services/advanced-emission-model). AEM is a stand-
138 alone system (developed and maintained by EUROCONTROL), which calculates
139 aviation emissions and fuel burn. It uses a few basic databases: aircraft, aircraft

140 engines, fuel burn rates and emissions indices. AEM is aimed to analyse the flight
141 profile data, on a flight by flight bases, for different air traffic scenarios.

142

143 3. AERO2K inventory for the year 2002 (Eyers et al., 2005) was developed
144 under the EC 5th Framework Programme. The dataset is based on a radar
145 tracked flight data for North America and Europe. Data for the rest of the world
146 are covered by scheduled flights data from Back Aviation database (Back, 2002)
147 and by routing information. Forty representative aircraft types were applied in
148 order to calculate the fuel burn and emissions for each flight using means from
149 the PIANO (www.piano.aero) aircraft performance model.

150

151 4. REACT4C (EC 7th Framework Programme Reducing Emissions from
152 Aviation by Changing Trajectories For the benefits of Climate) for the year 2006
153 (www.react4c.eu). The input data are the CAEP-8 comprehensive set of aircraft
154 movements, which are individual movements for 6 weeks of the year, scaled to a
155 full year's movements. The air traffic movements are from radar data for flights
156 for Europe and North America and the remaining global flight movements are
157 taken from OAG. The models used to generate this inventory are: the fuel-flow
158 model PIANO (Project Interactive Analysis and Optimization model) and global
159 emissions model FAST (The Future Aviation Scenario Tool) (Owen et al., 2010),
160 similarly as for QUANTIFY and TRADEOFF data presented below.

161

162 5. QUANTIFY (Quantifying the Climate Impact of Global and European
163 Transport Systems) for a year 2000 (Owen et al., 2010). The inventory consists
164 of OAG data for scheduled flights and AERO2K's traffic for non-scheduled aircraft
165 movements. The QUANTIFY dataset, once released, was scaled to the
166 International Energy Aviation (IEA) aviation fuel burn total for year 2000.

167

168 6. TRADEOFF for the year 1992 (Gauss et al., 2006). The dataset was created
169 based on a flight track data from the EUROCONTROL and FAA from the year
170 1991/1992. Four months of aircraft movements: July 1991, October 1991,
171 January 1992 and April 1992 were scaled to a full year's movement. The global
172 aircraft movement data are a combination of air traffic control and scheduled

173 data. Sixteen civil aircraft-engine type combinations represent the global fleet of
174 aircraft.

175 Aircraft inventories used in this study are three dimensional gridded datasets,
176 with a 1°x1° horizontal resolution and a vertical resolution which varies from
177 1km through 610m to 500 ft. An overview of the characteristics of each
178 inventory is presented in Table 1. Military emissions have not been taken into
179 account in this study.

180

181 Each dataset represents different years of emissions, which results in different
182 amounts of burned fuel ranging from 210 Tg/yr for AEDT (2006) to 114 Tg/yr
183 for TRADEOFF (1992) which affects the emitted NO_x. In order to exclude the
184 differences in the amount of injected NO_x and consequently its impact on O₃
185 response, the NO_x emissions of each inventory were scaled to the same global
186 total as the REACT4C , which is 2.33 Tg (NO₂)/yr.

187

188 *2.2 Global chemical transport model of the atmosphere*

189

190 The Model for Ozone and Related Tracers, version 3 (MOZART-3) was used in
191 this study. It is a 3D Chemistry Transport Model (CTM) comprehensively
192 evaluated by Kinnison et al. (2007) and extensively used for different
193 applications, e.g. impact of El Niño and La Niña events on dynamical, thermal and
194 chemical structure of the middle atmosphere (Sassi et al., 2004), distribution of
195 stratospheric O₃ and downward O₃ transport in the UTLS region during the
196 sudden stratospheric warming event in January 2004 (Liu et al., 2009), forecast
197 analysis of the ozone hole over Antarctica in 2008 (Flemming et al.,2011),
198 evaluation of Ozone Depletion Potentials for n-propyl bromide (Wuebbles et al.,
199 2011).

200

201 MOZART-3 is built on the framework of the transport model MATCH (Model for
202 Atmospheric Transport and Chemistry) (Rasch et al., 1997) and accounts for
203 advection (flux-form semi-Lagrangian scheme of Lin and Rood (1996));
204 convection (shallow and mid-level convection scheme of Hack et al. (1994) and

205 deep convective transport of Zhang and MacFarlane (1995)); boundary layer
206 exchanges (Holstag and Boville, 1993) and wet and dry deposition (Brausser et
207 al., (1998) and Müller (1992), respectively).

208

209 MOZART-3 represents detailed chemical and physical processes from the
210 troposphere through the stratosphere. The chemical mechanism consists of 108
211 species, 218 gas-phase reactions, 71 photolytic reactions (including the
212 photochemical reactions associated with organic halogen compounds) and 17
213 heterogeneous reactions. The kinetic and photochemical data is from NASA/JPL
214 (Sanders et al., 2006).

215

216 The anthropogenic (non-aviation) and biomass burning emissions are taken
217 from Lamarque et al. (2010) and represent the year 2000. The biogenic surface
218 emissions are taken from the European Union project POET (Precursors of Ozone
219 and their Effects on Troposphere) (Granier et al., 2005).

220

221 The horizontal resolution applied in this study is T42 ($\sim 2.8^\circ \times 2.8^\circ$) and the
222 vertical domain extends from the surface to 0.1hPa with 60 hybrid layers (Figure
223 1). The transport of chemical compounds is driven by the meteorological fields
224 from European Centre for Medium Range Weather Forecasting (ECMWF),
225 reanalysis ERA-Interim for the year 2000 (Simmons et al., 2007).

226

227 Seven experiments were performed, one reference (no-aircraft) run and six
228 perturbation (aircraft) simulations, each starting in January 2000 and finishing
229 in December 2000; each simulation was preceded by one year spin-up. The
230 aircraft perturbation is derived by extracting the difference between aircraft and
231 no-aircraft experiments. The calculations of O₃ change and CH₄ lifetime change,
232 along with RFs, covers the surface-1 hPa domain. Since our experiments are
233 performed for 2 years, the magnitude of aircraft stratospheric response is not
234 fully representative. The O₃ depletion due to aircraft NO_x emissions, from current
235 fleets, is relatively small (-0.01 DU), thus it does not affect O₃ changes
236 significantly. However, the O₃ column change, presented in this paper is
237 overestimated by 5.1% for surface-1 hPa domain and the short-term O₃ RF is

238 underestimated by 0.6%. The CH₄ lifetime reduction and its negative RF are
239 overestimated by 0.2%.

240

241 *2.3 Radiative forcings and global warming potentials calculations*

242

243 The short-term O₃ radiative forcings are calculated off-line using the Edwards –
244 Slingo radiation code (Edwards and Slingo, 1996). This comprehensive radiative
245 transfer model was developed in the UK Meteorological Office and is based on
246 the two-stream equations in both the long-wave and short-wave spectral
247 regions. Cloud treatment is based on averaged ISCCP D2 data (Rossow and
248 Schiffer, 1999). Climatological fields of temperature and specific humidity are
249 based on ERA-Interim data (Simmons et al., 2007). The calculations were
250 performed on monthly O₃ MOZART-3 output. To account for a stratospheric
251 adjustment a 20% reduction was applied to the O₃ RF, following the work of
252 Stevenson et al. (1998).

253

254 A one year CTM simulation is not long enough to calculate the change in CH₄
255 concentration, as it takes decades for CH₄ to come into equilibrium with the
256 perturbed OH fields. That is why to obtain the steady state concentrations of CH₄
257 in the perturbation runs the change in CH₄ lifetime owing to reaction with OH
258 was calculated for each inventory, which then, based on Fuglestedt et al. (1999),
259 was multiplied by the reference CH₄ concentration and a feedback factor of 1.4
260 (Prather et al., 2001) to reflect the effect of changes of CH₄ on its own lifetime:
261 $[\text{CH}_4]_{\text{ss}} = [\text{CH}_4]_{\text{ref}} * (1 + 1.4 * \Delta\alpha_0 / \alpha_{\text{ref}})$, where $\Delta\alpha_0 = \alpha_{\text{per}} - \alpha_{\text{ref}}$ and $[\text{CH}_4]_{\text{ref}}$ is a
262 reference run concentration.

263 The RF of CH₄ is calculated using a simplified expression defined in Ramaswamy
264 et al. (2001). The impact of methane change on stratospheric water vapour
265 (SWV) is also included and as described in Myhre et al. (2007) the RF of SWV is
266 assumed to be 0.15 times that of methane RF. The CH₄-induced O₃ is computed
267 based on an assumption that 10% increase of CH₄ leads to 0.64 DU increase of
268 ozone (Prather et al., 2001) and this ozone has a specific RF of 42 mW m⁻² DU⁻¹
269 (Ramaswamy et al., 2001).

270 The temporal evolution of net RF following the NO_x emission is required in order
271 to calculate GWP. It can be assumed that the constant one year emission is a step
272 emission followed by a decay of the resulting forcing from the end of the year
273 onwards. The GWP calculations are based on a methodology described by
274 Fuglestvedt et al., (2010). The primary-mode lifetime is taken into account, while
275 the long-term effects (CH₄ with SWV and CH₄-induced O₃) are integrated over
276 time horizons.

277

278 **3 Results**

279

280 *3.1 Aircraft NO_x emissions*

281

282 Since various methodologies have been applied to derive different inventories,
283 the distribution of NO_x emissions reveals some discrepancies. The geographical
284 distribution shows rather common picture (Figure 2). The image of altitudinal
285 spacing is not so unique (Figure 3).

286

287 The Northern Hemisphere is the main location of aircraft NO_x emissions:
288 emissions in 30-60N and 0-30N regions constitute 65% and 24%, respectively,
289 for AEDT, AEM and REACT4C inventories; AERO2K, QUANTIFY and TRADEOFF
290 have slightly more emissions in mid northern latitudes (68%) and less over the
291 northern tropical region (21%). Most of aircraft NO_x emissions occur over North
292 America, Europe and South-East Asia. AERO2K, QUANTIFY and TRADEOFF have
293 a bit lower emissions, by ~4%, in 60-120E region and a bit more, by ~4%, in
294 120-60W region than AEDT, AEM and REACT4C.

295

296 The largest part of the NO_x emissions are injected between 9 and 12 km for most
297 of the inventories, only AEDT and AEM have more aircraft NO_x over 12 km than
298 the other inventories. AERO2K's NO_x emissions at cruise altitudes constitute only
299 43% of its total aircraft NO_x emissions (Figure 3), which, when compared with
300 57% of QUANTIFY, 58% of AEM, 59% of REACT4C and TRADEOFF and 63% of
301 AEDT, is quite low. The 'missing' ~10% is hidden under AERO2K's relatively high
302 NO_x emissions at mid-altitudes, which is 34%, while for all the rest of inventories

303 it is around 25%. The difference in the vertical structure of NO_x emissions
304 between AERO2K and the other datasets is significant.

305 The original aircraft emission data, with their regular vertical gridding (500ft,
306 610m or 1km) are interpolated by MOZART-3 to its irregular (with hybrid sigma
307 layers every ~1 km in the upper troposphere and lower stratosphere (UTLS)
308 region) vertical spacing (Figure 1). Figure 4 shows the vertical distribution of
309 aircraft NO_x emissions in MOZART-3 for the six aircraft inventories. Each dataset
310 represents the same amount of global total aircraft NO_x (2.33 Tg NO₂). The initial
311 resolution of dataset plays a significant role when it is redistributed into the
312 lowest CTM's vertical layers. Taking into account that the vertical resolution in
313 MOZART-3 near surface is high (~45 m) the datasets with higher resolution
314 (AEM and AERO2K) have more aircraft NO_x emissions near ground (1000-950
315 hPa).

316 In MOZART-3 most of aircraft NO_x emissions are injected in the 283-200 hPa
317 region, where the emissions differ by 23% when the greatest (TRADEOFF) and
318 the smallest (AERO2K) numbers are taken into account. The peak of aircraft NO_x
319 emissions is observed at 227 hPa, with the greatest values occurring for
320 REACT4C and TRADEOFF. AEDT and AEM have more emissions at 200 hPa and
321 at higher altitudes, than other inventories, which raises the possibility of more
322 efficient accumulation of N molecules (Seinfeld and Pandis, 2006).

323

324 *3.2 Chemical perturbation*

325

326 The response of the NO_x-O₃-CH₄ system affected by aviation NO_x emissions is
327 presented in Figure 5. The positive peak of NO_x response is observed at 227 hPa
328 for all inventories, except for AEDT and AEM, which have their maximum one
329 level higher, at 201 hPa. This suggests that potential of NO_x perturbation is
330 greater for higher NO_x emissions. The greatest NO_x response is observed for
331 AEDT and the smallest for AERO2K, which consequently affects the O₃
332 perturbation, which follows the same pattern of differences between datasets in
333 terms of emissions. The largest O₃ response takes place at 227 hPa level for all

334 inventories. The enhanced O₃ changes the oxidizing capacity of the troposphere.
335 In general, aircraft NO_x perturbs the OH/HO₂ ratio: increases OH and decreases
336 HO₂. The positive OH response is observed through all tropospheric domain, the
337 negative HO₂ response is observed mainly at flight altitudes. While the impact of
338 AERO2K inventory on NO_x and O₃ in UTLS region is relatively weak, it is
339 responsible for greater aircraft OH in mid-altitudes and consequently CO and
340 CH₄ changes, than other datasets. The more efficient CO oxidation results in a
341 greater AERO2K's HO₂ perturbation in mid-altitudes compared to other
342 inventories.

343

344 The same amount of emitted NO_x, but different vertical distributions of NO_x
345 emissions lead to significant differences in short-term O₃ response between
346 inventories. Table 2 gives global and annual means of total column O₃ change (in
347 DU) and ozone production efficiency values for six different inventories. The
348 greatest column change is observed for AEDT (0.56 DU) and AEM (0.54 DU) and
349 the smallest is for AERO2K (0.48 DU). Also QUANTIFY shows a relatively lower
350 O₃ perturbation (0.50 DU) compared with the other FAST inventories REACT4C
351 and TRADEOFF (0.52 DU).

352 The O₃ production in the troposphere has been shown to be sensitive to the
353 height of the initial precursor emissions (Köhler et al., 2008). This is indirectly
354 observed in our results, where more molecules of ozone are produced per
355 molecule of N emitted for inventories with NO_x emissions at higher altitudes, it
356 being 30 for AEDT, 29 for AEM, 28 for REACT4C, 27 for QUANTIFY and
357 TRADEOFF and 25 for AERO2K (Table 2). This shows that a lower potential, by
358 15%, is observed for AERO2K inventory compared to AEDT dataset in terms of
359 ozone production, which is consistent with the spread of O₃ column change.

360

361 The methane lifetime due to destruction by OH in a reference case was observed
362 as 8.88 years. In contrast to the O₃ responses, the CH₄ lifetime reductions are
363 observed to be quite uniform among different inventories (Table 3) ranging from
364 -0.074 years for AERO2K and TRADEOFF, -0.073 years for REACT4C to -0.070
365 years for AEDT.

366

367 3.3 *Radiative forcing and global warming potential for aviation NO_x emissions*

368

369 The latitudinal distributions of short-term O₃ RF for the six inventories are
370 shown in Figure 6. The pattern for each inventory shows similar characteristics
371 and it is consistent with zonal-mean distributions of NO_x emissions (Figure 2)
372 (both rather short-lived), with dominating role of short-term O₃ RF over the
373 Northern Hemisphere. While agreement in the resultant short-term O₃ RF
374 between inventories at high southern and northern latitudes is observed the
375 tropical region (30°S-40°N) shows discrepancies. The largest spread in the
376 short-term O₃ RF between inventories occurs over northern tropical belt (15-
377 30°N), where locally the standard deviation reaches 3.0-3.5 mWm⁻², over Middle
378 East, Pacific and North Africa.

379

380 Table 4 presents the global and annual mean RF (mWm⁻²) for short-term O₃,
381 CH₄-induced O₃, CH₄, and SWV for a series of inventories. The standard deviation
382 of short-term O₃ values is 1.0 mWm⁻² (with AEDT and AERO2K resulting in
383 highest and lowest numbers, 14.3 and 11.5 mWm⁻², respectively). The CH₄
384 responses are much more consistent, the standard deviation is 0.2 mWm⁻² (with
385 values ranging from -7.1 mWm⁻² for AERO2K and TRADEOFF and -6.7 mWm⁻² for
386 AEDT). The net aircraft NO_x RF values ranges from 3.6 mWm⁻² for AEDT, 2.3
387 mWm⁻² for REACT4C to 0.2 mWm⁻² for AERO2K, with 1.2 mWm⁻² standard
388 deviation.

389

390 Myhre et al. (2011) reported GWP values for aviation NO_x emissions using the
391 same aircraft emissions, the same experimental design and a range of five
392 models. The differences in their results constitute a good insight into
393 uncertainties which arise from usage of different global chemistry models. In
394 contrast, the spread in results which are presented in this study gives a measure
395 of differences that arise from usage of different aircraft inventories. The
396 resulting GWP values for three time horizons (20, 100 and 500 years) are given
397 in Table 5. The values show significant differences, which are enhanced with
398 larger time horizons; however, the sign of calculated responses shows a
399 consistently net positive value. The largest differences come from the AEDT and

400 AERO2K inventories, being 57%, 93% different for 20, 100 time horizons
401 respectively. The increase of discrepancy with larger time horizons can be
402 explained by CH₄, as its response 'remains' for a few decades after NO_x emission.
403 The GWP reduction between a 20 year time horizon and a 100 year horizon is
404 larger for inventories where the CH₄ lifetime reduction is more enhanced, e.g. it
405 is 82% for AEDT, 83% for AEM, 85% for REACT4C, 87% for QUANTIFY and
406 TRADEOFF, 97% for AERO2K. Due to relatively short lifetimes of the net NO_x
407 components the GWP (H=500) differs between inventories only by the CO₂
408 integral in the denominator.

409

410 **4 Discussion**

411

412 Figure 7 shows the vertical profiles of the relative difference of NO_x, O₃, OH and
413 HO₂ responses to that of the AEDT inventory (chosen simply as it gives the
414 largest overall response). The aircraft NO_x perturbation for AEDT occurring at
415 227 hPa is about 25% greater than that for AERO2K. The difference increases
416 with higher altitudes and constitutes 55% at 100 hPa. On the contrary, AERO2K's
417 NO_x significantly exceeds that of AEDT at mid altitudes, where the difference
418 reaches 500% at 762 hPa. The response of the O₃ for these discrepancies is not
419 the same. It is observed that AERO2K's O₃ response indeed dominates in the low-
420 troposphere region (1000-600 hPa) but only by about 4%, whereas AEDT's
421 dominance at cruise altitudes reaches 25% and 50% at 100 hPa. The same
422 pattern is observed for all inventories, just the scale of differences is not so well
423 pronounced. The linear correlation between additional NO_x and O₃ response is
424 observed in UTLS region, being the strongest at 227 hPa and becoming weaker at
425 higher altitudes, for AERO2K the ratio of O₃ to NO_x is 1 at 227 hPa and 0.9 at
426 100hPa (e.g. for AEM it is 1 at 227 hPa and 0.7 at 100 hPa, for REACT4C it is 1.5
427 at 227 hPa and 0.8 at 100 hPa).

428

429 Interestingly, the greatest O₃ aircraft perturbation did not introduce the
430 strongest CH₄ reduction, as might be expected from the chemistry. The
431 altitudinal distribution of emissions can hide the explanation: a significant
432 fraction of AERO2K's NO_x emissions occurs in the mid-troposphere. The ~4%'s

433 dominance of AERO2K's O₃ in 900-700 hPa region significantly changes the
434 oxidizing capacity of the low troposphere (there is more OH by about 30% than
435 for AEDT). Annual mean concentrations of OH and CH₄ and temperature are
436 greater at lower altitudes which catalyse OH production and CH₄ destruction.

437

438 Another implication of AERO2K's enhancement of O₃ concentrations at lower
439 altitudes is that this O₃ is not as radiatively efficient as O₃ at higher altitudes
440 (Lacis et al., (1990), Köhler et al., (2008)).

441

442 It is worth to mention, that not only the height is important, also the
443 geographical distribution of aircraft NO_x emissions plays a certain role in terms
444 of O₃ RF response. The NO_x emissions from low latitudes have a greater impact
445 on climate forcings than the NO_x emissions from high latitudes (Berntsen et al.,
446 (2005), Köhler et al., (2012)) The AERO2K dataset has lower, compared to other
447 inventories, NO_x emissions at cruise altitudes over East Asia and over the Pacific
448 (not shown here), which was also noted by Olsen et al. (2012). Even though
449 certain regional differences in the distribution of aircraft NO_x emissions occur,
450 they are not as powerful as altitudinal discrepancies in terms of O₃ production
451 (based on work to be published).

452

453 Figure 8 shows a short-term O₃ RF and net NO_x RF responses normalized to 1 Tg
454 of emitted N reported for a number of model simulations (Stordal et al. (2006),
455 Hoor et al. (2009), Myhre et al. (2011), Hodnebrog et al. (2011, 2012)). The
456 inter-model mean O₃ and NO_x RF values are 22.2 and 5.0 mW m⁻²/Tg(N) yr⁻¹,
457 respectively. While for short-term O₃ responses most of the model results are
458 placed within a one standard deviation range, the net NO_x RF values constitute a
459 more diverse picture. This can be explained by the inter-study differences in the
460 components taken into account for net NO_x calculation. Myhre et al. (2011)
461 pointed out that the ratio of the CH₄ lifetime change to the O₃ column change is
462 very specific for each model, which also influence the net NO_x numbers. The
463 results produced by MOZART-3 are in good agreement with other studies.

464

465 The spread in RF values (20% for O₃ RF and 94% for net NO_x RF) between six
466 aircraft inventories is of a smaller magnitude to that of uncertainties between
467 models; however, it is still significant. Myhre et al. (2011) reported a 36% spread
468 in O₃ RF values and 54% in net NO_x RF values between a set of five different
469 models (note that Myhre et al. (2011) account for the time-history emissions
470 (Grewe and Stenke, 2008) in their long term effects); Hoor et al. (2009) reported
471 a 64% spread in O₃ RF and 89% in net NO_x (net is without SWV) values between
472 five different models and Stordal et al. (2006) showed a 33% spread in O₃ RF and
473 59% in net NO_x RF (net is a sum of short-term O₃ and CH₄ only) values between
474 three different models. This places the discrepancies between different
475 inventories on the same scale of importance as inter-model differences.

476

477 **5 Conclusions**

478

479 The coupled NO_x-O₃-CH₄ system, as affected by aviation NO_x emissions, results in
480 a regional short-term O₃ positive radiative forcing and a global long-term O₃, CH₄
481 and SWV negative responses. Nonetheless the overall radiative forcing induced
482 by current day emissions of aviation NO_x from 3D CTM MOZART-3 is positive as
483 shown by this study, ranging from 3.6 to 0.2 mW m⁻².

484

485 By using one model (3D CTM MOZART-3) and a series of aircraft inventories
486 (AEDT, AEM, AERO2K, REACT4C, QUANTIFY, TRADEOFF) scaled to the same
487 global total (2.33 Tg (NO₂)/yr), the sensitivity of O₃ response due to the
488 discrepancies in vertical distribution of aircraft NO_x emissions was investigated.
489 It is observed that the differences in the vertical distribution of aircraft NO_x
490 emissions between inventories, with AEDT and AERO2K being the most
491 different, strongly influence the aircraft short-term O₃ response and
492 consequently the net NO_x effect.

493

494 The aviation impact on RF per unit emission of NO_x differs from inventory to
495 inventory and ranges from 5.2 to 0.3 mW m⁻²/Tg(N) yr⁻¹ (for AEDT and AERO2K,
496 respectively). The responses of short-term O₃ RF range from 20.5 to 16.5 mW m⁻²
497 /Tg(N) yr⁻¹ (for AEDT and AERO2K, respectively). It is observed that both, the

498 O₃ and net NO_x RF are greater for inventories with higher peak of perturbation of
499 NO_x concentrations, which is a direct result of the amount of emitted NO_x at
500 higher altitudes.

501

502 The spread between aircraft short-term O₃ RF (20%) and aircraft net NO_x RF (94
503 %) values, which emerges from usage of different aircraft inventories should not
504 be neglected as it constitutes a significant range of uncertainty. Careful attention
505 should be paid to formulating aircraft emission inventories where precise cruise
506 altitudes are defined.

507

508

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517

518

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